# Asymptotic Behavior of Nonexpansive Mappings and Some Geometric Properties in Banach Spaces

# Tomoyuki FUJIHIRA

Keio University
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#### Introduction

Throughout this paper, X denotes a real Banach space with the dual space  $X^*$  and the bidual space  $X^{**}$  and C is a closed convex subset of X. For  $0 \le \gamma \le 1$  we consider a mapping  $T: C \to C$  such that  $||Tx - Ty|| \le \gamma ||x - y||$  for all  $x, y \in C$ . A mapping T is called nonexpansive (resp. contraction) if  $\gamma = 1$  (resp.  $\gamma < 1$ ). Let  $A \subset X \times X$  be an accretive operator satisfying the range condition  $R(I + \lambda A) \supset \overline{D(A)}$  (the closure of the domain of A) for all  $\lambda > 0$ , where I is the identity,  $J_{\lambda} = (I + \lambda A)^{-1}$  be the resolvent, and let  $A_{\lambda} = (I - J_{\lambda})/\lambda$  be the Yosida approximation. A one-parameter family  $\{T(t); t \ge 0\}$  denotes the nonexpansive semigroup on  $\overline{D(A)}$  generated by -A, i.e.,  $T(t)x = \lim_{\lambda \to 0+} J_{\lambda}^{[t/\lambda]}x$  for  $x \in \overline{D(A)}$  and  $t \ge 0$  (see [1]). We use lim and w-lim for convergence in the strong and weak topology, respectively. We define  $S(X) = \{x \in X; ||x|| = 1\}$  and  $d(0, R(A)) = \inf\{||x||; x \in R(A)\}$ , where R(A) denotes the range of A.

Our main purpose is to show the following results.

THEOREM 1. Let the sequence  $\{x_n\}_{n\geq 0}$  be defined by  $x_{n+1}=c_nTx_n+(1-c_n)x_n$ , where  $x_0\in C$  and  $\{c_n\}_{n\geq 0}$  is a real sequence such that  $0< c_n\leq 1$  and  $a_n=\sum_{i=0}^n c_i\to\infty$  as  $n\to\infty$ . Then there exists an  $f\in S(X^*)$  such that for any  $x,x_0\in C$ ,

(1) 
$$\lim_{n\to\infty} f(T^n x)/n = \lim_{n\to\infty} ||T^n x||/n = \inf_{y\in C} ||Ty - y|| \\ = \lim_{n\to\infty} f(x_{n+1})/a_n = \lim_{n\to\infty} ||x_{n+1}||/a_n|.$$

COROLLARY 2. (i) In Theorem 1, if X is reflexive and strictly convex, then  $\operatorname{w-lim}_{n\to\infty} T^n x/n = \operatorname{w-lim}_{n\to\infty} x_{n+1}/a_n = -v$  for all  $x, x_0 \in C$ , where  $||v|| = \inf_{v \in C} ||Ty - v||$ .

(ii) In Theorem 1, if X\* has Fréchet differentiable norm, then Received February 15, 1983

 $\lim_{n\to\infty} x_{n+1}/a_n = -v$  for all  $x_0 \in C$ , where v is the unique point of least norm in  $\overline{R(I-T)}$ .

THEOREM 3. Let the sequence  $\{x_n\}_{n\geq 0}$  be defined by  $x_{n+1}=J_{c_n}x_n$ , where  $x_0\in \overline{D(A)}$  and  $\{c_n\}_{n\geq 0}$  is a positive sequence such that  $a_n=\sum_{i=0}^n c_i\to\infty$  as  $n\to\infty$ . Then there exists an  $f\in S(X^*)$  such that for any  $x, x_0\in \overline{D(A)}$ ,

$$\begin{array}{ll} (2) & \lim_{n\to\infty} f(J_1^n x)/n = \lim_{n\to\infty} \|J_1^n x\|/n = d(0, R(A)) \\ & = \lim_{n\to\infty} f(x_{n+1})/a_n = \lim_{n\to\infty} \|x_{n+1}\|/a_n \ . \end{array}$$

COROLLARY 4. (i) In Theorem 3, if X is reflexive and strictly convex, then  $\operatorname{w-lim}_{n\to\infty} J_1^n x/n = \operatorname{w-lim}_{n\to\infty} x_{n+1}/a_n = -v$  for all  $x, x_0 \in \overline{D(A)}$ , where ||v|| = d(0, R(A)).

(ii) In Theorem 3, if  $X^*$  has Fréchet differentiable norm, then  $\lim_{n\to\infty} x_{n+1}/a_n = -v$  for all  $x_0 \in \overline{D(A)}$ , where v is the unique point of least norm in  $\overline{R(A)}$ .

