Márton Elekes,* Alfréd Rényi Institute of Mathematics, Hungarian Academy of Sciences, P.O. Box 127, H-1364 Budapest, Hungary AND Eötvös Loránd University, Department of Analysis, Pázmány P. s. 1/c, H-1117, Budapest, Hungary. email: emarci@renyi.hu

A COVERING THEOREM AND THE RANDOM-INDESTRUCTIBILITY OF THE DENSITY ZERO IDEAL

Abstract

The main goal of this note is to prove the following theorem. If A_n is a sequence of measurable sets in a σ -finite measure space (X, \mathcal{A}, μ) that covers μ -a.e. $x \in X$ infinitely many times, then there exists a sequence of integers n_i of density zero so that A_{n_i} still covers μ -a.e. $x \in X$ infinitely many times. The proof is a probabilistic construction.

As an application we give a simple direct proof of the known theorem that the ideal of density zero subsets of the natural numbers is randomindestructible, that is, random forcing does not add a co-infinite set of naturals that almost contains every ground model density zero set. This answers a question of B. Farkas.

1 Introduction

Maximal almost disjoint (MAD) families of subsets of the naturals play a central role in set theory. (Two sets are *almost disjoint* if there intersection is finite.) A fundamental question is whether MAD families remain maximal in forcing extensions. This is often studied in a little more generality as follows. For a MAD family \mathcal{M} let $\mathcal{I}_{\mathcal{M}}$ be the ideal of sets that can be almost contained in a finite union of members of \mathcal{M} . (*Almost contained* means that only finitely

^{*}Partially supported by Hungarian Scientific Foundation grants no. 83726, 61600, 72655, and János Bolyai Fellowship.



Mathematical Reviews subject classification: Primary: 03E40; Secondary: 28A99, 03E35 Key words: density zero, analytic, P-ideal, random, forcing, pseudo-intersection, indestructible

Received by the editors September 10, 2009

Communicated by: Stephen Craig Jackson

many elements are not contained.) Then it is easy to see that \mathcal{M} remains MAD in a forcing extension if and only if there is no co-infinite set of naturals in the extension that almost contains every (ground model) member of $\mathcal{I}_{\mathcal{M}}$. Hence the following definition is natural.

Definition 1.1. An ideal \mathcal{I} of subsets of the naturals is called *tall* if there is no co-infinite set that almost contains every member of \mathcal{I} . Let \mathcal{I} be a tall ideal and \mathbb{P} be a forcing notion. We say that \mathcal{I} is \mathbb{P} -indestructible if \mathcal{I} remains tall after forcing with \mathbb{P} .

This notion is thoroughly investigated for various well-known ideals and forcing notions, for instance Hernández-Hernández and Hrušák proved that the ideal of density zero subsets (see. Definition 2.1) of the natural numbers is random-indestructible. (Indeed, just combine [3, Thm 3.14], which is a result of Brendle and Yatabe, and [3, Thm 3.4].) B. Farkas asked if there is a simple and direct proof of this fact. In this note we provide such a proof.

This proof actually led us to a covering theorem (Thm. 2.5) which we find very interesting in its own right from the measure theory point of view. First we prove this theorem in Section 2 by a probabilistic argument, then we apply it in Section 3 to reprove that the density zero ideal is random-indestructible (Corollary 3.3), and finally we pose some problems in Section 4.

2 A covering theorem

Cardinality of a set A is denoted by |A|.

Definition 2.1. A set $A \subset \mathbb{N}$ is of *density zero* if $\lim_{n\to\infty} \frac{|A \cap \{0,\dots,n-1\}|}{n} = 0$. The ideal of density zero sets is denoted by \mathcal{Z} .

 $A \subset^* B$ means that B almost contains A, that is, $A \setminus B$ is finite. The following is well-known.

Fact 2.2. \mathcal{Z} is a *P*-ideal, that is, for every sequence $Z_n \in \mathcal{Z}$ there exists $Z \in \mathcal{Z}$ so that $Z_n \subset^* Z$ for every $n \in \mathbb{N}$.

Lemma 2.3. Let (X, \mathcal{A}, μ) be a measure space of σ -finite measure, and let $\{A_n\}_{n\in\mathbb{N}}$ be a sequence of measurable sets. Suppose that there exists $0 = N_0 < N_1 < N_2 < \ldots$ so that $A_{N_{k-1}}, \ldots, A_{N_k-1}$ is a cover of X for every $k \in \mathbb{N}^+$, and also that k divides $N_k - N_{k-1}$ for every $k \in \mathbb{N}^+$. Then there exists a set $Z \in \mathcal{Z}$ so that $\{A_n\}_{n\in\mathbb{Z}}$ covers μ -a.e. every $x \in X$ infinitely many times.

