# THE EXPECTED NUMBER OF ELEMENTS TO GENERATE A FINITE GROUP WITH *d*-GENERATED SYLOW SUBGROUPS

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ABSTRACT. Given a finite group G, let e(G) be the expected number of elements of G which have to be drawn at random, with replacement, before a set of generators is found. If all of the Sylow subgroups of G can be generated by d elements, then  $e(G) \leq d + \kappa$ , where  $\kappa$  is an absolute constant that is explicitly described in terms of the Riemann zeta function and is the best possible in this context. Approximately,  $\kappa$  equals 2.752394. If G is a permutation group of degree n, then either G = Sym(3) and e(G) = 2.9 or  $e(G) \leq \lfloor n/2 \rfloor + \kappa^*$  with  $\kappa^* \sim 1.606695$ . These results improve weaker bounds recently obtained by Lucchini.

**1. Introduction.** In 1989, Guralnick [5] and the first author [10] independently proved that, if all of the Sylow subgroups of a finite group G can be generated by d elements, then the group G itself can be generated by d+1 elements. A probabilistic version of this result was obtained in [12]. Let G be a nontrivial finite group, and let  $x = (x_n)_{n \in \mathbb{N}}$  be a sequence of independent, uniformly distributed G-valued random variables. We may define a random variable  $\tau_G$  by

$$\tau_G = \min\{n \ge 1 \mid \langle x_1, \dots, x_n \rangle = G\}.$$

We denote by e(G) the expectation  $E(\tau_G)$  of this random variable: e(G) is the expected number of elements of G which have to be drawn at random, with replacement, before a set of generators is found. In [12], it was proven that, if all of the Sylow subgroups of G can be generated by d elements, then  $e(G) \leq d + \eta$  with  $\eta \sim 2.875065$ . This bound is not too distant from being the best possible. Indeed, in [15], Pomerance proved that, if  $\Omega_d$  is the set of all the d-generated finite abelian groups,

<sup>2010</sup> AMS Mathematics subject classification. Primary 20P05.

*Keywords and phrases.* Groups generation, waiting time, Sylow subgroups, permutation groups.

Received by the editors on July 22, 2017, and in revised form on February 13, 2018.

DOI:10.1216/RMJ-2018-48-6-1963 Copyright ©2018 Rocky Mountain Mathematics Consortium

then

$$\sup_{G \in \Omega_d} e(G) = d + \sigma, \quad \text{where } \sigma \sim 2.118457.$$

However, the bound  $e(G) \leq d + \eta$  is approximative, and it may be interesting to find a best possible estimation for e(G). We give an exhaustive answer to this question, proving the next result.

**Theorem 1.1.** Let G be a finite group. If all of the Sylow subgroups of G can be generated by d elements, then  $e(G) \leq d + \kappa$ , where  $\kappa$  is an absolute constant that is explicitly described in terms of the Riemann zeta function and is the best possible in this context. Approximately,  $\kappa$ equals 2.752394.

This bound can further be improved under some additional assumptions on G. For example, we prove that, if all the Sylow subgroups of G can be generated by d elements and G is not soluble, then  $e(G) \leq d + 2.750065$  (Proposition 3.1). A stronger result holds if |G| is odd.

**Theorem 1.2.** Let G be a finite group of odd order. If all the Sylow subgroups of G can be generated by d elements, then  $e(G) \leq d + \tilde{\kappa}$ , with  $\tilde{\kappa} \sim 2.148668.$ 

In this case, the constant  $\tilde{\kappa}$  is probably not the best possible. In particular, as suggested by the proof of Theorem 1.2, a precise estimate would require a complete knowledge of the distribution of the Fermat primes.

If G is a p-subgroup of Sym(n), then G can be generated by |n/p|elements (see [7]); thus, Theorem 1.1 has the following consequence: if G is a permutation group of degree n, then  $e(G) \leq |n/2| + \kappa$ . However, this bound is not the best possible, and a better result can be obtained:

**Corollary 1.3.** If G is a permutation group of degree n, then either G = Sym(3) and e(G) = 2.9 or  $e(G) \le |n/2| + \kappa^*$  with  $\kappa^* \sim 1.606695$ .

The number  $\kappa^*$  is the best possible. Let  $m = \lfloor n/2 \rfloor$ , and set

 $G_n = \operatorname{Sym}(2)^m$ 

if m is even,

$$G_n = \operatorname{Sym}(2)^{m-1} \times \operatorname{Sym}(3)$$

if m is odd. If  $n \geq 8$ , then  $e(G_n) - m$  increases with n and  $\lim_{n\to\infty} e(G) - m = \kappa^*$ .

Our proofs implicitly depend on the classification of the finite simple groups. More precisely, the proof of Theorem 1.1 requires a result, proved by Pyber, which states that, for every finite group G and every  $n \ge 2$ , G has at most  $n^2$  core-free maximal subgroups of index n (this is necessary in the proof of Lemma 2.3), while the proof of Corollary 1.3 uses a bound on the chief length of a permutation group of degree n(see Theorem 5.2).

**2.** Preliminary results. Let G be a finite group, and use the following notation:

- For a given prime p,  $d_p(G)$  is the smallest cardinality of a generating set of a Sylow p-subgroup of G.
- For a given prime p and a positive integer t,  $\alpha_{p,t}(G)$  is the number of complemented factors of order  $p^t$  in a chief series of G.
- For a given prime p,  $\alpha_p(G) = \sum_t \alpha_{p,t}(G)$  is the number of complemented factors of p-power order in a chief series of G.
- $\beta(G)$  is the number of nonabelian factors in a chief series of G.

**Lemma 2.1.** For every finite group G, we have:

(i)  $\alpha_p(G) \le d_p(G)$ . (ii)  $\alpha_2(G) + \beta(G) \le d_2(G)$ . (iii) If  $\beta(G) \ne 0$ , then  $\beta(G) \le d_2(G) - 1$ . (iv) If  $\alpha_{2,1}(G) = 0$ , then  $\alpha_2(G) + \beta(G) \le d_2(G) - 1$ . (v) If  $\alpha_{p,1}(G) = 0$ , then  $\alpha_p(G) \le d_p(G) - 1$ .