Theorems 1 and 3 imply that the asymptotic behavior of  $x_{n+1}/a_n$  is reduced to the asymptotic behavior of  $T^nx/n$  and  $J_1^nx/n$ , respectively, in both strong and weak topology. Furthermore, Theorem 1 is valid even if X is a real normed linear space and C is a convex subset of X. Thus it generalizes Kohlberg and Neyman's result [8, Theorem 1.1]. Corollaries 2 and 4 were investigated by [8], [9], [10], [11], [12]. The idea of the proof of Theorem 3 is due to [11], and Kobayashi [5] showed that Theorem 1 follows from [6, Theorem 2.1] by using a different method.

It is known that the following conditions are equivalent (see [2], [3]):

- (P) X\* has Fréchet differentiable norm.
- (Q) X is reflexive and strictly convex. Furthermore, if w- $\lim_{n\to\infty} x_n = x$  and  $\lim_{n\to\infty} ||x_n|| = ||x||$ , then  $\lim_{n\to\infty} x_n = x$ .
- (R) Every sequence  $\{x_n\}$  in X satisfying  $\lim_{n\to\infty} ||x_n|| = \lim_{n\to\infty} f(x_n)$  for some  $f \in S(X^*)$  converges strongly to an element of X.
- (S) If a sequence  $\{x_n\}$  in S(X) and a sequence  $\{f_n\}$  in  $X^*$  are such that  $\lim_{n\to\infty} \|f_n\| = \overline{\lim}_{n\to\infty} \underline{\lim}_{m\to\infty} f_n(x_m) = 1$ , then  $\{x_n\}$  converges strongly to an element of X.

In Section 2, we state several properties which are equivalent to the property (A), i.e., X is reflexive and strictly convex. They are slight modifications of geometric properties listed in [2], [3].

# § 1. Proofs.

PROOF OF THEOREM 1. Let  $d = \inf_{y \in C} ||Ty - y||$ . We follow the argument in Kohlberg and Neyman [8]. For another initial point  $y_0 \in C$ , we

write the associated sequence by  $\{y_n\}$ . Then for any  $x_0, y_0 \in C$ , we get

(3) 
$$||x_{n+1} - y_{n+1}|| \le ||x_0 - y_0|| \text{ and } \\ ||x_{n+1} - x_0|| \le 2||x_0 - y_0|| + a_n||Ty_0 - y_0||.$$

Therefore, if  $f \in S(X^*)$ , then for any  $x, x_0 \in C$ ,

$$\overline{\lim}_{n\to\infty} f(x_{n+1})/a_n \leq \overline{\lim}_{n\to\infty} ||x_{n+1}||/a_n \leq d$$

and letting  $c_n \equiv 1$  and replacing  $x_0$  with x, we have

$$\overline{\lim}_{n\to\infty} f(T^n x)/n \leq \overline{\lim}_{n\to\infty} || T^n x ||/n \leq d.$$

We may assume that C contains 0 and by (3), in order to comlete the proof it is sufficient to show that there exists an  $f \in S(X^*)$  such that for  $x_0 = 0 \in C$ ,

(6) 
$$f(x_{n+1})/a_n \ge d$$
 and  $f(T^{n+1}0)/(n+1) \ge d$  for  $n \ge 0$ .

Since for each r>0, T/(1+r):  $C\to C$  is a contraction mapping, there exists a unique fixed point x(r). We note that Tx(r)=(1+r)x(r) and  $r||x(r)||=||Tx(r)-x(r)||\geq d$  for all r>0. By (4) and (5) we may assume that d>0. Since T is a nonexpansive mapping, we have

$$\begin{split} \|x_{n+1} - x(r)\| &= (1+r) \|x_{n+1} - x(r)\| - r \|x_{n+1} - x(r)\| \\ &= (1+r) \|c_n(Tx_n - x(r)) + (1-c_n)(x_n - x(r))\| - r \|x_{n+1} - x(r)\| \\ &\leq c_n(1+r) \|Tx_n - x(r)\| + (1+r)(1-c_n) \|x_n - x(r)\| - r \|x_{n+1} - x(r)\| \\ &\leq c_n \|Tx_n - (1+r)x(r)\| + c_n r \|Tx_n\| + (1+r)(1-c_n) \|x_n - x(r)\| \\ &- r \|x_{n+1} - x(r)\| \\ &\leq c_n \|x_n - x(r)\| + c_n r \|Tx_n\| + (1+r)(1-c_n) \|x_n - x(r)\| \\ &- r \|x(r)\| + r \|x_{n+1}\| \\ &= \{1+r(1-c_n)\} \|x_n - x(r)\| - r \|x(r)\| + r(c_n \|Tx_n\| + \|x_{n+1}\|) \end{split}$$

for  $n \ge 0$ . Then by induction we have

$$||x_{n+1}-x(r)|| \le ||x(r)|| - a_n r ||x(r)|| + O(r)$$
,

where  $O(r) = r \sum_{m=0}^{n} \{(c_m || Tx_m || + || x_{m+1} ||) \prod_{k=m+1}^{n} [1 + r(1-c_k)] \}$  for  $n \ge 0$ . Moreover, letting  $c_n = 1$ , we have

$$||T^n0-x(r)|| \le ||x(r)|| - nr||x(r)|| + O(r)$$
 for  $n \ge 1$ .

