

## MULTIPLICATION ALTERATION AND RELATED RIGIDITY PROPERTIES OF ALGEBRAS

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Given an algebra  $C$  over a commutative ring  $k$  and an element (called a  $C$ -two-cocycle)  $\sigma = \sum_i a_i \otimes b_i \otimes c_i$  in  $C \otimes_k C \otimes_k C$  satisfying certain relations, Sweedler defined a new multiplication  $*$  on  $C$  by  $x*y = \sum_i a_i x b_i y c_i$  for all  $x, y$  in  $C$  and denoted  $C$  with this new multiplication by  $C^\sigma$ . This paper studies three rigidity properties which arise by asking whether:

- (i)  $C^\sigma \simeq C$  as algebras;
  - (ii) a certain functor from the category of  $C$ -bimodules to the category of  $C^\sigma$ -bimodules is an equivalence;
  - (iii) a certain functor from the category of algebras over  $C$  to the category of algebras over  $C^\sigma$  is an equivalence.
- For certain algebras over a field  $k$  (including finite dimensional algebras possessing a Wedderburn factor), these rigidity properties are shown to be equivalent to (respectively):
- (i) all  $k$ -separable subalgebras  $B$  of  $C$  are commutative and for a separability idempotent  $\sum_i x_i \otimes y_i$  of  $B$ ,  $\{c \in C \mid \sum_i x_i c y_i = 0\}$  is an ideal with square  $\{0\}$ ;
  - (ii) all  $k$ -separable subalgebras of  $C$  are central;
  - (iii)  $k$  is the only  $k$ -separable subalgebra of  $C$ .

We recall Sweedler's basic definitions [7] and determine some elementary properties of multiplication alteration in §§1 and 2. The behavior of an algebra under alteration by Waterhouse's  $C$ -two-cocycle  $\sigma_s = e \otimes 1 + 1 \otimes e - (e \otimes 1)(1 \otimes e)$  associated with a  $k$ -separable subalgebra  $B$  of  $C$  having separability idempotent  $e$  is studied in §3.

Section 4 introduces the notion of dominance: the  $k$ -algebra  $C$  is said to dominate the  $k$ -algebra  $D$  (written  $C > D$ ) if there is a  $C$ -two-cocycle  $\sigma$  with  $D \simeq C^\sigma$ .  $C$  is called rigid if  $C > D$  implies  $D \simeq C$ . Dominance is a partial order on the class of  $k$ -algebras. In the course of proving this an alternate characterization of a  $C$ -two-cocycle  $\sigma$  in terms of the existence of a certain functor  $F^\sigma: A(C) \rightarrow A(C^\sigma)$  is given. (For any  $k$ -algebra  $D$ ,  $A(D)$  is the category of  $k$ -algebras over  $D$ .) We provide a dominance description of the central simple algebras over a field  $k$  as the "highly nonrigid" algebras and characterize those algebras over a perfect field  $k$  with nilpotent Jacobson radical  $J(C)$  and  $k$ -dim  $C/J(C)$  finite which are rigid. The main step in our study of rigidity is a theorem which states that if the kernel of an idempotent algebra endomorphism  $p$  of  $C$  satisfies a certain nilpotency condition every  $C$ -two-cocycle  $\sigma$  is "equivalent" to the  $p(C)$ -two cocycle  $p(\sigma)$  (cf. Theorem 4.7).

Section 5 deals with a notion of rigidity on the bimodule level. If  $\sigma = \sum_i a_i \otimes b_i \otimes c_i$  is a  $C$ -two-cocycle and  $M$  is an object of the category  $M(C)$  of  $C$ -bimodules, we define actions of  $C^\sigma$  on  $M$  by  $x^\sigma * m = \sum_i a_i x b_i m c_i$  and  $m * x^\sigma = \sum_i a_i m b_i x c_i$  for all  $x$  in  $C$ ,  $m$  in  $M$ . Denoting the resulting  $C^\sigma$ -bimodule by  $M^\sigma$ , we obtain a functor  $( )^\sigma: M(C) \rightarrow M(C^\sigma)$  taking  $M$  to  $M^\sigma$  which we show can also be described as the change of rings functor associated with a certain algebra map  $\varphi_\sigma: C^\sigma \otimes_k C^0 \rightarrow C \otimes_k C^0$ .  $C$  is called modularly rigid (modularly semi-rigid) if  $( )^\sigma$  is an equivalence (dense) for all  $C$ -two-cocycles  $\sigma$ . If  $k$  is a field, we find  $( )^\sigma$  dense for some separability idempotent  $e$  of  $B \subseteq C$  implies  $B$  is central in  $C$ . We use this to prove: If  $k$  is a field, and  $C$  is a  $k$ -algebra with nilpotent Jacobson radical  $J(C)$  and  $C/J(C)$  locally finite, then  $C$  is modularly rigid iff  $C$  is modularly semi-rigid iff all  $k$ -separable subalgebras of  $C$  are central.