*Proof.* (i), (ii) and (iii) are proven in [12, Lemma 4]. Now, assume that no complemented chief factor of G has order 2, and let  $r = \alpha_2(G) + \beta(G)$ . There exists a sequence

$$X_r \le Y_r \le \dots \le X_1 \le Y_1$$

of normal subgroups of G such that, for every  $1 \leq i \leq r$ ,  $Y_i/X_i$  is a complemented chief factor of G of even order. Note that  $\beta(G/Y_1) =$ 

 $\alpha_2(G/Y_1) = 0$ ; hence,  $G/Y_1$  is a finite soluble group, all of whose complemented chief factors have odd order, but, then,  $G/Y_1$  has odd order, and consequently,  $d_2(G) = d_2(Y_1)$ . Moreover, as in the proof of [12, Lemma 4],

$$d_2(Y_1) \ge d_2(Y_1/X_1) + r - 1.$$

Since  $|Y_1/X_1| \neq 2$  and the Sylow 2-subgroups of a finite nonabelian simple group cannot be cyclic [16, 10.1.9], we deduce  $d_2(Y_1/X_1) \geq 2$ , and consequently,  $d_2(G) = d_2(Y_1) \geq r+1$ . This proves (iv). The proof of (v) is similar.

Recall (see [12, (1.1)] for more details) that

(2.1) 
$$e(G) = \sum_{n \ge 0} (1 - P_G(n)),$$

where

$$P_G(n) = \frac{|\{(g_1, \dots, g_n) \in G^n \mid \langle g_1, \dots, g_n \rangle = G\}|}{|G|^n}$$

is the probability that n randomly chosen elements of G generate G. Denote by  $m_n(G)$  the number of index n maximal subgroups of G. We have (see [9, 11.6]):

(2.2) 
$$1 - P_G(k) \le \sum_{n \ge 2} \frac{m_n(G)}{n^k}$$

Using the notation introduced in [8, Section 2], we say that a maximal subgroup M of G is of type A if  $\operatorname{soc}(G/\operatorname{Core}_G(M))$  is abelian, of type B otherwise, and we denote by  $m_n^A(G)$  (respectively,  $m_n^B(G)$ ) the number of maximal subgroups of G of type A (respectively, B) of index n. Denote the set of the prime divisors of |G| by  $\pi(G)$ . Given  $t \in \mathbb{N}$  and  $p \in \pi(G)$ , define

$$\mu^*(G,t) = \sum_{k \ge t} \bigg( \sum_{n \ge 5} \frac{m_n^B(G)}{n^k} \bigg),$$
$$\mu_p(G,t) = \sum_{k \ge t} \bigg( \sum_{n \ge 1} \frac{m_p^A(G)}{p^{nk}} \bigg).$$

**Lemma 2.2.** Let  $t \in \mathbb{N}$ . Then,

$$e(G) \le t + \mu^*(G, t) + \sum_{p \in \pi(G)} \mu_p(G, t).$$

*Proof.* By (2.1) and (2.2),

$$e(G) \le t + \sum_{n \ge t} (1 - P_G(n)) \le t + \sum_{k \ge t} \left(\sum_{n \ge 2} \frac{m_n(G)}{n^k}\right). \qquad \Box$$

**Lemma 2.3.** Let  $t \in \mathbb{N}$ . If  $\beta(G) = 0$ , then  $\mu^*(G, t) = 0$ . If  $t \ge \beta(G) + 3$ , then

$$\mu^*(G,t) \le \frac{\beta(G)(\beta(G)+1)}{2 \cdot 5^{t-4}} \cdot \frac{1}{4}.$$

*Proof.* The result follows from [12, Lemma 8] and its proof.  $\Box$ 

**Lemma 2.4.** Let  $t \in \mathbb{N}$  and  $p \in \pi(G)$ . If  $\alpha_p(G) = 0$ , then  $\mu_p(G, t) = 0$ .

- (i) If  $\alpha_2(G) \le t 1$  and  $\alpha_{2,u}(G) \le t 2$  for every u > 1, then  $\mu_2(G, t) \le \frac{1}{2^{t - \alpha_2(G) - 1}}.$
- (ii) Let p be an odd prime. If  $\alpha_p(G) \le t-2$ , then  $\mu_p(G,t) \le \frac{1}{p^{t-\alpha_p(G)-2}} \frac{1}{(p-1)^2}.$

*Proof.* The result follows from [12, Lemma 7] and its proof.  $\Box$ 

Let G be a finite soluble group, and let  $\mathcal{A}$  be a set of representatives for the irreducible G-modules that are G-isomorphic to some complemented chief factor of G. For every  $A \in \mathcal{A}$ , let  $\delta_A$  be the number of complemented factors G-isomorphic to A in a chief series of G,

$$q_A = |\operatorname{End}_G(A)|, \ r_A = \dim_{\operatorname{End}_G(A)}(A),$$

 $\zeta_A = 0$ , if A is a trivial G-module,  $\zeta_A = 1$ , otherwise. Moreover, for every  $l \in \mathbb{N}$ , let  $Q_{A,l}(s)$  be the Dirichlet polynomial, defined by

$$Q_{A,l}(s) = 1 - \frac{q_A^{l+r_A \cdot \zeta_A}}{q_A^{r_A \cdot s}}$$

By [4, Satz 1], for every positive integer k, we have

(2.3) 
$$P_G(k) = \prod_{A \in \mathcal{A}} \left( \prod_{0 \le l \le \delta_A - 1} Q_{A,l}(k) \right).$$

For every prime p dividing |G|, let  $\mathcal{A}_p$  be the subset of  $\mathcal{A}$  consisting of the irreducible G-modules having order a power of p, and let

(2.4) 
$$P_{G,p}(k) = \prod_{A \in \mathcal{A}_p} \left( \prod_{0 \le l \le \delta_A - 1} Q_{A,l}(k) \right).$$

**Definition 2.5.** For every prime p and every positive integer  $\alpha$ , let

$$C_{p,\alpha}(s) = \prod_{0 \le i \le \alpha - 1} \left( 1 - \frac{p^i}{p^s} \right),$$
$$D_{p,\alpha}(s) = \prod_{1 \le i \le \alpha} \left( 1 - \frac{p^i}{p^s} \right).$$

**Lemma 2.6.** Let G be a finite soluble group and let k be a positive integer.

- (i) If  $d_p(G) \leq d$ , then  $P_{G,p}(k) \geq D_{p,d}(k)$ .
- (ii) If p divides |G/G'|, then  $P_{G,p}(k) \ge C_{p,d}(k)$ .
- (iii) If  $\alpha_{p,1}(G) = 0$ , then  $P_{G,p}(k) \ge C_{p,d}(k)$ .
- (iv) If  $d_2(G) \le d$ , then  $P_{G,2}(k) \ge C_{2,d}(k)$ .

