

## 0-Dimensional Moduli Space of Stable Rank 2 Bundles and Differentiable Structures on Regular Elliptic Surfaces

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### 1. Introduction.

The study of moduli spaces of stable rank 2 vector bundles over smooth complex algebraic surfaces has contributed to the study of differentiable structures on their underlying smooth 4-manifolds through Donaldson's result in [Do1]: the moduli space of irreducible Hermitian-Einstein connections on a  $U(2)$ -bundle  $P$  coincides with the moduli space of stable holomorphic structures on  $P$ . By Friedman, Morgan, Okonek, Van de Ven and others, a lot of work on moduli spaces of stable bundles over certain algebraic surfaces (for example, Dolgachev surfaces) has been done ([Fri], [FrM1], [LO], [OV]), and they showed that their underlying topological 4-manifolds admit distinct differentiable structures. The bundles which they considered have trivial first Chern classes and moduli spaces of nonzero dimension. In [Kot] Kotschick studied the 0-dimensional moduli space of stable bundles  $\mathcal{E}$  with  $c_1(\mathcal{E}) = K_B$  and  $c_2(\mathcal{E}) = 1$  over the Barlow surface  $B$ , and he showed that the Barlow surface  $B$  is homeomorphic but not diffeomorphic to  $CP^2 \# 8\overline{CP^2}$ . Here,  $K_B$  is the canonical divisor of  $B$ .

In this paper, we consider 0-dimensional moduli spaces of stable bundles with nontrivial first Chern classes. Our target surfaces are certain generic regular elliptic surfaces  $S$ , that is,  $S$  is a compact complex surface with a certain type of holomorphic map  $\pi: S \rightarrow CP^1$  called an elliptic fibration and with a section. We show that for a certain ample divisor  $L$ , the moduli space of  $L$ -stable rank 2 bundles over  $S$  with  $c_1 = \Sigma - K_S$  and  $c_2 = 1$  consists of exactly one point. Here  $\Sigma$  is a section of  $\pi$ . Moreover, by using a result about the effect of surgery on the simple invariants obtained by Gompf and Mrowka [GoM], we show the following, which was announced by Friedman and Morgan in [FrM2]:

**THEOREM.** *Let  $S$  be a minimal elliptic surface with strictly positive geometric genus. Then the underlying topological 4-manifold of  $S$  admits infinitely many differentiable structures.*

Smooth 4-manifolds obtained from elliptic surfaces with at most two multiple fibers by twisted constructions give such exotic differentiable structures on  $S$ . In [FrM2], Friedman and Morgan considered the diffeomorphism types of elliptic surfaces by using the Donaldson's polynomial invariant, but we use the simple invariant. The diffeomorphism types of elliptic surfaces with  $p_g=0$ , i.e., Dolgachev surfaces, are considered in [FrM1], [LO] and [OV].

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## 2. Moduli spaces of stable bundles.

We begin with some definitions. Let  $X$  be a smooth complex algebraic surface and let  $\mathcal{V}$  be a holomorphic rank 2 vector bundle over  $X$ . We fix an ample divisor  $L$  on  $X$ . A rank 2 bundle  $\mathcal{V}$  over  $X$  is  $L$ -stable (resp.  $L$ -semistable) if and only if for all sub-line bundles  $\varphi: \mathcal{F} \rightarrow \mathcal{V}$  we have

$$c_1(\mathcal{F}) \cdot L < \frac{1}{2}c_1(\mathcal{V}) \cdot L \quad (\text{resp. } \leq \frac{1}{2}c_1(\mathcal{V}) \cdot L).$$

For an ample divisor  $L$  on  $X$ , let  $\mathcal{M}_X(c_1, c_2; L)$  be the moduli space of  $L$ -stable holomorphic rank 2 vector bundles  $\mathcal{V}$  with  $c_1(\mathcal{V})=c_1$  and  $c_2(\mathcal{V})=c_2$  over  $X$ .

We want to study  $\mathcal{M}_S(c_1, c_2; L)$  of formal dimension 0 on a regular elliptic surface  $S$ . A *regular elliptic surface*  $S$  is a compact complex surface admitting the following type of onto holomorphic map  $\pi: S \rightarrow \mathbb{C}P^1$  called an *elliptic fibration*. The map  $\pi$  has only finitely many critical values, and away from these values it is smoothly a bundle projection whose fibers are nonsingular elliptic curves. These fibers are called the *general fibers* of  $\pi$ , and the preimage of each critical value is called a *singular fiber* and has ordinary double points as singularities. In particular, we call  $S$  *generic* if all fibers of  $\pi$  are irreducible. We will always assume that  $S$  is *relatively minimal*, i.e., no fiber in  $S$  contains an exceptional curve of the first kind. Since an elliptic surface  $S$  has  $c_1(S)^2=0$ , the Noether's formula implies that its Euler characteristic  $\chi(S)$  is divided by 12. For elliptic surfaces, we may smoothly change  $\pi$  so that all singular fibers of  $\pi$  are *cuspidal fibers* or *multiple fibers*. A *cuspidal fiber* is a rational curve with one cusp, i.e., a 2-sphere with one singular point which is locally a cone on a right-handed trefoil knot. In a general fiber  $F$  near a cuspidal fiber  $\pi^{-1}(p)$ , we can take two essential circles  $C_1$  and  $C_2$  called *vanishing cycles*. The cuspidal fiber is obtained from  $F$  by collapsing  $C_1 \cup C_2$  to a point.

We fix an integer  $k$ . Let  $\pi: S_k \rightarrow \mathbb{C}P^1$  be the elliptic fibration of a regular elliptic surface  $S_k$  with  $\chi(S_k)=12k$  and with a section. Throughout this section, we assume that  $S_k$  is *generic* and  $S_k$  *does not have multiple fibers* and  $k \geq 1$ . Let  $f$  be a general fiber and let  $\Sigma$  be a section of  $\pi$ . By the canonical bundle formula for elliptic fibrations [BPV],  $K_{S_k}=(k-2)f$ . Our guide to study the moduli spaces is a method by Qin [Qi]. He has studied the moduli spaces of stable rank 2 bundles over  $S_2$ , that is  $K3$  surface with

generic elliptic fibration. We begin with a criterion of ampleness of a class of divisors.

LEMMA 2.1. (1)  $f \cdot f = 0$ ,  $f \cdot \Sigma = 1$  and  $\Sigma \cdot \Sigma = -k$ .

(2) For an integer  $r$ , we put  $L_r = \Sigma + rf$ . A divisor  $L_r$  is ample if and only if  $r > k$ .

