

**A NOTE ON WEIGHTED ESTIMATES  
FOR CERTAIN CLASSES OF  
PSEUDO-DIFFERENTIAL OPERATORS**

SHUICHI SATO

ABSTRACT. We consider certain classes of pseudo-differential operators and prove  $L_w^2 - L_w^2$ ,  $L_w^1 - L_w^{1,\infty}$  and  $H_w^1 - L_w^1$  estimates.

**1. Introduction.** For a multi-index  $\alpha = (\alpha_1, \dots, \alpha_n)$ , let  $(\partial\xi)^\alpha$  denote the differential operator

$$(\partial/\partial\xi_1)^{\alpha_1} \dots (\partial/\partial\xi_n)^{\alpha_n}.$$

Put  $|\alpha| = \alpha_1 + \dots + \alpha_n$ . Let  $\omega : [0, \infty) \times [0, \infty) \rightarrow [0, \infty)$  be such that

(1) for each fixed  $s$ ,  $\omega(s, t)$  is continuous, increasing and concave with respect to  $t$  and  $\omega(s, 0) = 0$ ;

(2) if  $s/2 \leq s' \leq 2s$ ,  $\omega(s', t) \leq C\omega(s, t)$  for some constant  $C$ ;

$$(3) \quad \sum_{j=0}^{\infty} \omega(2^j, 2^{-j})^2 < \infty.$$

A function  $\omega$  satisfying these conditions is called a modulus of continuity. Let  $\sigma(x, \xi)$  be a continuous, bounded function on  $\mathbf{R}^n \times \mathbf{R}^n$ . Let  $L, M$  be nonnegative integers. We consider the following conditions:

$$(1.1) \quad |(\partial\xi)^\alpha \sigma(x, \xi)| \leq C_\alpha (1 + |\xi|)^{-|\alpha|} \quad \text{for all } |\alpha| \leq L,$$

$$(1.2) \quad |(\partial\xi)^\alpha \sigma(x + y, \xi) - (\partial\xi)^\alpha \sigma(x, \xi)| \\ \leq C_\alpha (1 + |\xi|)^{-|\alpha|} \omega(1 + |\xi|, |y|) \quad \text{for all } |\alpha| \leq M.$$

We say that  $\sigma \in \Sigma(\omega, L, M)$  if  $\sigma(x, \xi)$  satisfies (1.1) and (1.2).

---

2000 AMS *Mathematics Subject Classification.* 35S05, 42B20, 42B25.

*Key words and phrases.* Pseudodifferential operators, weighted  $L^2$  estimates, weak type (1,1) estimates.

Received by the editors on June 10, 2002, and in revised form on December 18, 2002.

Let  $\sigma(x, D)$  denote the pseudo-differential operator defined by

$$\sigma(x, D)f(x) = \int_{\mathbf{R}^n} \sigma(x, \xi) \hat{f}(\xi) e^{2\pi i \langle x, \xi \rangle} d\xi,$$

where  $\langle x, \xi \rangle$  denotes the inner product in  $\mathbf{R}^n$  and  $\hat{f}$ ,  $f \in \mathcal{S}(\mathbf{R}^n)$  (the Schwartz space), is the Fourier transform; we also write  $\hat{f} = \mathcal{F}(f)$ .

Now we define some function spaces. Let  $\omega \in A_1$  where  $A_p$  denotes the weight class of Muckenhoupt. A nonnegative, locally integrable function  $w$  is of class  $A_1$ , by definition, if there exists a constant  $c \geq 0$  such that  $\mathcal{M}(w)(x) \leq cw(x)$  almost everywhere, where  $\mathcal{M}$  denotes the Hardy-Littlewood maximal operator. Let  $\varphi \in C_0^\infty(\mathbf{R}^n)$  be nonnegative, radial and such that  $\text{supp}(\varphi) \subset \{|x| \leq 1\}$ ,  $\varphi(0) = 1$ ,  $\int \varphi = 1$ . Let  $f$  be a tempered distribution on  $\mathbf{R}^n$ . We say  $f \in H_w^1(\mathbf{R}^n)$  if

$$\|f\|_{H_w^1} = \int_{\mathbf{R}^n} \sup_{t>0} |f * \varphi_t(x)| w(x) dx < \infty,$$

where  $\varphi_t(x) = t^{-n} \varphi(t^{-1}x)$ . We denote by  $L_w^{1,\infty}$  the weak  $L_w^1$  space of all those measurable functions  $f$  which satisfy

$$\|f\|_{L_w^{1,\infty}} = \sup_{\lambda>0} \lambda w(\{x \in \mathbf{R}^n : |f(x)| > \lambda\}) < \infty,$$

where  $w(E) = \int_E w(x) dx$ . Finally, for a weight  $v$ ,  $L_v^p$  denotes the weighted Lebesgue space with norm  $\|f\|_{L_v^p} = (\int |f(x)|^p v(x) dx)^{1/p}$ .

In this note we shall prove the following.

**Theorem 1.** *Let  $w \in A_1$ . If  $\sigma(x, \xi) \in \Sigma(\omega, [n/2] + 1, [n/2] + 1)$ , then the pseudo-differential operator  $\sigma(x, D)$  extends to a bounded operator on  $L_w^2$  where  $[a]$  denotes the integer such that  $a - 1 < [a] \leq a$ .*

**Theorem 2.** *Let  $w \in A_1$ . If  $\sigma(x, \xi) \in \Sigma(\omega, n + 1, [n/2] + 1)$ , then  $\sigma(x, D)$  extends to a bounded operator from  $L_w^1$  to  $L_w^{1,\infty}$  and from  $H_w^1$  to  $L_w^1$ .*

When  $\omega(s, t) = \omega_0(t)$  and  $w$  is a constant function, these mapping properties of the pseudo-differential operators were proved by Coifman-Meyer under stronger assumptions on  $\sigma(x, \xi)$ , see [3, Theorem 9].