*Proof.* Suppose that  $\mathcal{A}_p = \{A_1, \ldots, A_t\}$ , and let  $q_i = q_{A_i}$ ,  $r_i = r_{A_i}$ ,  $\zeta_i = \zeta_{A_i}$  and  $\delta_i = \delta_{A_i}$ . Recall that

(2.5) 
$$P_{G,p}(k) = \prod_{\substack{1 \le i \le t \\ 0 \le l \le \delta_i - 1}} Q_{A_i,l}(k).$$

By Lemma 2.1,

$$\delta_1 + \delta_2 + \dots + \delta_t = \alpha_p(G) \le d_p(G);$$

hence, the number of factors  $Q_{A_i,l}(k)$  in (2.5) is at most  $d_p(G)$ . We order these factors in such a way that  $Q_{A_i,u}(k)$  precedes  $Q_{A_j,v}(k)$  if either i < j or i = j and u < v. Moreover, we order the elements of  $\mathcal{A}_p$  in such a way that  $A_1$  is the trivial *G*-module if *p* divides |G/G'|.

(i) Since  $D_{p,d}(k) = 0$ , if  $k \leq d$ , we may take k > d. To show that  $P_{G,p}(k) \geq D_{p,d}(k)$ , it is sufficient to show that the *j*th factor  $Q_j(k) = Q_{A_{i,l}}(k)$  of  $P_{G,p}(k)$  is greater than the *j*th factor  $D_j(k) = 1 - p^j/p^k$  of  $D_{p,d}(k)$ . If  $j \leq \delta_1$ , then  $Q_j(k) = Q_{A_{i,l}}(k)$  with l = j - 1. If  $j > \delta_1$ , then  $Q_j(k) = Q_{A_{i,l}}(k)$  for some  $i \in \{2, \ldots, t\}$  and  $l \in \{0, \ldots, \delta_i - 1\}$ ; thus,

$$j = \delta_1 + \delta_2 + \dots + \delta_{i-1} + l + 1 \ge l + 2$$

In any case,

$$q_i^{r_i\zeta_i}q_i^l \le q_i^{r_i(l+1)} \le q_i^{r_ij}.$$

We have  $q_i = p^{n_i}$  for some  $n_i \in \mathbb{N}$ . Since  $j \leq d < k$ , we deduce that

$$\frac{q_i^{r_i\zeta_i}q_i^l}{q_i^{r_ik}} \le \frac{q_i^{r_ij}}{q_i^{r_ik}} = \left(\frac{p^j}{p^k}\right)^{r_in_i} \le \frac{p^j}{p^k}$$

Then,

$$Q_j(k) = 1 - \frac{q_i^{r_i \zeta_i} q_i^l}{q_i^{r_i k}} \ge 1 - \frac{p^j}{p^k} = D_j(k).$$

(ii) Since  $C_{p,d}(k) = 0$  if k < d, we may take  $k \ge d$ . To show that  $P_{G,p}(k) \ge C_{p,d}(k)$ , it is sufficient to show that the *j*th factor  $Q_j(k) = Q_{A_i,l}(k)$  of  $P_{G,p}(k)$  is greater than the *j*th factor  $C_j(k) = 1 - p^{j-1}/p^k$  of  $C_{p,d}(k)$ . If i = 1, then, by the way in which we ordered the elements of  $\mathcal{A}_p$ , we have  $Q_j(k) = C_j(k)$ . Otherwise, as we see in the proof of (i),  $l+2 \le j$ ; thus,  $r_i\zeta_i + l \le r_i + j - 2 \le r_i(j-1)$ . Since  $j \le d \le k$ , we deduce that

$$\frac{q_i^{r_i\zeta_i}q_i^l}{q_i^{r_ik}} \le \frac{q_i^{r_i(j-1)}}{q_i^{r_ik}} \le \frac{p^{j-1}}{p^k}$$

and

$$Q_j(k) = 1 - \frac{q_i^{r_i \zeta_i} q_i^l}{q_i^{r_i k}} \ge 1 - \frac{p^{j-1}}{p^k} = C_j(k).$$

(iii) Assume that no complemented chief factor of G has order p. By Lemma 2.1 (v),  $\alpha_p(G) \leq d_p(G) - 1 \leq d - 1$ . But, then, in the factorization of  $P_{G,p}(k)$  described in (2.5), the number of factors is at most d-1, and, arguing as in the proof of (i), we conclude that

$$P_{G,p}(k) \ge D_{p,d-1}(k) \ge C_{p,d}(k)$$

(iv) We may assume that  $\alpha_2(G) \neq 0$  (otherwise,  $P_{G,2}(k) = 1$ ). Since  $\alpha_{2,1}(G) \neq 0$  if and only if 2 divides |G/G'|, the conclusion follows from (ii) and (iii).

### 3. The main result.

**Proposition 3.1.** Let G be a finite group. If all of the Sylow subgroups of G can be generated by d elements and G is not soluble, then

$$e(G) \le d + \kappa^*$$
 with  $\kappa^* \le 2.750065$ .

*Proof.* Let  $\beta = \beta(G)$ . Since G is not soluble,  $\beta > 0$ ; hence, by Lemma 2.1 (ii), (iii), we have

$$1 \le \beta \le d_2(G) - 1 \le d - 1$$

and

$$\alpha_2(G) \le d_2(G) - \beta \le d - 1.$$

We distinguish two cases:

*Case* (a)  $\beta < d - 1$ . From Lemmas 2.2, 2.3 and 2.4 and, using a rather precise approximation of  $\sum_{p} (p-1)^{-2}$  given in [1], we conclude:

$$e(G) \le d + 2 + \mu^*(G, d + 2) + \mu_2(G, d + 2) + \sum_{p>2} \mu_p(G, d + 2)$$
$$\le d + 2 + \frac{1}{20} + \frac{1}{4} + \sum_{p>2} \frac{1}{(p-1)^2} \le d + 2.675065.$$

