Sectional Invariants of Hyperquadric Fibrations over a Smooth Projective Curve

Yoshiaki FUKUMA*, Kentaro NOMAKUCHI and Atsushi URAKI

Kochi University

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Abstract. Let (X, L) be a hyperquadric fibration over a smooth curve with dim $X = n \ge 3$. In this paper we will calculate the ith sectional Euler number $e_i(X, L)$ of (X, L). Using this, we will study a lower bound for the ith sectional Betti number $b_i(X, L)$ with $i \le 4$. In particular we will prove that $b_2(X, L) \ge 3$, $b_3(X, L) \ge 0$ and $b_4(X, L) \ge 3$.

1. Introduction

Let X be a projective variety of dimension n defined over the field of complex numbers, and let L be an ample line bundle on X. Then (X, L) is called a *polarized variety*. If X is smooth, then we say that (X, L) is a polarized *manifold*.

In [6], we introduced some *i*th sectional invariants of (X, L), that is, the *i*th sectional Euler number $e_i(X, L)$ and the *i*th sectional Betti number $b_i(X, L)$ for every integer *i* with 0 < i < n, and we investigated some properties of these.

Here we explain the meaning of these invariants if X is smooth, L is very ample, and i is an integer with $1 \le i \le n-1$. Let H_1, \ldots, H_{n-i} be general members of |L|. We put $X_{n-i} := H_1 \cap \cdots \cap H_{n-i}$. Then X_{n-i} is smooth with dim $X_{n-i} = i$, and we can show that $e_i(X, L) = e(X_{n-i})$ and $b_i(X, L) = b_i(X_{n-i})$, where $e(X_{n-i})$ is the Euler number of X_{n-i} and $b_i(X_{n-i})$ is the ith Betti number dim $H^i(X_{n-i}, \mathbb{C})$ of X_{n-i} .

We think that we will be able to investigate the structure of polarized manifolds more deeply by using these sectional invariants. But in general, it is very hard to calculate these sectional invariants concretely. So, in order to make a study of a general theory of these sectional invariants, as the first step, it is important to calculate these for the case of some special polarized manifolds, for example, polarized manifolds arising from the adjunction theory.

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In [7] we calculated and studied the *i*th sectional Euler number and the *i*th sectional Betti number of $(\mathbf{P}_X(\mathcal{E}), H(\mathcal{E}))$, where \mathcal{E} is an ample vector bundle of rank r on a smooth projective variety X of dimension n, $\mathbf{P}_X(\mathcal{E})$ is the projective space bundle associated with \mathcal{E} over X and $H(\mathcal{E})$ is its tautological line bundle.

As the next step, in this paper, we will study the ith sectional Euler number and the ith sectional Betti number of hyperquadric fibrations over a smooth curve. (For the definition of a hyperquadric fibration over a smooth curve, see Definition 2.3 below.) First we give a formula of the ith sectional Euler number $e_i(X, L)$ of hyperquadric fibrations over a smooth curve C (Theorem 3.1). Theorem 3.1 shows that $e_i(X, L)$ can be calculated by using $e = \deg \mathcal{E}, b = \deg \mathcal{B}$ and the genus g(C) of C, which are fundamental quantity of hyperquadric fibrations over C (see Remark 2.2). Next we consider a lower bound for the ith sectional Betti number $b_i(X, L)$ of hyperquadric fibrations over a smooth curve. In general, the following conjecture was given in [6, Conjecture 3.1 (2)].

CONJECTURE 1.1. Let (X, L) be a polarized manifold of dimension n. Then $b_i(X, L) \ge h^i(X, \mathbb{C})$ holds for every integer i with $1 \le i \le n$.

Here we note that if i=1, then this conjecture is a famous conjecture of Fujita (see [4, (13.7) Remark] or [1, Question 7.2.11]) because $b_1(X, L) = 2g(X, L)$ (see [6, Remark 3.1 (2)]) and $h^1(X, \mathbf{C}) = 2h^1(\mathcal{O}_X)$, where g(X, L) is the sectional genus of (X, L). So it is interesting to consider this conjecture. If L is base point free, then this conjecture is true (see [6, Proposition 3.3 (2)]). But it seems to be too difficult at the moment to solve this conjecture in general. So, as the first step, we study the non-negativity of $b_i(X, L)$. If i=1 (resp. i=2 and $\kappa(X) \geq 0$), then by [4, (12.1) Theorem], [9, Lemma 7] and [6, Remark 3.1 (2)] (resp. [6, Theorem 4.4 (4.4.2)]) we get $b_1(X, L) \geq 0$ (resp. $b_2(X, L) \geq 0$). But in general it is also unknown whether $b_i(X, L)$ is non-negative or not. So we think that it is meaningful to investigate the non-negativity of $b_i(X, L)$ for the case where (X, L) is a special polarized manifold. If (X, L) is a hyperquadric fibration over a smooth curve, then by virtue of Theorem 3.1, we can study the non-negativity of $b_i(X, L)$ for $i \leq 4$. In this paper we will prove the following:

- (1) $b_2(X, L) \ge 3 \text{ if } n \ge 3.$
- (2) $b_3(X, L) \ge 0$ if $n \ge 4$.
- (3) $b_4(X, L) \ge 3 \text{ if } n \ge 5.$

Moreover we will consider a classification of hyperquadric fibrations over a smooth curve with the following:

- (i) $n \ge 3$ and $b_2(X, L) = 3$.
- (ii) $n \ge 4$ and $b_3(X, L) = 0$.
- (iii) $n \ge 5$ and $b_4(X, L) = 3$.

