## PRIMITIVE ALGEBRAS WITH INVOLUTION

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A well known theorem of Kaplansky ([1], p. 226, Theorem 1) states that every primitive algebra satisfying a polynomial identity is finite dimensional over its center. Related to this result is the following conjecture due to Herstein: if A is a primitive algebra with involution whose symmetric elements satisfy a polynomial identity, then A is finite dimensional over its center. Our main object in the present paper is to verify this conjecture in the special case where A is assumed to be algebraic. In the course of our proof we develop some results, which may be of independent interest, concerning the existence of non-trivial symmetric idempotents in primitive algebras with involution.

1. Some preliminary remarks. In the present section we mention a few definitions and observations which we shall need in the remainder of this paper.

By the term algebra over  $\Phi$  we shall mean an associative algebra (possibly infinite dimensional) over a field  $\Phi$ . A primitive algebra over  $\Phi$  is one which is isomorphic to a dense ring of linear transformations of a (left) vector space V over a division algebra  $\Delta$  containing  $\Phi$  (see [1], p. 32). The rank of an element a of a primitive algebra is the dimension of Va over  $\Delta$ . We state without proof the following three remarks.

REMARK 1. Let A be a primitive algebra with identity 1 containing a set of nonzero orthogonal idempotents  $e_1, e_2, \dots, e_m$  such that

- (a)  $e_1 + e_2 + \cdots + e_m = 1$
- (b) rank  $e_i = r_i < \infty$ ,  $i = 1, 2, \dots, m$ .

Then the dimension of V over  $\Delta$  is  $\sum_{i=1}^{m} r_i < \infty$ .

REMARK 2. Let A be a primitive algebra with center Z. If za = 0 for some  $z \neq 0 \in Z$  and some  $a \in A$ , then a = 0.

REMARK 3. Let A be a primitive algebra. If a and b are nonzero elements of A, then  $aAb \neq 0$ . More generally, if  $a_1, a_2, \dots, a_n$  are nonzero elements of A, where n is any natural number, then

$$a_1Aa_2A\cdots a_{n-1}Aa_n\neq 0$$
.

An I-algebra is an algebra in which every non-nil left ideal contains a nonzero idempotent. An algebra over  $\Phi$  is algebraic in case every

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element satisfies a non-trivial polynomial equation f(t) = 0, where  $f(t) = \sum \alpha_i t^i$ ,  $\alpha_i \in \mathcal{O}$ . One can show that every algebraic algebra is an *I*-algebra. In the proof of this fact (see [1], p. 210, Proposition 1), however, the following sharper result is obtained.

REMARK 4. Let a be a non-nilpotent element of an algebraic algebra. Then the subalgebra [[a]] generated by a contains a nonzero idempotent.

An  $involution^*$  of an algebra A over  $\Phi$  is an anti-automorphism of A of period 2, that is,

$$(a + b)^* = a^* + b^*$$
  
 $(\alpha a)^* = \alpha a^*$   
 $(ab)^* = b^* a^*$   
 $a^{**} = a$ 

for all  $a, b \in A$ ,  $\alpha \in \mathcal{O}$ . It is to be understood that in the rest of this paper the characteristic of  $\mathcal{O}$  is assumed to be unequal to 2. An element a is symmetric if  $a^* = a$ ; a is skew if  $a^* = -a$ . \* is an involution of the first kind in case every central element is symmetric. \* is an involution of the second kind in case there exists a nonzero central element which is skew. Every involution is of one of these two kinds.

2.  $S_n$ -algebras. The notion of an algebra satisfying a polynomial identity can be generalized according to the following

DEFINITION. A subspace R of an algebra A over  $\Phi$  satisfies a polynomial identity in case there exists a nonzero element  $f(t_1, t_2, \dots, t_n)$  of the free algebra over  $\Phi$  freely generated by the  $t_i$  such that

$$f(x_1, x_2, \cdots, x_n) = 0$$

for all  $x_i \in R$ . R will be called a PI-subspace of degree d if the degree d of  $f(t_1, t_2, \dots, t_n)$  is minimal.