Let  $f_x$  be an element of  $S(X^*)$  such that  $f_x(x) = ||x||$  for  $x \neq 0$ . Since  $||x-y|| \leq ||x|| - \beta$  implies  $f_x(y) \geq \beta$ , we see that

$$f_{x(r)}(x_{n+1})/a_n \ge d + O(r)$$
 and  $f_{x(r)}(T^n 0)/n \ge d + O(r)$ .

By the Banach-Alaoglu theorem, there exists an accumulation point  $f \in X^*$  of  $\{f_{x(r)}\}$  as  $r \to 0+$  in the w\*-topology such that  $||f|| \le 1$ . Then f satisfies (6) and hence f/||f|| also satisfies (6). Q.E.D.

REMARK 1. The weak-star accumulation point f of  $\{f_{x(r)}\}$  belongs to  $S(X^*)$ . In fact, since  $\|x_{n+1}\|/a_n \to d$  as  $n \to \infty$ ,  $\|f\| \ge f(x_{n+1})/\|x_{n+1}\| \ge a_n d/\|x_{n+1}\| \to 1$  as  $n \to \infty$ .

PROOF OF COROLLARY 2. (i) Let  $d=\inf_{y\in C}\|Ty-y\|$ . It is known that X is reflexive and strictly convex if and only if X has the property (D.2) (see Section 2). Consequently, it follows from Theorem 1 that there exist  $u, v \in X$  such that w- $\lim_{n\to\infty} T^n x/n = u$  and w- $\lim_{n\to\infty} x_{n+1}/a_n = v$  for all  $x, x_0 \in C$ . Since  $f(u) = \|u\| = f(v) = \|v\| = d$ , we see that  $\|u+v\| = \|u\| + \|v\| = 2d$ . By strict convexity of X, we have u = v.

(ii) We note that (P), (Q) and (R) are equivalent. Therefore, it follows from (R) and Theorem 1 that there exist  $u, v \in X$  such that  $\lim_{n\to\infty} T^n x/n = u$  and  $\lim_{n\to\infty} x_{n+1}/a_n = v$  for all  $x, x_0 \in C$ . Using strict convexity of X, we have u=v in the same way as in (i). Moreover, it is known that  $\{T^n x/n\}$  is convergent to the unique point of least norm in  $\overline{R(T-I)}$  (see [9, Corollary]).

PROOF OF THEOREM 3. Let d = d(0, R(A)). For any  $[u, v] \in A$ , we put  $w = u + c_n v$ . Then we have  $||x_{n+1} - u|| = ||J_{c_n} x_n - J_{c_n} w|| \le ||x_n - w|| = ||x_n - u - c_n v|| \le ||x_n - u|| + c_n ||v||$ . So we get  $||x_{n+1} - u|| \le ||x_0 - u|| + a_n ||v||$ . Therefore, if  $f \in S(X^*)$ , then for any  $x, x_0 \in \overline{D(A)}$ ,

$$\overline{\lim}_{n\to\infty} f(x_{n+1})/a_n \leq \overline{\lim}_{n\to\infty} ||x_{n+1}||/a_n \leq d$$

and letting  $c_n \equiv 1$  and replacing  $x_0$  with x, we have

(8) 
$$\overline{\lim}_{n\to\infty} f(J_1^n x)/n \leq \overline{\lim}_{n\to\infty} \|J_1^n x\|/n \leq d.$$

Since  $J_{\lambda}$  is a nonexpansive mapping, to complete the proof it is sufficient to show that there exists an  $f \in S(X^*)$  such that for some  $x = x_0 \in \overline{D(A)}$ ,

(9) 
$$f(x_{n+1}-x)/a_n \ge d$$
 and  $f(J_1^{n+1}x-x)/(n+1) \ge d$  for  $n \ge 0$ .