Case (b)  $\beta = d - 1$ . By Lemma 2.1 (ii), (iv), either  $\alpha_2(G) = 0$  or  $\alpha_2(G) = \alpha_{2,1}(G) = 1$ . In the first case,  $\mu_2(G, d+2) = 0$ ; in the second case,  $m_2^A(G) = 1$ , and consequently,

$$\mu_2(G, d+2) = \sum_{k \ge d+2} \frac{m_2^A(G)}{2^k} \le \sum_{k \ge d+2} \frac{1}{2^k} \le \sum_{k \ge 4} \frac{1}{2^k} \le \frac{1}{8}$$

From Lemmas 2.2, 2.3 and 2.4, we conclude:

$$e(G) \le d + 2 + \mu^*(G, d + 2) + \mu_2(G, d + 2) + \sum_{p>2} \mu_p(G, d + 2)$$
$$\le d + 2 + \frac{1}{4} + \frac{1}{8} + \sum_{p>2} \frac{1}{(p-1)^2} \le d + 2.750065.$$

The previous proposition reduces the proof of Theorem 1.1 to the particular case when G is soluble. In order to deal with this case, we shall introduce, for every positive integer d and every set of primes  $\pi$ , a supersoluble group  $H_{\pi,d}$ , all of whose Sylow subgroups are d-generated and with the property that  $e(G) \leq e(H_{\pi,d})$ , whenever G is soluble,  $\pi(G) \subseteq \pi$  and the Sylow subgroups of G are d-generated.

**Definition 3.2.** Let  $\pi$  be a finite set of prime numbers with  $2 \in \pi$ , and let d be a positive integer. We define  $H_{\pi,d}$  as the semidirect product of A with  $\langle y, z_1, \ldots, z_{d-1} \rangle$ , where A is isomorphic to

$$\prod_{p\in\pi\backslash\{2\}}C_p^a$$

and  $\langle y, z_1, \ldots, z_{d-1} \rangle$  is isomorphic to  $C_2^d$  and acts on A via  $x^y = x^{-1}$ ,  $x^{z_i} = x$  for all  $x \in A$  and  $1 \le i \le d-1$ . Thus,

$$H_{\pi,d} \cong \left( \left( \prod_{p \in \pi \setminus \{2\}} C_p^d \right) \rtimes C_2 \right) \times C_2^{d-1}.$$

**Theorem 3.3.** Let G be a finite soluble group. If all of the Sylow subgroups of G can be generated by d elements, then  $e(G) \leq e(H_{\pi,d})$ , where  $\pi = \pi(G) \cup \{2\}$ .

Proof. Let  $H = H_{\pi,d}$ ,  $p \in \pi$ ,  $k \in \mathbb{N}$ . Let  $\mathcal{A}$  be a set of representatives for the irreducible H-modules that are H-isomorphic to some complemented chief factor of H, and let  $\mathcal{A}_p$  be the subset of  $\mathcal{A}$  consisting of the irreducible H-modules having as order a power of p. For every  $p \in \pi$ ,  $\mathcal{A}_p$  contains a unique element  $\mathcal{A}_p$ . Moreover,  $|\mathcal{A}_p| = p$ ,  $\delta_{\mathcal{A}_p} = d$  and  $\zeta_{\mathcal{A}_p} = 1$  if  $p \neq 2$ , while  $\zeta_{\mathcal{A}_2} = 0$ . Hence, by (2.4),  $P_{H,p}(k) = D_{p,d}(k)$  if  $p \neq 2$ , while  $P_{H,2}(k) = C_{2,d}(k)$ . From Lemma 2.6,  $P_{G,p}(k) \geq P_{H,p}(k)$  for every  $p \in \pi(G)$ . This implies

$$P_G(k) = \prod_{p \in \pi(G)} P_{G,p}(k) \ge \prod_{p \in \pi} P_{H,p}(k) = P_H(G),$$

and consequently,

$$e(G) = \sum_{k \ge 0} (1 - P_G(k)) \le \sum_{k \ge 0} (1 - P_H(k)) = e(H).$$

**Definition 3.4.** Let  $\pi$  be a finite set of prime numbers with  $2 \in \pi$ , and let d be a positive integer. We set  $e_d = \sup_{\pi} e(H_{\pi,d})$  and  $\kappa = \sup_d (e_d - d)$ .

Let 
$$\pi^* = \pi \setminus \{2\}$$
. Since  $P_{H_{\pi,d}}(k) = 0$ , for all  $k \le d$ , we have  
 $e(H_{\pi,d}) = \sum_{k\ge 0} (1 - P_{H_{\pi,d}}(k)) = d + 1 + \sum_{k\ge d+1} \left(1 - C_{2,d}(k) \prod_{p\in\pi^*} D_{p,d}(k)\right)$   
 $= d + 1 + \sum_{k\ge d+1} \left(1 - \prod_{1\le i\le d} \left(1 - \frac{2^{i-1}}{2^k}\right) \prod_{p\in\pi^*} \prod_{1\le i\le d} \left(1 - \frac{p^i}{p^k}\right)\right)$   
 $= d + 1 + \sum_{t\ge 0} \left(1 - \prod_{1\le i\le d} \left(1 - \frac{2^{i-1}}{2^{t+(d+1)}}\right) \prod_{p\in\pi^*} \prod_{1\le i\le d} \left(1 - \frac{p^i}{p^{t+(d+1)}}\right)\right).$ 

We immediately deduce that  $e(H_{\pi,d}) - d$  increases as d increases. Moreover, we have

$$e_d - d = \sup_{\pi} (e(H_{\pi,d}) - d)$$
  
=  $1 + \sum_{k \ge d+1} \left( 1 - \frac{(1 - 1/2^k)}{(1 - 2^d/2^k)} \prod_p \prod_{1 \le i \le d} \left( 1 - \frac{p^i}{p^k} \right) \right).$ 

For k = d+1, the double product tends to 0, while, for  $k \ge d+2$ , it tends to  $\prod_{1 \le i \le d} \zeta (k-i)^{-1}$ , where  $\zeta$  denotes the Riemann zeta function. Hence, we obtain

$$e_d - d = 2 + \sum_{k \ge d+2} \left( 1 - \frac{(1 - 1/2^k)}{(1 - 2^d/2^k)} \prod_{1 \le i \le d} \zeta(k - i)^{-1} \right)$$
  