The element  $f(t_1, t_2, \dots, t_n)$  is multilinear of degree n if and only if it is of the form

$$\sum_{\sigma}\alpha(\sigma)t_{\sigma_1}t_{\sigma_2}\cdot\cdot\cdot\cdot t_{\sigma_n}\text{, }\alpha(\sigma)\in \mathcal{Q}\text{, some }\alpha(\sigma)\neq 0\text{ ,}$$

where  $\sigma$  ranges over all the permutations of  $(1, 2, \dots, n)$ .

Lemma 1. Let R be a PI-subspace of degree n of an algebra A. Then R satisfies a multilinear polynomial identity of degree n.

This lemma is a slight generalization of [1], p. 225, Proposition 1.

The same proof carries over directly and we therefore omit it.

Our main purpose in this paper is to study algebras of the following type.

DEFINITION. Let A be an algebra with an involution \* over  $\emptyset$ . Suppose that the set S of symmetric elements is a PI-subspace of degree  $\leq n$ . Then A will be called an  $S_n$ -algebra. In case \* is of the first (second) kind, we shall refer to A as an  $S_n$ -algebra of the first (second) kind.

It is surprisingly easy to analyze  $S_n$ -algebras of the second kind, as indicated by

THEOREM 1. Let A be a primitive  $S_n$ -algebra of the second kind. Then A is finite dimensional over its center.

*Proof.*<sup>1</sup> According to Lemma 1 S satisfies a multilinear polynomial identity of degree  $n: f(t_1, t_2, \dots, t_n) = 0$ . Let z be a nonzero central element of A which is skew. If k is skew, then

$$(zk)^* = k^*z^* = (-k)(-z) = kz = zk$$
,

and hence zk is symmetric. Therefore we have

$$0 = f(zk_1, s_2, s_3, \dots, s_n) = zf(k_1, s_2, s_3, \dots, s_n)$$

for all  $k_1 \in K$ ,  $s_i \in S$ , where K is the set of skew elements. By Remark 2  $f(k_1, s_2, s_3, \dots, s_n) = 0$ . It follows that  $f(x_1, s_2, s_3, \dots, s_n) = 0$  for all  $x_1 \in A$ ,  $s_i \in S$ , since every  $x \in A$  can be written x = s + k,  $s \in S$ ,  $k \in K$ . Continuing in this fashion we finally have  $f(x_1, x_2, \dots, x_n) = 0$  for all  $x_i \in A$ . The conclusion then follows from the previously mentioned theorem of Kaplansky ([1], p. 226, Theorem 1).

3. Some basic theorems. The assumption that the symmetric elements of an  $S_n$ -algebra satisfy a polynomial identity is used chiefly to prove

THEOREM 2. Let A be a primitive  $S_n$ -algebra over  $\Phi$ . Then there exist at most n orthogonal non-nilpotent symmetric elements.

*Proof.* Suppose  $s_1, s_2, \dots, s_{n+1}$  are n+1 orthogonal non-nilpotent symmetric elements. Using Remark 3 and the fact that the  $s_i$  are non-nilpotent we may choose elements  $x_1, x_2, \dots, x_n \in A$  so that

$$s_1^2 x_1 s_2^2 x_2 \cdots s_n^2 x_n s_{n+1} \neq 0$$
.

<sup>&</sup>lt;sup>1</sup> A similar proof was communicated orally to the author by I. N. Herstein.

Now set  $u_i = s_i x_i s_{i+1} + s_{i+1} x_i^* s_i$ ,  $i = 1, 2, \dots, n$ . By Lemma 1 S satisfies a multilinear identity of degree n:

(1) 
$$f(t_1, t_2, \cdots, t_n) = t_1 t_2 \cdots t_n + \sum_{\sigma \neq I} \alpha(\sigma) t_{\sigma_1} t_{\sigma_2} \cdots t_{\sigma_n},$$

where  $\sigma$  ranges over all the permutations of  $(1,2,\cdots,n)$  except the identity permutation I.  $f(u_1,u_2,\cdots,u_n)=0$  since the  $u_i$  are symmetric. To analyze the right hand side of (1) we first note that if  $u_iu_ju_k\neq 0$ , i,j,k distinct, then either j=i+1 and k=i+2, or j=i-1 and k=i-2, because of the orthogonality of the  $s_i$ . It follows that

$$f(u_1, u_2, \dots, u_n) = u_1 u_2 \dots u_n + \alpha u_n u_{n-1} \dots u_1$$

for some  $\alpha \in \Phi$ . Hence

$$(2) 0 = s_1 x_1 s_2^2 x_2 s_3^2 x_3 \cdots s_n^2 x_n s_{n+1} + \alpha s_{n+1} x_n^* s_n^2 x_{n-1}^* \cdots s_2^2 x_1^* s_1.$$

Multiplying (2) through on the left by  $s_1$ , we have  $0 = s_1^2 x_1 s_2^2 x_2 \cdots s_n^2 x_n s_{n+1}$ , a contradiction.

An idempotent e of an algebra A is called *non-trivial* in case  $e \neq 1$  (if A has an identity) and  $e \neq 0$ .

Theorem 3. Let A be a primitive I-algebra with an involution\*. Then:

- (a) If there exists an  $x \neq 0 \in A$  such that  $xx^* = 0$ , then either A contains a non-trivial symmetric idempotent or A is isomorphic to the total matrix ring  $\Delta_2$ , where  $\Delta$  is a division algebra. In the latter case  $E_{11}^* = E_{22}$ , where the  $E_{ij}$  are the unit matrices, i, j = 1, 2.
- (b) If  $xx^* \neq 0$  for all  $x \not\equiv 0 \in A$ , then either A is a division algebra or A contains a non-nilpotent symmetric element which has no inverse in A. If  $xx^* \neq 0$  for all  $x \neq 0 \in A$  and A is algebraic over  $\Phi$ , then either A is a division algebra or A contains a non-trivial symmetric idempotent.

Proof. Suppose first that there exists an  $x \neq 0 \in A$  such that  $xx^* = 0$ . We can choose an  $a \in A$  such that e = ax is a nonzero idempotent, because A is an I-algebra. Since  $xx^* = 0$ ,  $e \neq 1$ . From the equations  $ee^* = (ax)(ax)^* = axx^*a^* = 0$  it is easy to check that  $e + e^* - e^*e$  is a non zero symmetric idempotent. We may thus assume that  $1 \in A$  and  $e + e^* - e^*e = 1$ . eAe is a primitive I-algebra ([1], p. 48, Proposition 1, and p. 211, Proposition 2). If eAe is not a division algebra, then it contains an idempotent f = ebe,  $f \neq 0$ ,  $f \neq e$ . Since  $ff^* = ebee^*b^*e^* = 0$ ,  $f + f^* - f^*f$  is a nonzero symmetric idempotent. It is unequal to 1 since otherwise  $e = e(f + f^* - f^*f) = f$ . We may therefore assume that eAe is a division algebra and consequently that rank e = 1. Since  $(1 - e^*)(1 - e) = 1 - (e + e^* - e^*e) = 0$ , a repetition of the above argu-

ment allows us to assume that 1-e is also an idempotent of rank 1. It follows from Remark 1 that A is the complete ring of linear transformations of a two dimensional vector space V over a division algebra  $\Delta$ .

If  $e^*e=0$  as well as  $ee^*=0$  it is easy to show that relative to a suitable basis of V  $e=E_{11}$  and  $e^*=E_{22}$ . In this case we are finished. Therefore suppose  $e^*e\neq 0$ . We shall sketch an argument, leaving some details to the reader, whereby a non-trivial symmetric idempotent can now be found. First find a basis  $(u_1,u_2)$  of V such that  $u_1e=u_1,u_2e=0$ ,  $u_1e^*=0$ ,  $u_2e^*=\lambda u_1+u_2$ , where  $\lambda\neq 0\in \mathcal{A}$ . By setting  $v_1=\lambda^{-1}u_1$  and  $v_2=u_2$  we obtain a basis  $(v_1,v_2)$  of V relative to which  $e=E_{11}$  and  $e^*=E_{21}+E_{22}$ . From this we have

$$egin{align} E_{11}^* &= E_{21} + E_{22} \ E_{21}^* &= [(E_{21} + E_{22})E_{11}]^* = (E_{21} + E_{22})E_{11} = E_{21} \ E_{22}^* &= e - E_{21}^* = E_{11} - E_{21} \ . \end{array}$$