If d=0, the result follows from (7) and (8), and hence we assume that d>0. Let  $x=x_0\in\overline{D(A)}$ , and let n be fixed. We set  $\alpha=\alpha_n=\max\{1,\,c_0,\,\cdots,\,c_n\}$  and  $y_\lambda=y_\lambda^n=(1/(1+\lambda))x+(\lambda/(1+\lambda))J_{(1+\lambda)\alpha_n}x$  for  $\lambda>0$ . Then we have  $J_{(1+\lambda)\alpha}x=J_\alpha y_\lambda$  by the resolvent identity, and  $\lambda(y_\lambda-J_\alpha y_\lambda)=x-y_\lambda$ . We note that  $\|x-y_\lambda\|=\lambda\alpha\|A_\alpha y_\lambda\|\geq\lambda\alpha d$  for all  $\lambda>0$ , because  $A_\alpha y_\lambda\in AJ_\alpha y_\lambda$ . Using the

resolvent identity and  $(1+\lambda)y_{\lambda}=x+\lambda J_{\alpha}y_{\lambda}$ , we have

$$\begin{split} \|x_{i+1} - y_{\lambda}\| &= (1 + 1/\lambda) \|x_{i+1} - y_{\lambda}\| - (1/\lambda) \|x_{i+1} - y_{\lambda}\| \\ &= (1/\lambda) \|(1 + \lambda)x_{i+1} - x - \lambda J_{\alpha}y_{\lambda}\| - (1/\lambda) \|x_{i+1} - y_{\lambda}\| \\ &\leq \|x_{i+1} - J_{\alpha}y_{\lambda}\| + (2/\lambda) \|x_{i+1} - x\| - (1/\lambda) \|x - y_{\lambda}\| \\ &\leq \|x_{i} - y_{\lambda}\| + (1 - c_{i}/\alpha)(1/\lambda) \|x - y_{\lambda}\| - (1/\lambda) \|x - y_{\lambda}\| + (2/\lambda) \|x_{i+1} - x\| \\ &= \|x_{i} - y_{\lambda}\| - c_{i}(1/\lambda\alpha) \|x - y_{\lambda}\| + (2/\lambda) \|x_{i+1} - x\| \end{split}$$

Therefore, we obtain

(10) 
$$||x_{i+1} - y_{\lambda}|| \le ||x - y_{\lambda}|| - a_i (1/\lambda \alpha) ||x - y_{\lambda}|| + (2/\lambda) \sum_{k=0}^{i} ||x_{k+1} - x||$$

and letting  $c_i \equiv 1$ , we have

(11) 
$$||J_1^{i+1}x - y_{\lambda}|| \leq ||x - y_{\lambda}|| - (i+1)(1/\lambda\alpha)||x - y_{\lambda}|| + (2/\lambda) \sum_{k=0}^{i} ||J_1^{k+1}x - x|| ,$$

for  $i=0, 1, \dots, n$ . Let  $f_{\lambda}$  be an element of  $S(X^*)$  such that  $f_{\lambda}(y_{\lambda}-x)=\|y_{\lambda}-x\|$ . Then by (10) and (11) we have

$$f_{\lambda}(x_{i+1}-x)/a_{i} \ge d + O(1/\lambda)$$
 and  $f_{\lambda}(J_{i}^{i+1}x-x)/(i+1) \ge d + O(1/\lambda)$ 

for  $i=0, 1, \dots, n$ . By the Banach-Alaoglu theorem, there exists an accumulation point  $f \in X^*$  of  $\{f_{\lambda}\}$  as  $\lambda \to \infty$  in the w\*-topology such that  $||f|| \le 1$ . Then f satisfies

(12) 
$$f(x_{i+1}-x)/a_i \ge d$$
 and  $f(J_1^{i+1}x-x)/(i+1) \ge d$  for  $i=0, 1, \dots, n$ 

and hence  $f_n = f/||f||$  also satisfies (12). Furthermore, an accumulation point  $g \in X^*$  of  $\{f_n\}$  in the w\*-topology satisfies (9) and so g/||g|| is the desired element of  $S(X^*)$ .

REMARK 2. The weak-star accumulation point g of  $\{f_n\}$  belongs to  $S(X^*)$ . In fact, since  $||x_{n+1}-x||/a_n \to d$  as  $n \to \infty$ ,  $||f|| \ge f(x_{n+1}-x)/||x_{n+1}-x|| \ge a_n d/||x_{n+1}-x|| \to 1$  as  $n \to \infty$ .

PROOF OF COROLLARY 4. The proof is similar to that of Corollary 2. That v is the unique point of least norm in  $\overline{R(A)}$  follows from [9, Theorem 2] and the estimate  $||T(n)x-J_1^nx|| \le 2||x-u||+|\sqrt{|n|}||Au|||$ , where  $u \in D(A)$  and  $|||Au|||=\inf\{||v||; v \in Au\}$ . Here  $\{T(t); t \ge 0\}$  is the non-expansive semigroup generated by -A and this estimate is obtained from [7, Lemma 2.1].