PROOF. Write $\{N_{k-1}, \ldots, N_k-1\} = W_0^k \cup \cdots \cup W_{k-1}^k$, where the W_i^k 's are the k disjoint arithmetic progressions of difference k. Let $\{\xi_k\}_{k \in \mathbb{N}^+}$ be a sequence of

independent random variables so that ξ_k is uniformly distributed on $\{0, \ldots, k-1\}$. Define

$$Z = \bigcup_{k \in \mathbb{N}^+} W_{\mathcal{E}_k}^k$$

It is easy to see that $Z \in \mathcal{Z}$. Hence it suffices to show that with probability 1 μ -a.e. $x \in X$ is covered infinitely many times by $\{A_n\}_{n \in \mathbb{Z}}$.

Let us now fix an $x \in X$. Let E_k be the event $\{x \in \bigcup_{n \in W_{\xi_k}^k} A_n\}$, that is, x is covered by the set chosen in the k^{th} block. As the k^{th} block is a cover of X, $Pr(E_k) \geq \frac{1}{k}$, so $\sum_{k \in \mathbb{N}^+} Pr(E_k) = \infty$. Moreover, the events $\{E_k\}_{k \in \mathbb{N}^+}$ are independent. Hence by the second Borel-Cantelli Lemma $Pr(\text{Infinitely many of the } E_k\text{'s occur}) = 1$. So every fixed x is covered infinitely many times with probability 1, but then by the Fubini theorem with probability 1 μ -a.e. x is covered infinitely many times, and we are done. (To be more precise, let (Ω, S, Pr) be the probability measure space, then $Z(\omega) = \bigcup_{k \in \mathbb{N}} W_{\xi_k(\omega)}^k$. Since the sets $\{(x, \omega) : x \in A_n\}$ and $\{(x, \omega) : \xi_k(\omega) = n\}$ are clearly $\mathcal{A} \times S$ -measurable, it is straightforward to show that

 $\{(x,\omega): x \text{ is covered infinitely many times by } \{A_n\}_{n \in Z(\omega)}\} \subset X \times \Omega$

is $\mathcal{A} \times \mathcal{S}$ -measurable, and hence Fubini applies.)

Lemma 2.4. Let (X, \mathcal{A}, μ) be a measure space of finite measure, and let $\{A_n\}_{n \in \mathbb{N}}$ be a sequence of measurable sets that covers μ -a.e. every $x \in X$ infinitely many times. Then there exists a set $Z \in \mathcal{Z}$ so that $\{A_n\}_{n \in Z}$ still covers μ -a.e. every $x \in X$ infinitely many times.

PROOF. Let $\varepsilon > 0$ be arbitrary and set $N_0 = 0$. By the continuity of measures, there exists N_1 so that $\mu(X \setminus (A_{N_0} \cup \cdots \cup A_{N_1-1})) \leq \frac{\varepsilon}{2}$. Since $\{A_n\}_{n \geq N_1}$ still covers μ -a.e. $x \in X$ infinitely many times, we can continue this procedure, and recursively define $0 = N_0 < N_1 < N_2 < \ldots$ so that $\mu(X \setminus (A_{N_{k-1}} \cup \cdots \cup A_{N_k-1})) \leq \frac{\varepsilon}{2^k}$ for every $k \in \mathbb{N}^+$. We can also assume (by choosing larger N_k 's at each step) that k divides $N_k - N_{k-1}$ for every $k \in \mathbb{N}^+$.

Let $X_{\varepsilon} = \bigcap_{k \in \mathbb{N}^+} (A_{N_{k-1}} \cup \cdots \cup A_{N_k-1})$, then $\mu(X \setminus X_{\varepsilon}) \leq \varepsilon$. Let us restrict \mathcal{A} , the A_n 's and μ to X_{ε} , and apply the previous lemma with this setup to obtain Z_{ε} .

Let us now consider $\varepsilon = 1, \frac{1}{2}, \frac{1}{3}, \ldots$, then for every $m \in \mathbb{N}^+$ every $x \in X_{\frac{1}{m}}$ is covered infinitely many times by $\{A_n\}_{n \in \mathbb{Z}_{\frac{1}{m}}}$. Since \mathcal{Z} is a P-ideal, there exists a $Z \in \mathcal{Z}$ such that $Z_{\frac{1}{m}} \subset^* Z$ for every m. Hence for every $m \in \mathbb{N}^+$ every $x \in X_{\frac{1}{m}}$ is covered infinitely many times by $\{A_n\}_{n \in \mathbb{Z}}$. But then we are done, since μ -a.e. $x \in X$ is in $\bigcup_m X_{\frac{1}{m}}$.