=  $2 + \sum_{j \ge 1} \left( 1 - \frac{(1 - 1/2^{j + (d+1)})}{(1 - 1/2^{j+1})} \prod_{1 \le l \le d} \zeta(j + l)^{-1} \right)$   
=  $2 + \sum_{j \ge 1} \left( 1 - \left( \frac{2^{j+1} - 2^{-d}}{2^{j+1} - 1} \right) \prod_{1 + j \le n \le d+j} \zeta(n)^{-1} \right).$ 

Let  $c = \prod_{2 \le n \le \infty} \zeta(n)^{-1}$ . Since  $e_d - d$  increases as d grows, we get

$$\kappa = \lim_{d \to \infty} e_d - d$$
  
=  $2 + \left(1 - \left(\frac{2^2}{2^2 - 1}\right)c\right) + \sum_{j \ge 2} \left(1 - \left(\frac{2^{j+1}}{2^{j+1} - 1}\right)c\prod_{2 \le n \le j} \zeta(n)\right)$   
=  $2 + \left(1 - \frac{4}{3} \cdot c\right) + \sum_{j \ge 2} \left(1 - \left(1 + \frac{1}{2^{j+1} - 1}\right)c\prod_{2 \le n \le j} \zeta(n)\right).$ 

Using the computer algebra system PARI/GP [14], we obtain

$$\kappa = 2 + \left(1 - \frac{4}{3} \cdot c\right) + \sum_{j \ge 2} \left(1 - \left(1 + \frac{1}{2^{j+1} - 1}\right)c \prod_{2 \le n \le j} \zeta(n)\right) \sim 2.752395.$$

Combining this result with Proposition 3.1 and Theorem 3.3, we obtain the proof of Theorem 1.1.

### 4. Finite groups of odd order.

**Theorem 4.1.** Let G be a finite soluble group. There exists a finite supersoluble group H, such that

(i)  $\pi(H) = \pi(G)$ , (ii)  $P_G(k) \ge P_H(k)$  for all  $k \in \mathbb{N}$ , (iii)  $d_p(G) \ge d_p(H)$  for all  $p \in \pi(G)$ , (iv)  $\pi(G/G') \subseteq \pi(H/H')$ .

*Proof.* Let  $\pi(G) = \{p_1, \ldots, p_n\}$  with  $p_1 \leq \cdots \leq p_n$ . For  $i \in \{1, \ldots, n\}$ , set  $\pi_i = \{p_1, \ldots, p_i\}$ . We will prove, by induction on i, that, for every  $i \in \{1, \ldots, n\}$ , there exists a supersoluble group  $H_i$  such that  $\pi(H_i) = \pi_i$  and, for every  $j \leq i$ ,

- (i)  $P_{H_i,p_i}(k) \leq P_{G,p_i}(k)$  for all  $k \in \mathbb{N}$ ;
- (ii)  $d_{p_i}(H_i) \leq d_{p_i}(G);$
- (iii) if  $C_{p_j}$  is an epimorphic image of G, then  $C_{p_j}$  is an epimorphic image of  $H_i$ ;
- (iv)  $\pi_i \cap \pi(G/G') \subseteq \pi(H_i/H'_i).$

Assume that  $H_i$  has been constructed, and set  $p = p_{i+1}$  and  $d_p = d_p(G)$ . We distinguish two different cases: Case (i). Either p divides |G/G'| or G contains no complemented chief factor of order p. We consider the direct product  $H_{i+1} = H_i \times C_p^{d_p}$ . Clearly,

$$P_{H_{i+1},p_j}(k) = P_{H_i,p_j}(k) \le P_{G,p_j}(k)$$
 if  $j \le i$ .

Moreover, by Lemma 2.6 (ii), (iii),

$$P_{H_{i+1},p}(k) = C_{p,d_p}(k) \le P_{G,p}(k).$$

Case (ii). p does not divide |G/G'|, but G contains a complemented chief factor which is isomorphic to a nontrivial G-module, say A, of order p. In this case,  $G/C_G(A)$  is a nontrivial cyclic group whose order divides p-1. Let q be a prime divisor of  $|G/C_G(A)|$  (it must be  $q = p_j$  for some  $j \leq i$ ). Since q divides |G/G'|, we have that qdivides also  $|H_i/H'_i|$ ; hence, there exists a normal subgroup N of  $H_i$ with  $H_i/N \cong C_q$  and a nontrivial action of  $H_i$  on  $C_p$  with kernel N. We use this action to construct the supersoluble group  $H_{i+1} = C_p^{d_p} \rtimes H_i$ . Clearly,  $P_{H_{i+1},p_j}(k) = P_{H_i,p_j}(k) \leq P_{G,p_j}(k)$  if  $j \leq i$ . Moreover, by Lemma 2.6 (i),  $P_{H_{i+1},p}(k) = D_{p,d_p}(k) \leq P_{G,p}(k)$ .

The proof is complete, noting that  $H = H_n$  satisfies the requests in the statement.

Proof of Theorem 1.2. Let  $\pi = \pi(G)$ . From Theorem 4.1, there exists a supersoluble group H such that  $\pi(H) = \pi$ ,  $d_p(H) \leq d$  for every  $p \in \pi$  and  $P_G(k) \geq P_H(k)$  for every  $k \in \mathbb{N}$ . In particular,

$$e(G) = \sum_{k \ge 0} (1 - P_G(k)) \le \sum_{k \ge 0} (1 - P_H(k)) = e(H).$$

Since H is supersoluble, if A is H-isomorphic to a chief factor of H, then |A| = p for some  $p \in \pi$  and  $H/C_H(A)$  is a cyclic group of order dividing p-1. If p is a Fermat prime, then  $H/C_H(A)$  is a 2-group and, since |H| is odd, we must have  $H = C_H(A)$ . This implies that, if  $p \in \pi$ is a Fermat prime, then  $P_{H,p}(k) = C_{p,d_p(H)}(k) \ge C_{p,d}(k)$ . For all of the other primes in  $\pi$ , by Lemma 2.6 (i), we have  $P_{H,p}(k) \ge D_{p,d}(k)$ . Therefore, denoting the set of Fermat primes by  $\Lambda$  and the set of the remaining odd primes by  $\Delta$ , we obtain

$$P_H(k) = \prod_{p \in \pi} P_{H,p}(k) \ge \prod_{p \in \Lambda} C_{p,d}(k) \prod_{p \in \Delta} D_{p,d}(k).$$