Set  $E_{12}^* = \alpha E_{11} + \beta E_{12} + \gamma E_{21} + \delta E_{22}$ ,  $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\delta \in \Delta$ . From the following three equations

$$egin{aligned} E_{11}-E_{21}&=E_{22}^*=(E_{21}E_{12})^*=E_{12}^*E_{21}^*=eta E_{11}+\delta E_{21}\ E_{21}+E_{22}&=E_{11}^*=(E_{12}E_{21})^*=E_{21}^*E_{12}^*=lpha E_{21}+eta E_{22}\ lpha E_{11}+eta E_{12}+\gamma E_{21}+\delta E_{22}&=E_{12}^*=(E_{11}E_{12})^*=E_{12}^*E_{11}^*\ &=eta E_{11}+eta E_{12}+\delta E_{21}+\delta E_{22}, \end{aligned}$$

we obtain  $\alpha = 1$ ,  $\beta = 1$ ,  $\gamma = -1$ , and  $\delta = -1$ . Hence

$$E_{\scriptscriptstyle 12}^* = E_{\scriptscriptstyle 11} + E_{\scriptscriptstyle 12} - E_{\scriptscriptstyle 21} - E_{\scriptscriptstyle 22}$$

and  $-E_{12}E_{12}^*=E_{11}+E_{12}$  is then a non-trivial symmetric idempotent.

There remains the case in which  $xx^* \neq 0$  for all  $x \neq 0 \in A$ . We note that in this situation there exist no nonzero nilpotent symmetric elements, for, if  $s \neq 0$  is symmetric, then  $s^2 = ss^* \neq 0$ . If A is not already a division algebra then we can find an element  $x \neq 0 \in A$  such that xA is a proper right ideal. It follows that  $xx^*A \subseteq xA$  is also a proper right ideal, and so  $xx^*$  is a nonzero, and hence, non-nilpotent symmetric element which has no inverse. In case A is algebraic over  $\Phi$  the subalgebra  $[[xx^*]]$  generated by  $xx^*$  contains a non-trivial symmetric idempotent, by Remark 4.

#### 4. Total matrix rings with involution. We begin by proving

THEOREM 4. Let A be the total matrix ring  $\Delta_m$  with an involution \*, where  $\Delta$  is a division algebra over  $\Phi$ . Then there exists a set of orthogonal symmetric elements  $e_1, e_2, \dots, e_{m_1}, f_1 f_2, \dots, f_{m_2}$  such that:

(a) The  $e_i$  are non-nilpotent elements of rank 1. In case A is

algebraic over  $\Phi$ , the  $e_i$  are idempotents of rank 1.

- (b) The  $f_j$  are idempotents of rank 2, and  $f_jAf_j$  is isomorphic to  $\Delta_2$ , with  $E_{11}^* = E_{22}$  (see Theorem 3).
  - (c)  $m_1 + 2m_2 = m$ .

*Proof.* Let  $s_1, s_2, \dots, s_h$  be a set of nonzero orthogonal symmetric idempotents, with h maximal. By the maximality of h we have

$$s_1 + s_2 + \cdots + s_n = 1$$
.

Each  $s_iAs_i$  may itself be regarded as a total matrix ring  $\Delta_{r_i}$  with an involution induced by \*, where  $r_i$  is the rank of  $s_i$ . We first consider those  $s_iAs_i$  having the property: there exists an  $x \neq 0 \in s_iAs_i$  such that  $xx^* = 0$ . Theorem 3, together with the maximality of h, then says that  $s_iAs_i$  is isomorphic to  $\Delta_2$ , with  $E_{11}^* = E_{22}$ . Relabeling these  $s_i$  as  $f_1, f_2, \dots, f_m$ , we have taken care of (b).