2. Preliminaries

DEFINITION 2.1 (See [6, Definition 3.1]). Let (X, L) be a polarized manifold of dimension n. For every integer i with $0 \le i \le n$ we define the following:

(1) The *ith sectional Euler number* $e_i(X, L)$ *of* (X, L).

$$e_i(X, L) := \sum_{l=0}^{i} (-1)^l \binom{n-i+l-1}{l} c_{i-l}(X) L^{n-i+l}$$
.

(2) The *ith sectional Betti number* $b_i(X, L)$ *of* (X, L).

$$b_i(X,L) := \begin{cases} e_0(X,L) & \text{if } i = 0, \\ (-1)^i (e_i(X,L) - \sum_{j=0}^{i-1} 2(-1)^j b_j(X)) & \text{if } 1 \le i \le n, \end{cases}$$

where $b_j(X) := h^j(X, \mathbb{C})$.

DEFINITION 2.2. Let (X, L) be a polarized manifold of dimension n. We say that (X, L) is a *quadric fibration over a normal projective variety Y with* dim Y = m if there exists a surjective morphism with connected fibers $f: X \to Y$ such that $1 \le m < n$ and $K_X + (n-m)L = f^*A$ for some ample line bundle A on Y.

DEFINITION 2.3. (X, L) is called a *hyperquadric fibration over a smooth curve C* if (X, L) is a quadric fibration over C such that every fiber is irreducible and reduced.

REMARK 2.1. Assume that (X, L) is a quadric fibration over a smooth curve C with $\dim X = n \ge 3$. Let $f: X \to C$ be its morphism. By [2, (3.2.6) Theorem] and the proof of [9, Lemma (c) in Section 1], we see that (X, L) is one of the following:

- (a) f is the contraction morphism of an extremal ray, and every fiber of f is irreducible and reduced. Moreover $\rho(X) = \rho(C) + 1 = 2$. Therefore $b_2(X) = 2$ in this case.
- (b) X is a \mathbf{P}^1 -bundle over a smooth surface and $L|_F = \mathcal{O}_{\mathbf{P}^1}(1)$ for every fiber F. So if (X, L) is a hyperquadric fibration over a smooth curve with dim $X \geq 4$, then $b_2(X) = 2$. But if dim X = 3, then $b_2(X) \neq 2$ is possible.

REMARK 2.2. Let (X, L) be a hyperquadric fibration over a smooth curve C and let $f: X \to C$ be its morphism.

- (1) We put $\mathcal{E} := f_*(L)$. Then \mathcal{E} is a locally free sheaf of rank n+1 on C. Let $\pi: \mathbf{P}_C(\mathcal{E}) \to C$ be the projection. Then there exists an embedding $i: X \hookrightarrow \mathbf{P}_C(\mathcal{E})$ such that $f = \pi \circ i, X \in |2H(\mathcal{E}) + \pi^*(B)|$ for some $B \in \text{Pic}(C)$, and $L = H(\mathcal{E})|_X$, where $\mathbf{P}_C(\mathcal{E})$ is the projective space bundle associated with \mathcal{E} over C and $H(\mathcal{E})$ is its tautological line bundle.
- (2) Since $K_X + (n-1)L = f^*(A)$ for some ample line bundle A on C, we have $g(X, L) \ge 2$, where g(X, L) is the sectional genus of L.

DEFINITION 2.4. Let X be a smooth projective variety and let \mathcal{F} be a vector bundle on X. Then for every integer j with $j \geq 0$, the jth $Segre\ class\ s_j(\mathcal{F})$ of \mathcal{F} is defined by the

following equation: $c_t(\mathcal{F}^{\vee})s_t(\mathcal{F}) = 1$, where $\mathcal{F}^{\vee} := Hom_{\mathcal{O}_X}(\mathcal{F}, \mathcal{O}_X)$, $c_t(\mathcal{F}^{\vee})$ is the Chern polynomial of \mathcal{F}^{\vee} and $s_t(\mathcal{F}) = \sum_{j>0} s_j(\mathcal{F})t^j$.

REMARK 2.3. (1) Let X be a smooth projective variety and let \mathcal{F} be a vector bundle on X. Let $\tilde{s}_i(\mathcal{F})$ be the Segre class which is defined in [8, Chapter 3]. Then $s_i(\mathcal{F}) = \tilde{s}_i(\mathcal{F}^{\vee})$.

(2) For every integer i with $1 \le i$, $s_i(\mathcal{F})$ can be written by using the Chern classes $c_j(\mathcal{F})$ with $1 \le j \le i$. (For example, $s_1(\mathcal{F}) = c_1(\mathcal{F})$, $s_2(\mathcal{F}) = c_1(\mathcal{F})^2 - c_2(\mathcal{F})$, and so on.)

3. Calculations of the sectional Euler number of hyperquadric fibrations over a smooth curve

Let (X, L) be a hyperquadric fibration over a smooth curve C with dim $X = n \ge 3$. Let \mathcal{E} and B be as in Remark 2.2 (1), and set $e := \deg(\mathcal{E})$ and $b := \deg B$. Then we are going to calculate $e_i(X, L)$.

THEOREM 3.1. Let (X, L) be a hyperquadric fibration over a smooth curve C with $\dim X = n \geq 3$, and let i be an integer with $0 \leq i \leq n$. Then

$$e_i(X,L) = (-1)^i(2e + (i+1)b) + \begin{cases} 2(i+1)(1-g(C)) & \text{if i is odd,} \\ 2i(1-g(C)) & \text{if i is even.} \end{cases}$$

PROOF. Here we use notation in Remark 2.2 (1).