## § 2. Geometric properties.

In this section, we list some conditions which are equivalent in a real Banach space X. Among others (B.3) and (D.2) are useful to study the asymptotic behavior of an integral solution of

$$(d/dt)u(t)+Au(t)+g(t)u(t)\ni g(t)x \text{ , } u(0)=x_{\scriptscriptstyle 0}\in \overline{D(A)} \text{ , }$$

at the origin and at infinity, respectively (see [6]). Here  $g: [0, \infty) \to [0, \infty)$  is a nonincreasing function such that  $\lim_{t\to\infty} g(t) = 0$  and  $x \in X$ .

We denote the closed convex hull of a subset M of X by clco M. A mapping  $x \to f_x$  of  $X \setminus \{0\}$  to  $X^* \setminus \{0\}$  is called a support mapping if (i) ||x|| = 1 implies  $||f_x|| = 1 = f_x(x)$  and (ii)  $\lambda \ge 0$  implies  $f_{\lambda x} = \lambda f_x$ .

We consider the following properties:

- (A) X is reflexive and strictly convex.
- (B.1) Every sequence  $\{x_n\}$  in X satisfying  $\lim_{n\to\infty} ||x_n|| = \lim_{n\to\infty} \inf \{||x||; x \in \operatorname{clco}\{x_m; m \geq n\}\}$  converges weakly to an element of X.
- (B.2) For any decreasing sequence of convex sets  $\{K_n\}$  in X, every sequence  $\{y_n\}$  of elements satisfying  $y_n \in K_n$   $(n \ge 1)$  and  $\lim_{n \to \infty} ||y_n|| = \lim_{n \to \infty} \inf \{||x||; x \in K_n\}$  converges weakly to an element of X.
- (B.3) If a sequence  $\{x_n\}$  in S(X) and a sequence  $\{f_n\}$  in  $X^*$  are such that  $\lim_{n\to\infty} \|f_n\| = \overline{\lim}_{n\to\infty} \underline{\lim}_{m\to\infty} f_n(x_m) = 1$ , then  $\{x_n\}$  converges weakly to an element of X.
- (C) If a sequence  $\{x_n\}$  in X with  $\lim_{n\to\infty} ||x_n|| = 1$  satisfies  $||1/n\sum_{i=1}^n x_{k_i}|| \ge 1$  for any finite set of distinct indices  $k_1 < k_2 < \cdots < k_n$ , then  $\{x_n\}$  converges weakly to an element of X.
- (D.1) If a sequence  $\{x_n\}$  in X and a sequence  $\{f_n\}$  in  $S(X^*)$  are such that  $\lim_{n\to\infty} \|x_n\| = \underline{\lim}_{m\to\infty} \underline{\lim}_{n\to\infty} f_n(x_m)$ , then  $\{x_n\}$  converges weakly to an element of X.
- (D.2) Every sequence  $\{x_n\}$  in X satisfying  $\lim_{n\to\infty} ||x_n|| = \lim_{n\to\infty} f(x_n)$  for some  $f \in S(X^*)$  converges weakly to an element of X.
- (D.3) For any convex set K in X, every sequence  $\{x_n\}$  in K satisfying  $\lim_{n\to\infty} ||x_n|| = \inf\{||x||; x \in K\}$  converges weakly to an element of X.
- (D.4) For any closed hyperplane (or closed half-space) H in X, every sequence  $\{x_n\}$  in H satisfying  $\lim_{n\to\infty} ||x_n|| = \inf\{||x||; x \in H\}$  converges weakly to an element of X.
- (E) X is reflexive and every support mapping  $x' \to f_{x'}$  of  $X^* \setminus \{0\}$  to  $X^{**} \setminus \{0\}$  is norm to weak-star continuous from  $S(X^*)$  to  $S(X^{**})$ .
  - (F) X is reflexive and  $X^*$  is smooth.

We study the relationship between the properties (A)-(F). Our results and proofs are parallel to [3].

THEOREM 5. The following equivalence relations hold:

$$(B.1) \longleftrightarrow (B.2) \longleftrightarrow (B.3)$$
,  
 $(D.1) \longleftrightarrow (D.2) \longleftrightarrow (D.3) \longleftrightarrow (D.4)$ .

THEOREM 6. The following implications hold:

$$(A) {\:\longrightarrow\:} (B.1) {\:\longrightarrow\:} (C) {\:\longrightarrow\:} (D.2) {\:\longrightarrow\:} (E) \ .$$

Since  $(E) \leftrightarrow (F) \leftrightarrow (A)$  (see [2]), (A)-(F) are equivalent.