The remaining  $s_i$ , of course, have the property that  $xx^* \neq 0$  for all As we have noted before,  $s_i A s_i$  can have no nonzero nilpotent symmetric elements, since  $xx^* \neq 0$ . Consider a typical  $s_i A s_i$ and select from it an element  $x_1$  of rank 1. Then  $y_1 = x_1 x_1^* \neq 0$  is a non-nilpotent symmetric element of rank 1. Now assume that  $k(\langle r_i \rangle)$ orthogonal non-nilpotent symmetric elements  $y_1, y_2, \dots, y_k$  of rank 1 have been found. Since the dimension of  $W = \sum_{i=1}^k Vy_i$  is less than  $r_i$ , we can find an element  $x_{k+1}$  of rank 1 such that  $Wx_{k+1} = 0$ . Then  $y_{k+1} = 0$  $x_{k+1}x_{k+1}^*$  is a non-nilpotent symmetric element of rank 1 such that  $Wy_{k+1} = 0$ , that is,  $y_iy_{k+1} = 0$ ,  $i = 1, 2, \dots, k$ . Also  $y_{k+1}y_i = 0$ ,  $i = 1, 2, \dots, k$ . 1, 2, ..., k, since  $(y_{k+1}y_i)^* = y_i^*y_{r+1}^* = y_iy_{k+1} = 0$ . It follows that there exists in  $s_i A s_i$  a set of  $r_i$  non-nilpotent orthogonal symmetric elements  $y_1, y_2, \dots, y_{r_i}$ , each of rank 1. If A is algebraic over  $\emptyset$  the subalgebra  $[[y_j]]$  generated by each  $y_j$  contains a nonzero idempotent  $z_j$  (necessarily of rank 1), and so we have  $r_i$  orthogonal symmetric idempotents  $z_1, z_2, \dots, z_{r_s}$ , each of rank 1. Repeating the argument for all the  $s_i A s_i$  and labeling either all the  $y_j$  or all the  $z_j$  as  $e_1, e_2, \dots, e_m$ , we have completed the proof of (a). (c) follows readily from the fact that rank  $e_i = 1$ , rank  $f_j = 2$ , and  $\sum_i e_i + \sum_j f_j = 1$ .

To illustrate Theorem 4 we consider the following simple example. Let  $A = \Phi_2$ , where  $\Phi$  is a field, and define an involution \* in A by:

$$egin{pmatrix} egin{pmatrix} lpha_1 & lpha_2 \ lpha_3 & lpha_4 \end{pmatrix}^* = egin{pmatrix} 0 & -1 \ 1 & 0 \end{pmatrix} egin{pmatrix} lpha_1 & lpha_3 \ lpha_2 & lpha_4 \end{pmatrix} egin{pmatrix} 0 & 1 \ -1 & 0 \end{pmatrix}\!, \;\; lpha_i \in arPhi \;\;.$$

The reader may verify that A contains no symmetric elements of rank 1. Similar examples of higher dimension can also be given.

In the remainder of this section we derive a result which will enable us, at least in the algebraic case, to "pass" from the total matrix ring

 $\Delta_m$  to the division algebra  $\Delta$  itself.

LEMMA 2. Let A be the total matrix ring  $\Delta_2$ , algebraic over  $\varphi$ , with an involution \*, where  $\Delta$  is a division algebra over  $\varphi$ . Suppose  $E_{11}^* = E_{22}$ . Then one of the following two possibilities must hold:

- (a) A contains a symmetric idempotent of rank 1.
- (b) The involution \* in  $\Delta_2$  is of the form:

$$\begin{pmatrix} \alpha_1 & \alpha_2 \\ \alpha_3 & \alpha_4 \end{pmatrix}^* = \begin{pmatrix} 0 & -\beta^{-1} \\ \beta^{-1} & 0 \end{pmatrix} \begin{pmatrix} \overline{\alpha}_1 & \overline{\alpha}_3 \\ \overline{\alpha}_2 & \overline{\alpha}_4 \end{pmatrix} \begin{pmatrix} 0 & \beta \\ -\beta & 0 \end{pmatrix}$$

for all  $\alpha_i \in \Delta$ , some  $\beta \neq 0 \in \Delta$ , where  $\alpha \to \overline{\alpha}$  is an involution in  $\Delta$ .