Weighted estimates were studied in detail by Yabuta [9]. (See also Muramatu-Nagase [6], Miyachi-Yabuta [5], Carbery-Seeger [2] and Yamazaki [10].) Theorems 1 and 2 improve results of [9].

Taking  $\omega(s, t) = s^\delta t$ ,  $0 < \delta < 1$ , in Theorems 1 and 2 we have the following two corollaries.

**Corollary 1.** *Let  $w \in A_1$ . If  $\sigma(x, \xi)$  satisfies (1.1) with  $L = [n/2] + 1$  and*

$$(1.3) \quad |(\partial x)^\beta (\partial \xi)^\alpha \sigma(x, \xi)| \leq C_{\alpha, \beta} (1 + |\xi|)^{\delta|\beta| - |\alpha|}$$

*for all  $|\alpha| \leq [n/2] + 1$  and  $|\beta| = 1$  with  $0 < \delta < 1$ , then  $\sigma(x, D)$  is bounded on  $L_w^2$ .*

**Corollary 2.** *Let  $w \in A_1$ . If  $\sigma(x, \xi)$  satisfies (1.1) with  $L = n + 1$  and (1.3), then  $\sigma(x, D)$  is bounded from  $L_w^1$  to  $L_w^{1, \infty}$  and from  $H_w^1$  to  $L_w^1$ .*

Since  $\omega(s, t) = s^\delta t$  satisfies (2.1) and (2.2) of [9] (see (1.8) and (1.9) below), Corollary 1 follows from Theorem 2.1 of Yabuta [9] and Corollary 2 from [9, Section 7]. See also Journé [4].

*Remark 1.* Let

$$\sigma_a(x, \xi) = e^{-2\pi i \langle x, \xi \rangle} e^{-|x|^2} (1 + |\xi|^2)^{-n/a}, \quad a \geq 2.$$

When  $w$  is a constant function and  $n$  is odd in Theorem 1, the optimality of  $[n/2] + 1$  in  $\Sigma(\omega, [n/2] + 1, [n/2] + 1)$  can be seen by taking the symbol  $\sigma_4(x, \xi)$ . When  $w$  is a constant function and  $n \geq 3$  in Theorem 2, the optimality of  $L = n + 1$  in  $\Sigma(\omega, n + 1, [n/2] + 1)$  for the weak (1,1) boundedness can be seen by checking the symbol  $\sigma_2(x, \xi)$ . See Coifman-Meyer [3, p. 12] and Yabuta [8, Section 6].

*Remark 2.* Let  $\eta \in C_0^\infty(\mathbf{R})$  be such that  $\eta(\xi) = 1$  for  $\xi \in [3/4, 5/4]$ ,  $\text{supp}(\eta) \subset [2/3, 4/3]$ . Then the optimality of the exponent 2 in the condition  $\sum_j \omega(2^j, 2^{-j})^2 < \infty$  can be seen by checking a symbol of the form

$$\sigma(x, \xi) = \sum_{j=0}^\infty \omega_j \eta(2\pi 2^{-j} \xi) \exp(-2\pi i 2^j x)$$

with  $\sum_j \omega_j^2 = \infty$ . See Coifman-Meyer [3, pp. 39–40].

In fact, we can refine Theorems 1 and 2 as follows (Theorems 3 and 4). Let  $\sigma(x, \xi)$  be continuous and bounded on  $\mathbf{R}^n \times \mathbf{R}^n$ . Let  $L$  and  $M$  be nonnegative integers and  $0 < a, b \leq 1$ . Let  $\omega(s, t)$  be a modulus of continuity. We consider the following conditions

$$(1.4) \quad |(\partial\xi)^\alpha \sigma(x, \xi)| \leq C_\alpha (1 + |\xi|)^{-|\alpha|} \quad \text{for } |\alpha| \leq L,$$

$$(1.5) \quad |(\partial\xi)^\alpha \sigma(x, \xi + \eta) - (\partial\xi)^\alpha \sigma(x, \xi)| \\ \leq C_\alpha (1 + |\xi|)^{-|\alpha| - a} |\eta|^a \quad \text{for } |\eta| < (1 + |\xi|)/2 \text{ and } |\alpha| = L,$$

$$(1.6) \quad |(\partial\xi)^\alpha \sigma(x + y, \xi) - (\partial\xi)^\alpha \sigma(x, \xi)| \\ \leq C_\alpha (1 + |\xi|)^{-|\alpha|} \omega(1 + |\xi|, |y|) \quad \text{for } |\alpha| \leq M,$$

$$(1.7) \quad |(\partial\xi)^\alpha \sigma(x + y, \xi + \eta) - (\partial\xi)^\alpha \sigma(x, \xi + \eta) \\ - (\partial\xi)^\alpha \sigma(x + y, \xi) + (\partial\xi)^\alpha \sigma(x, \xi)| \\ \leq C_\alpha (1 + |\xi|)^{-|\alpha| - b} |\eta|^b \omega(1 + |\xi|, |y|) \\ \text{for } |\eta| < (1 + |\xi|)/2 \text{ and } |\alpha| = M.$$

**Theorem 3.** *Suppose  $\sigma(x, \xi)$  satisfies (1.4)–(1.7) with  $L = M = [n/2]$  and  $a = b$ ,  $0 < a \leq 1$ ,  $[n/2] + a > n/2$ . Then  $\sigma(x, D)$  is bounded on  $L_w^2$  for all  $w \in A_1$ .*

**Theorem 4.** *Suppose  $\sigma(x, \xi)$  satisfies the conditions (1.4), (1.5) with  $L = n$ ,  $0 < a \leq 1$  and the conditions (1.6), (1.7) with  $M = [n/2]$  and  $b$  such that  $[n/2] + b > n/2$ ,  $0 < b \leq 1$ . Then  $\sigma(x, D)$  is bounded from  $L_w^1$  to  $L_w^{1, \infty}$  and from  $H_w^1$  to  $L_w^1$  for all  $w \in A_1$ .*

We easily see that Theorems 1 and 2 immediately follow from Theorems 3 and 4, respectively. In Theorem 4, the assumption on  $M$  in (1.6) and (1.7) is less restrictive than that of [9, Theorem 2.3], see also [9, Section 7]. Also we note that Theorem 3 was proved in [9] with the additional, superfluous assumptions on  $\omega$  ((2.1) and (2.2) of [9])

$$(1.8) \quad \int_0^1 \omega(1/t, t^\delta)^2 dt/t < \infty \quad \text{for some } 0 < \delta < 1;$$

$$(1.9) \quad \sum_{1 \leq 2^j \leq 1/R} \omega(2^j, R) \leq B \quad \text{for all } 0 < R \leq 1 \text{ with some } B > 0.$$

We can remove these assumptions in Theorem 3.