If i = 0, then by [6, Remark 3.1 (1)] and [3, (3.4)], we have $e_0(X, L) = L^n = 2e + b$. Hence this is true. So we may assume that $i \ge 1$.

By Definition 2.1 we have

(1)
$$e_i(X, L) = \sum_{l=0}^{i} (-1)^l \binom{n-i+l-1}{l} c_{i-l}(X) L^{n-i+l}.$$

Here we will calculate $c_{i-l}(X)L^{n-i+l}$. First we note that by [8, Example 3.2.12]

$$c_{i-l}(X) = \sum_{j=0}^{i-l} c_j(\mathcal{T}_{\mathbf{P}_C(\mathcal{E})}|_X) s_{i-l-j}(\mathcal{O}(-X|_X)),$$

where $\mathcal{T}_{\mathbf{P}_C(\mathcal{E})}$ is the tangent bundle of $\mathbf{P}_C(\mathcal{E})$. On the other hand we have

$$s_{i-l-j}(\mathcal{O}(-X|_X)) = (-1)^{i-l-j}c_1(\mathcal{O}(X|_X))^{i-l-j}$$

and by [8, Example 3.2.11]

$$c_{j}(\mathcal{T}_{\mathbf{P}_{C}(\mathcal{E})}) = \sum_{k=0}^{j} c_{k}(\pi^{*}\mathcal{T}_{C})c_{j-k}(\pi^{*}(\check{\mathcal{E}}) \otimes H(\mathcal{E}))$$
$$= c_{j}(\pi^{*}(\check{\mathcal{E}}) \otimes H(\mathcal{E})) + c_{1}(\pi^{*}\mathcal{T}_{C})c_{j-1}(\pi^{*}(\check{\mathcal{E}}) \otimes H(\mathcal{E}))$$

$$= \sum_{k=0}^{j} {n+1-k \choose j-k} c_k(\pi^*(\check{\mathcal{E}})) H(\mathcal{E})^{j-k}$$

$$+ c_1(\pi^*\mathcal{T}_C) \sum_{k=0}^{j-1} {n+1-k \choose j-1-k} c_k(\pi^*(\check{\mathcal{E}})) H(\mathcal{E})^{j-1-k}.$$

Therefore

$$(2) \quad c_{i-l}(X)L^{n-i+l}$$

$$= s_{i-l}(\mathcal{O}(-X|_{X}))L^{n-i+l} + \left(\sum_{j=1}^{i-l} c_{j}(\mathcal{T}_{\mathbf{P}_{C}(\mathcal{E})}|_{X})s_{i-l-j}(\mathcal{O}(-X|_{X}))\right)L^{n-i+l}$$

$$= (-1)^{i-l}\mathcal{O}(X|_{X})^{i-l}L^{n-i+l}$$

$$+ \left(\sum_{j=1}^{i-l} (-1)^{i-l-j} \sum_{k=0}^{j} \binom{n+1-k}{j-k} c_{k}(f^{*}(\check{\mathcal{E}}))L^{j-k}\mathcal{O}(X|_{X})^{i-l-j}\right)L^{n-i+l}$$

$$+ \left(\sum_{j=1}^{i-l} (-1)^{i-l-j} c_{1}(f^{*}\mathcal{T}_{C}) \sum_{k=0}^{j-1} \binom{n+1-k}{j-1-k} c_{k}\right)$$

$$\times c_{k}(f^{*}(\check{\mathcal{E}}))L^{j-1-k}\mathcal{O}(X|_{X})^{i-l-j}L^{n-i+l}.$$

Here we note that

$$\begin{split} \mathcal{O}(X|_X)^{i-l-j} &= (2H(\mathcal{E}) + \pi^*(B))|_X^{i-l-j} \\ &= (2L + f^*(B))^{i-l-j} \\ &= 2^{i-l-j}L^{i-l-j} + (i-l-j)2^{i-l-j-1}L^{i-l-j-1}f^*(B) \,, \end{split}$$

and

$$\begin{split} c_k(f^*(\check{\mathcal{E}}))L^{j-k}\mathcal{O}(X|_X)^{i-l-j} \\ &= c_k(f^*(\check{\mathcal{E}}))L^{j-k}(2^{i-l-j}L^{i-l-j} + (i-l-j)2^{i-l-j-1}L^{i-l-j-1}f^*(B)) \\ &= 2^{i-l-j}c_k(f^*(\check{\mathcal{E}}))L^{i-l-k} + (i-l-j)2^{i-l-j-1}c_k(f^*(\check{\mathcal{E}}))L^{i-l-k}f^*(B). \end{split}$$

Hence

(3)
$$\left(\sum_{j=1}^{i-l} (-1)^{i-l-j} \sum_{k=0}^{j} {n+1-k \choose j-k} c_k (f^*(\check{\mathcal{E}})) L^{j-k} \mathcal{O}(X|_X)^{i-l-j} \right) L^{n-i+l}$$
$$= \sum_{j=1}^{i-l} (-1)^{i-l-j} \sum_{k=0}^{j} {n+1-k \choose j-k} c_k (f^*(\check{\mathcal{E}})) (2^{i-l-j} L^{n-k})$$

$$+ (i - l - j)2^{i - l - j - 1}L^{n - k - 1}f^*(B))$$

$$= \sum_{j=1}^{i - l} (-1)^{i - l - j} \left\{ \binom{n+1}{j} 2^{i - l - j}L^n + \binom{n+1}{j} (i - l - j)2^{i - l - j - 1}L^{n - 1}f^*(B) + \binom{n}{j - 1} 2^{i - l - j}c_1(f^*(\check{\mathcal{E}}))L^{n - 1} \right\}.$$