PROOF. (1). Since the genus of  $\Sigma$  is 0, the adjunction formula implies that  $\Sigma \cdot \Sigma = -k$ . (2). If  $L_r$  is ample, then  $0 < L_r \cdot \Sigma = -k + r$  by the Nakai-Moishezon criterion for ample divisors. Hence  $r > k$ . Suppose that  $r > k$ . Then  $L_r^2 = (\Sigma + rf)^2 = -k + 2r > 0$ . Let  $C$  be any irreducible curve on  $S$ . If  $C = \Sigma$ , then  $L_r \cdot C = L_r \cdot \Sigma = r - k > 0$ . Next we consider the case where  $C$  is different from  $\Sigma$ . Since  $C$ ,  $f$  and  $\Sigma$  are irreducible curves and  $f^2 = 0$ , we have  $\Sigma \cdot C \geq 0$  and  $f \cdot C \geq 0$ . If  $f \cdot C > 0$ , then  $L_r \cdot C = \Sigma \cdot C + rf \cdot C > 0$ . If  $f \cdot C = 0$ , then  $f' \cdot C = 0$  for any general fiber  $f'$ . Hence,  $\pi(C)$  consists of finite points in  $CP^1$ . Then  $Supp(C)$  consists of fibers of  $\pi$ . Because of the irreducibility of  $C$ ,  $C$  is a fiber of  $\pi$  and so  $\Sigma \cdot C = 1$ . Thus  $L_r \cdot C = 1$ . Therefore,  $L_r$  is ample by the Nakai-Moishezon criterion. q.e.d.

Now we study the moduli space of stable holomorphic rank 2 bundles  $\mathcal{V}$  with  $c_1(\mathcal{V}) = \Sigma - (k-2)f$  and  $c_2(\mathcal{V}) = 1$  over a regular elliptic surface. If  $Z$  is a 0-dimensional subscheme of an algebraic surface  $X$  and  $\mathcal{I}_Z$  is its ideal sheaf, then we have

$$c_1(\mathcal{I}_Z) = 0 \quad \text{and} \quad c_2(\mathcal{I}_Z) = \ell(Z), \quad \text{the length of } Z,$$

where by definition  $\ell(Z) = \dim H^0(\mathcal{O}_Z) = \dim H^0(\mathcal{O}_X/\mathcal{I}_Z)$ . We can describe an  $L_{2k+1}$ -stable bundle as an extension of sheaves of rank 1 as follows.

PROPOSITION 2.2. If a bundle  $\mathcal{V}$  is  $L_{2k+1}$ -stable, then there exists an extension as  $\mathcal{O}$ -modules of the form,

$$0 \rightarrow \mathcal{O}(f) \rightarrow \mathcal{V} \rightarrow \mathcal{O}(\Sigma - (k-1)f) \rightarrow 0. \quad (2.1)$$

PROOF. Since  $c_1(\det \mathcal{V}) = c_1(\mathcal{V}) = \Sigma - (k-2)f$  and the irregularity  $q(S) = 0$ , there is a canonical isomorphism  $\mathcal{V}^* \cong \mathcal{V} \otimes (\det \mathcal{V})^* \cong \mathcal{V} \otimes \mathcal{O}(-\Sigma + (k-2)f)$ , where  $\mathcal{V}^*$  denotes the dual of  $\mathcal{V}$ . So, by the Serre duality we have  $h^2(\mathcal{V} \otimes \mathcal{O}(-f)) = h_0((\mathcal{V} \otimes \mathcal{O}(-f))^* \otimes \mathcal{O}((k-2)f)) = h^0(\mathcal{V} \otimes \mathcal{O}(-\Sigma + (2k-3)f))$ . On the other hand, from the Riemann-Roch formula we obtain

$$\begin{aligned} h^0(\mathcal{V} \otimes \mathcal{O}(-f)) - h^1(\mathcal{V} \otimes \mathcal{O}(-f)) + h^2(\mathcal{V} \otimes \mathcal{O}(-f)) \\ = \deg(ch(\mathcal{V} \otimes \mathcal{O}(-f))) \cdot \text{tod}(\mathcal{T}_{S_k})_2 = 1 > 0. \end{aligned}$$

Hence we have either  $h^0(\mathcal{V} \otimes \mathcal{O}(-f)) > 0$  or  $h^0(\mathcal{V} \otimes \mathcal{O}(-\Sigma + (2k-3)f)) = h^2(\mathcal{V} \otimes \mathcal{O}(-f)) > 0$ .

Case (A).  $h^0(\mathcal{V} \otimes \mathcal{O}(-f)) > 0$ . Then, there is a sub-line bundle

$$\varphi: \mathcal{O}(f) \rightarrow \mathcal{V}.$$

Possibly  $\varphi$  does not have torsion-free cokernel. If we note that  $\det \mathcal{V} = \mathcal{O}(\Sigma - (k-2)f)$

and use Schwarzenberger's argument ([Sc], [FrM1]), that is, we enlarge the divisor  $f$  by an effective divisor  $E$  on  $S$  so that  $\varphi$  has a torsion-free cokernel and  $\mathcal{V} \otimes \mathcal{O}(E)$  has a section with a 0-dimensional subscheme  $Z$  of zeroes, then we see that  $\mathcal{V}$  is given as an extension

$$0 \longrightarrow \mathcal{O}(f+E) \xrightarrow{\hat{\phi}} \mathcal{V} \xrightarrow{\hat{\psi}} \mathcal{O}(\Sigma - (k-1)f - E) \otimes \mathcal{I}_Z \longrightarrow 0. \quad (2.2)$$

By the  $L_{2k+1}$ -stability of  $\mathcal{V}$ , it satisfies that  $(f+E) \cdot L_{2k+1} < (1/2)c_1(\mathcal{V}) \cdot L_{2k+1} = 3/2$ , and so  $E \cdot L_{2k+1} < 1/2$ . Since  $E$  is effective,  $E \cdot L_{2k+1} = 0$ , and so  $E = 0$ . Furthermore, the exact sequence (2.2) gives

$$\begin{aligned} ch(\mathcal{V}) &= ch(\mathcal{O}(f)) + ch(\mathcal{O}(\Sigma - (k-1)f) \otimes \mathcal{I}_Z) \\ &= ch(\mathcal{O}(f)) + ch(\mathcal{O}(\Sigma - (k-1)f))ch(\mathcal{I}_Z), \end{aligned}$$

and so

$$\ell(Z) = c_2(\mathcal{I}_Z) = c_2(\mathcal{V}) + f^2 - f \cdot c_1(\mathcal{V}) = 0.$$

Hence, an  $L_{2k+1}$ -stable bundle  $\mathcal{V}$  is described as

$$0 \rightarrow \mathcal{O}(f) \rightarrow \mathcal{V} \rightarrow \mathcal{O}(\Sigma - (k-1)f) \rightarrow 0.$$

*Case (B).*  $h^0(\mathcal{V} \otimes \mathcal{O}(-\Sigma + (2k-3)f)) > 0$ . There is a sub-line bundle  $\varphi: \mathcal{O}(\Sigma - (2k-3)f) \rightarrow \mathcal{V}$ . In the same manner as Case (A), we have an exact sequence

$$0 \rightarrow \mathcal{O}(\Sigma - (2k-3)f + E) \rightarrow \mathcal{V} \rightarrow \mathcal{O}((k-1)f - E) \otimes \mathcal{I}_Z \rightarrow 0, \quad (2.3)$$

where  $\mathcal{I}_Z$  is the ideal sheaf of a 0-dimensional subscheme  $Z$ . Because of the  $L_{2k+1}$ -stability of  $\mathcal{V}$ , it must satisfy that  $(\Sigma - (2k-3)f + E) \cdot L_{2k+1} < 3/2$ , and so we have

$$4 - k + E \cdot L_{k+1} + kE \cdot f < 3/2. \quad (2.4)$$

Since  $L_{k+1}$  is ample, we have  $E \cdot L_{k+1} \geq 0$ , and so  $E \cdot f < 1 - 5/(2k)$ . This implies  $E \cdot f \leq 0$ . Since  $E$  is effective and  $f \cdot f = 0$ ,  $\text{Supp}(E)$  consists of fibers of  $\pi$ . So by the irreducibility of fibers we can write  $E = mf$  for some integer  $m \geq 0$ . Then the inequality (2.4) gives

$$4 - k + m < 3/2. \quad (2.5)$$

On the other hand, the length  $\ell(Z)$  of  $Z$  in the exact sequence (2.3) is given by

$$\begin{aligned} \ell(Z) &= c_2(\mathcal{V}) + (\Sigma - (2k-3)f + E)^2 - (\Sigma - (2k-3)f + E) \cdot (\Sigma - (k-2)f) \\ &= 2 - k + m. \end{aligned}$$

Thus, from the inequality (2.5) we obtain  $\ell(Z) = 2 - k + m < -1/2 < 0$ , inducing a contradiction. Therefore, Case (B) does not occur for any integer  $k \geq 1$ . This completes the proof. q.e.d.

