CONTINUOUS CONVERGENCE IN C(X)

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Let X be a convergence space and C(X) the R-algebra of all continuous real-valued functions on X, equipped with the continuous convergence structure. If the natural map from X into C(C(X)) is an embedding, then X is said to be a c-space. With each space X there is associated the c-modification cXwhich is a c-space with the property C(X) = C(cX). This leads to the following theorems which are valid for any convergence space X: (1) C(X) is a topological space iff cX is locally compact; (2) C(X) is locally compact iff cX is finite.

1. Preliminaries. The continuous convergence structure on the function algebra C(X) of continuous real-valued functions on a space X has been studied extensively by Binz and others during the past decade; see, for instance, [3], [4], [6], and [8]. This function space is typically called $C_c(X)$, but we will use instead the notation C(X), assuming this space to be equipped with continuous convergence unless otherwise indicated. The term "space" will always mean "convergence space".

Let Hom C(X) be the subspace of C(C(X)) consisting of all nonzero continuous homomorphisms on C(X). X is *c-embedded* if the function $i_X: X \to \text{Hom } C(X)$ (defined by $i_X(x)(f) = f(x)$ for all f in C(X)) is a homeomorphism. We will use the term *c-space* in place of "*c*-embedded space"; this terminology is not only more concise, but avoids conflict with the different usage of the term "*c*-embedded" which is common in the literature.

Starting with a space X, let λX denote the topological modification and πX the pretopological modification of X. The symbol ωX will denote the completely regular modification of X, that is, the finest completely regular topological space on the same underlying set which is coarser than X. X will be called ω -Hausdorff if ωX is Hausdorff, and ω -regular if $cl_{\omega X} \mathscr{F} \to x$ whenever $\mathscr{F} \to x$. (Here, " $\mathscr{F} \to x$ in X" means "the filter \mathscr{F} converges to x in the space X"; cl_x designates the closure operator for X.) The following proposition will be useful later in the paper.

PROPOSITION 1.1. A Hausdorff ω -regular space X is ω -Hausdorff.

Proof. Let \mathscr{F} converge to x and y in ωX . Since $\dot{y} \ge \operatorname{cl}_{\omega_X} \mathscr{F}$, $\dot{y} \to x$ in ωX , and so $\dot{x} \ge \operatorname{cl}_{\omega_X} \dot{y}$. But $\dot{y} \to y$ in X, and, since X is ω -regular, $\dot{x} \to y$ in X. Therefore, x = y.

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A space is said to be *pseudo-topological* if $\mathscr{F} \to x$ whenever each ultrafilter finer than \mathscr{F} converges to x. X is *locally compact* if every convergent filter contains a compact set, and *first countable* if every convergent filter contains a filter converging to the same point which has a countable filter base. The notation " $X \leq Y$ " for spaces X and Y will mean that X and Y have the same underlying set, and $\mathscr{F} \to x$ in Y implies $\mathscr{F} \to x$ in X.

A collection \mathscr{A} of subsets of a space X is called a *covering* system if each convergent filter contains a member of \mathscr{A} . If \mathscr{A} and \mathscr{A}_1 are covering systems such that each member of \mathscr{A}_1 is a subset of a member of \mathscr{A} , then \mathscr{A}_1 is said to be a *refinement* of \mathscr{A} .

PROPOSITION 1.2. A subset A of a space X is compact iff, for each covering system \mathscr{A} of A, there is a refinement \mathscr{A}_1 of \mathscr{A} such that a finite subcollection of \mathscr{A}_1 covers A.

A covering system \mathscr{B} for X will be called a *basic covering* system if, whenever $\mathscr{F} \to x$, there is a filter $\mathscr{G} \to x$ such that $\mathscr{G} \leq \mathscr{F}$ and \mathscr{G} has a filter base consisting of members of \mathscr{B} . Thus, if X is ω -regular, the set of all ωX -closed subsets of X forms a basic covering system for X.

The abbreviation "u.f." will be used for "ultrafilter". The symbol R will denote the real number system with its usual topology. The complement of a set A is written "Co A", and the symbol \dot{x} represents the fixed ultrafilter generated by $\{x\}$.