On the other hand,

$$(4) \left(\sum_{j=1}^{i-l} (-1)^{i-l-j} c_1(f^*\mathcal{T}_C) \sum_{k=0}^{j-1} \binom{n+1-k}{j-1-k} c_k(f^*(\check{\mathcal{E}})) L^{j-1-k} \mathcal{O}(X|_X)^{i-l-j} \right) L^{n-i+l}$$

$$= \sum_{j=1}^{i-l} (-1)^{i-l-j} c_1(f^*\mathcal{T}_C)$$

$$\times \sum_{k=0}^{j-1} \binom{n+1-k}{j-1-k} c_k(f^*(\check{\mathcal{E}})) L^{j-1-k} (2^{i-l-j} L^{n-j} + (i-l-j) 2^{i-l-j-1} L^{n-j-1} f^*(B))$$

$$= \sum_{j=1}^{i-l} (-1)^{i-l-j} 2^{i-l-j} \left(\sum_{k=0}^{j-1} \binom{n+1-k}{j-1-k} c_k(f^*(\check{\mathcal{E}})) c_1(f^*\mathcal{T}_C) L^{n-1-k} \right)$$

$$= \sum_{j=1}^{i-l} (-1)^{i-l-j} 2^{i-l-j} \binom{n+1}{j-1} c_1(f^*\mathcal{T}_C) L^{n-1}.$$

Therefore by (2), (3) and (4) we have

$$\begin{split} c_{i-l}(X)L^{n-i+l} &= (-1)^{i-l}(2L + f^*(B))^{i-l}L^{n-i+l} \\ &+ \sum_{j=1}^{i-l} (-1)^{i-l-j} \left\{ \binom{n+1}{j} 2^{i-l-j}L^n + \binom{n+1}{j} (i-l-j) 2^{i-l-j-1}L^{n-1} f^*(B) \right. \\ &+ \binom{n}{j-1} 2^{i-l-j} c_1(f^*(\check{\mathcal{E}}))L^{n-1} \right\} \\ &+ \sum_{j=1}^{i-l} (-1)^{i-l-j} 2^{i-l-j} \binom{n+1}{j-1} c_1(f^*\mathcal{T}_C)L^{n-1} \\ &= \left(\sum_{j=0}^{i-l} (-1)^{i-l-j} 2^{i-l-j} \binom{n+1}{j} \right) L^n \end{split}$$

$$+ \left(\sum_{j=0}^{i-l} (-1)^{i-l-j} 2^{i-l-j-1} \binom{n+1}{j} (i-l-j) \right) L^{n-1} f^*(B)$$

$$+ \left(\sum_{j=1}^{i-l} (-1)^{i-l-j} 2^{i-l-j} \binom{n}{j-1} \right) c_1 (f^*(\check{\mathcal{E}})) L^{n-1}$$

$$+ \left(\sum_{j=1}^{i-l} (-1)^{i-l-j} 2^{i-l-j} \binom{n+1}{j-1} \right) c_1 (f^* \mathcal{T}_C) L^{n-1}.$$

Here we note that $L^n = 2e + b$, $L^{n-1}f^*(B) = 2b$, $c_1(f^*(\check{\mathcal{E}}))L^{n-1} = -2e$ and $c_1(f^*\mathcal{T}_C)L^{n-1} = 4 - 4g(C)$. Hence

$$\begin{split} c_{i-l}(X)L^{n-i+l} \\ &= \bigg(\sum_{j=0}^{i-l} (-1)^{i-l-j} 2^{i-l-j} \binom{n+1}{j}\bigg) (2e+b) \\ &+ \bigg(\sum_{j=0}^{i-l} (-1)^{i-l-j} 2^{i-l-j-1} \binom{n+1}{j} (i-l-j)\bigg) (2b) \\ &+ \bigg(\sum_{j=1}^{i-l} (-1)^{i-l-j} 2^{i-l-j} \binom{n}{j-1}\bigg) (-2e) \\ &+ \bigg(\sum_{j=1}^{i-l} (-1)^{i-l-j} 2^{i-l-j} \binom{n+1}{j-1}\bigg) (4-4g(C)) \\ &= \sum_{j=0}^{i-l} (-1)^{i-l-j} 2^{i-l-j+1} \binom{n}{j} e + \sum_{j=0}^{i-l} (-1)^{i-l-j} 2^{i-l-j} \binom{n+1}{j} (i-l-j+1) b \\ &+ \sum_{j=1}^{i-l} (-1)^{i-l-j} 2^{i-l-j+2} \binom{n+1}{j-1} (1-g(C)) \,. \end{split}$$

Therefore by (1)

(5)
$$e_i(X, L)$$

$$= \sum_{l=0}^{i} (-1)^l \binom{n-i+l-1}{l} c_{i-l}(X) L^{n-i+l}$$

$$= \sum_{l=0}^{i} (-1)^l \binom{n-i+l-1}{l} \left\{ \sum_{j=0}^{i-l} (-1)^{i-l-j} 2^{i-l-j+1} \binom{n}{j} \right\} e$$

$$\begin{split} &+\sum_{l=0}^{i}(-1)^{l}\binom{n-i+l-1}{l}\bigg\{\sum_{j=0}^{i-l}(-1)^{i-l-j}2^{i-l-j}\binom{n+1}{j}(i-l-j+1)\bigg\}b\\ &+\sum_{l=0}^{i-1}(-1)^{l}\binom{n-i+l-1}{l}\bigg\{\sum_{j=1}^{i-l}(-1)^{i-l-j}2^{i-l-j+2}\binom{n+1}{j-1}\bigg\}(1-g(C))\;. \end{split}$$