**PROPOSITION 2.3.** *There exist locally free extensions of the form*

$$0 \rightarrow \mathcal{O}(f) \rightarrow \mathcal{V} \rightarrow \mathcal{O}(\Sigma - (k-1)f) \rightarrow 0, \quad (2.1)$$

and the set of all isomorphism classes of such extensions consists of two points essentially.

PROOF. The set of all extensions of  $\mathcal{O}(\Sigma - (k-1)f)$  by  $\mathcal{O}(f)$  is classified by  $\text{Ext}^1(\mathcal{O}(\Sigma - (k-1)f), \mathcal{O}(f))$  [GrH, p. 725]. From the Serre duality, we obtain a canonical isomorphism

$$\text{Ext}^1(\mathcal{O}(\Sigma - (k-1)f), \mathcal{O}(f)) \cong H^1(\mathcal{O}(-\Sigma + kf)).$$

For an ample divisor  $L_s$ ,  $(-\Sigma + kf) \cdot L_s = 2k - s$ . If  $s > 2k$ , then  $(-\Sigma + kf) \cdot L_s < 0$  and  $-\Sigma + kf$  is not effective. Hence  $H^0(\mathcal{O}(-\Sigma + kf)) = 0$ . Again, from the Serre duality we obtain an isomorphism  $H^2(\mathcal{O}(-\Sigma + kf)) \cong H^0(\mathcal{O}(\Sigma - 2f))$ . Since  $(\Sigma - 2f) \cdot L_{k+1} = -1 < 0$  for the ample divisor  $L_{k+1}$ ,  $H^0(\mathcal{O}(\Sigma - 2f)) = 0$ . From the Riemann-Roch formula, we obtain

$$\begin{aligned} -h^1(\mathcal{O}(-\Sigma + kf)) &= h^0(\mathcal{O}(-\Sigma + kf)) - h^1(\mathcal{O}(-\Sigma + kf)) + h^2(\mathcal{O}(-\Sigma + kf)) \\ &= \chi(\mathcal{O}(-\Sigma + kf)) = -1. \end{aligned}$$

Hence  $h^1(\mathcal{O}(-\Sigma + kf)) = 1$ . Therefore,  $\text{Ext}^1(\mathcal{O}(\Sigma - (k-1)f), \mathcal{O}(f)) \cong \mathbb{C}$  and a nonzero class of  $\text{Ext}^1(\mathcal{O}(\Sigma - (k-1)f), \mathcal{O}(f))$  defines a locally free extension. Furthermore, the corresponding extension class is unique mod  $\mathbb{C}^*$ . q.e.d.

PROPOSITION 2.4. Let  $\mathcal{V}$  be a rank 2 vector bundle over  $S$  given by an exact sequence of type (2.1). Then one of the followings holds:

- (1)  $\mathcal{V}$  is  $L_{2k+1}$ -stable, or
- (2) We have a canonical isomorphism  $\mathcal{V} \cong \mathcal{O}(f) \oplus \mathcal{O}(\Sigma - (k-1)f)$  and  $\mathcal{V}$  is not  $L_{2k+1}$ -semistable.

PROOF. Note that the bundle  $\mathcal{V}$  corresponds to either a nonzero class of  $\text{Ext}^1(\mathcal{O}(\Sigma - (k-1)f), \mathcal{O}(f))$  or the zero class. We consider the case where  $\mathcal{V}$  corresponds to a nonzero class, that is, the exact sequence giving  $\mathcal{V}$ ,

$$0 \longrightarrow \mathcal{O}(f) \xrightarrow{\varphi} \mathcal{V} \xrightarrow{\psi} \mathcal{O}(\Sigma - (k-1)f) \longrightarrow 0,$$

does not split. Suppose that there is a destabilizing sub-line bundle  $\mathcal{F} \rightarrow \mathcal{V}$  with  $c_1(\mathcal{F}) \cdot L_{2k+1} \geq (1/2)c_1(\mathcal{V}) \cdot L_{2k+1}$ . If we tensor the exact sequence (2.1) by the dual sheaf  $\mathcal{F}^*$ , then we obtain the exact sequence

$$0 \rightarrow \mathcal{O}(f) \otimes \mathcal{F}^* \rightarrow \mathcal{V} \otimes \mathcal{F}^* \rightarrow \mathcal{O}(\Sigma - (k-1)f) \otimes \mathcal{F}^* \rightarrow 0,$$

and this yields the exact sequence

$$0 \rightarrow H^0(\mathcal{O}(f) \otimes \mathcal{F}^*) \rightarrow H^0(\mathcal{V} \otimes \mathcal{F}^*) \rightarrow H^0(\mathcal{O}(\Sigma - (k-1)f) \otimes \mathcal{F}^*) \rightarrow \dots$$

Since  $H^0(\mathcal{V} \otimes \mathcal{F}^*) \neq 0$ , we have either (a)  $H^0(\mathcal{O}(f) \otimes \mathcal{F}^*) \neq 0$  or (b)  $H^0(\mathcal{O}(\Sigma -$

$(k-1)f) \otimes \mathcal{F}^*) \neq 0$ . We consider the case (a). Then the line bundle  $\mathcal{O}(f) \otimes \mathcal{F}^*$  is given by an effective divisor and so  $(f - c_1(F)) \cdot L_{2k+1} \geq 0$ . Hence  $1 = f \cdot L_{2k+1} \geq c_1(F) \cdot L_{2k+1} \geq (1/2)c_1(\mathcal{V}) \cdot L_{2k+1} = 3/2$ . It is impossible. Next we consider the case (b). There is a nonzero section  $\sigma$  of  $\mathcal{O}(\Sigma - (k-1)f) \otimes \mathcal{F}^*$ . If the zero set of  $\sigma$ ,  $\sigma^{-1}(0)$ , is empty, then the section  $\sigma$  gives an isomorphism  $\sigma_*: \mathcal{O}(\Sigma - (k-1)f) \rightarrow \mathcal{F}$ . Composing  $\sigma_*$  with the destabilizing map, we have a nonzero map