REMARK 3. The value of the property (S) was pointed out by Kobayasi [5]. On the other hand, the property (B.3) is useful to investigate the asymptotic behavior of the nonexpansive semigroup generated by -A, or more generally, an integral solution of (13) at the origin in the weak topology.

PROOF OF THEOREM 5.  $(B.1) \rightarrow (B.2)$ . If  $y_n \in K_n$   $(n \ge 1)$  satisfy the hypothesis of (B.2), then they satisfy the hypothesis of (B.1) (see [3,  $(C.1) \leftarrow (C.2)$ ]).

- $(B.2) \rightarrow (B.1)$ . It is sufficient to set  $K_n = \operatorname{clco}\{x_m; m \ge n\}$ .
- $(B.1) \rightarrow (B.3)$ . If  $\{x_n\}$  and  $\{f_n\}$  satisfy the hypothesis of (B.3), then  $\{x_n\}$  satisfies the hypothesis of (B.1) (see  $[3, (C.1) \rightarrow (C.3)]$ ).
- (B.3) o (B.1). Let  $\{x_n\}$  be a sequence in X such that  $\lim_{n\to\infty} \|x_n\| = \lim_{n\to\infty} \alpha_n = 1$ , where  $\alpha_n = \inf\{\|x\|; x \in \operatorname{clco}\{x_m; m \ge n\}\}$ . For each n, the convex set  $\operatorname{clco}\{x_m; m \ge n\}$  and the open convex set  $\{x \in X; \|x\| < \alpha_n\}$  are disjoint. So there exists an  $f_n \in X^*$  such that  $f_n(x) \le 1$  for all x with  $\|x\| < \alpha_n$  and  $f_n(x_m) \ge 1$  for all  $m \ge n$ . Then we note that  $1/\|x_n\| \le f_n(x_n)/\|x_n\| \le \|f_n\| \le 1/\alpha_n$ , and hence  $\lim_{n\to\infty} \|f_n\| = 1$ . Since  $\lim_{m\to\infty} f_n(x_m) \ge 1$  for every n,  $\lim_{m\to\infty} \lim_{n\to\infty} \lim_{n\to\infty} f_n(x_m) \ge 1$ . On the other hand, we have  $\lim_{n\to\infty} \lim_{m\to\infty} f_n(x_m) \le \lim_{n\to\infty} \|f_n\| = 1$ . Hence  $\lim_{n\to\infty} \lim_{m\to\infty} f_n(x_m) = 1$ . (The property (B.3) is not changed if we replace " $\{x_n\}$  in S(X)" in that condition by " $\{x_n\}$  in X with  $\lim_{n\to\infty} \|x_n\| = 1$ ".)
  - $(D.1) \rightarrow (D.2)$  is trivial.
- (D.2) o (D.1). Let  $\{x_n\}$  and  $\{f_n\}$  be such that  $f_n \in S(X^*)$  and  $\lim_{n \to \infty} \|x_n\| = \underline{\lim}_{m \to \infty} \underline{\lim}_{n \to \infty} f_n(x_m) = 1$ . We first note that for any subsequence  $\{y_n\}$  of  $\{x_n\}$ ,  $\underline{\lim}_{m \to \infty} \underline{\lim}_{n \to \infty} f_n(y_m) \ge 1$ . We now consider subsequences  $\{y_n\}$  and  $\{z_n\}$  of  $\{x_n\}$  such that  $\underline{\lim}_{n \to \infty} f_n(y_m) \ge 1 2^{-m}$  and  $\underline{\lim}_{n \to \infty} f_n(z_m) \ge 1 2^{-m}$  ( $m \ge 1$ ). We define the sequence  $\{w_n\}$  by  $w_{2n-1} = y_n/(1-2^{-n})$  and  $w_{2n} = z_n/(1-2^{-n})$ . Then we have  $\lim_{n \to \infty} \|w_n\| = 1$  and  $\underline{\lim}_{n \to \infty} f_n(w_m) \ge 1$  for every m. For each  $u \in \operatorname{clco}\{w_n\}$ , we have  $\|u\| \ge f_n(u)$  for every n,  $\underline{\lim}_{n \to \infty} f_n(u) \ge 1$  and hence  $\|u\| \ge 1$ . Therefore, there exists a  $g \in X^*$  such that  $g(x) \le 1$  for all x with  $\|x\| < 1$  and  $g(u) \ge 1$  for all  $u \in \operatorname{clco}\{w_n\}$ . Then

from  $1 \ge ||g|| \ge g(w_n)/||w_n|| \ge 1/||w_n||$ , we obtain ||g|| = 1 and  $\lim_{n \to \infty} g(w_n) = 1 = \lim_{n \to \infty} ||w_n||$ . By (D.2) there exists a  $v \in X$  such that w- $\lim_{n \to \infty} w_n = v$ . Consequently, we easily see that w- $\lim_{n \to \infty} y_n = w$ - $\lim_{n \to \infty} z_n = v$ .