Next we prove the following:

CLAIM 3.1. (a) Let i be a non-negative integer. Then

$$\sum_{l=0}^{i} (-1)^l \binom{n-i+l-1}{l} \left\{ \sum_{j=0}^{i-l} (-2)^{i-l-j} \binom{n}{j} \right\} = (-1)^i.$$

(b) Let i be a non-negative integer. Then

$$\sum_{l=0}^{i} (-1)^l \binom{n-i+l-1}{l} \left\{ \sum_{j=0}^{i-l} (-2)^{i-l-j} \binom{n+1}{j} (i-l-j+1) \right\} = (-1)^i (i+1) .$$

(c) Let i be a positive integer. Then

$$\sum_{l=0}^{i-1} (-1)^l \binom{n-i+l-1}{l} \left\{ \sum_{j=1}^{i-l} (-2)^{i-l-j} \binom{n+1}{j-1} \right\} = \left\{ \begin{matrix} (i+1)/2 & \text{if i is odd}, \\ i/2 & \text{if i is even}. \end{matrix} \right.$$

PROOF. First we consider (a). Let x be a variable with |x| < 1. Then the following holds.

(6)
$$(1+x)^{i} = (1+x)^{n} (1+x)^{-n+i}$$

$$= \left\{ \sum_{j=0}^{n} \binom{n}{j} x^{j} \right\} \left\{ \sum_{l\geq 0} \binom{-n+i}{l} x^{l} \right\}$$

$$= \left\{ \sum_{j=0}^{n} \binom{n}{j} x^{j} \right\} \left\{ \sum_{l\geq 0} (-1)^{l} \binom{n-i+l-1}{l} x^{l} \right\}$$

$$= \sum_{l=0}^{i} (-1)^{l} \binom{n-i+l-1}{l} \left\{ \sum_{j=0}^{i-l} \binom{n}{j} x^{j+l} \right\}.$$

By substituting -1/2 for x in (6) and multiplying it by $(-2)^i$, we get the assertion of (a). Next we consider (b). By the same argument as above we get the following equality.

(7)
$$(1+x)^{i+1} = (1+x)^{n+1} (1+x)^{-n+i}$$

$$= \left\{ \sum_{j=0}^{n+1} \binom{n+1}{j} x^j \right\} \left\{ \sum_{l>0} \binom{-n+i}{l} x^l \right\}$$

$$= \left\{ \sum_{j=0}^{n+1} \binom{n+1}{j} x^j \right\} \left\{ \sum_{l \ge 0} (-1)^l \binom{n-i+l-1}{l} x^l \right\}$$
$$= \sum_{l=0}^{i+1} (-1)^l \binom{n-i+l-1}{l} \left\{ \sum_{j=0}^{i+1-l} \binom{n+1}{j} x^{j+l} \right\}.$$

By multiplying (7) by $(1/x)^{i+1}$ we have

$$\left(1 + \frac{1}{x}\right)^{i+1} = \sum_{l=0}^{i+1} (-1)^l \binom{n-i+l-1}{l} \left\{ \sum_{j=0}^{i+1-l} \binom{n+1}{j} x^{j+l-i-1} \right\}.$$

By differentiating the both sides with respect to x, we see that

$$(i+1)\left(1+\frac{1}{x}\right)^{i}\left(-\frac{1}{x^{2}}\right)$$

$$=\sum_{l=0}^{i+1}(-1)^{l}\binom{n-i+l-1}{l}\left\{\sum_{j=0}^{i+1-l}\binom{n+1}{j}(j+l-i-1)x^{j+l-i-2}\right\}$$

$$=\sum_{l=0}^{i}(-1)^{l}\binom{n-i+l-1}{l}\left\{\sum_{i=0}^{i-l}\binom{n+1}{j}(j+l-i-1)x^{j+l-i-2}\right\}.$$

By substituting -1/2 for x, we get

(8)
$$(i+1)(-1)^{i}(-4)$$

$$= \sum_{l=0}^{i} (-1)^{l} \binom{n-i+l-1}{l} \left\{ \sum_{i=0}^{i-l} \binom{n+1}{j} (j+l-i-1)(-2)^{i-j-l+2} \right\}.$$

By multiplying (8) by -1/4, we get the assertion of (b).