2. c-spaces. It is shown in [8] that X is a c-space iff X is Hausdorff, ω -regular, and solid (see [8] for the definition of a *solid space*). We will begin by showing that X is a c-space iff X is Hausdorff, ω -regular, and pseudo-topological.

PROPOSITION 2.1. A c-space is Hausdorff, ω -regular, and pseudo-topological.

Proof. A c-space must be Hausdorff in order for the natural function from X into Hom C(X) to be injective. That a c-space is ω -regular is established in [6].

To show that X must be pseudo-topological, it is sufficient to show that C(X) is pseudo-topological, since this property is hereditary. Let Λ be a filter on C(X), and assume $\Omega \to f$ for all u.f.'s $\Omega \ge \Lambda$. Let $\mathscr{F} \to x$ in X. To show $\Lambda \to f$, it is enough to show that $\Lambda(\mathscr{F}) \ge \bigcap \{ \Omega(\mathscr{F}) \colon \Omega \text{ an u.f.}, \ \Omega \ge \Lambda \}$. We will do this by showing that if \mathscr{K} is an u.f. on R and $\mathscr{K} \ge \bigwedge (\mathscr{F})$, then $\mathscr{K} \ge \Omega(\mathscr{F})$ for some u.f. $\Omega \ge \Lambda$. Let $\mathscr{Z} = \{ \Sigma : \Sigma \text{ a filter on } C(X), \Sigma \geq \Lambda, \text{ and } \mathscr{A} \geq \Sigma(\mathscr{F}) \}$. A standard Zorn's lemma argument establishes that \mathscr{Z} contains a maximal element; call it Ω .

To show that Ω is an u.f., assume that $A \cup B \in \Omega$. If neither A nor B is in Ω , then the filter Ω_A generated by $\{A \cap M: M \in \Omega\}$, and Ω_B generated by $\{B \cap M: M \in \Omega\}$ must both be proper filters on C(X) which are strictly finer than Ω . Thus Ω_A and Ω_B must both fail to be in \mathcal{X} , and so there are sets M_1 , M_2 in Ω and F_1 and F_2 in \mathcal{F} such that $(M_1 \cap A)(F_1) \notin \mathcal{A}$ and $(M_2 \cap A)(F_2) \notin \mathcal{A}$. Let $M = M_1 \cap M_2$ and $F = F_1 \cap F_2$. But $A \cup B \in \Omega$, and so $(M \cap (A \cup B))(F) \in \mathcal{A}$. However, $(M \cap (A \cup B))(F) \subseteq (M_1 \cap A)(F_1) \cup (M_2 \cap B)(F_2)$, and this contradicts the fact that \mathcal{A} is an ultrafilter. Thus either A or B must be in Ω .

For any ω -Hausdorff space X, define \widetilde{X} to be the space on the same underlying set with convergence defined as follows: $\mathscr{F} \to x$ in \widetilde{X} iff, whenever $\Lambda \to f$ in C(X), $\Lambda(\mathscr{F}) \to f(x)$ in R.

PROPOSITION 2.2. The following statements are valid for any ω -Hausdorff space X: (a) $\omega X \leq \tilde{X} \leq X$; (b) $C(\tilde{X}) = C(X)$; (c) \tilde{X} is the finest c-space coarser than X.

Proof. Assertions (a) and (b) are obvious. It is also clear from the definition that \tilde{X} is *c*-embedded. If X_1 is *c*-embedded and $X_1 \leq X$, then the identity map from $X \to X_1$ is continuous. Thus the induced map $C(X_1) \to C(X) = C(\tilde{X})$ is continuous, and so is the induced map from $\operatorname{Hom} C(\tilde{X}) \to \operatorname{Hom} C(X_1)$. But \tilde{X} is homeomorphic to $\operatorname{Hom} C(\tilde{X})$ and $\operatorname{Hom} C(X_1)$ is homeomorphic to X_1 , which establishes $X_1 \leq \tilde{X}$.

LEMMA 2.3. Let \mathscr{B} be a basic covering system for X, and let t be the topology on C(X) with subbase $\{(B, W): B \in \mathscr{B}, W \text{ open in} R\}$, where $(B, W) = \{g \in C(X): g(B) \subseteq W\}$. Then $C(X) \leq C_t(X)$.