$$\tau: \mathcal{O}(\Sigma - (k-1)f) \rightarrow \mathcal{V}.$$

Since  $H^0(\mathcal{H}om(\mathcal{O}(\Sigma - (k-1)f), \mathcal{O}(\Sigma - (k-1)f))) \cong H^0(\mathcal{O}) \cong \mathbb{C}$ , the composite  $\psi \circ \tau$  is  $\alpha id$  for some  $\alpha \in \mathbb{C}$ . Then  $\alpha$  is not 0. In fact, if  $\alpha = 0$ , i.e.,  $\psi \circ \tau$  were the zero map, there would be  $\rho: \mathcal{O}(\Sigma - (k-1)f) \rightarrow \mathcal{O}(f)$  so that  $\varphi \circ \rho = \tau$ . However, since  $(kf - \Sigma) \cdot L_{2k+1} = -1 < 0$ , we have  $H^0(\mathcal{H}om(\mathcal{O}(\Sigma - (k-1)f), \mathcal{O}(f))) \cong H^0(\mathcal{O}(kf - \Sigma)) = 0$  and there is not such a map  $\rho$ . Thus we may assume that  $\psi \circ \tau$  is the identity on  $\mathcal{O}(\Sigma - (k-1)f)$  by normalizing it suitably, and so the exact sequence

$$0 \longrightarrow \mathcal{O}(f) \xrightarrow{\varphi} \mathcal{V} \xrightarrow{\psi} \mathcal{O}(\Sigma - (k-1)f) \longrightarrow 0$$

must split, inducing a contradiction. If  $\sigma^{-1}(0)$  is not empty, then the line bundle  $\mathcal{O}(\Sigma - (k-1)f) \otimes \mathcal{F}^*$  is given by a nonzero effective divisor, and so we have  $\{(\Sigma - (k-1)f) - c_1(F)\} \cdot L_{2k+1} > 0$ . Thus  $3/2 \leq c_1(F) \cdot L_{2k+1} < 2$ . But there is no integer  $c_1(F) \cdot L_{2k+1}$  satisfying these inequalities, inducing a contradiction. Therefore, there is not a destabilizing sub-line bundle and  $\mathcal{V}$  is  $L_{2k+1}$ -stable.

We consider the case where  $\mathcal{V}$  corresponds to the zero class. Then  $\mathcal{V}$  is isomorphic to  $\mathcal{O}(f) \oplus \mathcal{O}(\Sigma - (k-1)f)$ , and so we have the inclusion  $\iota: \mathcal{O}(\Sigma - (k-1)f) \rightarrow \mathcal{V}$ . Since  $(\Sigma - (k-1)f) \cdot L_{2k+1} = 2 > 3/2$ ,  $\mathcal{V}$  is not  $L_{2k+1}$ -semistable. q.e.d.

REMARK. Let  $\mathcal{F} \rightarrow \mathcal{U}$  be a sub-line bundle and  $L$  an ample divisor with odd  $c_1(U) \cdot L$ . If  $c_1(F) \cdot L \leq (1/2)c_1(U) \cdot L$ , then it must satisfy that  $c_1(F) \cdot L < (1/2)c_1(U) \cdot L$ . Hence, there is no  $L_{2k+1}$ -semistable but not  $L_{2k+1}$ -stable rank 2 bundle  $U$  with  $c_1(U) = \Sigma - (k-2)f$ .

The following is a consequence of Propositions 2.2, 2.3 and 2.4.

**THEOREM 2.5.** *Let  $\mathcal{M}_{S_k}(\Sigma - K_{S_k}, 1; L_{2k+1})$  be the moduli space on a regular elliptic surface which has no multiple fiber and the Euler characteristic  $12k$ . Then for any integer  $k \geq 1$ ,  $\mathcal{M}_{S_k}(\Sigma - K_{S_k}, 1; L_{2k+1})$  consists of exactly one point.*

We now show the smoothness of the one point for  $k = 1, 2$ , though we do not need it here.

**DEFINITION 2.6.** When  $E$  is a holomorphic vector bundle over an algebraic surface  $X$ , we let  $\mathcal{E}nd \mathcal{E}$  be the sheaf of endomorphisms of  $E$ :  $\mathcal{E}nd \mathcal{E} = \mathcal{E} \otimes \mathcal{E}^*$ . Let  $ad \mathcal{E}$  be the sheaf defined by the exact sequence

$$0 \longrightarrow \text{ad } \mathcal{E} \longrightarrow \text{End } \mathcal{E} \xrightarrow{\text{Tr}} \mathcal{O}_X \longrightarrow 0,$$

where  $\text{Tr}$  is the naturally defined trace map. We call a bundle  $E$  good if  $H^2(\text{ad } \mathcal{E})=0$ .

It is known that if a point  $e$  in a moduli space  $\mathcal{M}$  is represented by a bundle  $E$  and  $E$  is good, then  $\mathcal{M}$  is smooth and reduced at the point  $e$ .

**PROPOSITION 2.7.** *Let  $k=1$  or  $2$ . Then, the one point in  $\mathcal{M}_{L_{2k+1}}(\Sigma - K_S, 1)$  is smooth.*

**PROOF.** First we consider the case of  $k=1$ . Then, we have  $K_S = -f$  and  $\Sigma - K_S - f = \Sigma$ , and so the  $L_3$ -stable bundle  $V$  is given by an exact sequence

$$0 \rightarrow \mathcal{O}_S(f) \rightarrow \mathcal{V} \rightarrow \mathcal{O}_S(\Sigma) \rightarrow 0.$$

Tensoring this sequence by  $\mathcal{V} \otimes \mathcal{O}_S(-2f - \Sigma)$ , we obtain the exact sequence

$$0 \rightarrow \mathcal{V} \otimes \mathcal{O}_S(-f - \Sigma) \rightarrow \mathcal{V} \otimes \mathcal{V} \otimes \mathcal{O}_S(-2f - \Sigma) \rightarrow \mathcal{V} \otimes \mathcal{O}_S(-2f) \rightarrow 0.$$

We consider the cohomology exact sequence given by this sequence,

$$\begin{aligned} 0 \rightarrow H^0(\mathcal{V} \otimes \mathcal{O}_S(-f - \Sigma)) &\rightarrow H^0(\mathcal{V} \otimes \mathcal{V} \otimes \mathcal{O}_S(-2f - \Sigma)) \\ &\rightarrow H^0(\mathcal{V} \otimes \mathcal{O}_S(-2f)) \rightarrow H^1(\mathcal{V} \otimes \mathcal{O}_S(-f - \Sigma)) \rightarrow \cdots \end{aligned}$$

Suppose that there is a nonzero section  $\mathcal{O}_S(f + \Sigma) \rightarrow \mathcal{V}$ . Then we have that  $(f + \Sigma) \cdot L_3 = 3 > 3/2 = (1/2)c_1(V) \cdot L_3$ , contradicting the  $L_3$ -stability of  $V$ . Thus,  $H^0(\mathcal{V} \otimes \mathcal{O}_S(-f - \Sigma)) = 0$ . In the same manner, the  $L_3$ -stability of  $V$  gives  $H^0(\mathcal{V} \otimes \mathcal{O}_S(-2f)) = 0$ . Hence,  $H^2(\text{End } \mathcal{V}) \cong H^0(\mathcal{V} \otimes \mathcal{V} \otimes \mathcal{O}_S(-2f - \Sigma)) = 0$ , where the first isomorphism comes from the Serre duality. Noting that the irregularity  $q$  and the geometric genus  $p_g$  are 0, the exact sequence defining  $\text{ad } \mathcal{V}$  yields the isomorphism  $H^2(\text{ad } \mathcal{V}) \cong H^2(\text{End } \mathcal{V})$ . Therefore,  $V$  is good.