*Remark 3.* Let  $\omega_1$  be a modulus of continuity such that  $\omega_1(s, t) = \log(2 + s)[\log(2 + 1/t)]^{-3/2-\alpha}$ ,  $\omega_1(s, 0) = 0$  for  $0 \leq s, 0 < t \leq 1$ , where  $0 < \alpha < 1/2$ . It is easy to see that  $\omega_1$  does not satisfy the condition (1.9). Let  $\tilde{\omega}_2(s, t) = s^{1/2}t^{1/2}[\log(2 + 1/t)]^{-1/2-\beta}$ ,  $\beta > 0$ ,  $\tilde{\omega}_2(s, 0) = 0$  for  $0 \leq s, 0 < t \leq 1$ . If  $\beta$  is small enough,  $\tilde{\omega}_2(s, t)$  is concave on  $[0, 1]$  with respect to  $t$  and so we can find a modulus of continuity  $\omega_2$  such that  $\omega_2(s, t) = \tilde{\omega}_2(s, t)$  for  $0 \leq s, 0 \leq t \leq 1$ . We can easily see that  $\omega_2$  does not satisfy the condition (1.8). If we define a modulus of continuity  $\omega$  by  $\omega = \omega_1 + \omega_2$ , then  $\omega$  does not satisfy either (1.8) or (1.9).

Theorems 3 and 4 are consequences of more general results (Theorems 5 and 6). Let  $\rho$  be a nonnegative function such that  $\rho^{-1} \in L^1(\mathbf{R}^n)$ . Define

$$\|f\|_{B_\rho} = \left( \int_{\mathbf{R}^n} |\hat{f}(x)|^2 \rho(x) dx \right)^{1/2}.$$

Let  $\Psi \in C^\infty(\mathbf{R}^n)$  be a radial function supported in  $\{1/2 \leq |\xi| \leq 2\}$  such that

$$\sum_{j \in \mathbf{Z}} \Psi(2^{-j}\xi) = 1 \quad \text{for } \xi \neq 0,$$

where  $\mathbf{Z}$  denotes the set of all integers. Define  $\Phi \in C_0^\infty(\mathbf{R}^n)$  by  $\Phi(\xi) = 1 - \sum_{j \geq 1} \Psi(2^{-j}\xi)$ . Then we have the following

**Theorem 5.** *Let  $\sigma(x, \xi)$  be continuous and bounded on  $\mathbf{R}^n \times \mathbf{R}^n$ . Let  $w \in A_1$ . Suppose that*

$$(1.10) \quad \sup_{t>0} \theta_t * w(x) \leq Cw(x) \quad \text{a.e. where } \theta(x) = \rho(x)^{-1}$$

and that

$$(1.11) \quad \sup_{j \geq 1} \sup_{x \in \mathbf{R}^n} \|\sigma(x, 2^j \cdot) \Psi(\cdot)\|_{B_\rho} < \infty,$$

$$(1.12) \quad \sup_{x \in \mathbf{R}^n} \|\sigma(x + y, 2^j \cdot) \Psi(\cdot) - \sigma(x, 2^j \cdot) \Psi(\cdot)\|_{B_\rho} \leq C\omega(2^j, |y|)$$

$$j \geq 1,$$

$$(1.13) \quad \sup_{x \in \mathbf{R}^n} \|\sigma(x, \cdot)\Phi(\cdot)\|_{B_\rho} < \infty.$$

Then  $\sigma(x, D)$  is bounded on  $L_w^2$ .

Let  $\beta$  be a nonnegative function on  $[0, \infty)$  such that  $\beta(t) > 0$  for  $t > 0$  and

- (1)  $\beta(s) \leq C\beta(t)$  if  $t/2 \leq s \leq 2t$ ,
- (2)  $\beta(t) \leq C(1+t)$ ,
- (3)  $\beta(s) \leq C\beta(t)$  for  $0 \leq s \leq t$ ,
- (4)  $\sum_{k \geq 1} k\beta(2^k)^{-1} < \infty$ .

We assume that functions  $w \in A_1$  and  $\rho$  satisfy the following condition for some  $\beta$  as above

$$(1.14) \quad \sup_{t>0} t^{-n} \int_{\mathbf{R}^n} \theta(y/t)(1 + \beta(|y|/t))w(x-y) dy \leq Cw(x)$$

almost everywhere, where  $\theta(x)$  is as in (1.10). We also assume that  $|\eta| * \theta(x) \leq C_\eta \theta(x)$  for all  $\eta \in \mathcal{S}(\mathbf{R}^n)$ . Under these assumptions on  $\rho$  and  $w \in A_1$ , we have the following

**Theorem 6.** *Let  $\sigma(x, \xi)$  be continuous and bounded on  $\mathbf{R}^n \times \mathbf{R}^n$ . Put*

$$A_j(x, k) = \int_{\mathbf{R}^n} \sigma(x, 2^j \xi) \Psi(\xi) \exp(-2\pi i \langle k, \xi \rangle) d\xi, \quad j \geq 1,$$

$$B(x, k) = \int_{\mathbf{R}^n} \sigma(x, \xi) \Phi(\xi) \exp(-2\pi i \langle k, \xi \rangle) d\xi.$$

Suppose  $\sigma(x, D)$  is bounded on  $L_w^2$  and

$$|A_j(x, k)| \leq C\rho(k)^{-1}, \quad j \geq 1, \quad |B(x, k)| \leq C\rho(k)^{-1}.$$

Then  $\sigma(x, D)$  is bounded from  $L_w^1$  to  $L_w^{1,\infty}$  and from  $H_w^1$  to  $L_w^1$ .