Proof. Let $\Phi \to h$ in $C_t(X)$ and $\mathscr{G} \to y$ in X; let W be an open neighborhood of h(y) in R. Let $\mathscr{H} \to y$ in X such that $\mathscr{G} \geq \mathscr{H}$, and \mathscr{H} has a filter base in \mathscr{B} . Let $B \in \mathscr{B}$ be a basic set in \mathscr{H} such that $h(B) \subseteq W$. Then (B, W) is t-open, and hence $(B, W) \in \Phi$. But $(B, W)(B) \subseteq W$, and it follows that $\Phi(\mathscr{H}) \to h(y)$ in R. Thus $\Phi(\mathscr{G}) \to h(y)$ in R, and so $\Phi \to h$ in C(X).

THEOREM 2.4. X is a c-space iff X is Hausdorff, ω -regular, and pseudo-topological.

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Proof. Assume the three conditions. By Proposition 1.1, X is ω -Hausdorff. Thus \tilde{X} exists, and it is sufficient to show that $X = \tilde{X}$. Since X and \tilde{X} are both pseudo-topological, and $\tilde{X} \leq X$, we can complete the proof by showing that each u.f. which \tilde{X} -converges to x also X-converges to x.

Suppose \mathcal{G} is an u.f., $\mathcal{G} \to x$ in \tilde{X} , and $\mathcal{G} \to x$ in X. If \mathcal{H} is an X-convergent filter, then $\mathcal{G} \not\cong \operatorname{cl}_{\omega_X} \mathcal{H}$, and so there is an ωX closed set H in \mathcal{H} such that $\operatorname{Co} H \in \mathcal{G}$. The set of all such H's forms a covering system \mathcal{A} for X, and the set \mathcal{B} of all ωX -closed subsets of members of \mathcal{A} is a basic covering system for X consisting entirely of sets whose complements are in \mathcal{G} . Let $C_t(X)$ be the topological space derived from \mathcal{B} as in Lemma 2.3. Then, by the same lemma and Proposition 2.2, $C_t(X) \geq C(X) = C(\tilde{X})$.

Let f in C(X) be defined by f(x) = 0, all x in X. Let Λ be the t-neighborhood filter at f. Then $\Lambda \to f$ in $C_t(X)$, which implies $\Lambda \to f$ in $C(\tilde{X})$, and so $\Lambda(\mathcal{G}) \to 0$ in R. Let W be a neighborhood of 0 not containing 1. Then there are sets G in \mathcal{G} and L in Λ such that $L(G) \subseteq W$. L contains a set of the form $(A_1, V_1) \cap \cdots \cap (A_n, V_n)$, where each A_i is in \mathcal{G} and each V_i is an open neighborhood of 0 in R. Since Co $A_i \in \mathcal{G}$ for $i = 1, \dots, n$, we can choose $G_1 \subseteq G$ such that G_1 is in \mathcal{G} and $G_1 \cap (\bigcup A_i)$ is the empty set. Let z be any element of G_1 , and let g in C(X) be constructed such that g(z) = 1 and $g(\cup A_i) = 0$. Then g is in (A_i, V_i) for all i, but g(G) is not a subset of W. This contradiction establishes that $\mathcal{G} \to x$ in X, and the proof is complete.

For any space X, let cX = Hom C(X). Then cX is a c-space, and we will refer to it as the *c-modification of X*. The next result can be easily verified.

PROPOSITION 2.5. (a) C(X) and C(cX) are homeomorphic. (b) If X is ω -Hausdorff, then \tilde{X} and cX are homeomorphic.

When X is ω -Hausdorff, it is convenient to think of cX as coinciding with \tilde{X} . In general, the underlying set for cX can be thought of as consisting of equivalence classes relative to the following equivalence relation on $X: x \sim y$ iff f(x) = f(y), for all f in C(X).

For the purpose of studying C(X), X can be replaced by the *c*-space cX. If one wishes to determine what properties of C(X) are induced by given properties of X, it would naturally be of interest to know when a given property of X extends to cX. Two such properties are "Lindelof" and "second countable"; for definitions of these concepts in a convergence space setting, the reader is referred to [6].