*Proof.* It is well known (see for example [2], p. 24, Theorem 9) that the involution \* in A has the form:

$$egin{pmatrix} egin{pmatrix} lpha_1 & lpha_2 \ lpha_3 & lpha_4 \end{pmatrix}^* = \, U^{\scriptscriptstyle -1} egin{pmatrix} arlpha_1 & arlpha_3 \ arlpha_2 & arlpha_4 \end{pmatrix} \! U$$

where  $U = \begin{pmatrix} \gamma & \beta \\ \pm \overline{\beta} & \delta \end{pmatrix}$  is a nonsingular element of  $\Delta_2$  and  $\alpha \to \overline{\alpha}$  is an involution in  $\Delta$ . Consider the equation  $E_{22} = E_{11}^* = U^{-1}E_{11}U$ , that is,

$$\begin{pmatrix} \gamma & \beta \\ \pm \overline{\beta} & \delta \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \gamma & \beta \\ + \overline{\beta} & \delta \end{pmatrix}.$$

It follows that  $\gamma=\delta=0$ , and hence  $U=\begin{pmatrix}0&\beta\\\pm\overline{\beta}&0\end{pmatrix}$ .

At this point we observe that an element  $\begin{pmatrix} \gamma_1 & \gamma_2 \\ \gamma_1 & \gamma_2 \end{pmatrix} \in A$  is a non-nilpotent element of rank 1, unless  $\gamma_1 + \gamma_2 = 0$ . Now set  $B = \begin{pmatrix} \pm \overline{\beta} & \beta \\ \pm \overline{\beta} & \beta \end{pmatrix}$ . It is easy to check that  $B^* = U^{-1} \begin{pmatrix} \pm \beta & \pm \beta \\ \overline{\beta} & \overline{\beta} \end{pmatrix} U = \pm B$ , and hence B is either symmetric or skew. If  $\beta \pm \overline{\beta} = 0$ , i.e.,  $U = \begin{pmatrix} 0 & \beta \\ -\beta & 0 \end{pmatrix}$ , we are finished. Therefore assume that  $\beta \pm \overline{\beta} \neq 0$ . We then apply the observation made at the beginning of this paragraph to conclude that B is a non-nilpotent element of rank 1. Since B is either symmetric or skew, it follows that  $B^2$  is a non-nilpotent symmetric element of rank 1. The proof is complete when we note that, as A is algebraic over  $\mathcal{P}$ , the subalgebra  $[[B^2]]$  generated by  $B^2$  over  $\mathcal{P}$  contains a symmetric idempotent of rank 1.

THEOREM 5. Let A be the total matrix ring  $\Delta_m$ , algebraic over  $\Phi$ , with an involution \*, where  $\Delta$  is a division algebra over  $\Phi$ . Then there exists a division subalgebra D of A such that  $D^* = D$  and D is isomorphic to  $\Delta$ .

Proof. Theorem 4 asserts the existence of either (a) a symmetric idempotent e of rank 1 or (b) a symmetric idempotent f of rank 2, where fAf is isomorphic to  $\Delta_2$  with the induced involution \* such that  $E_{11}^* = E_{22}$ . In case (a) we merely set D = eAe and the required conclusion follows. In case (b)  $\Delta_2$  satisfies the hypothesis of Lemma 2. If  $\Delta_2$  contains a symmetric idempotent of rank 1 we proceed as in case (a). Otherwise we conclude from Lemma 2 that the involution \* in  $\Delta_2$  is given by:

$$egin{pmatrix} igl(lpha_1 & lpha_2 igr)^* = igl(egin{pmatrix} 0 & -eta^{-1} igr) igl(ar{ar{lpha}}_1 & ar{ar{lpha}}_3 igr) igl(ar{lpha}_2 & ar{ar{lpha}}_4 igr) igl(egin{pmatrix} -eta & eta igr) & eta igr) \end{array}.$$

Let D be the division subalgebra of  $\Delta_2$  consisting of all elements of the form  $\begin{pmatrix} \alpha & 0 \\ 0 & \alpha \end{pmatrix}$ ,  $\alpha \in \Delta$ . D is obviously isomorphic to  $\Delta$ . Furthermore, one verifies that

$$\left. \left\{ \begin{matrix} \alpha & 0 \\ 0 & \alpha \end{matrix} \right\}^* = \left\{ \begin{matrix} \beta^{-1} \overline{\alpha} \beta & 0 \\ 0 & \beta^{-1} \overline{\alpha} \beta \end{matrix} \right\} \in D$$

and we see that  $D^* = D$ .