- $(D.2) \rightarrow (D.3)$ . If  $x_n \in K$   $(n \ge 1)$  satisfy the hypothesis of (D.3), then  $\{x_n\}$  satisfies the hypothesis of (D.2) (see [3,  $(E.2) \rightarrow (E.3)$ ]).
  - $(D.3) \rightarrow (D.4)$  is trivial.
- (D.4)  $\rightarrow$  (D.2). Let  $||f|| = \lim_{n\to\infty} ||x_n|| = \lim_{n\to\infty} f(x_n) = 1$ . Then  $y_n = x_n/f(x_n)$  and the closed hyperplane  $H = \{z \in H; f(z) = 1\}$  (or the closed half-space  $H = \{z \in X; f(z) \ge 1\}$ ) satisfy the hypothesis of (D.4) (see [3, (E.4)  $\rightarrow$  (E.2)]). Therefore, it is clear that (D.2) holds. Q.E.D.

PROOF OF THEOREM 6.  $(A) \rightarrow (B.1)$ . We first observe that we can use the facts obtained in the proof of  $(B.3) \rightarrow (B.1)$ . Now, since X is reflexive, there exist  $y, z \in X$  and subsequences  $\{n_k\}$ ,  $\{m_k\}$  of  $\{n\}$  such that w- $\lim_{k\to\infty} x_{n_k} = y$  and w- $\lim_{k\to\infty} x_{m_k} = z$ . Then we can conclude that  $\|y\| \ge 1$ ,  $\|z\| \ge 1$ , because  $\alpha_n \to 1$  as  $n \to \infty$ , where  $\alpha_n$  is defined as in the proof of  $(B.3) \to (B.1)$ . Therefore, we have  $\|y\| = \|z\| = 1$ . Noting that  $2 \le f_n(x_{n_k} + x_{m_k})$  for  $n_k, m_k \ge n$ , we have  $2 \le f_n(y + z) \le \|f_n\| \|y + z\| \le 2 \|f_n\|$ . Letting  $n \to \infty$ , we get  $\|y + z\| = 2$  and hence, by strict convexity of X, y = z.

- (B.1)  $\rightarrow$  (C). Let  $\{x_n\}$  be a sequence in X such that  $\lim_{n\to\infty}\|x_n\|=1$ and  $||1/n\sum_{i=1}^n x_{k_i}|| \ge 1$  for any finite set of distinct indices  $k_1 < k_2 < \cdots < k_n$ . Then  $\|\sum_{i=1}^n \lambda_i x_{k_i}\| \ge n+1-\sum_{i=1}^n \|x_{k_i}\|$  holds for any finite set of distinct indices  $k_1 < k_2 < \cdots < k_n$  and for any  $\lambda_i \ge 0$  with  $\sum_{i=1}^n \lambda_i = 1$ . In fact, since  $||x_n|| \ge 1$   $(n \ge 1)$ , we have  $n \le ||\sum_{i=1}^n x_{k_i}|| \le ||\sum_{i=1}^n \lambda_i x_{k_i}|| + \sum_{i=1}^n (1 - 1)^n ||x_i|| \le ||x_i||$  $\|\lambda_i\|\|x_{k_i}\| \le \|\sum_{i=1}^n \lambda_i x_{k_i}\| + \sum_{i=1}^n \|x_{k_i}\| - 1$ . We next show that every subsequence  $\{y_n\}$  of  $\{x_n\}$  satisfying  $\|y_n\| \le 1 + 2^{-n}$   $(n \ge 1)$  converges weakly to an element of X. For such a subsequence  $\{y_n\}$  of  $\{x_n\}$  and for  $k_n>$  $k_{n-1} > \cdots > k_1 > m$ , we have  $\|\sum_{i=1}^n \lambda_i y_{k_i}\| \ge n+1 - \sum_{i=1}^n \|y_{k_i}\| \ge n+1 - \sum_{i=1}^n (1+i)$  $2^{-k_i}$ )>1-2<sup>-m</sup> for any  $\lambda_i \ge 0$  with  $\sum_{i=1}^n \lambda_i = 1$ . Therefore,  $\lim_{m \to \infty} \inf \{ ||y|| \}$ ;  $y \in \operatorname{clco} \{y_n; n \ge m\}\} = 1 = \lim_{n \to \infty} ||y_n||$  and hence, by (B.1),  $\{y_n\}$  converges weakly to an element of X. Now, since  $\lim_{n\to\infty} ||x_n|| = 1$ , we can choose a subsequence  $\{u_n\}$  of  $\{x_n\}$  satisfying  $||u_n|| \le 1 + 2^{-(2n-1)}$   $(n \ge 1)$ . Therefore, there exists a  $u \in X$  such that w- $\lim_{n\to\infty} u_n = u$ . Let  $\{v_n\}$  be a subsequence of  $\{x_n\}$  satisfying  $||v_n|| \le 1 + 2^{-2n}$   $(n \ge 1)$ . We consider the sequence  $\{w_n\}$ defined by  $w_{2n-1}=u_n$  and  $w_{2n}=v_n$ . Then clearly  $||w_n|| \le 1+2^{-n}$   $(n \ge 1)$  and so  $\{w_n\}$  converges weakly to some  $v \in X$ . Since w- $\lim_{n\to\infty} u_n = u$ , we must have u=v. Consequently, we have w- $\lim_{n\to\infty} x_n=u$ .
- $(C) \rightarrow (D.2)$ . Let a sequence  $\{x_n\}$  in X and  $f \in X^*$  be such that ||f|| = 1 and  $\lim_{n \to \infty} ||x_n|| = \lim_{n \to \infty} f(x_n)$ . We may assume that this common limit is not 0. Let  $y_n = x_n/f(x_n)$ . Then  $\lim_{n \to \infty} ||y_n|| = 1$  and  $1 = x_n = x_n$