PROPOSITION 2.6. If X is Lindelof (second countable), then cX is Lindelof (second countable).

Proof. In Theorem 1, [6], Feldman shows that C(X) is first countable whenever X is Lindelof, and that X is Lindelof whenever X is a c-space and C(X) is first countable. The assertion for "Lindelof" follows immediately from these results. The assertion for "second countable" can be proved similarly with the help of Theorem 2 of [6].

In the next section, we will show that cX is locally compact whenever X is locally compact. We conclude this section with a simple example which shows that X can be first countable when cXis not first countable.

EXAMPLE 2.7. Let Y be the interval [0, 1] with the usual topology. Let X be the space with the same underlying set whose convergence to nonzero points is discrete, and with convergence to 0 defined as follows: $\mathscr{F} \to 0$ in X iff there is a free filter \mathscr{G} and a point y in Y such that: (1) \mathscr{G} is finer than the Y-neighborhood filter at y; (2) $\mathscr{F} \geq \mathscr{G} \cap \dot{0}$. In other words, $\mathscr{F} \to 0$ in X means that \mathscr{F} is finer than the Y-neighborhood filter at 0, or else \mathscr{F} is finer than $\mathscr{N}(y)$ for some y in X, where $\mathscr{N}(y)$ is generated by sets of the form $(V - \{y\}) \cup \{0\}$ and V is a Y-neighborhood of y.

The space X is clearly compact and first countable. But cX (which turns out to be finest pseudo-topological space coarser than X) is homeomorphic to the one-point compactification of the interval (0, 1] with the discrete topology, and so is not first countable.

3. Local compactness. In this section, we examine the consequences of assuming that either X or C(X) is locally compact. Arens, [1], proved for a completely regular topological space X that C(X) is a topological space iff X is locally compact. In Theorem 3.6, we show that Arens' theorem is valid in the larger class of ω -regular convergence spaces. We also show that when C(X) is a topology, then C(X) has the compact-open topology relative to cX, but not, in general, relative to X.

LEMMA 3.1. Let X be a space, $\mathscr{F} \to x$ in X, and $\Phi \to f$ in C(X). Let W be an open neighborhood of f(x) in R.

(a) If F is a compact set in \mathscr{F} such that $f(F) \subseteq W$, then there is a set A in Φ such that $A(F) \subseteq W$.

(b) If A is a compact set in Φ and $A(x) \subseteq W$, then there is a set F in \mathscr{F} such that $A(F) \subseteq W$.

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Proof. The proofs of (a) and (b) are essentially the same, so we will prove only (b).

Let $\{\Lambda_i: i \in I\}$ be the collection of all filters on C(X) which converge in C(X) to a point in A. Let $\Lambda_i \to g$ in A; then there are sets L_i in Λ_i and F_i in \mathscr{F} such that $L_i(F_i) \subseteq W$. The set $\{L_i: i \in I\}$ is a covering system for A which, by Proposition 1.2, reduces to a finite subcover L_1, \dots, L_n of A. Let F_1, \dots, F_n be the corresponding members of \mathscr{F} , and let $F = \bigcap F_k$. Then $(\bigcup L_k)(F) \subseteq W$, and so $A(F) \subseteq W$.

THEOREM 3.2. If X is a locally compact space, then C(X) is a topological space.

Proof. C(X) is known to be a convergence group, and it is also known (see [7], Theorem 5, §3) that a pretopological convergence group is topological. Thus we can complete the proof by showing that, whenever f is in C(X), $\mathscr{F} \to x$ in X, and W is an open neighborhood of f(x) in R, there is a neighborhood V of f in C(X) and F in \mathscr{F} such that $V(F) \subseteq W$. Assume that W_1 is a closed neighborhood of f(x) contained in W and F_0 a compact set in \mathscr{F} . Let $F_1 = F_0 \cap f^{-1}(W_1)$. Then F_1 is compact, F_1 is in \mathscr{F} , and $f(F_1) \subseteq W$, so that Lemma 3.1(a) can be applied to obtain, for each filter $A \to f$ in C(X), a set $L_A \in A$ such that $L_A(F_1) \subseteq W_1$. If V is the union of these L_A 's, over all A's converging to f in C(X), then V is a neighborhood of f, and $V(F_1) \subseteq W$.