As mentioned above,  $\sigma$  being a  $C$ -two-cocycle is equivalent to the existence of a certain functor  $F^\sigma: A(C) \rightarrow A(C^\sigma)$ . In §6 we study these functors. We show that if  $C$  is commutative and  $\sigma$  is an Amitsur (i.e., invertible)  $C$ -two-cocycle, then  $F^\sigma$  is an equivalence of categories.  $C$  is called categorically rigid (categorically semi-rigid) if  $F^\sigma$  is an equivalence (dense) for all  $C$ -two-cocycles  $\sigma$ . The paper concludes with a theorem relating categorically rigid algebras and algebras with all two-cocycles invertible. This theorem includes: If  $k$  is a field, a  $k$ -algebra  $C$  with nilpotent Jacobson radical  $J(C)$  and  $C/J(C)$  locally finite is categorically rigid iff  $C$  is categorically semi-rigid iff  $C$  has no nontrivial  $k$ -separable subalgebras iff all  $C$ -two-cocycles are invertible.

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1. Review of basic notions. Throughout this paper  $k$  will always denote at least a commutative ring with unit 1. By an algebra over  $k$  or a  $k$ -algebra we mean an associative, unitary algebra over  $k$ . Unadorned  $\otimes$ ,  $\text{Hom}$  represent  $\otimes_k$ ,  $\text{Hom}_k$  respectively. For any  $k$ -algebra  $C$ , we denote the  $n$ -fold tensor product  $C \otimes \cdots \otimes C$  by  $C^{\otimes n}$ . Given a map  $C \xrightarrow{f} D$  of  $k$ -algebras, we have an induced algebra map  $C^{\otimes n} \rightarrow D^{\otimes n}$  for each  $n$  given by  $x_1 \otimes \cdots \otimes x_n \mapsto f(x_1) \otimes \cdots \otimes f(x_n)$  for  $x_i$  in  $C$  which we denote by  $f^{\otimes n}$  or by  $f$  if no confusion seems likely. If  $C$  is a  $k$ -algebra, we denote its opposite  $k$ -algebra by  $C^0$  and we call a left  $C \otimes C^0$ -module a  $C$ -bimodule. By an ideal of the  $k$ -algebra  $C$  we mean a two-sided ideal of  $C$ .  $J(C)$  denotes the Jacobson radical of  $C$  and  $Z(C)$  denotes the center of  $C$ . By a central simple algebra over the field  $k$  we mean a finite  $k$ -dimensional

$k$ -algebra  $C$  with no proper ideals and  $Z(C) = k$ . Semi-simple means that the Jacobson radical is trivial and the descending chain condition on left ideals holds.

In this section we give a brief review of the theory of multiplication alteration by two-cocycles introduced by Sweedler [7]. Given an algebra  $C$  over the commutative ring  $k$ , let  $\sigma = \sum_i a_i \otimes b_i \otimes c_i$  be in  $C \otimes C \otimes C$ . We form a new  $k$ -algebra  $C^\sigma$  as follows. As an abelian group,  $C^\sigma$  is equal to  $C$ . For any  $x$  in  $C$  we use the notation  $x^\sigma$  to indicate that we are considering  $x$  as an element of  $C^\sigma$ . We define the product  $*$  of any two elements  $x^\sigma$  and  $y^\sigma$  in  $C^\sigma$  by

$$x^\sigma * y^\sigma = \left( \sum_i a_i x b_i y c_i \right)^\sigma .$$

DEFINITION 1.1.  $\sigma$  is called a  $C$ -two-cocycle if

$$(1.1a) \quad \sum_{i,j} a_i a_j \otimes b_j \otimes c_j b_i \otimes c_i = \sum_{i,j} a_i \otimes b_i a_j \otimes b_j \otimes c_j c_i$$

and there is an element  $e_\sigma$  in  $C$  with

$$(1.1b) \quad \sum_i a_i e_\sigma b_i \otimes c_i = 1 \otimes 1 = \sum_i a_i \otimes b_i e_\sigma c_i .$$

If  $\sigma$  is a  $C$ -two-cocycle  $C^\sigma$  is an associative  $k$ -algebra with unit element  $e_\sigma^\sigma$ . This paper may be briefly described as follows: Given a  $k$ -algebra  $C$  and an arbitrary  $C$ -two-cocycle  $\sigma$ , we “compare”  $C^\sigma$  with  $C$ . In §§4 through 6 we investigate three ways of “comparing”  $C^\sigma$  with  $C$ , including whether  $C^\sigma \simeq C$  as  $k$ -algebras.