Next we consider the case of  $k=2$ , i.e. a  $K3$ -surface. In general, for any vector bundle  $V$  over a  $K3$ -surface  $S$ , we have  $H^2(\text{ad } \mathcal{V}) \cong H^0(\text{ad } \mathcal{V})$  from the Serre duality, because  $\text{ad } \mathcal{V}$  is self-dual and  $K_S = 0$ . It is known [OSS, p. 172] that  $H^0(\text{ad } \mathcal{V}) = 0$  if  $V$  is stable with respect to an ample divisor. Therefore if the moduli space  $\mathcal{M}$  on a  $K3$ -surface with respect to an ample divisor is not empty, then every point in  $\mathcal{M}$  is smooth. q.e.d.

### 3. An application to the differentiable topology of elliptic surfaces.

We first recall a simple invariant  $\gamma$  defined from the moduli spaces of anti-self-dual (ASD) connections on certain principal bundles by Donaldson [Do2], [DoK, Chapter 9]. Let  $X$  be an oriented closed smooth 4-manifold with the following properties:

- A1.  $\pi_1(X)$  is cyclic of odd order.
- A2.  $b_+^2(X) \geq 3$  and  $b_+^2(X)$  is odd,

where  $b_+^2$  denotes the dimension of the maximal positive subspace for the form on  $H^2$ . Recall that there is a well defined lift of mod 2 cup square called the Pontrjagin square  $H^2(X; \mathbf{Z}_2) \rightarrow H^4(X; \mathbf{Z}_4)$ . Composing this map with evaluation on the fundamental class defines a quadratic map  $p: H^2(X; \mathbf{Z}_2) \rightarrow \mathbf{Z}_4$ .

Let  $E \rightarrow X$  be a principal  $SO(3)$ -bundle with  $w_2(E) = \eta$  and  $p_1(E) = l$ . These characteristic classes satisfy  $w_2(E)^2 \equiv p_1(E) \pmod{4}$ . A theorem of Dold and Whitney [OW] tells us that  $SO(3)$ -bundles over a 4-manifold are classified completely by their second Stiefel-Whitney classes  $w_2$  and first Pontrjagin classes  $p_1$ . For a Riemannian metric  $g$  on  $X$ , let  $\mathcal{M}_X(\eta, l; g)$  denote the moduli space of ASD connections on  $E$ . Then the Atiyah-Singer index theorem [AHS] gives the formal dimension of  $\mathcal{M}_X(\eta, l; g)$ ,

$$\dim \mathcal{M}_X(\eta, l; g) = -2l - 3(1 + b_+^2(X)).$$

Throughout this section we choose  $l$  so that  $\dim \mathcal{M}_X(\eta, l; g) = 0$ . Now we define  $\mathcal{C}_X$  to be the set of  $\eta \in H^2(X; \mathbf{Z}_2)$  satisfying the following conditions:

- (1)  $p(\eta) \equiv l \pmod{4}$ , and
- (2)  $\eta \neq 0$ .

Let  $\eta \in \mathcal{C}_X$ . Then for a generic metric  $g$  on  $X$  we have the following properties ([DoK], [FrU]):

- (1) The moduli space  $\mathcal{M}_X(\eta, l; g)$  contains only irreducible connections.
- (2)  $\mathcal{M}_X(\eta, l; g)$  is a 0-dimensional smooth manifold and a finite set of points.
- (3) Once an orientation of  $H_+^2(X)$  and an integral lift  $c$  of  $\eta$  are chosen, they induce an orientation on  $\mathcal{M}_X(\eta, l; g)$ .

Thus by counting the signed number of points in moduli spaces, we define a function  $\gamma_X: \mathcal{C}_X \rightarrow \mathbf{Z}$ .

**THEOREM I** (Donaldson [Do2], [DoK]). *This function  $\gamma_X$  is independent of a choice of generic metrics, that is,  $\gamma_X$  satisfies the following: If  $\phi: X \rightarrow X'$  is an orientation preserving diffeomorphism, then*

$$\gamma_X(\phi^*(\eta)) = \varepsilon(\phi) \gamma_{X'}(\eta) \quad \text{for any } \eta \in \mathcal{C}_{X'}.$$

Here  $\varepsilon(\phi)$  is  $-1$  if either  $\phi^*$  is an orientation reversing map from  $H_+^2(X')$  onto  $H_+^2(X)$  or  $((c - \phi^*(c'))/2)^2 \equiv 1 \pmod{2}$  and is 1 otherwise. (Here  $c'$  denotes the integral lift of  $\eta$  and  $c$  is the integral lift of  $\phi^*(\eta)$  used in orienting their respective moduli spaces.)

We assume that  $X$  is a simply-connected complex algebraic surface and  $L$  an ample divisor on  $X$ . We take the Hodge metric  $g_L$  defined by  $L$ . Let  $E \rightarrow X$  be an  $SO(3)$ -bundle with  $w_2(E)$  nonzero. Then any integral lift  $c$  of  $w_2(E)$  defines a lifting of  $E$  to a  $U(2)$ -bundle  $P$  with  $c_1(P)$  and  $c_2(P)$  related by

$$\begin{aligned} c_1(P) &= c, \quad \text{and} \\ c_1(P)^2 - 4c_2(P) &= p_1(E). \end{aligned}$$

Donaldson showed in [Do1] that the moduli space of irreducible Hermitian-Einstein

(HE) connections on  $P$  coincides with the moduli space of stable holomorphic rank 2 bundles  $\mathcal{V}$  with  $c_1(\mathcal{V}) = c_1(P)$  and  $c_2(\mathcal{V}) = c_2(P)$ . Since  $X$  is simply-connected, any ASD connection on  $E$  can be lifted uniquely to an HE connection on  $E$  [Kot]. Thus, ASD connections on  $E$  and stable holomorphic structures on  $P$  are related as follows:

**THEOREM II (Donaldson).** *There exists a natural one-to-one correspondence between the moduli space  $\mathcal{M}_X^*(w_2(E), p_1(E); g_L)$  of irreducible ASD connections on  $E$  and the moduli space  $\mathcal{M}_X(c_1(P), c_2(P); L)$  of  $L$ -stable rank 2 bundles over  $X$ . Furthermore, each reducible ASD connection on  $E$  corresponds to an  $L$ -semistable but not  $L$ -stable rank 2 bundle  $U$  over  $X$  with  $c_1(U) = c_1(P)$  and  $c_2(U) = c_2(P)$ .*

Hence, if a metric  $g_L$  is generic, then in order to evaluate  $\gamma_X(w_2(E))$  it is sufficient to determine  $\mathcal{M}_X(c_1(P), c_2(P); g_L)$ . Since moduli spaces of stable bundles are defined as complex spaces, they have natural orientations. This fact implies that the signs at different points agree and there is no cancellation. Therefore if  $g_L$  is generic, then

$$|\gamma_X(w_2(E))| = \# \mathcal{M}_X(c_1(P), c_2(P); L),$$

where  $\#A$  denotes the cardinal number of a set  $A$ . If  $g_L$  is not generic, then the moduli space  $\mathcal{M}_X(c_1(P), c_2(P); L)$  is not reduced. However, if  $\mathcal{M}_X(c_1(P), c_2(P); L)$  consists of finite points, then

$$|\gamma_X(w_2(E))| \geq \# \mathcal{M}_X(c_1(P), c_2(P); L).$$

We can translate Theorem 2.5 in terms of ASD connections as follows:

**COROLLARY 3.1.** *Let  $PD_2[\Sigma]$  denote the Poincaré dual of  $[\Sigma] \in H_2(S_k; \mathbf{Z})$ . Then, we have*

$$\begin{aligned} \gamma_{S_k}(PD_2[\Sigma]) &\neq 0 && \text{if } k \text{ is even,} \\ \gamma_{S_k}(PD_2([\Sigma] + [f])) &\neq 0 && \text{if } k \text{ is odd.} \end{aligned}$$

**REMARK.** The first author proved that the value of  $\gamma_{S_k}(PD_2[\Sigma])$  ( $k$  is even) and  $\gamma_{S_k}(PD_2([\Sigma] + [f]))$  ( $k$  is odd) are both 1 differential-geometrically [Ka].

Now we discuss relevant aspects of the topology of elliptic surfaces. We deform the elliptic fibration  $S_k$  so that its singular fibers consists of exactly  $6k$  cusp fibers. Then the section  $\Sigma$  deform to an embedded  $CP^1$ , which we also denote by  $\Sigma$ . By  $S_k(p, q)$  we denote the resultant 4-manifold obtained from an elliptic surface  $S_k$  by logarithmic transforms of multiplicities  $p$  and  $q$ . Note that  $S_k(1, 1)$  is fiber preserving diffeomorphic to the original  $S_k$ . Since  $S_k$  has a section diffeomorphic to 2-sphere, the fundamental group  $\pi_1(S_k(p, q))$  is isomorphic to the cyclic group of order  $\gcd(p, q)$ .

From now on, we assume  $k \geq 2$ . Then, up to fiber preserving diffeomorphism we can reinterpret this surface as a fiber sum [Mo] as follows: Given  $S_1$  and  $S_{k-1}$ , identify a tubular neighborhood of a general fiber in each with  $T^2 \times D^2$  so that the fibrations correspond to projection onto  $D^2$ . Remove the interiors of tubular neighborhoods from

$S_1$  and  $S_{k-1}$ , and glue the two remaining manifolds together by the map  $\varphi = id_{T^2} \times \rho$  on the boundary 3-torus  $T^2 \times S^1$ . Here,  $\rho: S^1 \rightarrow S^1$  is a complex conjugation, and so we can give the resultant 4-manifold the orientation inherited from ones of  $S_1$  and  $S_{k-1}$ . Then the resultant manifold is independent of our choices of identification of fibers with  $T^2$ , because of the monodromy associated to a cusp fiber in  $S_1$  or  $S_{k-1}$ . The fibration fit together into a fibration  $\pi: S_k \rightarrow CP^1$ . In this section, however, we take a different construction of  $S_k$  as follows: As before, we start with  $S_1$  and  $S_{k-1}$  and identify a tubular neighborhood of a general fiber in each with  $T^2 \times D^2$ . Then we choose our general fiber to be near a cusp fiber in each and identify it with  $T^2 = S^1 \times S^1$  so that the vanishing cycles correspond to  $S^1 \times \{1\}$  and  $\{1\} \times S^1$ . We remove each  $T^2 \times int D^2$  and we let  $L_1$  and  $L_{k-1}$  be the remaining manifolds. We write  $\partial L_1$  as  $S^1 \times S^1 \times S^1$  and we let  $\sigma$  be a cyclic permutation of the three factors. For the fiber preserving map  $\varphi$ , we compose  $\varphi$  with  $\sigma$  and glue the boundaries of  $L_1$  and  $L_{k-1}$  by the composition  $\varphi \circ \sigma$ . We denote the resultant manifold by  $S_k^*$ . Then  $S_k^*$  is diffeomorphic to  $S_k$ . In fact, a tubular neighborhood of a cusp fiber  $F$  is obtained from a tubular neighborhood of a nearby general fiber by ambiently adding two 2-handles along two vanishing cycles with framing  $-1$ . Let  $Q \subset L_1$  be a collar of  $\partial L_1$ . Let  $h_1$  and  $h_2$  be 2-handles in  $L_1$  attached to the inner boundary of  $Q$  along the two vanishing cycles with framing  $-1$ . There is also a  $(-1)$ -framing 2-handle  $h_3$  attached to  $Q$  along  $\{1\} \times \{1\} \times S^1$ , which is determined by the section  $\Sigma_1$ , restricted to  $L_1$ . Set  $Q' = Q \cup h_1 \cup h_2 \cup h_3$ . Then the given diffeomorphism  $\sigma$  of  $\partial L_1$  extends over  $Q'$  by cyclically permuting the handles. The inner boundary of  $Q'$  is a Poincaré homology sphere, and so any self-diffeomorphism of this boundary is isotopic to the identity [BO]. Thus we can extend the given diffeomorphism of  $Q'$  over  $L_1$  and we also denote it by  $\sigma$ . Now we have a diffeomorphism  $\Phi_k = id_{L_{k-1}} \cup \sigma^{-1}: S_k \rightarrow S_k^*$ .

We take two general fibers  $F_1$  and  $F_2$  in  $L_1$  of  $S_k^*$  so that

$$\Phi_k(\Sigma) \cap F_1 = \emptyset \quad \text{and} \quad \Phi_k(\Sigma) \cap F_2 = \emptyset.$$

Logarithmic transforms of multiplicities  $p$  and  $q$  on a pair of  $F_1$  and  $F_2$  yield a new manifold  $S_k^*(p, q)$  and multiple fibers  $f_p, f_q$  in  $S_k^*(p, q)$  of multiplicities  $p$  and  $q$ . These multiple fibers satisfy

$$\Phi_k(\Sigma) \cap f_p = \emptyset \quad \text{and} \quad \Phi_k(\Sigma) \cap f_q = \emptyset. \quad (3.1)$$

Moreover, we take a general fiber  $f$  in  $L_{k-1}$  of  $S_k^*$ . Then

$$\Phi_k(\Sigma \cup f) \cap f_p = \emptyset \quad \text{and} \quad \Phi_k(\Sigma \cup f) \cap f_q = \emptyset. \quad (3.2)$$

Note that  $S_k^*(1, 1)$  is diffeomorphic to  $S_k$  and  $S_k^*(p, q)$  is homeomorphic to an elliptic surface  $S_k(p, q)$ .

REMARK. The twisted manifold  $S_2^*(p, q)$  is diffeomorphic to  $S_2(p, q)$  because a permutation  $\sigma$  on  $S^1 \times S^1 \times S^1$  extends over another piece  $L_1$  without multiple fibers.

Ue shows in [Ue] that if  $k$  is odd, then  $S_k^*(p, q)$  is not always diffeomorphic to  $S_k(p, q)$ .

Now we use the results obtained by Gompf and Mrowka [GoM]. They defined the simple invariant over 4-manifolds with cylindrical end  $T^3 \times [0, \infty)$  and obtained a gluing formula with the solid torus  $T^2 \times D^2$ .