**Examples.** Let

- (1)  $\rho(x) = (1 + |x|^2)^{s/2}$ ,  $s > n$ ;
- (2)  $\rho(x) = (1 + |x|^2)^{n/2} [\log(2 + |x|^2)]^3 [\log(2 + \log(2 + |x|^2))]^{2+\varepsilon}$ ,  $\varepsilon > 0$ .

Then we can see that these functions  $\rho$  satisfy all the requirements assumed in Theorem 6 for all  $w \in A_1$  by taking  $\beta(t) = t^\tau$  with  $0 < \tau < \min(1, s - n)$  and  $\beta(t) = [\log(2 + t)]^2 [\log(2 + \log(2 + t))]^{1+\varepsilon/2}$ , respectively.

As an application of the weighted estimates of Theorem 5 and the extrapolation theorem of Rubio de Francia [7], we have the following

**Corollary 3.** *Let  $\rho$  be a nonnegative function such that  $\rho^{-1} \in L^1(\mathbf{R}^n)$ . Suppose that the condition (1.10) holds for all  $w \in A_1$ . Suppose that  $\sigma$  satisfies the conditions (1.11)–(1.13). Let  $2 < p < \infty$ . Then  $\sigma(x, D)$  is bounded on  $L_w^p$  for all  $w \in A_{p/2}$ .*

In particular, we have the conclusion of Corollary 3 under the hypotheses of Theorem 3.

We shall prove Theorem 5 in Section 2. To prove the weighted estimates, Yabuta [9] used the sharp function of Fefferman-Stein, which requires the superfluous assumptions on  $\omega$  stated above ((1.8), (1.9)). Instead of using the sharp function, basically we apply the method of Coifman-Meyer [3], the principal part of which is the decomposition of a symbol into the reduced symbols. However, to get the improved results, we need to refine the method. We shall prove Theorem 6 in Section 3 by applying a weighted version of a result of Carbery [1]. In Section 4 we shall prove Theorems 3 and 4 by applying Theorems 5 and 6.

In this note  $C$  is used to denote nonnegative constants which may be different in different occurrences.

**2. Proof of Theorem 5.** Take a radial function  $\psi \in C_0^\infty(\mathbf{R}^n)$  such that  $\text{supp}(\psi) \subset \{1/4 < |\xi| < 4\}$  and  $\psi(\xi) = 1$  if  $1/2 \leq |\xi| \leq 2$ .

Decompose

$$\begin{aligned}
 \sigma(x, \xi) &= \sigma(x, \xi)\Phi(\xi) + \sum_{j \geq 1} \sigma(x, \xi)\Psi(2^{-j}\xi) \\
 &= \sigma(x, \xi)\Phi(\xi) + \sum_{j \geq 1} \sigma(x, \xi)\Psi(2^{-j}\xi)\psi(2^{-j}\xi)^2 \\
 &= \int_{\mathbf{R}^n} B(x, k)e^{2\pi i\langle k, \xi \rangle} dk \\
 &\quad + \sum_{j \geq 1} \int_{\mathbf{R}^n} A_j(x, k) \exp(2\pi i\langle 2^{-j}k, \xi \rangle) dk \psi(2^{-j}\xi)^2,
 \end{aligned}$$

where  $A_j(x, k)$  and  $B(x, k)$  are as in Theorem 6.

**Lemma 1.** *Suppose that the conditions (1.11) and (1.12) hold. Then we can decompose  $A_j(x, k) = A_j^{(1)}(x, k) + A_j^{(2)}(x, k)$ , where*

$$|A_j^{(i)}(x, k)| = \rho(k)^{-1/2} q^{(i)}(x, k, j)$$

with nonnegative functions  $q^{(i)}(x, k, j)$  satisfying

$$(2.1) \quad \sup_{x \in \mathbf{R}^n} \sum_{j \geq 1} \int_{\mathbf{R}^n} q^{(1)}(x, k, j)^2 dk < \infty,$$

$$(2.2) \quad \sup_{x \in \mathbf{R}^n} \sup_{j \geq 1} \int_{\mathbf{R}^n} q^{(2)}(x, k, j)^2 dk < \infty.$$

Furthermore, the Fourier transform of  $A_j^{(2)}(x, k)$  in the  $x$ -variable is supported in  $\{|\xi| \leq 2^{j-10}\}$  uniformly in  $k$ .

**Lemma 2.** *Suppose that the condition (1.13) holds. Then the function*

$$r(x, k) = \rho(k)^{1/2} |B(x, k)|$$

satisfies

$$\sup_{x \in \mathbf{R}^n} \int_{\mathbf{R}^n} r(x, k)^2 dk < \infty.$$

Now we prove Lemma 1. Put

$$A_j^{(2)}(x, k) = \int_{\mathbf{R}^n} [\hat{\varphi}_{2^{-j+10}} * \sigma(\cdot, 2^j \xi)](x) \Psi(\xi) \exp(-2\pi i \langle k, \xi \rangle) d\xi,$$

where  $[\hat{\varphi}_{2^{-j+10}} * \sigma(\cdot, 2^j \xi)](x) = \int \hat{\varphi}_{2^{-j+10}}(y) \sigma(x - y, 2^j \xi) dy$  and  $\varphi$  is as in the definition of  $H_w^1$  in Section 1. Define  $A_j^{(1)} = A_j - A_j^{(2)}$ . Then we see that

$$\begin{aligned} \int |A_j^{(2)}(x, k)|^2 \rho(k) dk &\leq C \int |\hat{\varphi}_{2^{-j+10}}(y)| \|\sigma(x + y, 2^j \cdot) \Psi(\cdot)\|_{B_\rho}^2 dy \\ &\leq C \sup_{x \in \mathbf{R}^n} \|\sigma(x, 2^j \cdot) \Psi(\cdot)\|_{B_\rho}^2. \end{aligned}$$

Therefore, by (1.11) we get (2.2). The support condition for the Fourier transform of  $A_j^{(2)}$  is easily seen.