It follows that

$$\begin{split} e(H) &= \sum_{k \ge 0} (1 - P_H(k)) \\ &\leq \sum_{k \ge 0} \left( 1 - \prod_{p \in \Lambda} \prod_{1 \le i \le d} \left( 1 - \frac{p^{i-1}}{p^k} \right) \prod_{\substack{p \in \Delta \\ p \ne 2}} \prod_{1 \le i \le d} \left( 1 - \frac{p^i}{p^k} \right) \right) \\ &= d + 1 + \sum_{k \ge d+1} \left( 1 - \prod_{p \in \Lambda} \prod_{1 \le i \le d} \left( 1 - \frac{p^{i-1}}{p^k} \right) \prod_{p \in \Delta} \prod_{1 \le i \le d} \left( 1 - \frac{p^i}{p^k} \right) \right) \\ &= d + 1 + \sum_{k \ge 0} \left( 1 - \prod_{p \in \Lambda} \prod_{1 \le i \le d} \left( 1 - \frac{p^{i-1}}{p^{t+(d+1)}} \right) \prod_{p \in \Delta} \prod_{1 \le i \le d} \left( 1 - \frac{p^i}{p^{t+(d+1)}} \right) \right). \end{split}$$

Let

$$\widetilde{\kappa}_d = \sum_{t \ge 0} \left( 1 - \prod_{p \in \Lambda} \prod_{1 \le i \le d} \left( 1 - \frac{p^{i-1}}{p^{t+(d+1)}} \right) \prod_{p \in \Delta} \prod_{1 \le i \le d} \left( 1 - \frac{p^i}{p^{t+(d+1)}} \right) \right) + 1.$$

It can easily be verified that  $\widetilde{\kappa}_d$  increases as d increases. Let

$$b = \prod_{1 \le n \le \infty} \left( 1 - \frac{1}{2^n} \right)^{-1}, \qquad c = \prod_{2 \le n \le \infty} \zeta(n)^{-1},$$

and let  $\Lambda^* = \{3, 5, 17, 257, 65537\}$  be the set of the known Fermat primes. Similar computations to those in the final part of Section 3 lead to the conclusion:

$$\begin{aligned} \widetilde{\kappa}_{d} &\leq 3 - \frac{b \cdot c}{2} \prod_{p \in \Lambda} \frac{p^{2}}{p^{2} - 1} \\ &+ \sum_{j \geq 2} \left( 1 - b \prod_{1 \leq n \leq j} \left( 1 - \frac{1}{2^{n}} \right) \prod_{p \in \Lambda} \left( 1 + \frac{1}{p^{j+1} - 1} \right) c \prod_{2 \leq n \leq j} \zeta(n) \right) \\ &\leq 3 - \frac{b \cdot c}{2} \prod_{p \in \Lambda^{*}} \frac{p^{2}}{p^{2} - 1} \\ &+ \sum_{j \geq 2} \left( 1 - b \prod_{1 \leq n \leq j} \left( 1 - \frac{1}{2^{n}} \right) \prod_{p \in \Lambda^{*}} \left( 1 + \frac{1}{p^{j+1} - 1} \right) c \prod_{2 \leq n \leq j} \zeta(n) \right). \end{aligned}$$

Let

$$\begin{split} \widetilde{\kappa} &= 3 - \frac{b \cdot c}{2} \prod_{p \in \Lambda^*} \frac{p^2}{p^2 - 1} \\ &+ \sum_{j \ge 2} \left( 1 - b \prod_{1 \le n \le j} \left( 1 - \frac{1}{2^n} \right) \prod_{p \in \Lambda^*} \left( 1 + \frac{1}{p^{j+1} - 1} \right) c \prod_{2 \le n \le j} \zeta(n) \right). \end{split}$$

With the aid of PARI/GP, we get that  $\tilde{\kappa} \sim 2.148668$ .

### 5. Permutation groups.

**Theorem 5.1** ([7]). If G is a p-subgroup of Sym(n), then G can be generated by |n/p| elements.

**Theorem 5.2** ([13, Theorem 10.0.5]). The chief length of a permutation group of degree n is at most n-1.

**Lemma 5.3.** If  $G \leq \text{Sym}(n)$  and  $n \geq 8$ , then  $\beta(G) \leq \lfloor n/2 \rfloor - 3$ .

*Proof.* Let R(G) be the soluble radical of G. From [6, Theorem 2], G/R(G) has a faithful permutation representation of degree at most n, so we may assume that R(G) = 1. In particular,

$$\operatorname{soc}(G) = S_1 \times \cdots \times S_r,$$

where  $S_1, \ldots, S_r$  are nonabelian simple groups and, by [2, Theorem 3.1],  $n \ge 5r$ . Let

$$K = N_G(S_1) \cap \dots \cap N_G(S_r).$$

We have that  $K/\operatorname{soc}(G)$  is soluble and that  $G/K \leq \operatorname{Sym}(r)$ ; thus, by Theorem 5.2,  $\beta(G/K) \leq r-1$  (and, indeed,  $\beta(G/K) = 0$  if  $r \leq 4$ ). However, then,  $\beta(G) \leq 2r - 1 \leq 2\lfloor n/5 \rfloor - 1$  if  $r \geq 5$ ,  $\beta(G) \leq r \leq \lfloor n/5 \rfloor$ otherwise.  $\square$ 

**Lemma 5.4.** Suppose that  $G \leq \text{Sym}(n)$  with  $n \geq 8$ . If G is not soluble, then

$$e(G) \le \lfloor n/2 \rfloor + 1.533823.$$

*Proof.* Let  $m = \lfloor n/2 \rfloor$ . From Theorem 5.1,  $d_2(G) \leq m$ . Since G is not soluble, we must have  $\beta(G) \geq 1$ . By Lemma 5.3,  $\beta(G) \leq m-3$ ;