**PROPOSITION 3.2.** Put  $Y^* = S_k^*(p, q) - \text{int} N(f_p)$ , where  $N(f_p)$  is a tubular neighborhood of  $f_p$ . Let  $j^* : H^2(S_k^*(p, q); \mathbf{Z}_2) \rightarrow H^2(Y^*; \mathbf{Z}_2)$  be a homomorphism induced from the inclusion  $j : Y^* \rightarrow S_k^*(p, q)$ . Let  $\eta$  be an element of  $\mathcal{C}_{S_k^*(p, q)}$ .

- (a) If  $p$  is odd and  $\langle \eta, f_p \rangle \neq 0$ , then  $|\gamma_{S_k^*(p, q)}(\eta)| = |\gamma_{S_k^*(1, q)}(\eta)|$ .
- (b) If  $\langle \eta, f_p \rangle = 0$  and  $j^*(\eta) \neq 0$ , then  $|\gamma_{S_k^*(p, q)}(\eta)| = p |\gamma_{S_k^*(1, q)}(\eta)|$ .

We can prove the above theorem in the same manner as [GoM, Theorem IV.0.6]. We have only to notice that Proposition IV.1.3 in [GoM] also remain true for  $k \geq 3$ .

Now we can find infinitely differentiable structures of  $S_k$  in  $S_k^*(p, q)$ , since for odd pair  $(p, q)$  with  $\text{gcd}(p, q) = 1$ , all 4-manifolds  $S_k^*(p, q)$  are homotopy equivalent to each other, and the set  $\mathcal{C}_{S_k^*(p, q)}$  is finite.

In the following, we shall distinguish the diffeomorphism type  $S_k^*(p, q)$  more precisely. Note that for  $S_k^*(p, q)$  the set  $\mathcal{C}_{S_k^*(p, q)}$  is defined by

$$\mathcal{C}_{S_k^*(p, q)} = \{ \eta \in H^2(S_k^*(p, q); \mathbf{Z}_2) \mid \eta \neq 0, p(\eta) \equiv -3k \pmod{4} \}.$$

If  $q$  is odd, then  $PD_2 f_p$  is a nonzero element in  $H^2(S_k^*(p, q); \mathbf{Z}_2)$ . In addition, if  $k \equiv 0 \pmod{4}$ , then  $0 = PD_2 f_p \cdot PD_2 f_p \equiv -3k \pmod{4}$  and so  $PD_2 f_p \in \mathcal{C}_{S_k^*(p, q)}$ . Then  $\langle PD_2 f_p, f_p \rangle = 0$  but  $j^*(PD_2 f_p) = 0$ . Hence  $j^*(PD_2 f_p) \notin \mathcal{C}_{Y^*}$  and we can not estimate  $\gamma_{S_k^*(p, q)}(PD_2 f_p)$  by a value of  $\gamma_{S_k^*(1, q)}$  from Proposition 3.2 (c). However we have the following, which is easily seen from the exact sequence

$$\dots \longrightarrow H^2(S_k^*(p, q), Y^*; \mathbf{Z}_2) \longrightarrow H^2(S_k^*(p, q); \mathbf{Z}_2) \xrightarrow{j^*} H^2(Y^*; \mathbf{Z}_2) \longrightarrow \dots$$

**LEMMA 3.3.**

$$\text{Ker} j^* = \begin{cases} \mathbf{Z}_2, \text{ which is generated by } PD_2 f_p, & \text{if } q \text{ is odd} \\ 0, & \text{if } q \text{ is even.} \end{cases}$$

If  $k \not\equiv 0 \pmod{4}$ , then  $PD_2 f_p \notin \mathcal{C}_{S_k^*(p, q)}$  and so when  $k \not\equiv 0 \pmod{4}$ , it follows from Proposition 3.2 (c) that if  $\eta \in \mathcal{C}_{S_k^*(p, q)}$  satisfies  $\langle \eta, f_p \rangle = 0$  then  $|\gamma_{S_k^*(p, q)}(\eta)| = p |\gamma_{S_k^*(1, q)}(\eta)|$  for some  $\eta \in \mathcal{C}_{S_k^*(1, q)}$ . From Proposition 3.2 and Lemma 3.3 we have the following.

**THEOREM 3.4.** Let  $k$  be an integer with  $k \not\equiv 0 \pmod{4}$  and  $k \geq 2$ . Suppose that  $p, q$  and  $p', q'$  are odd. If  $S_k^*(p, q)$  is diffeomorphic to  $S_k^*(p', q')$ , then there exist positive integers  $n, n' \geq 1$  and nonnegative integers  $a, a', b$  and  $b'$  such that

$$(pq)^n = (p'q')^{n'}.$$

In particular, if two products  $pq$  and  $p'q'$  do not have a common prime factor, then  $S_k^*(p, q)$  is not diffeomorphic to  $S_k^*(p', q')$ .

PROOF. Recall that the fundamental group of  $S_k^*(p, q)$  is isomorphic to the cyclic group of order  $\gcd(p, q)$ . Let  $\mathcal{D}_{S_k^*(p,q)}$  be the set of  $\eta \in \mathcal{C}_{S_k^*(p,q)}$  so that  $\gamma_{S_k^*(p,q)}(\eta) \neq 0$ . Let  $n(p, q)$  denote the number of  $\eta \in \mathcal{D}_{S_k^*(p,q)}$  so that  $\langle \eta, f_p \rangle = 0$  and  $\langle \eta, f_q \rangle = 0$ . By Theorem I,  $\mathcal{D}_{S_k^*(p,q)}$  is a diffeomorphism invariant set. Moreover it is non-empty and  $n(p, q)$  is non-zero, since, by Proposition 3.2,

$$|\gamma_{S_k^*(p,q)}(PD_2(\Phi_{k^*}([\Sigma])))| = pq |\gamma_{S_k}(PD_2[\Sigma])| \neq 0 \quad \text{if } k \text{ is even,}$$

$$|\gamma_{S_k^*(p,q)}(PD_2(\Phi_{k^*}([\Sigma] + [f])))| = pq |\gamma_{S_k}(PD_2([\Sigma] + [f]))| \neq 0 \quad \text{if } k \text{ is odd.}$$

We put  $\mathcal{D}_{S_k^*(p,q)} = \{\eta_1, \dots, \eta_{l(p,q)}\}$  and  $\mathcal{D}_{S_k^*(p',q')} = \{\eta'_1, \dots, \eta'_{l(p',q')}\}$  for  $S_k^*(p, q)$  and  $S_k^*(p', q')$  respectively. Then from Proposition 3.2 we have

$$\begin{aligned} & |\gamma_{S_k^*(p,q)}(\eta_1) \cdots \gamma_{S_k^*(p,q)}(\eta_{l(p,q)})| \\ &= (pq)^{n(p,q)} |\gamma_{S_k^*(1,1)}(\eta_1) \cdots \gamma_{S_k^*(1,1)}(\eta_{l(p,q)})|, \\ & |\gamma_{S_k^*(p',q')}(\eta'_1) \cdots \gamma_{S_k^*(p',q')}(\eta'_{l(p',q')})| \\ &= (p'q')^{n(p',q')} |\gamma_{S_k^*(1,1)}(\eta'_1) \cdots \gamma_{S_k^*(1,1)}(\eta'_{l(p',q')})|. \end{aligned}$$