Next, since  $\int \hat{\varphi} = 1$ , by (1.12) we have

$$\begin{aligned} \sum_{j \geq 1} \int |A_j^{(1)}(x, k)|^2 \rho(k) dk &\leq \sum_{j \geq 1} C \int |\hat{\varphi}_{2^{-j+10}}(y)| \|\sigma(x + y, 2^j \cdot) \Psi(\cdot) - \sigma(x, 2^j \cdot) \Psi(\cdot)\|_{B_\rho}^2 dy \\ &\leq \sum_{j \geq 1} C \int |\hat{\varphi}_{2^{-j+10}}(y)| \omega(2^j, |y|)^2 dy \\ &\leq \sum_{j \geq 1} C \int |\hat{\varphi}(y)| \omega(2^j, 2^{-j+10}|y|)^2 dy \\ &\leq \sum_{j \geq 1} C \omega(2^j, 2^{-j})^2 \int |\hat{\varphi}(y)| (1 + |y|)^2 dy \\ &\leq \sum_{j \geq 1} C \omega(2^j, 2^{-j})^2 < \infty, \end{aligned}$$

where we have used the inequality  $\omega(2^j, a2^{-j}) \leq C(1 + a)\omega(2^j, 2^{-j})$ ,  $a > 0$ , which holds since  $\omega(s, t)$  is increasing and concave in  $t$ . This proves (2.1). We have completed the proof of Lemma 1.  $\square$

We easily see that the condition (1.13) implies Lemma 2.

Now we turn to the proof of Theorem 5. Put

$$\begin{aligned} E_j(f)(x, k) &= \int_{\mathbf{R}^n} \exp(2\pi i \langle 2^{-j}k, \xi \rangle) \psi(2^{-j}\xi)^2 \hat{f}(\xi) \exp(2\pi i \langle x, \xi \rangle) d\xi \\ &= (\tau_{-k} \mathcal{F}^{-1}(\psi))_{2^{-j}} * \Delta_j(f)(x), \end{aligned}$$

where  $\tau_k f(x) = f(x - k)$  and

$$\Delta_j(f)(x) = \int_{\mathbf{R}^n} \psi(2^{-j}\xi) \hat{f}(\xi) \exp(2\pi i \langle x, \xi \rangle) d\xi.$$

Then by (2.1) and the Schwarz inequality we have

$$\begin{aligned} & \left| \sum_{j=1}^{\infty} \int A_j^{(1)}(x, k) E_j(f)(x, k) dk \right|^2 \\ & \leq \sum_{j \geq 1} \int q^{(1)}(x, k, j)^2 dk \sum_{j \geq 1} \int \rho(k)^{-1} |E_j(f)(x, k)|^2 dk \\ & \leq C \sum_{j \geq 1} \int \rho(k)^{-1} |E_j(f)(x, k)|^2 dk. \end{aligned}$$

Thus, integrating with respect to  $w(x) dx$  by (1.10) and the weighted Littlewood-Paley inequality we have

$$\begin{aligned} & \int \left| \sum_{j=1}^{\infty} \int A_j^{(1)}(x, k) E_j(f)(x, k) dk \right|^2 w(x) dx \\ & \leq C \sum_{j \geq 1} \int \rho(k)^{-1} \left( \int |E_j(f)(x, k)|^2 w(x) dx \right) dk \\ & \leq C \sum_{j \geq 1} \int \left( \int \rho(k)^{-1} \int 2^{jn} |\mathcal{F}^{-1}(\psi)(2^j(x - y) + k)| w(x) dx dk \right) \\ & \quad \cdot |\Delta_j(f)(y)|^2 dy \\ & \leq C \sum_{j \geq 1} \int \left( \int \rho(k)^{-1} w(y - 2^{-j}k) dk \right) |\Delta_j(f)(y)|^2 dy \\ & \leq C \sum_{j \geq 1} \int w(y) |\Delta_j(f)(y)|^2 dy \\ & \leq C \|f\|_{L^2(w)}^2. \end{aligned}$$

Observing that the Fourier transform of  $\int A_j^{(2)}(x, k)E_j(f)(x, k) dk$  is supported in an annulus of the form  $\{c_12^j < |\xi| < c_22^j\}$ ,  $c_1, c_2 > 0$ , we apply the weighted Littlewood-Paley inequality. Then by the Schwarz inequality and (2.2) we have

$$\begin{aligned} & \int \left| \sum_{j=1}^{\infty} \int A_j^{(2)}(x, k)E_j(f)(x, k) dk \right|^2 w(x) dx \\ & \leq C \int \sum_{j=1}^{\infty} \left| \int A_j^{(2)}(x, k)E_j(f)(x, k) dk \right|^2 w(x) dx \\ & \leq C \sum_{j \geq 1} \int \left( \int q^{(2)}(x, k, j)^2 dk \right) \left( \int \rho(k)^{-1} |E_j(f)(x, k)|^2 dk \right) w(x) dx \\ & \leq C \sum_{j \geq 1} \iint \rho(k)^{-1} |E_j(f)(x, k)|^2 dk w(x) dx \\ & \leq C \|f\|_{L^2(w)}^2, \end{aligned}$$

where we can have the last inequality as in the previous paragraph. Collecting the results, we see that  $\tilde{\sigma}(x, D)$  is bounded on  $L_w^2$  where  $\tilde{\sigma}(x, \xi) = \sigma(x, \xi) - \sigma(x, \xi)\Phi(\xi)$ .