## 5. Division $S_n$ -algebras. We begin this section by stating

LEMMA 3. Let  $\Delta$  be an algebraic division algebra over its center  $\Phi$  for which there exists a fixed integer h such that the dimension of  $\Phi(x)$  over  $\Phi$  is equal to or less than h for every separable element  $x \in \Delta$ . Then  $\Delta$  is finite dimensional over  $\Phi$ .

Except for the restriction of separability, this lemma is virtually the same as [1], p. 181, Theorem 1. The proof appearing in [1] carries over directly, and we therefore omit it.

Lemma 4. Let  $\Delta$  be an algebraic  $S_n$ -division algebra of the first kind over its center  $\Phi$ . Suppose E is a finite dimensional field extension of  $\Phi$ . Then  $E \bigotimes_{\sigma} \Delta$  is isomorphic to the total matrix ring  $\Gamma_m$ , where  $\Gamma$  is a division algebra and  $m \leq 2n$ .

*Proof.*  $E \otimes \Delta$  is well known to be a simple algebra over  $\Phi$  with minimum condition on right ideals. Hence  $E \otimes \Delta$  is isomorphic to  $\Gamma_m$ , where  $\Gamma$  is a division algebra and m is a natural number.

An involution  $\tau$  can be defined in  $E \otimes \Delta$  as follows:

$$(\alpha \otimes x)^{\tau} = \alpha \otimes x^*$$

for  $\alpha \in E$ ,  $x \in A$ . It can be verified that  $\tau$  is a well-defined involution

and that every symmetric element (under  $\tau$ ) in  $E \otimes \Delta$  can be written in the form:

$$(3)$$
  $\sum_i lpha_i igotimes s_i, \, lpha_i \in E, \, s_i \in S$  .

Let  $f(t_1, t_2, \dots, t_n) = 0$  be the multilinear polynomial identity of degree n satisfied by S. Because this identity is multilinear and because E is the center of  $E \otimes \mathcal{A}$ , it follows from (3) that the set of symmetric elements of  $E \otimes \mathcal{A}$  under  $\tau$  also satisfies  $f(t_1, t_2, \dots, t_n) = 0$ .

Now regard  $E \otimes \varDelta$  as the total matrix ring  $\Gamma_m$ , with involution  $\tau$ . By Theorem 4 there exists in  $\Gamma_m$  a set of at least k non-nilpotent orthogonal symmetric elements, where  $2k \geq m$ . Theorem 2 tells us that  $k \leq n$ , and hence  $m \leq 2k \leq 2n$ .

We are now able to prove

THEOREM 6. Let  $\Delta$  be an algebraic  $S_n$ -division algebra. Then  $\Delta$  is finite dimensional over its center.

*Proof.* By Theorem 1 we may assume that  $\Delta$  is an  $S_n$ -algebra of the first kind over its center  $\emptyset$ . Suppose  $\Delta$  is not finite dimensional over  $\emptyset$ . Then by Lemma 3 there exists a separable element  $x \in \Delta$  whose minimal polynomial g(t) over  $\emptyset$  has degree r > 2n. Let E be a finite dimensional field extension of  $\emptyset$  containing the r distinct roots  $\alpha_1, \alpha_2, \dots, \alpha_r$  of g(t).

We claim now that the element  $x - \alpha_i$  is a zero divisor in  $E \otimes A$ ,  $i = 1, 2, \dots, r$ . Indeed,

$$0=g(x)=\prod\limits_{j=1}^{r}\left(x-lpha_{j}
ight)=\left(x-lpha_{i}
ight)\prod\limits_{j
eq i}\left(x-lpha_{j}
ight)$$
 ,

and it suffices to show that  $\prod_{j\neq i}(x-\alpha_j)$  is a nonzero element of  $E\otimes A$ . Suppose  $\prod_{j\neq i}(x-\alpha_j)=0$ , that is,

(4) 
$$(x^{r-1}\otimes 1)-(x^{r-2}\otimes \sum\limits_{j\neq i}\alpha_j)+\cdots\pm (1\otimes \prod\limits_{j\neq i}\alpha_j)=0$$
 .