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hence, by Lemma 2.3,  $\mu^*(G,m) \leq 1/4$ . From Lemma 2.1 (ii), (iv),  $\alpha_2(G) \leq m-1$  and  $\alpha_{2,u}(G) \leq m-2$  for every u > 1; hence, by Lemma 2.4,  $\mu_2(G,m) \leq 1$ . If  $p \geq 5$ , then, by Theorem 5.1,

$$m - \alpha_p(G) \ge m - d_p(G) \ge m - \lfloor n/5 \rfloor \ge 3;$$

thus, by Lemma 2.4,  $\mu_p(G,m) \leq (p(p-1)^2)^{-1}$ . Since  $n \geq 8$ , we have  $m - \alpha_3(G) \geq m - \lfloor n/3 \rfloor \geq 2$  if  $n \neq 9$ . On the other hand, it can be easily verified that  $\alpha_3(G) \leq 2$  for every non-soluble subgroup G of Sym(9); hence,  $m - \alpha_3(G) \geq 2$  also when n = 9. But, then, again by Lemma 2.4,  $\mu_3(G,m) \leq 1/4$ . It follows that

$$\begin{split} e(G) &\leq m + \mu^*(G,m) + \mu_2(G,m) + \mu_3(G,m) + \sum_{p>3} \mu_p(G,m) \\ &\leq m + \frac{1}{4} + 1 + \frac{1}{4} + \sum_{p\geq 5} \frac{1}{p(p-1)^2} \leq m + \frac{3}{2} + \sum_{n\geq 5} \frac{1}{n(n-1)^2} \\ &\leq m + 1.533823. \end{split}$$

**Lemma 5.5.** Suppose that  $G \leq \text{Sym}(n)$  with  $n \geq 8$ . If G is soluble and  $\alpha_{2,1}(G) < \lfloor n/2 \rfloor$ , then

$$e(G) \le \lfloor n/2 \rfloor + 1.533823.$$

*Proof.* Let  $\alpha = \alpha_{2,1}(G)$ ,  $\alpha^* = \sum_{i>1} \alpha_{2,i}(G)$  and  $m = \lfloor n/2 \rfloor$ . Note that  $\alpha^* \leq m-1$  by Lemma 2.1 (iv). Set

$$\mu_{2,1}(G,t) = \sum_{k \ge t} \frac{m_2^A(G)}{2^k}, \qquad \mu_{2,2}(G,t) = \sum_{k \ge t} \left(\sum_{n \ge 2} \frac{m_{2^n}^A(G)}{2^{nk}}\right).$$

We distinguish two cases:

Case (1).  $\alpha_{2,u}(G) < m-1$  for every  $u \ge 2$ . Since  $m_2^A(G) = 2^{\alpha} - 1$ , we have

$$\mu_{2,1}(G,m) \le \sum_{k \ge m} \frac{2^{\alpha}}{2^k} = \frac{1}{2^{m-\alpha-1}} \le 1.$$

Moreover, arguing as in the proof of [12, Lemma 7], we deduce that

$$\mu_{2,2}(G,m) \le \frac{1}{2^{m-\alpha^*-1}} \le 1.$$

Note that, if  $\alpha = m - 1$ , then  $\alpha^* \leq 1$ , and consequently,  $\mu_{2,2}(G,m) \leq 2^{2-m} \leq 1/4$ . Similarly, if  $\alpha^* = m - 1$ , then  $\alpha \leq 1$  and  $\mu_{2,1}(G,m) \leq 1$ 

 $2^{2-m} \leq 1/4$ . If follows that

$$\mu_2(G,m) = \mu_{2,1}(G,m) + \mu_{2,2}(G,m) \le 5/4.$$

Except for the case when n = 9 and  $\alpha_3(G) = 3$ , arguing as near the end of the proof of Lemma 5.4, we conclude that

$$e(G) \le m + \mu_2(G, m) + \mu_3(G, m) + \sum_{p>3} \mu_p(G, m)$$
$$\le m + \frac{5}{4} + \frac{1}{4} + \sum_{p\ge 5} \frac{1}{p(p-1)^2} \le m + 1.533823$$

It remains to deal with the case when G is a soluble subgroup of Sym(9) with  $\alpha_3(G) = 3$ . This occurs only if G is contained in the wreath product Sym(3)  $\wr$  Sym(3). In particular,  $\alpha_2(G) \leq 3$ . If  $\alpha_2(G) \leq 2$ , then, by Lemma 2.4,

$$e(G) \le 5 + \mu_2(G,5) + \mu_3(G,5) \le 5 + 1/4 + 1/4 = 5.5.$$

We have  $\alpha_2(G) = \alpha_3(G) = 3$  only in two cases: Sym(3) × Sym(3) × Sym 3 and  $\langle (1,2,3), (4,5,6), (1,4)(2,5)(3,6), (1,2)(4,5) \rangle \times$  Sym(3). In these two cases, G contains exactly 16 maximal subgroups, 7 of index 2 and 9 of index 3. But, then,

$$e(G) \le 4 + \sum_{k \ge 4} \frac{m_2(G)}{2^k} + \sum_{k \ge 4} \frac{m_3(G)}{3^k}$$
$$= 4 + \sum_{k \ge 4} \frac{7}{2^k} + \sum_{k \ge 4} \frac{9}{3^k}$$
$$= 4 + \frac{7}{8} + \frac{1}{6} \sim 5.041667.$$

Case (2).  $\alpha_{2,u}(G) = m-1$  for some  $u \ge 2$ . In this case,  $m_2^A(G) \le 1$ ; so,

$$\mu_{2,1}(G, m+1) \le \sum_{k \ge m+1} \frac{1}{2^k} = \frac{1}{2^m} \le \frac{1}{16}.$$

Moreover, by [12, Lemma 5],  $m_{2^u}^A(G) \leq 2^{u\alpha_{2,t}(G)+u}$ , which yields:

$$\mu_{2,2}(G, m+1) = \sum_{k \ge m+1} \left( \sum_{n \ge 2} \frac{m_{2^n}^A(G)}{2^{nk}} \right)$$

$$= \sum_{k \ge m+1} \frac{m_{2^u}^A(G)}{2^{uk}} \le \sum_{k \ge m+1} \frac{2^{u\alpha_{2,t}(G)+u}}{2^{uk}}$$
$$\le \sum_{k \ge m+1} \frac{2^{um}}{2^{uk}} = \frac{1}{2^u - 1} \le \frac{1}{3}.$$