The preceding proof made use of Lemma 3.1(a) to show that $C(X) = \pi C(X)$ when X is locally compact. An analogous argument, based on Lemma 3.1(b), establishes the following result.

LEMMA 3.3. Let X be any space such that C(X) is locally compact. Then $C(X) = C(\pi X)$.

PROPOSITION 3.4. If C(X) is a locally compact space, then $C(X) = C(\pi X) = C(\lambda X)$.

Proof. In view of Lemma 3.3, it is sufficient to assume that X is pretopological and show that $C(X) = C(\lambda X)$. Let $\Phi \to f$ in C(X) and $x \in X$. Let W be an open neighborhood of f(x) in R. Select a compact set A in Φ and a neighborhood B of x such that $A(B) \subseteq W$. Given z in B, we can use Lemma 3.1 to find a neighborhood B_z of z such that $A(B_z) \subseteq W$. Let $B_1 = \bigcup \{B_z : z \text{ in } B\}$; then $A(B_1) \subseteq W$. Next, given z in B_1 , use Lemma 3.1 again to find a neighborhood C_z of z such that $A(C_z) \subseteq W$. Let $B_2 = \bigcup \{C_z : z \text{ in } B_1\}$; then $A(B_2) \subseteq W$.

Continue in this way to obtain B_n such that $A(B_n) \subseteq W$ for all natural numbers n. Let $U = \bigcup \{B_n : n \text{ a natural number}\}$. Then U is a λX -neighborhood of x and $A(U) \subseteq W$. It follows that $\phi \to f$ in $C(\lambda X)$, and the proof is complete.

COROLLARY 3.5. (a) If X is locally compact, then $C(X) = \lambda C(X)$. (b) If C(X) is locally compact, then $C(X) = C(\lambda X)$.

THEOREM 3.6. If X is an ω -regular space, then C(X) is a topological space iff X is locally compact.

Proof. Assume that C(X) is a topological space, and let f in C(X) be the constant map f(x) = 0, all x in X. Let W be any open neighborhood of 0 in R not containing 1. Let $\mathscr{F} \to x$ in X; since X is ω -regular, there is an ωX -closed set F_0 in \mathscr{F} such that, for some neighborhood U_0 of f in C(X), $U_0(F_0) \subseteq W$. We will complete the proof by showing that F_0 is compact.

Let \mathscr{A} be a covering system for F_0 . Let $\mathscr{A}_1 = \mathscr{A} \cup \{\operatorname{Co} F_0\} \cup \{B \cup \operatorname{Co} F_0: B \in \mathscr{A}\}$; then \mathscr{A}_1 is a covering system for X. By Lemma 2, [6], we can replace \mathscr{A}_1 by a refinement \mathscr{A}_2 composed of ωX -closed sets. Let \mathscr{B} be the basic covering system for X obtained by adding to the collection \mathscr{A}_2 all ωX -closed subsets of members of \mathscr{A}_2 . If t is the topology on C(X) defined from \mathscr{B} as in Lemma 2.3, then $C(X) \leq C_t(X)$ follows from the same lemma. Thus there is a t-neighborhood U_1 of f, with $U_1 = \bigcap \{(F_k, W_k): k = 1, \dots, n\}$, such that $U_1 \subseteq U_0$, where the sets F_1, \dots, F_n are ωX -closed members of \mathscr{B} . To show that $F_0 \subseteq \bigcup F_k$, assume the contrary, and let $z \in F_0 - (\bigcup F_k)$. Then there is h in C(X) such that h(z) = 1 and $h(\cup F_k) = 0$. This yields a contradiction, since h is in U_1 , a subset of U_0 , but h(z) = 1 implies $U_0(F_0) \not\subseteq W$.

To conclude that F_0 is compact, let $G_i = F_i \cap F_0$, $i = 1, \dots, n$, and let $\mathscr{A}^* = \{B \cap F_0 : B \in \mathscr{A}_2\}$. Then \mathscr{A}^* is an ωX -closed refinement of \mathscr{A} , and each G_i is a subset of some member of \mathscr{A}^* . Since $F_0 \subseteq \bigcup G_k$, the compactness of F_0 follows from Proposition 1.2, and the proof is complete.

