

## GENERALIZED BI-CIRCULAR PROJECTIONS ON SPACES OF OPERATORS AND $JB^*$ TRIPLES

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**ABSTRACT.** We give a characterization of generalized bi-circular projections on spaces of operators  $\mathcal{B}(X, Y)$  which support only elementary surjective isometries. We also give a characterization of generalized bi-circular projections for  $JB^*$  triples.

**1. Introduction.** Fosner, Illisevic, and Li in [7] have introduced an interesting class of projections on Banach spaces. We refer to these projections as generalized bi-circular projections. The results of Fosner, Illisevic, and Li generalizes earlier results by Stacho and Zalar on bi-circular projections, see [16, 17]. Stacho and Zalar call a projection  $P$  on a Banach space  $X$  a bi-circular projection if  $e^{ia}P + e^{ib}(I - P)$  is an isometry for all choices of real numbers  $a$  and  $b$ . It is easy to show that these projections are norm Hermitian, see [11]. Fosner, Illisevic, and Li in [7] only require that  $P + \lambda(I - P)$  be an isometry for some  $\lambda \in \mathbf{T} \setminus \{1\}$ . In [7], the authors obtained nice results in the finite-dimensional setting and raise the problem of classifying these projections in other Banach spaces. In this paper, we study such projections for spaces  $\mathcal{B}(X, Y)$  of bounded operators between pairs of Banach spaces. We also make some observations about generalized bi-circular projections in  $JB^*$  triple systems. These results follow from a result of Guerrero and Palacios in [9], as well as some results of Friedman and Russo in [8].

**2. Generalized bi-circular projections on  $\mathcal{B}(X, Y)$ .** In this section, we give a characterization of generalized bi-circular projections on spaces of operators  $\mathcal{B}(X, Y)$  supporting only isometries of a special type. Operators of the form  $\mathcal{J}(T) = UTV$  on  $\mathcal{B}(X, Y)$  with  $U$  and  $V$  surjective isometries on  $Y$  and  $X$ , are clearly surjective isometries on

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the  $\mathcal{B}(X, Y)$ . Isometries of this type are referred to, throughout this paper, as isometries of type I. The isometry group of  $\mathcal{B}(X, Y)$  is known to be particularly simple for certain pairs of Banach spaces. It has been shown that several spaces of operators support only isometries of type I, see e.g., [13, 15]. The next theorem is our main result for generalized bi-circular projections on spaces of operators  $\mathcal{B}(X, Y)$  supporting only isometries of type I.

**Theorem 2.1.** *If  $X$  and  $Y$  are complex Banach spaces such that  $\mathcal{B}(X, Y)$  supports only isometries of type I, then a projection  $P$  on  $\mathcal{B}(X, Y)$  is a generalized bi-circular projection if and only if*

(i)  $P(T) = P_Y T$  or  $P(T) = T P_X$  with  $P_X$  and  $P_Y$  generalized bi-circular projections on  $X$  and  $Y$  respectively, or

(ii) there exist isometric reflections  $U_Y$  and  $V_X$  on  $Y$  and  $X$  respectively so that  $P(T) = (T + U_Y T V_X)/2$ .

*Proof.* If the projection  $P$  is as stated in the proposition, then clearly it is a generalized bi-circular projection on  $\mathcal{B}(X, Y)$ . Conversely, we assume that the operator  $P$  is a generalized bi-circular projection. Then the isometry  $\mathcal{J} = P + \lambda(Id - P)$  satisfies

$$(2.1) \quad \mathcal{J}^2 - (\lambda + 1)\mathcal{J} + \lambda Id = 0.$$

This last equation is equivalent to

$$(2.2) \quad U^2 T V^2 - (\lambda + 1) U T V + \lambda T = 0,$$

for all  $T \in \mathcal{B}(X, Y)$ . Given a nontrivial  $v \in Y$ , we consider the rank one operator  $T$  of the form  $T(x) = \varphi(x)v$ , with  $\varphi \in X^*$ . We first observe that every  $x$  we must have that  $\{x, V(x), V^2(x)\}$  is linearly dependent. If for every  $x$   $\{x, V(x)\}$  is linearly dependent, then there exists a modulus one constant  $a$ , independent of  $x$ , such that  $V = aId_X$ . The equation (2.2) implies that

$$(2.3) \quad a^2 U^2 - (\lambda + 1)aU + \lambda Id = 0.$$

A theorem from Taylor, see [18, page 317], asserts the existence of two projections  $P_1$  and  $P_2$  on  $Y$  so that  $P_1 + P_2 = Id$ ,  $P_1 P_2 = P_2 P_1 = 0$  and