Finally we consider (c). Here we use (7) again. We note that by using (7) we see

(9)
$$\sum_{l=0}^{i+1} (-1)^l \binom{n-i+l-1}{l} \left\{ \sum_{j=0}^{i+1-l} \binom{n+1}{j} x^{j+l} \right\}$$
$$= x^{i+1} + (i+1)x^i + \sum_{l=0}^{i-1} (-1)^l \binom{n-i+l-1}{l} \left\{ \sum_{j=0}^{i-1-l} \binom{n+1}{j} x^{j+l} \right\}.$$

Hence by (7) and (9) we have

(10)
$$(1+x)^{i+1} - x^{i+1} - (i+1)x^{i}$$

$$= \sum_{l=0}^{i-1} (-1)^{l} {n-i+l-1 \choose l} \left\{ \sum_{j=0}^{i-1-l} {n+1 \choose j} x^{j+l} \right\}.$$

By substituting 1/x for x in (10) and multiplying it to x^{i-1} , we have

(11)
$$\frac{(1+x)^{i+1} - 1 - (i+1)x}{x^2}$$

$$= \sum_{l=0}^{i-1} (-1)^l \binom{n-i+l-1}{l} \left\{ \sum_{j=0}^{i-1-l} \binom{n+1}{j} x^{i-1-j-l} \right\}$$

$$= \sum_{l=0}^{i-1} (-1)^l \binom{n-i+l-1}{l} \left\{ \sum_{i=1}^{i-l} \binom{n+1}{j-1} x^{i-j-l} \right\}.$$

By substituting -2 for x in (11), we get the assertion (c).

By using this claim and (5), we have

$$e_i(X, L) = (-1)^i (2e + (i+1)b) + \begin{cases} 2(i+1)(1-g(C)) & \text{if } i \text{ is odd,} \\ 2i(1-g(C)) & \text{if } i \text{ is even.} \end{cases}$$

Therefore we get the assertion of Theorem 3.1.

REMARK 3.1. (1) Let \mathbf{Q}^m be a quadric hypersurface in \mathbf{P}^{m+1} . Then by [11, Example (1.5), (iv)] and using the Lefschetz theorem on hyperplane sections we see that for $0 \le j \le m-1$

$$b_j(\mathbf{Q}^m) = \begin{cases} 0 & \text{if } j \text{ is odd,} \\ 1 & \text{if } j \text{ is even,} \end{cases}$$

and

$$b_m(\mathbf{Q}^m) = \begin{cases} 2 & \text{if } m \text{ is even,} \\ 0 & \text{if } m \text{ is odd.} \end{cases}$$

(2) Let (X, L) be a hyperquadric fibration over a smooth curve C with dim $X = n \ge 3$, let i be an integer with $1 \le i \le n$ and let F be a general fiber of it. Then $(F, L|_F) \cong (\mathbf{Q}^{n-1}, \mathcal{O}_{\mathbf{Q}^{n-1}}(1))$. By (1) above and [6, Proposition 3.2 (3.2.2)] we have

$$b_{i-1}(F, L|_F) = b_{i-1}(\mathbf{Q}^{i-1}) = \begin{cases} 2 & \text{if } i \text{ is odd,} \\ 0 & \text{if } i \text{ is even.} \end{cases}$$

Moreover if $i \ge 2$, then by (1) above we have

$$2\sum_{j=0}^{i-2}(-1)^{j}b_{j}(F) = \begin{cases} i-1 & \text{if } i \text{ is odd,} \\ i & \text{if } i \text{ is even.} \end{cases}$$

Hence by Definition 2.1 we have

$$\begin{split} e_{i-1}(F,L|_F) &= \begin{cases} (L|_F)^{n-1} & \text{if } i = 1, \\ 2\sum_{j=0}^{i-2} (-1)^j b_j(F) + (-1)^{i-1} b_{i-1}(F,L|_F) & \text{if } i \geq 2, \end{cases} \\ &= \begin{cases} i+1 & \text{if } i \text{ is odd}, \\ i & \text{if } i \text{ is even}. \end{cases} \end{split}$$

Therefore by Theorem 3.1 we have

$$e_i(X, L) - e_{i-1}(F, L|_F)e(C) = (-1)^i(2e + (i+1)b)$$
.

(Here e(C) is the Euler number of C.)

REMARK 3.2. Let (X, L) be a hyperquadric fibration over a smooth curve C with $\dim X = n$. Then we see that the degree L^n is equal to 2e + b and the sectional genus g(X, L) is equal to 2g(C) - 1 + e + b. So if we are able to know the value of L^n , g(X, L) and g(C), then we can calculate b and e, and we can also calculate $e_i(X, L)$ by Theorem 3.1.

4. A lower bound for the sectional Betti numbers of hyperquadric fibrations over a smooth curve

In this section, we consider a lower bound for the sectional Betti numbers by using Theorem 3.1.

Theorem 4.1. Let (X, L) be an n-dimensional hyperquadric fibration over a smooth curve C.

- (1) Assume that $n \geq 3$. Then $b_2(X, L) \geq 3$. Moreover if this equality holds, then $n = 3, e = 2, b = -1, g(C) \geq 1$ and every fiber is smooth.
- (2) Assume that $n \geq 4$. Then $b_3(X, L) \geq 0$. Moreover if this equality holds, then $X \cong \mathbf{P}^1 \times \mathbf{Q}^3$ and $L = p_1^* \mathcal{O}_{\mathbf{P}^1}(1) \otimes p_2^* \mathcal{O}_{\mathbf{O}^3}(1)$, where p_i is the ith projection.
- (3) Assume that $n \ge 5$. Then $b_4(X, L) \ge 3$. Moreover if this equality holds, then $n = 5, e = 3, b = -1, g(C) \ge 1$ and every fiber is smooth.

PROOF. (1) By Theorem 3.1 we have $e_2(X, L) = 2e + 3b + 4(1 - g(C))$. Hence

$$b_2(X, L) = e_2(X, L) - 2(b_0(X) - b_1(X))$$

= $2e + 3b + 4(1 - g(C)) - 2(1 - 2g(C))$
= $2e + 3b + 2$.

Here we show the following:

CLAIM 4.1. $2e + 3b \ge 1$ holds. Moreover if this equality holds, then we have n = 3, e = 2 and b = -1.