Even in the class of topological spaces, there are ω -regular spaces which are not completely regular; an example of such a space can be found in [5], page 85, Ex. 4.

COROLLARY 3.7. If X is locally compact, then cX is locally compact.

Proof. This follows because C(X) is a topology (Theorem 3.2),

cX is ω -regular (Proposition 2.1), and C(cX) is homeomorphic to C(X) (Proposition 2.5).

An immediate consequence of Theorem 2.3 and Corollary 3.7 is

COROLLARY 3.8. For any space X, C(X) is a topological space iff cX is locally compact.

C(X) is said to have the compact-open topology relative to X if $C_t(X) = C(X)$, where $C_t(X)$ is the topological space derived, as in Lemma 2.3, from the collection \mathscr{B} of all compact subsets of X. When X is a completely regular topological space, then it is known that C(X) has the compact-open topology relative to X whenever C(X) is a topology. The situation for convergence spaces can be summarized as follows.

THEOREM 3.9. Let C(X) be a topological space.

(a) If X is ω -regular, then C(X) has the compact-open topology relative to X.

(b) C(X) always has the compact-open topology relative to cX.

Proof. Both assertions follow from the fact that C(X) has the compact-open topology relative to X whenever X is locally compact. Assume that X is locally compact, and let \mathscr{B} be the collection of all compact subsets of X; let t be the compact-open topology on C(X). $C(X) \leq C_t(X)$ follows from Lemma 2.3. If $\phi \to f$ in C(X) and $f \in (K, W)$, where $K \in \mathscr{B}$ and W is open in R, then the argument used in proving Lemma 3.1 can be applied to obtain a set A in ϕ such that $A(K) \subseteq W$. Thus $A \subseteq (K, W)$, and $C(X) = C_t(X)$ is established.

It is not generally true that C(X) has the compact-open topology relative to X whenever C(X) is topological. One can obtain a counter-example by taking X to be the space of Theorem 6.21, [2].

THEOREM 3.10. C(X) is locally compact iff cX is finite.

Proof. If cX is finite, then C(X) = C(cX) is a finite dimensional topological linear space, and hence locally compact.

Conversely, assume that C(X) is locally compact; for convenience, let Y = cX. Then Y is a completely regular topological space, since Y is a subspace of C(C(X)), which has the compact-open topology by Theorem 3.9.

Let $A = \{f \in C(X) : |f(x)| \leq 1, \text{ for all } x \text{ in } X\}$. A is evidently

closed in C(X). Note that the filter on C(X) generated by $\{(1/n)A: n = 1, 2, \dots\}$ converges to the zero function; since C(X) is locally compact, some set of the form (1/n)A is compact, and it follows that A is compact in C(X). We can also regard A as a subset of the product R^r ; A will then be compact relative to the product topology on R^r .

Assume that Y is infinite. If Y were discrete, then $C(Y) = R^{Y}$, and C(Y) would not be locally compact. Thus some element y in Y has a neighborhood filter distinct from \dot{y} . For each open set V in the neighborhood filter at y, choose y_{V} in $V - \{y\}$ and f_{V} in A such that $f_{V}(y) = 1$ and $f_{V}(Y - V) = 0$. Since A is compact in R^{Y} , the net (f_{V}) has a pointwise-convergent subnet $(f_{V_{\alpha}})_{\alpha \in J}$. Let f be the pointwise-convergent limit of this subnet. Then $(f_{V_{\alpha}}(y))_{\alpha \in J} \rightarrow$ f(y) = 1, and $(f_{V_{\alpha}}(y_{V_{\beta}}))_{\alpha \in J} \rightarrow f(y_{V_{\beta}}) = 0$ for each $\beta \in J$. But the net $(y_{\beta})_{\beta \in J} \rightarrow y$, and so f is not in C(Y). But $f \in A \subseteq C(Y)$, since A is compact, a contradiction. It follows that Y = cX is finite.

The preceding theorem and Corollary 3.8 imply that C(X) is topological whenever C(X) is locally compact. Combining this result with Proposition 3.4, we obtain the following.

COROLLARY 3.11. If C(X) is locally compact, then $C(X) = \lambda C(X) = C(\lambda X)$.

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