Theorem 2.5. Let (X, \mathcal{A}, μ) be a measure space of σ -finite measure, and let $\{A_n\}_{n \in \mathbb{N}}$ be a sequence of measurable sets that covers μ -a.e. every $x \in X$ infinitely many times. Then there exists a set $Z \subset \mathbb{N}$ of density zero so that $\{A_n\}_{n \in Z}$ still covers μ -a.e. every $x \in X$ infinitely many times.

PROOF. Write $X = \bigcup X_m$, where each X_m is of finite measure. For each X_m obtain Z_m by the previous lemma. Then a $Z \in \mathcal{Z}$ such that $Z_m \subset^* Z$ for every *m* clearly works.

The following example shows that the purely topological analogue of Theorem 2.5 is false.

Example 2.6. There exists a sequence U_n of clopen sets covering every point of the Cantor space infinitely many times so that for every $Z \in \mathcal{Z}$ there exists a point covered only finitely many times by $\{U_n : n \in Z\}$.

PROOF. By an easy recursion we can define a sequence U_n of clopen subsets of the Cantor set C and a sequence of naturals $0 = N_0 < N_1 < \ldots$ with the following properties.

- 1. $U_{N_{k-1}}, \ldots, U_{N_k-1}$ (called a 'block') is a disjoint cover of C,
- 2. every block is a refinement of the previous one,
- 3. if U_n is in the k^{th} block and is partitioned into U_t, \ldots, U_s in the $k + 1^{st}$ block (called the 'immediate successors of U_n ') then $s \ge 2t$.

Let $Z \in \mathbb{Z}$ be given, and let n_0 be so that $\frac{|Z \cap \{0, \dots, n-1\}|}{n} < \frac{1}{2}$ for every $n \ge n_0$. By 3. $\{U_n : n \in Z\}$ cannot contain all immediate successors of any U_m above n_0 . Therefore, starting at a far enough block, we can recursively pick a U_{n_i} from each block so that $n_i \notin Z$ for every i, and $\{U_{n_i}\}_{i \in \mathbb{N}}$ is a nested sequence of clopen sets. But then the intersection of this sequence is only covered finitely many times by $\{U_n : n \in Z\}$.

Remark 2.7. We can 'embed' this example into any topological space containing a copy of the Cantor set (e.g. to any uncountable Polish space) by just adding the complement of the Cantor set to all U_n 's. Of course, the new U_n 's will only be open, not clopen.

3 An application: The density zero ideal is randomindestructible

In this section we give a simple and direct proof of the random-indestructibility of \mathcal{Z} , which was first proved in [3].

 $[\mathbb{N}]^{\omega}$ denotes the set of infinite subsets of \mathbb{N} . Since it can be identified with a G_{δ} subspace of 2^{ω} in the natural way, it carries a Polish space topology where the sub-basic open sets are the sets of the form $[n] = \{A \in [\mathbb{N}]^{\omega} : n \in A\}$ and their complements. Let λ denote Lebesgue measure.

Lemma 3.1. For every Borel function $f : \mathbb{R} \to [\mathbb{N}]^{\omega}$ there exists a set $Z \in \mathcal{Z}$ such that $f(x) \cap Z$ is infinite for λ -a.e. $x \in \mathbb{R}$.

PROOF. Let $A_n = f^{-1}([n])$, then A_n is clearly Borel, hence Lebesgue measurable. For every $x \in \mathbb{R}$

$$x \in A_n \iff x \in f^{-1}([n]) \iff f(x) \in [n] \iff n \in f(x).$$
 (1)

Since every f(x) is infinite, (1) yields that every $x \in \mathbb{R}$ is covered by infinitely many A_n 's. By Theorem 2.5 there exists a $Z \in \mathcal{Z}$ such that for λ -a.e. $x \in \mathbb{R}$ we have $x \in A_n$ for infinitely many $n \in Z$. But then by (1) for λ -a.e. $x \in \mathbb{R}$ we have $n \in f(x)$ for infinitely many $n \in Z$, so $f(x) \cap Z$ is infinite. \Box

Recall that random forcing is $\mathbb{B} = \{p \subset \mathbb{R} : p \text{ is Borel}, \lambda(p) > 0\}$ ordered by inclusion. The random real r is defined by $\{r\} = \bigcap_{p \in G} p$, where G is the generic filter. For the terminology and basic facts concerning random forcing consult e.g. [5], [4], [1], or [6]. In particular, we will assume familiarity with coding of Borel sets and functions, and will freely use the same symbol for all versions of a Borel set or function. The following fact is well-known and easy to prove.