If  $p \geq 5$ , then  $m - \alpha_p(G) \geq 3$ ; thus, by Lemma 2.4,  $\mu_p(G, m+1) \leq (p(p-1))^{-2}$ . Moreover,  $m - \alpha_3(G) \geq 2$  (note that there is no subgroup of Sym(9) with  $\alpha_3(G) = 3$  and  $\alpha_{2,u}(G) = 3$  for some  $u \geq 2$ ). Therefore, again by Lemma 2.4,  $\mu_3(G, m+1) \leq 1/12$ . It follows that

$$\begin{split} e(G) &\leq m+1+\mu_{2,1}(G,m+1)+\mu_{2,2}(G,m+1) \\ &+\mu_3(G,m+1)+\sum_{p>3}\mu_p(G,m+1) \\ &\leq m+1+\frac{1}{16}+\frac{1}{3}+\frac{1}{12}+\sum_{p\geq 5}\frac{1}{p^2(p-1)^2} \\ &\leq m+71/48+\sum_{n\geq 5}\frac{1}{n^2(n-1)^2}\leq m+1.484316. \end{split}$$

When  $G \leq \text{Sym}(n)$  and  $n \leq 7$ , the precise value of e(G) can be computed by GAP [3] using the formula

$$e(G) = -\sum_{H < G} \frac{\mu_G(H)|G|}{|G| - |H|},$$

where  $\mu_G$  is the Möbius function defined on the subgroup lattice of G (see [11, Theorem 1]). The crucial information is contained in the next lemma.

**Lemma 5.6.** Suppose that  $G \leq \text{Sym}(n)$  with  $n \leq 7$ . Either  $e(G) \leq \lfloor n/2 \rfloor + 1$ , or one of the following cases occurs:

- (1)  $G \cong \text{Sym}(3), n = 3, e(G) = 29/10;$
- (2)  $G \cong C_2 \times C_2, n = 4, e(G) = 10/3;$
- (3)  $G \cong D_8, n = 4, e(G) = 10/3;$
- (4)  $G \cong C_2 \times \text{Sym}(3), n = 5, e(G) = 1181/330;$
- (5)  $G \cong C_2 \times C_2 \times C_2, n = 6, e(G) = 94/21;$
- (6)  $G \cong C_2 \times D_8$ , n = 6, e(G) = 94/21;
- (7)  $G \cong C_2 \times C_2 \times \text{Sym}(3), n = 7, e(G) = 241789/53130;$
- (8)  $G \cong D_8 \times \text{Sym}(3), n = 7, e(G) = 241789/53130.$

**Theorem 5.7.** Let G be a permutation group of degree  $n \neq 3$ . If  $\alpha_{2,1}(G) = \lfloor n/2 \rfloor$ , then  $e(G) \leq \lfloor n/2 \rfloor + \nu$ , with  $\nu \sim 1.606695$ .

*Proof.* Let  $m = \lfloor n/2 \rfloor$ . We have that  $\alpha_{2,1}(G) = m$  if and only if  $C_2^m$  is an epimorphic image of G. If  $C_2^m$  is an epimorphic image of G, then, by [7, main theorem], the group G is the direct product of its transitive constituents, and each constituent is one of the following: Sym(2) of degree 2, Sym(3) of degree 3,  $C_2 \times C_2$  and  $D_8$  of degree 4, and the central product  $D_8 \circ D_8$  of degree 8. Consequently:

$$G/\operatorname{Frat}(G) \simeq \begin{cases} C_2^m & \text{if } n = 2m, \\ C_2^{m-1} \times \operatorname{Sym}(3) & \text{if } n = 2m+1. \end{cases}$$

Therefore, by (2.3),

$$P_G(k) = P_{G/\operatorname{Frat}(G)}(k) = \prod_{0 \le i \le m-1} \left(1 - \frac{2^i}{2^k}\right) \left(1 - \frac{3}{3^k}\right)^{n-2m}$$

Setting  $\eta = 0$  if n is even, and  $\eta = 1$  otherwise, we have

$$e(G) = \sum_{k \ge 0} (1 - P_G(k)) \le \sum_{k \ge 0} \left( 1 - \prod_{0 \le i \le m-1} \left( 1 - \frac{2^i}{2^k} \right) \left( 1 - \frac{3}{3^k} \right)^\eta \right)$$
  
=  $m + \sum_{k \ge m} \left( 1 - \prod_{0 \le i \le m-1} \left( 1 - \frac{2^i}{2^k} \right) \left( 1 - \frac{3}{3^k} \right)^\eta \right)$   
=  $m + \sum_{j \ge 0} \left( 1 - \prod_{1 \le l \le m} \left( 1 - \frac{1}{2^{j+l}} \right) \left( 1 - \frac{3}{3^{j+m}} \right)^\eta \right).$ 

Set

$$\omega_{m,\eta} = \sum_{j \ge 0} \left( 1 - \prod_{1 \le l \le m} \left( 1 - \frac{1}{2^{j+l}} \right) \left( 1 - \frac{3}{3^{j+m}} \right)^{\eta} \right).$$

Clearly,  $\omega_{m,0}$  increase with m. On the other hand, if  $m \ge 4$  and  $j \ge 0$ , then

$$\left(1 - \frac{1}{2^{j+m+1}}\right) \left(1 - \frac{3}{3^{j+m+1}}\right) \le \left(1 - \frac{3}{3^{j+m}}\right)$$

and thus,  $\omega_{m,1} \leq \omega_{m+1,1}$  if  $m \geq 4$ . Moreover,

$$\lim_{m \to \infty} \omega_{m,1} = \lim_{m \to \infty} \omega_{m,0} \sim 1.606695.$$

Then,  $e(G) \leq m + 1.606695$  whenever  $m \geq 4$ . The values of e(G) when n is small are given in the following table (which also indicates how fast e(G) - m tends to 1.606695).

n	e(G)	n	e(G)
2	2	9	$\frac{4633553}{832370} \sim 5.566699$
3	$\frac{29}{10} = 2.900000$	10	$\frac{7134}{1085} \sim 6.575115$
4	$\frac{10}{3} \sim 3.333334$	11	$\frac{3227369181}{490265930} \sim 6.582895$
5	$\frac{1181}{330} \sim 3.578788$	12	$\frac{74126}{9765} \sim 7.590988$
6	$\frac{94}{21} \sim 4.476191$	13	$\frac{6399598043131}{842767133670} \sim 7.593554$
7	$\frac{241789}{53130} \sim 4.550894$	14	$\frac{10663922}{1240155} \sim 8.598862$
8	$\frac{194}{35} \sim 5.542857$	15	$\frac{70505670417749503}{8198607229768494} \sim 8.599713$

TABLE 1.

From the information contained in Table 1, we deduce that  $e(G) \leq m + 1.606695$ , except when G = Sym(3).

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