PROOF. Here we note that the following inequalities hold (see [3, (3.3) and (3.4)]):

- (a) 2e + b > 0.
- (b) $2e + (n+1)b \ge 0$.

If $b \ge 0$, then by (a) we have 2e + 2b > 0. Since 2e + 2b is an even number, we get $2e + 2b \ge 2$. Therefore $2e + 3b \ge 2$.

If b < 0, then by (b) we have $2e + 3b \ge 1$. Therefore we get the first assertion.

If 2e + 3b = 1, then by the above argument we have n = 3 and 2e + 4b = 0. Hence we see that b = -1 and e = 2. So we get the second assertion.

By this claim we have $b_2(X, L) \ge 3$. Moreover if this equality holds, then by Claim 4.1 we see that 2e + 3b = 1. Hence we have n = 3, e = 2 and b = -1. Here we note that g(X, L) = 2g(C) in this case. Hence by Remark 2.2 (2) we have $g(C) \ge 1$. Since 2e + (n + 1)b = 2e + 4b = 0, we see that every fiber is smooth by [3, (3.3)].

(2) By Theorem 3.1 we have $e_3(X, L) = -2e - 4b + 8(1 - g(C))$. We also note that $b_2(X) = 2$ in this case because $n \ge 4$ (see Remark 2.1). Hence

$$b_3(X, L) = -e_3(X, L) + 2(b_0(X) - b_1(X) + b_2(X))$$

= $2e + 4b - 8(1 - g(C)) + 2(1 - 2g(C) + 2)$
= $2e + 4b - 2 + 4g(C)$.

Here we show the following:

CLAIM 4.2. $2e+4b \ge 2$ holds. Moreover if this equality holds, then we have (e, b) = (1, 0), (3, -1) or (5, -2). Moreover if (e, b) = (3, -1) (resp. (5, -2)), then n = 4 or 5 (resp. n = 4).

PROOF. (I) If $b \ge 0$, then by (a) in the proof of Claim 4.1 we have 2e + 4b > 0. Since 2e + 4b is an even number, we have $2e + 4b \ge 2$.

If b < 0, then by (b) in the proof of Claim 4.1 we have 2e + 4b > 0. (Here we note that $n \ge 4$.) So we get the first assertion because 2e + 4b is even.

- (II) Assume that 2e + 4b = 2.
- (II.1) If $b \ge 0$, then we see that b = 0 because 2e + b > 0. Hence e = 1.
- (II.2) If b < 0, then we see that n = 4 or 5 because $2e + (n+1)b \ge 0$ and $n \ge 4$.
- (II.2.1) Assume that n = 4. Then $2e + 5b \ge 0$. So we have b = -1 or -2. If b = -1 (resp. -2), then e = 3 (resp. 5).
- (II.2.2) Assume that n = 5. Then $2e + 6b \ge 0$. So we get b = -1 and e = 3. Therefore we get the second assertion.

By this claim we have $b_3(X,L) \ge 0$. Moreover we assume that $b_3(X,L) = 0$. Then 2e + 4b = 2 and g(C) = 0. By Claim 4.2, we have (e,b) = (1,0), (3,-1) or (5,-2). Here we note that g(X,L) = 2g(C) - 1 + e + b. So if (e,b) = (1,0) (resp. (3,-1), (5,-2)), then g(X,L) = 0 (resp. (3,-1)). Here we also note that by Remark 2.2 (2) we have $g(X,L) \ge 2$. Therefore there exists only (n,e,b) = (4,5,-2). Since g(X,L) = 2, by [3,(3.30) Theorem] we see that $X \cong \mathbf{P}^1 \times \mathbf{Q}^3$ and $L = p_1^* \mathcal{O}_{\mathbf{P}^1}(1) \otimes p_2^* \mathcal{O}_{\mathbf{Q}^3}(1)$.

(3) By Theorem 3.1 we see $e_4(X, L) = 2e + 5b + 8(1 - g(C))$. By [12, (2.74) Corollary], we have $b_3(X) \ge b_1(X) = 2g(C)$. We also note that $b_2(X) = 2$ in this case because $n \ge 5$. Hence

$$b_4(X, L) = e_4(X, L) - 2\sum_{j=0}^{3} (-1)^j b_j(X)$$

$$\geq 2e + 5b + 8(1 - g(C)) + 2(-1 + 2g(C) - 2 + 2g(C))$$

$$= 2e + 5b + 2.$$

By the same argument as in the proof of Claim 4.1 we get $2e + 5b \ge 1$ and we see that if this equality holds, then n = 5, e = 3 and b = -1. Since g(X, L) = 2g(C) - 1 + e + b = 2g(C) + 1, we have $g(C) \ge 1$ by Remark 2.2 (2). Since 2e + (n + 1)b = 2e + 6b = 0, we see that every fiber is smooth by [3, (3.3)].

This completes the proof.

REMARK 4.1. (1) Here we note that if (X, L) is a hyperquadric fibration over a smooth curve, then $h_i^{i,0}(X,L) = h_i^{0,i}(X,L) = g_i(X,L) = 0$ for i=2,3 by [5, Example 2.10 (9)] and [6, Theorem 3.1 (3.1.4)], where $h_i^{j,i-j}(X,L)$ is the ith sectional Hodge number of type (j,i-j) (see [6, Definition 3.1 (3)]). Hence by [6, Theorem 3.1 (3.1.1) and (3.1.3)], we see that $b_2(X,L) = h_2^{1,1}(X,L)$ and $b_3(X,L) = 2h_3^{1,2}(X,L)$.