Assume that there is a diffeomorphism  $\phi : S_k^*(p, q) \rightarrow S_k^*(p', q')$ . (Since the signature of  $S_k^*(p, q)$  is  $-8k$  and nonzero,  $\phi$  is orientation-preserving.) Then we have  $l(p, q) = l(p', q')$  and we may write  $\phi^*(\eta'_i) = \eta_{\sigma(i)}$  ( $1 \leq i \leq l(p, q)$ ). Here  $\sigma$  is a permutation of  $l(p, q)$  elements. Then from Theorem I we have

$$|\gamma_{S_k^*(p,q)}(\eta_1) \cdots \gamma_{S_k^*(p,q)}(\eta_{l(p,q)})| = |\gamma_{S_k^*(p',q')}(\eta'_1) \cdots \gamma_{S_k^*(p',q')}(\eta'_{l(p',q')})|.$$

This implies that  $(pq)^{n(p,q)} = (p'q')^{n(p',q')}$ .

q.e.d.

Now we have the following as a consequence of Theorem 3.4.

COROLLARY 3.5. Let  $k$  be an integer with  $k \not\equiv 0 \pmod{4}$  and  $k \geq 2$ . For any odd integer  $r \geq 1$ , we can find infinitely many homeomorphic, but not diffeomorphic closed smooth 4-manifolds with  $\pi_1$  isomorphic to the cyclic group of order  $r$ ,  $Z_r$ , concretely.

PROOF. For example, we consider the family  $\mathcal{A}$ ,

$$\mathcal{A} = \{S_k^*(1, p) \mid p \text{ is 1 or any odd prime integer}\}.$$

Then it follows from [Fre] that all manifolds in  $\mathcal{A}$  are homeomorphic to  $S_k$ . But, by Theorem 3.4, any two manifolds in  $\mathcal{A}$  are not diffeomorphic.

For any odd integer  $r \geq 1$ , we consider the family  $\mathcal{A}_r^*$ ,

$$\mathcal{A}_r^* = \{S_k^*(r, rp) \mid p \text{ is 1 or any odd prime integer with } \gcd(r, p) = 1\}.$$

From [HK] it follows that all manifolds in  $\mathcal{A}_r^*$  have  $\pi_1$  isomorphic to  $Z_r$ , and are

homeomorphic. By Theorem 3.4, however, any two manifolds in  $\mathcal{A}_r^*$  are not diffeomorphic. q.e.d.

REMARKS. (1) In the case  $k=1$ , the diffeomorphism types of Dolgachev surfaces,  $S_1(p, q)$ , are investigated by using the Donaldson's  $\Gamma$ -invariant ([FrM1], [LO], [OV]).

(2) In the case  $k \geq 2$ , Friedman and Morgan considered the diffeomorphism types of elliptic surfaces,  $S_k(p, q)$ , by using the Donaldson's polynomial invariant and stated that the product  $pq$  is a diffeomorphism invariant [FrM2].

Next we extend our result slightly. First we choose disjoint tubular neighborhoods of  $(k-1)$  general fibers in  $S_1$  and identify them with  $\coprod_{i=1}^{k-1} (T^2 \times D^2)_i$  so that the fibration corresponds to projection onto  $D^2$ . Remove the interior of tubular neighborhoods from  $S_1$  and denote the remaining manifold by  $L'_1$ . We write  $\partial L'_1$  as  $\coprod_{i=1}^{k-1} (S^1 \times S^1 \times S^1)_i$ . Then to the boundary of  $L'_1$  we attach the boundaries of  $(k-1)$  copies of  $L_1$  as above,  $L_1^{(1)}, \dots, L_1^{(k-1)}$  by the composition  $\varphi \circ \sigma$  as before. We denote the resultant manifold by  $S_k^{**}$ , which is diffeomorphic to  $S_k$ . Now we take two general fibers  $F_1^{(i)}, F_2^{(i)}$  in  $L_1^{(i)}$  of  $S_k^{**}$  ( $i=1, \dots, k-1$ ). Logarithmic transforms of multiplicities  $p_i$  and  $q_i$  on a pair of  $F_1^{(i)}$  and  $F_2^{(i)}$  for each  $i$  yield a new manifold  $S_k^{**}(p_1, q_1; \dots; p_{k-1}, q_{k-1})$ . Note that  $S_k^{**}(p, q; 1, 1; \dots; 1, 1), \dots, S_k^{**}(1, 1; \dots; 1, 1; p, q)$  are diffeomorphic to  $S_k^*(p, q)$ . It is easy to see that if each pair  $\{p_j, q_j\}$  ( $j=1, \dots, i-1, i+1, \dots, k-1$ ) except for one pair  $\{p_i, q_i\}$  is relatively prime, then the fundamental group of  $S_k^{**}(p_1, q_1; \dots; p_{k-1}, q_{k-1})$  is isomorphic to the cyclic group of order  $\gcd(p_i, q_i)$ . Then by noting (3.1) and (3.2), we can prove the following in the same manner as the proof of Theorem 3.4.

THEOREM 3.6. *Let  $k$  be an integer with  $k \not\equiv 0 \pmod{4}$  and  $k \geq 2$ . Suppose that  $\{p_l, q_l\}$  ( $l=1, \dots, i-1, i+1, \dots, k-1$ ) and  $\{p'_j, q'_j\}$  ( $l=1, \dots, j-1, j+1, \dots, k-1$ ) are relatively prime. Suppose that  $p_i, q_i$  and  $p'_j, q'_j$  are odd. If  $S_k^{**}(p_1, q_1; \dots; p_{k-1}, q_{k-1})$  is diffeomorphic to  $S_k^{**}(p'_1, q'_1; \dots; p'_{k-1}, q'_{k-1})$ , then there exist nonnegative integers  $n_l, n'_l, a_l, a'_l, b_l$  and  $b'_l$  ( $l=1, \dots, k-1$ ) such that*

$$\prod_{l=1}^{k-1} (p_l q_l)^{n_l} = \prod_{l=1}^{k-1} (p'_l q'_l)^{n'_l}.$$

*In particular, if two products  $p_1 q_1 \cdots p_{k-1} q_{k-1}$  and  $p'_1 q'_1 \cdots p'_{k-1} q'_{k-1}$  do not have a common prime factor, then  $S_k^{**}(p_1, q_1; \dots; p_{k-1}, q_{k-1})$  is not diffeomorphic to  $S_k^{**}(p'_1, q'_1; \dots; p'_{k-1}, q'_{k-1})$ .*

REMARK. Noting the number of hyperbolic summands of the intersection form, we can take maximally  $2k-1$  (resp.  $2(k-1)$ ) nuclei in  $S_k$  [Go] and insert  $4k-2$  (resp.  $4(k-1)$ ) multiple fibers into  $S_k$  if  $k$  is even (resp. odd) so that we can derive the same result as Theorem 3.6.

In the same manner, Theorem 3.4 and Theorem 3.6 hold for the resultant 4-manifolds obtained from  $S_k^*(p, q)$  and  $S_k^{**}(p_1, q_1; \dots; p_{k-1}, q_{k-1})$  by connected sums

with  $\overline{CP^2}$ s.

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