The operator  $\tau(x, D)$  where  $\tau(x, \xi) = \sigma(x, \xi)\Phi(\xi)$  can be treated by using Lemma 2 as follows: by Schwarz's inequality, we see that

$$\begin{aligned} \left| \int \tau(x, \xi) \hat{f}(\xi) e^{2\pi i \langle x, \xi \rangle} d\xi \right|^2 &= \left| \int B(x, k) f(x+k) dk \right|^2 \\ &\leq \int r(x, k)^2 dk \int \rho(k)^{-1} |f(x+k)|^2 dk \\ &\leq C \int \rho(k-x)^{-1} |f(k)|^2 dk. \end{aligned}$$

Integrating with respect to  $w(x) dx$ , we get the  $L_w^2$  boundedness. This completes the proof of Theorem 5.  $\square$

**3. Proof of Theorem 6.** The following is a weighted version of Theorem 2 of Carbery [1].

**Proposition 1.** *Let  $\alpha$  be a nonnegative function on  $\mathbf{Z}$  such that*

$$\sum_{k \leq 0} |k| \alpha(k) < \infty.$$

*Let  $\sigma(x, \xi)$  be continuous and bounded on  $\mathbf{R}^n \times \mathbf{R}^n$ . Let  $w \in A_1$  and suppose that  $\sigma(x, D)$  is bounded on  $L_w^2$ . Put  $\sigma_i(x, \xi) = \sigma(x, \xi) \Psi(2^i \xi)$ ,  $i \in \mathbf{Z}$ , where  $\Psi \in C_0^\infty(\mathbf{R}^n)$  is as in Section 1. Suppose that*

$$|\sigma_i * (\hat{\Psi})_{2^{-j}}|_{L_w^1} \leq \alpha(i - j) \quad \text{for all } i, j \in \mathbf{Z} \text{ with } i \leq j,$$

*where the convolution is taken in the  $\xi$ -variable and  $|\sigma|_{L_w^1}$  denotes the  $L_w^1$ - $L_w^1$  operator norm of  $\sigma(x, D)$ . Then  $\sigma(x, D)$  is bounded from  $L_w^1$  to  $L_w^{1,\infty}$  and from  $H_w^1$  to  $L_w^1$ .*

The proof is similar to the one given in [1] for the unweighted case. Let  $T$  be a singular integral operator with kernel  $K(x, y)$ . Put  $K_j(x, y) = K(x, y) \Psi(2^{-j}(x - y))$  and  $T_j f(x) = \int K_j(x, y) f(y) dy$ . Let  $\varphi$  be as in the proof of Lemma 1 and  $P_j f(x) = \varphi_{2^j} * f(x)$ . Suppose  $T$  is bounded on  $L_w^2$ ,  $w \in A_1$ . Then the  $L_w^1$ - $L_w^{1,\infty}$  boundedness of  $T$  follows from the weighted version of the Hörmander condition

$$\sup_{j \in \mathbf{Z}} \left| \sum_{l \geq 0} T_{j+l} (I - P_j) \right|_{L_w^1} < \infty,$$

where  $I$  denotes the identity operator. We can use this result to prove the  $L_w^1$ - $L_w^{1,\infty}$  boundedness of Proposition 1. To prove the  $H_w^1$ - $L_w^1$  boundedness, we use the atomic decomposition for  $H_w^1$ .

To apply Proposition 1 for the proof of Theorem 6, we need the following

**Lemma 3.** *Let  $w \in A_1$ ,  $\rho$  and  $\beta$  be as in Theorem 6. Suppose that*

$$|A_j(x, k)| \leq C \rho(k)^{-1}.$$

*Then*

$$|\tilde{\sigma}_m * (\hat{\Psi})_{2^{-j}}|_{L_w^1} \leq C \beta(2^{-m+j})^{-1} \quad \text{for all } m, j \in \mathbf{Z} \text{ with } m \leq j,$$

where  $\tilde{\sigma}(x, \xi) = \sigma(x, \xi) - \sigma(x, \xi)\Phi(\xi)$ , as before.

We also need the following, which can be easily seen.

**Lemma 4.** *Let  $w \in A_1$ . Suppose that  $\theta * w(x) \leq Cw(x)$  almost everywhere, where  $\theta$  is as in (1.10), and that*

$$|B(x, k)| \leq C\rho(k)^{-1}.$$

*Then  $\tau(x, D)$  is bounded on  $L_w^1$  and  $L_w^2$ , where  $\tau(x, \xi) = \sigma(x, \xi)\Phi(\xi)$ , as before.*

We first prove Lemma 3. Put

$$\begin{aligned} b_j(x, \xi) &= \sigma(x, \xi)\Psi(2^{-j}\xi) \\ &= \int_{\mathbf{R}^n} A_j(x, k) \exp(2\pi i\langle 2^{-j}k, \xi \rangle) dk \psi(2^{-j}\xi)^2, \\ K_{j,l,m}(x, y) &= \mathcal{F}^{-1}[(b_l)_m(x, \cdot) * (\hat{\Psi})_{2^{-j}}](y), \end{aligned}$$

where the inverse Fourier transform is taken with respect to the  $\xi$ -variable. Then, writing  $u(x) = \int |\widehat{\psi^2}(x+k)|\rho(k)^{-1} dk$ , we have for  $m-2 \leq -l \leq m+2, l \geq 1$ ,

$$\begin{aligned} &\int |K_{j,l,m}(x, x-y)|w(x) dx \\ &\leq C \int \rho(k)^{-1} \int 2^{(l+m)n} \int |\widehat{\psi^2}(2^{(l+m)}(x-z)+k)| |\hat{\Psi}(z)| dz \\ &\quad \cdot |\Psi(2^{m-j}x)|w(2^m x + y) dx dk \\ &= C \int \rho(k)^{-1} \iint |\widehat{\psi^2}(x+k)| |\Psi(2^{-j-l}x + 2^{m-j}z)| \\ &\quad \cdot w(2^m z + 2^{-l}x + y) dx |\hat{\Psi}(z)| dz dk \\ &= C \iint u(x) |\Psi(2^{-j-l}x + 2^{m-j}z)|w(2^m z + 2^{-l}x + y) dx |\hat{\Psi}(z)| dz. \end{aligned}$$