$U = \bar{a}\lambda P_1 + \bar{a}P_2$ . Consequently  $\mathcal{J}(T) = (\lambda P_1 + P_2)T$  and  $P(T) = P_2 T$ . We observe that  $P_2$  is a generalized bi-circular projection on  $Y$ . If there exists an  $x_0$  so that  $\{x_0, V(x_0)\}$  is linearly independent, then  $V^2(x_0) = ax_0 + bV(x_0)$ . A convenient choice of  $\varphi \in X^*$  so that  $\varphi(x_0) = 0$  and  $\varphi(V(x_0)) = 1$  reduces equation (2.2) to  $bU^2v - (\lambda + 1)Uv = 0$ , for all  $v \in Y$ . If  $b \neq 0$ , then  $U = \gamma Id_Y$ , for a constant  $\gamma$  of modulus 1. In this case, equation (2.2) becomes  $\gamma^2 V^2 - \gamma(\lambda + 1)V + \lambda Id_X = 0$  and Taylor's theorem asserts the existence of projections  $Q_1$  and  $Q_2$  on  $X$  such that  $V = \bar{\gamma}\lambda Q_1 + \bar{\gamma}Q_2$ . This implies that  $\mathcal{J}(T) = T[\lambda Q_1 + Q_2]$  and thus  $P(T) = TQ_2$ , with  $Q_2$  a generalized bi-circular projection on  $X$ . If  $b = 0$ , it follows that  $\lambda = -1$  and equation (2.2) implies that  $U^2TV^2 = T$ , for every  $T \in B(X, Y)$ . Hence,  $U^2 = aId_Y$  and  $V^2 = \bar{a}Id_X$ , with  $a$  a modulus 1 complex number of the form  $a = e^{i\theta}$ . We set  $U_Y = e^{-i\theta/2}U$  and  $V_X = e^{i\theta/2}V$ . Therefore  $P(T)$  must be as in (ii).  $\square$

*Remark 2.2.* (1) Grzaślewicz, in [10], showed that the surjective isometries on  $\mathcal{B}(l^p, l^r)$ , with  $1/p + 1/r \neq 1$  and  $p, r \in (1, \infty)$ , are of type I. For  $r \neq 2$ , the generalized bi-circular projections on  $l^r$  are just the average of the identity and an isometric reflection. This holds true for symmetric sequence spaces with 1-symmetric basis, as a consequence of Arazy's characterization of isometries on such spaces, see [1].

(1) Pairs of Banach spaces  $(X, Y)$  for which  $\mathcal{B}(X, Y)$  supports only surjective isometries of type I are called ideal pairs and have been studied by Khalil and Saleh, [13]. Thus  $X = l^p$  and  $Y = l^r$ , with  $p, r \in (1, \infty)$  and  $1/p + 1/r \neq 1$ , are an ideal pair, see [1].

(2) It is known that generalized bi-circular projections are bi-contractive, see [9, 14]. This raises the question as to whether the bi-contractive projections on  $\mathcal{B}(X, Y)$  are generalized bi-circular projections in the case that  $(X, Y)$  form an ideal pair?

**3. Generalized bi-circular projections on  $JB^*$ -triples.** In this section we note that the characterization of generalized bi-circular projections follows easily in some settings such as  $JB^*$  triples. This is because of the connection between bi-contractive projections and [9].

**Lemma 3.1.** *Let  $P$  be a non-zero linear projection on a Banach space  $X$ , and  $\alpha \in \mathbf{C}$  such that  $I + (\alpha I - P) = U$  (an isometry). Then  $|\alpha| = 1$ . Moreover we have:*

- (i)  *$P$  is bi-contractive whenever  $\alpha \neq 1$  and*
- (ii)  *$P$  is Hermitian whenever the argument of  $\alpha$  is irrational modulo  $\pi$ .*

It follows immediately as a consequence of this lemma that every generalized bi-circular projection is bi-contractive. Combining this fact together with the following result of Friedman and Russo yields a characterization of generalized bi-circular projections on  $JB^*$  triples. We refer the reader to the paper of Friedman and Russo, [8] and the references therein, for the background on  $JB^*$  triples. Their theorem is the following.

**Theorem 3.2.** *Let  $P$  be a bi-contractive projection on a  $JB^*$ -triple  $U$ . Then there is a surjective isometry  $\theta$  on  $U$  of order 2 such that  $P = (I + \theta)/2$ .*

Since every operator on  $U$  of the form  $P = (I + \theta)/2$ , with  $\theta$  a surjective isometry of order 2 is a generalized bi-circular projection, we have the following corollary.

**Corollary 3.3.**  *$P$  is a generalized bi-circular projection on a  $JB^*$  triple  $U$  if and only if there is a surjective isometry  $\theta$  of order 2 such that  $P = (I + \theta)/2$ .*

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