Fact 3.2. Let $B \subset \mathbb{R}$ be Borel. Then $p \Vdash "r \in B"$ iff $\lambda(p \setminus B) = 0$.

Corollary 3.3. The ideal of density zero subsets of the natural numbers is random-indestructible, that is, random forcing does not add a co-infinite set of naturals that almost contains every ground model density zero set.

PROOF. For a Borel function $f : \mathbb{R} \to [\mathbb{N}]^{\omega}$ and a set $Z \in \mathcal{Z}$ let

$$B_{f,Z} = \{ x \in \mathbb{R} : f(x) \cap Z \text{ is infinite} \},\$$

then by the previous lemma for every f there is a Z so that $B_{f,Z}$ is of full measure. By Fact 3.2 for every f there is a Z so that $1_{\mathbb{B}} \Vdash "f(r) \cap Z$ is infinite". Hence for every $f \mathrel{} 1_{\mathbb{B}} \Vdash "\exists Z \in \mathcal{Z} \cap V$ so that $f(r) \cap Z$ is infinite". But every $y \in [\mathbb{N}]^{\omega} \cap V[r]$ is of the form f(r) for some ground model (coded) Borel function $f : \mathbb{R} \to [\mathbb{N}]^{\omega}$, so we obtain that for every $y \in [\mathbb{N}]^{\omega} \cap V[r] \mathrel{} 1_{\mathbb{B}} \Vdash$ " $\exists Z \in \mathcal{Z} \cap V$ so that $y \cap Z$ is infinite". Therefore $1_{\mathbb{B}} \Vdash "\forall y \in [\mathbb{N}]^{\omega} \exists Z \in$ $\mathcal{Z} \cap V$ so that $y \cap Z$ is infinite", and setting $x = \mathbb{N} \setminus y$ yields $1_{\mathbb{B}} \Vdash "\forall x \subset$ ω co-infinite $\exists Z \in \mathcal{Z} \cap V$ so that $Z \not\subset^* x$ ", so we are done. \Box **Remark 3.4.** Clearly, \mathcal{Z} is also $\mathbb{B}(\kappa)$ -indestructible, since every new real is already added by sub-poset isomorphic to \mathbb{B} . ($\mathbb{B}(\kappa)$) is the usual poset for adding κ many random reals by the measure algebra on 2^{κ} .)

Remark 3.5. The referee of this paper has pointed out that all arguments of the paper can actually be carried out in the axiom system ZF + DC. (ZF is the usual Zermelo-Fraenkel axiom system without the Axiom of Choice, and DC is the Axiom of Dependent Choice.) Hence Corollary 3.3 actually applies to forcing over a model of ZF + DC as well.

4 Problems

There are numerous natural directions in which one can ask questions in light of Corollary 3.3 and Theorem 2.5. As for the former one, one can consult e.g. [2] and the references therein. As for the latter one, it would be interesting to investigate what happens if we replace the density zero ideal by another wellknown one, or if we replace the measure setup by the Baire category analogue, or if we consider non-negative functions (summing up to infinity a.e.) instead of sets, or even if we consider κ -fold covers and ideals on κ .

Acknowledgment The author is indebted to Richárd Balka for some helpful discussions and to an anonymous referee for suggesting Remark 3.5.

References

- T. Bartoszyński and H. Judah, Set theory. On the structure of the real line. A K Peters, Ltd., Wellesley, MA, 1995.
- [2] J. Brendle and S. Yatabe, Forcing indestructibility of MAD families, Ann. Pure Appl. Logic, 132(2-3) (2005), 271–312.
- [3] F. Hernández-Hernández and M. Hrušák, Cardinal invariants of analytic P-ideals, Canad. J. Math. 59(3) (2007), 575–595.
- [4] T. Jech, Set theory. The third millennium edition, revised and expanded. Springer Monographs in Mathematics. Springer-Verlag, Berlin, 2003.
- [5] K. Kunen, Set theory. An introduction to independence proofs. Studies in Logic and the Foundations of Mathematics, 102, North-Holland, 1980.
- [6] J. Zapletal, Forcing idealized. Cambridge Tracts in Mathematics, 174. Cambridge University Press, Cambridge, 2008.