Since  $\Psi$  is supported in  $\{1/2 \leq |x| \leq 2\}$ , by the properties (1) and (3) of  $\beta$  we see that

$$\begin{aligned} |\Psi(2^{-j-l}x + 2^{m-j}z)| &\leq C\beta(2^{-m+j})^{-1}\beta(|2^{-m-l}x + z|) \\ &\leq C\beta(2^{-m+j})^{-1}[\beta(|x|) + \beta(|z|)]. \end{aligned}$$

Since  $u(x) \leq C\rho(-x)^{-1}$  by our assumption, by (1.14) we have

$$\begin{aligned} & \int |K_{j,l,m}(x, x-y)|w(x) dx \\ & \leq C\beta(2^{-m+j})^{-1} \iint \rho(-x)^{-1}[\beta(|x|) + \beta(|z|)] \\ & \quad \cdot w(2^m z + 2^{-l}x + y) dx |\hat{\Psi}(z)| dz \\ & \leq C\beta(2^{-m+j})^{-1} \int (1 + \beta(|z|))w(2^m z + y) |\hat{\Psi}(z)| dz \\ & \leq C\beta(2^{-m+j})^{-1}w(y). \end{aligned}$$

To get the last inequality, we have used the growth condition (2) of  $\beta$ . From this we can easily get the conclusion of Lemma 3.  $\square$

Next we prove Lemma 4. We have

$$\begin{aligned} \left| \int \tau(x, \xi) \hat{f}(\xi) e^{2\pi i(x, \xi)} d\xi \right| &= \left| \int B(x, k) f(x+k) dk \right| \\ &\leq C \int \rho(k-x)^{-1} |f(k)| dk. \end{aligned}$$

Integrating with respect to  $w(x) dx$ , we get the  $L_w^1$  boundedness. The  $L_w^2$  boundedness can be proved as in the last paragraph of Section 2.  $\square$

We see that  $\tilde{\sigma}(x, D)$  (see Lemma 3) is bounded on  $L_w^2$  by the  $L_w^2$  boundedness of  $\tau(x, D)$  (see Lemma 4) and  $\sigma(x, D)$ . Therefore, by Lemma 4 and Lemma 3 along with Proposition 1, now we can conclude the proof of Theorem 6.

**4. Proofs of Theorems 3 and 4.** We first prove Theorem 3. We prove the validity of the conditions (1.11), (1.12) and (1.13) with  $\rho(k) = (1 + |k|^2)^s$ ,  $s = [n/2] + d$ , where  $d$  satisfies  $a > d$  and  $[n/2] + d > n/2$ . By integration by parts,

$$\begin{aligned} A_j(x, k) &= (2\pi i k_m)^{-[n/2]} \int_{\mathbf{R}^n} \left[ \left( \frac{\partial}{\partial \xi_m} \right)^{[n/2]} (\sigma(x, 2^j \xi) \Psi(\xi)) \right] \\ & \quad \cdot \exp(-2\pi i \langle k, \xi \rangle) d\xi. \end{aligned}$$

Let  $\psi$  be as in Section 2. Then by applying Plancherel's theorem, we have for  $l \geq 0$ ,

$$\begin{aligned}
 & \int_{|k| \approx |k_m|, 2^l \leq |k| \leq 2^{l+1}} |A_j(x, k)|^2 (1 + |k|^2)^s dk \\
 (4.1) \quad & \leq C 2^{2sl} \int_{|k| \approx |k_m|} |\psi(2^{-l}k) A_j(x, k)|^2 dk \\
 & \leq C 2^{2dl} \int_{\mathbf{R}^n} \left| \hat{\psi}_{2^{-l}} * \left[ \left( \frac{\partial}{\partial \xi_m} \right)^{[n/2]} (\sigma(x, 2^j \cdot) \Psi(\cdot)) \right] (\xi) \right|^2 d\xi.
 \end{aligned}$$

Put  $F(x, \xi) = (\partial/\partial \xi_m)^{[n/2]} (\sigma(x, 2^j \xi) \Psi(\xi))$ . Then by (1.4) and (1.5) with  $L = [n/2]$  we have  $|F(x, \xi)| \leq C$  and

$$(4.2) \quad |F(x, \xi + \eta) - F(x, \xi)| \leq C|\eta|^a.$$

When  $|\xi| \geq 1$ , by (4.2) we see that

$$\begin{aligned}
 & \left| \hat{\psi}_{2^{-l}} * \left[ \left( \frac{\partial}{\partial \xi_m} \right)^{[n/2]} (\sigma(x, 2^j \cdot) \Psi(\cdot)) \right] (\xi) \right| \\
 & = \left| \int [F(x, \xi + \eta) - F(x, \xi)] \hat{\psi}_{2^{-l}}(\eta) d\eta \right| \\
 & \leq \left| \int_{|\eta| < |\xi|/2} [F(x, \xi + \eta) - F(x, \xi)] \hat{\psi}_{2^{-l}}(\eta) d\eta \right| \\
 & \quad + \left| \int_{|\eta| \geq |\xi|/2} [F(x, \xi + \eta) - F(x, \xi)] \hat{\psi}_{2^{-l}}(\eta) d\eta \right| \\
 & \leq C \chi_0(\xi) \int |\eta|^a |\hat{\psi}_{2^{-l}}(\eta)| d\eta + C(2^l |\xi|)^{-2n} \\
 & \leq C 2^{-al} (1 + |\xi|)^{-2n},
 \end{aligned}$$

where  $\chi_0$  is the characteristic function of the ball  $\{|\xi| \leq 5\}$ . We also have this estimate for  $|\xi| < 1$ . Using this in (4.1) we have

$$(4.3) \quad \int_{\substack{|k| \approx |k_m| \\ |k| \geq 1}} |A_j(x, k)|^2 (1 + |k|^2)^s dk \leq \sum_{l \geq 0} C 2^{2dl} 2^{-2al} \leq C.$$

It is easier to get the estimate

$$\int_{|k| \leq 1} |A_j(x, k)|^2 (1 + |k|^2)^s dk \leq C.$$

Using this and (4.3) for  $m = 1, \dots, n$ , we see that the condition (1.11) holds.