Since  $x^{r-1}$ ,  $x^{r-2}$ ,  $\cdots$ , 1 are linearly independent over  $\emptyset$ , all the corresponding terms of E in (4) must be zero, which is clearly impossible. Therefore  $x - \alpha_i$  is a zero divisor in  $E \otimes \Delta$ .

According to Lemma  $4 E \otimes \varDelta$  is isomorphic to the total matrix ring  $\Gamma_m$ , where  $m \leq 2n$ . We may therefore regard  $E \otimes \varDelta$  as the complete ring of linear transformations of an m-dimensional vector space V over the division algebra  $\Gamma$ . Set  $V_i = \{v \in V \mid v(x - \alpha_i) = 0\}, \ i = 1, 2, \cdots, r.$   $V_i$  is a nonzero subspace of V since  $x - \alpha_i$  is a zero divisor in  $E \otimes \varDelta$ . Using the fact that the  $\alpha_i$  are distinct elements belonging to the center E, we have that  $V_i$  are independent subspaces of V. It follows that

$$m \geq \dim \sum\limits_{i=1}^r \, V_i = \sum\limits_{i=1}^r \, (\dim \, V_i) \geq r > 2n$$
 .

A contradiction now arises since  $m \leq 2n$ . We must therefore conclude that  $\Delta$  is finite dimensional over its center.

6. Primitive  $S_n$ -algebras. We are now in a position to proceed with the proof of our main result.

THEOREM 7. Let A be a primitive algebraic  $S_n$ -algebra. Then the center of A is a field, and A is finite dimensional over its center.

Proof. Since A is primitive, A may be regarded as a dense ring of linear transformations of a vector space V over a division algebra  $\Delta$ . According to Theorem 2 there exist at most n orthogonal symmetric idempotents. Let  $e_1, e_2, \dots, e_m$  be a set of m orthogonal symmetric idempotents, with  $m(\leq n)$  maximal. For each  $i, e_iAe_i$  is again a primitive algebraic algebra with involution induced by \*. The same is true for (1-e)A(1-e), where  $e=e_1+e_2+\dots+e_m$ , if A should not already happen to have an identity. We now use Theorem 3 in conjunction with the maximality of m to assert that the rank of each  $e_i$  is 1 or 2, and that A does have an identity  $1=e_1+e_2+\dots+e_m$ . It follows that the dimension k of  $V \leq 2m$  and consequently that A is isomorphic to the total matrix ring  $A_k$ . The center of A is, of course, a subfield of A. Theorem 5 now says that A is an algebraic  $S_n$ -division algebra. By Theorem 6 A is finite dimensional over its center. Hence A is finite dimensional over its center.

COROLLARY. Let A be a primitive algebraic algebra with an involution \* such that the set K of skew elements is a PI-subspace of degree n. Then A is finite dimensional over its center.

**Proof.** Let  $f(t_1, t_2, \dots, t_n) = 0$  be the multilinear polynomial identity of degree n satisfied by K, according to Lemma 1. If  $s_1, s_2 \in S$ , where S is the set of symmetric elements of A, then  $s_1s_2 - s_2s_1 \in K$ . From this it follows that  $f(u_1v_1 - v_1u_1, u_2v_2 - v_2u_2, \dots, u_nv_n - v_nu_n) = 0$  is a nontrivial polynomial identity of degree 2n satisfied by the elements of S. In other words, A is a primitive algebraic  $S_{2n}$ -algebra, and the conclusion follows from Theorem 7.

Note. Herstein's original conjecture was: if A is a simple ring (or algebra) with involution whose skew elements satisfy a polynomial identity, then A is finite dimensional over its center. In this paper we have verified his conjecture in the special case where A is a simple algebraic algebra which is not a nil algebra.

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