 $f(1/n \sum_{i=1}^n y_{k_i}) \le ||1/n \sum_{i=1}^n y_{k_i}||$  for any indices  $k_1 \le k_2 \le \cdots \le k_n$ . By (C),  $\{y_n\}$  converges weakly to an element of X, and hence so is  $\{x_n\}$ .

(D.2) o (E). That X is reflexive follows from a slight modification of [3, (E) o (R)]. In fact, let L be a closed linear subspace of X and  $g \in X^*$  such that  $\sup \{g(x); x \in L \cap S(X)\} = 1$ . Then we can take a sequence  $\{x_n\}$  in  $L \cap S(X)$  such that  $\lim_{n \to \infty} g(x_n) = 1$ . By Hahn-Banach's extension theorem for linear functionals, there exists an  $f \in X^*$  such that  $\|f\| = 1$  and f(x) = g(x) for  $x \in L$ . Then we have  $\lim_{n \to \infty} \|x_n\| = \lim_{n \to \infty} f(x_n) = 1$  and  $\|f\| = 1$ . By (D.2) there exists an  $x_0 \in X$  such that w- $\lim_{n \to \infty} x_n = x_0$ . Since  $x_0 \in L$ , we obtain  $1 = \lim_{n \to \infty} f(x_n) = f(x_0) = g(x_0)$ . Moreover, we have  $\|x_0\| = 1$ , because  $1 = f(x_0) \le \|x_0\| \le \lim_{n \to \infty} \|x_n\| = 1$ . Consequently, we see that g attains its supremum on the unit sphere of L. It follows from James [4, Theorem 2] that X is reflexive.

Now, let  $x' \to f_{x'}$  be a support mapping of  $X^*\setminus\{0\}$  to  $X^{**}\setminus\{0\}$ , and let  $\{x'_n\}$  and z' be such that  $x'_n$ ,  $z' \in S(X^*)$   $(n \ge 1)$  and  $\lim_{n\to\infty} x'_n = z'$ . We consider the sequence  $\{y'_n\}$  defined by  $y'_{2n-1} = x'_n$  and  $y'_{2n} = z'$   $(n \ge 1)$ . Since we have  $|f_{y'_n}(z') - 1| = |f_{y'_n}(z') - f_{y'_n}(y'_n)| \le ||z' - y'_n||$ , we obtain  $\lim_{n\to\infty} f_{y'_n}(z') = 1$ . Noting that  $||f_{y'_n}|| = 1$  and viewing  $f_{y'_n}(n \ge 1)$  as members of X, it follows from (D.2) that  $\{f_{y'_n}\}$  converges weakly to some  $f_0 \in X = X^{**}$ . By the definition of  $\{y'_n\}$ , we must have  $f_0 = f_{z'}$ . Consequently,  $\{f_{x'_n}\}$  converges weak-star to  $f_{z'}$  in  $X^{**}$ .

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Present Address:
DEPARTMENT OF MATHEMATICS
KEIO UNIVERSITY
HIYOSHI, KOHOKU-KU, YOKOHAMA 223