Next we show that the condition (1.12) holds. By integration by parts,

$$\begin{aligned} & A_j(x+y, k) - A_j(x, k) \\ &= \int_{\mathbf{R}^n} (\sigma(x+y, 2^j \xi) - \sigma(x, 2^j \xi)) \Psi(\xi) \exp(-2\pi i \langle k, \xi \rangle) d\xi \\ &= (2\pi i k_m)^{-[n/2]} \int_{\mathbf{R}^n} \left[ \left( \frac{\partial}{\partial \xi_m} \right)^{[n/2]} ((\sigma(x+y, 2^j \xi) - \sigma(x, 2^j \xi)) \Psi(\xi)) \right] \\ & \quad \cdot \exp(-2\pi i \langle k, \xi \rangle) d\xi. \end{aligned}$$

Put  $G(x, y, \xi) = (\partial/\partial \xi_m)^{[n/2]} ((\sigma(x+y, 2^j \xi) - \sigma(x, 2^j \xi)) \Psi(\xi))$ . Then by Plancherel's theorem we have, as above, for  $l \geq 0$ ,

$$\begin{aligned} (4.4) \quad & \int_{2^l \leq |k| \leq 2^{l+1}}^{|k| \approx |k_m|} |A_j(x+y, k) - A_j(x, k)|^2 (1+|k|^2)^s dk \\ & \leq C 2^{2dl} \int_{\mathbf{R}^n} |[\hat{\psi}_{2^{-l}} * G(x, y, \cdot)](\xi)|^2 d\xi. \end{aligned}$$

By (1.6) and (1.7) with  $M = [n/2]$  and  $a = b$  we have  $|G(x, y, \xi)| \leq C\omega(2^j, |y|)$  and

$$(4.5) \quad |G(x, y, \xi + \eta) - G(x, y, \xi)| \leq C|\eta|^a \omega(2^j, |y|).$$

Using (4.5) and arguing as in the proof for (1.11) above, we can see that

$$|[\hat{\psi}_{2^{-l}} * G(x, y, \cdot)](\xi)| \leq C 2^{-al} \omega(2^j, |y|) (1+|\xi|)^{-2n}.$$

Using this in (4.4) and summing up in  $l \geq 0$ , we have

$$(4.6) \quad \int_{\substack{|k| \approx |k_m| \\ |k| \geq 1}} |A_j(x+y, k) - A_j(x, k)|^2 (1+|k|^2)^s dk \leq C\omega(2^j, |y|)^2.$$

We also have

$$\int_{|k| \leq 1} |A_j(x+y, k) - A_j(x, k)|^2 (1+|k|^2)^s dk \leq C\omega(2^j, |y|)^2.$$

Using this and (4.6) for  $m = 1, \dots, n$ , we can get (1.12).

The condition (1.13) can be proved similarly. Since  $\rho(x) = (1 + |x|^2)^s$  satisfies (1.10) for all  $w \in A_1$ , now Theorem 3 follows from Theorem 5.  $\square$

Next we prove Theorem 4. By integration by parts and estimates similar to (4.2), under the assumption of Theorem 4, we have

$$\begin{aligned} |A_j(x, k)| &\leq C(1 + |k|^2)^{-(n+a)/2}, \quad j \geq 1, \\ |B(x, k)| &\leq C(1 + |k|^2)^{-(n+a)/2}. \end{aligned}$$

Also by Theorem 3,  $\sigma(x, D)$  is bounded on  $L_w^2$  for  $w \in A_1$ . Furthermore, we see that  $\rho(x) = (1 + |x|^2)^{(n+a)/2}$  satisfies all the requirements of Theorem 6 with any  $w \in A_1$  and, for example,  $\beta(t) = t^{a/2}$  for (1.14). Therefore we can apply Theorem 6 to get Theorem 4.  $\square$

#### REFERENCES

1. A. Carbery, *Variants of the Calderón-Zygmund theory for  $L^p$ -spaces*, Rev. Mat. Iberoamericana **2** (1986), 381–396.
2. A. Carbery and A. Seeger, *Conditionally convergent series of linear operators on  $L^p$ -spaces and  $L^p$ -estimates for pseudodifferential operators*, Proc. London Math. Soc. (3) **57** (1988), 481–510.
3. R.R. Coifman and Y. Meyer, *Au delà des opérateurs pseudo-différentiels*, Astérisque **57**, Soc. Math. France, 1978.
4. J.-L. Journé, *Calderón-Zygmund operators, pseudo-differential operators and the Cauchy integral of Calderón*, Lecture Notes in Math., vol. 994, Springer-Verlag, New York, 1983.
5. A. Miyachi and K. Yabuta,  *$L^p$ -boundedness of pseudo-differential operators with non-regular symbols*, Bull. Fac. Sci. Ibaraki Univ. Ser. A **17** (1985), 1–20.
6. T. Muramatu and M. Nagase, *On sufficient conditions for the boundedness of pseudo-differential operators*, Proc. Japan Acad. Ser. A Math. Sci. **55** (1979), 293–296.
7. J.L. Rubio de Francia, *Factorization theory and  $A_p$  weights*, Amer. J. Math. **106** (1984), 533–547.
8. K. Yabuta, *Calderón-Zygmund operators and pseudo-differential operators*, Comm. Partial Differential Equations **10** (1985), 1005–1022.
9. ———, *Weighted norm inequalities for pseudo differential operators*, Osaka J. Math. **23** (1986), 703–723.

10. M. Yamazaki, *The  $L^p$ -boundedness of pseudo-differential operators satisfying estimates of parabolic type and product type*, II, Proc. Japan Acad. Ser. A Math. Sci. **61** (1985), 95–98.

DEPARTMENT OF MATHEMATICS, FACULTY OF EDUCATION, KANAZAWA UNIVERSITY, KANAZAWA 920-1192, JAPAN  
*E-mail address:* `shuichi@kenroku.kanazawa-u.ac.jp`