(2) There exists an example of a hyperquadric fibration over a smooth curve C such that $b_2(X, L) = 3$ and g(C) = 1. See [3, Example (3.14)].

PROBLEM 4.1. (1) Does there exist an example of a hyperquadric fibration (X, L) over a smooth curve C with $b_2(X, L) = 3$ and $g(C) \ge 2$?

(2) Does there exist an example of a hyperquadric fibration (X, L) over a smooth curve C with $b_4(X, L) = 3$ and g(C) = 1? (If this (X, L) exists, then this is also an example of a hyperquadric fibration over a smooth elliptic curve (X, L) with g(X, L) = 3 and $L^n = 5$. See [10, (2.4)].)

Theorem 4.1 suggests the following conjecture.

Conjecture 4.1. Let (X, L) be a hyperquadric fibration over a smooth curve C with $\dim X = n$. Then

$$b_i(X, L) \ge \begin{cases} 0 & \text{if } i \text{ is odd,} \\ 3 & \text{if } i \text{ is even with } i \ge 2. \end{cases}$$

REMARK 4.2. Let (X, L) be a hyperquadric fibration over a smooth curve C and let $f: X \to C$ be its morphism. We consider the case where i = 1. Then by Remark 2.2 (2) we have $g(X, L) \ge 2$. Therefore $b_1(X, L) = 2g(X, L) \ge 4$ (see [6, Remark 3.1 (2)]).

EXAMPLE 4.1. (1) Let $X = C \times \mathbb{Q}^{2k+1}$ and $L = p_1^*(A) + p_2^*(\mathcal{O}_{\mathbb{Q}^{2k+1}}(1))$, where C is a smooth curve, A is an ample divisor on C with deg A = 1, k is a positive integer and p_i

is the *i*th projection for i = 1 and 2. Then (X, L) is a hyperquadric fibration over C via the first projection $X \to C$. In this case, we see that e = 2k + 3 and b = -2. Hence by Theorem 3.1 we have $e_{2k+1}(X, L) = 4(k+1)(1-g(C)) - 2$. On the other hand, by the formula of Künneth (see [13, Theorem 11.38]), we have

$$b_j(X) = \begin{cases} 1 & \text{if } j = 0, \\ 2 & \text{if } j \text{ is even with } 2 \le j \le 2k, \\ 2g(C) & \text{if } j \text{ is odd with } 1 \le j \le 2k - 1. \end{cases}$$

Hence

$$2\sum_{j=0}^{2k} (-1)^j b_j(X) = 4k + 2 - 4kg(C).$$

Therefore we have $b_{2k+1}(X, L) = 4g(C)$. In particular, if g(C) = 0, then $b_{2k+1}(X, L) = 0$.

(2) Let $X = C \times \mathbb{Q}^{2k}$ and $L = p_1^*(A) + p_2^*(\mathcal{O}_{\mathbb{Q}^{2k}}(1))$, where C is a smooth curve, A is an ample divisor on C with deg A = 1, k is a positive integer and p_i is the ith projection for i = 1 and 2. Then (X, L) is a hyperquadric fibration over C via the first projection $X \to C$. In this case, we see that e = 2k + 2 and b = -2. Hence by Theorem 3.1 we have $e_{2k}(X, L) = 4k(1 - g(C)) + 2$. On the other hand, by the formula of Künneth, we have

$$b_j(X) = \begin{cases} 1 & \text{if } j = 0, \\ 2 & \text{if } j \text{ is even with } 2 \le j \le 2k - 2, \\ 2g(C) & \text{if } j \text{ is odd with } 1 \le j \le 2k - 1. \end{cases}$$

Hence

$$2\sum_{j=0}^{2k-1} (-1)^j b_j(X) = 4k(1 - g(C)) - 2.$$

Therefore we have $b_{2k}(X, L) = 4$.

Finally we note the following:

PROPOSITION 4.1. Let (X, L) be a hyperquadric fibration over a smooth curve C with $\dim X = n$, and let F be a general fiber of it. For every integer i with $1 \le i \le n$, we have

$$e_i(X, L) - e_{i-1}(F, L|_F)e(C)$$
 $\begin{cases} \leq 0 & \text{if } i \text{ is odd}, \\ \geq 0 & \text{if } i \text{ is even}. \end{cases}$

PROOF. By Remark 3.1 (2) we have

$$e_i(X, L) - e_{i-1}(F, L|_F)e(C) = (-1)^i(2e + (i+1)b).$$

By the same argument as in the proof of Claim 4.1 we can prove that $2e + (i + 1)b \ge 0$. So we get the assertion.

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Present Addresses:

YOSHIAKI FUKUMA

DEPARTMENT OF MATHEMATICS, FACULTY OF SCIENCE,

KOCHI UNIVERSITY

AKEBONO-CHO, KOCHI, 780–8520 JAPAN.

e-mail: fukuma@kochi-u.ac.jp

KENTARO NOMAKUCHI

DEPARTMENT OF MATHEMATICS, FACULTY OF SCIENCE,

KOCHI UNIVERSITY

AKEBONO-CHO, KOCHI, 780–8520 JAPAN.

e-mail: nomakuti@kochi-u.ac.jp

Atsushi Uraki

DEPARTMENT OF MATHEMATICS, FACULTY OF SCIENCE,

KOCHI UNIVERSITY

Akebono-cho, Kochi, 780-8520 Japan.