EXAMPLE 1.2. Let  $C$  be a commutative  $k$ -algebra and  $\sigma = \sum_i a_i \otimes b_i \otimes c_i$  be a  $C$ -two-cocycle. From (1.1b)  $(\sum_i a_i b_i c_i) e_\sigma = 1$  and hence  $e_\sigma$  is invertible in  $C$  with  $e_\sigma^{-1} = \sum_i a_i b_i c_i$ . Since  $x^\sigma * y^\sigma = (x y e_\sigma^{-1})^\sigma$  for any  $x, y$  in  $C$ , the  $k$ -linear map  $C \rightarrow C^\sigma$  given by  $x \mapsto (x e_\sigma)^\sigma$  is a  $k$ -algebra map and is bijective since  $e_\sigma$  is invertible. Thus  $C^\sigma \simeq C$ .

Let  $\sigma = \sum_i a_i \otimes b_i \otimes c_i$  and  $\tau = \sum_i r_i \otimes s_i \otimes t_i$  be  $C$ -two-cocycles. Associated with any element  $\delta = \sum_i u_i \otimes v_i$  in  $C \otimes C$  we have a linear map  $R^\delta: C^\sigma \rightarrow C^\tau$  given by  $x^\sigma \mapsto (\sum_i u_i x v_i)^\tau$ .

DEFINITION 1.3.  $\sigma$  is cohomologous to  $\tau$  via  $\delta$ , denoted  $\sigma \sim^\delta \tau$ , if

$$\begin{aligned} \sum_{i,j} u_i a_j \otimes b_j \otimes c_j v_i &= \sum_{i,j,l} r_i u_j \otimes v_j s_l u_l \otimes v_l t_i , \\ \sum_i u_i e_\sigma v_i &= e_\tau . \end{aligned}$$

Thus if  $\sigma \sim^\delta \tau$ ,  $R^\delta: C^\sigma \rightarrow C^\tau$  is a  $k$ -algebra map.

DEFINITION 1.4.  $\delta = \sum_i u_i \otimes v_i$  is called vertible if there is an

element  $\bar{\delta} = \sum_i \bar{u}_i \otimes \bar{v}_i$  in  $C \otimes C$  with

$$(1.4a) \quad \sum_{i,j} u_i \bar{u}_j \otimes \bar{v}_j v_i = 1 \otimes 1 = \sum_{i,j} \bar{u}_i u_j \otimes v_j \bar{v}_i .$$

$\bar{\delta}$  is called the verse of  $\delta$ .

Hence if  $\sigma \sim^\delta \tau$  with  $\delta$  vertible the map  $C^\sigma \xrightarrow{R^\delta} C^\tau$  is an isomorphism of  $k$ -algebras with inverse  $R^{\bar{\delta}}$ . Because of the existence of this nice isomorphism, we say that  $\sigma$  and  $\tau$  are equivalent if  $\sigma \sim^\delta \tau$  with  $\delta$  vertible.

EXAMPLES 1.5.

(a) Let  $C = k \oplus k$  and  $f = (1, 0)$ . Then

$$\sigma_f = 1 \otimes 1 \otimes 1 + f \otimes f \otimes 1 + 1 \otimes f \otimes f - f \otimes 1 \otimes f - 1 \otimes f \otimes 1$$

is a  $C$ -two-cocycle with  $e_{\sigma_f} = 1$ .

(b) Let  $C = k[x]$  with  $x^2 = 0$ . Then

$$\sigma_x = 1 \otimes 1 \otimes 1 + x \otimes x \otimes 1 + 1 \otimes x \otimes x - x \otimes 1 \otimes x$$

is a  $C$ -two-cocycle with  $e_{\sigma_x} = 1$ . In addition,  $\sigma_x \sim^\delta 1 \otimes 1 \otimes 1$  with  $\delta = 1 \otimes 1 - x \otimes x$  vertible.

2. Structure of  $C$  inherited by  $C^\sigma$ . Let  $\sigma$  be a  $C$ -two-cocycle. If  $I$  is an ideal of  $C$ , we have an injective map {ideals of  $C$ }  $\rightarrow$  {ideals of  $C^\sigma$ } given by  $I \mapsto I^\sigma$ . Also,  $(I^\sigma)^2 = I^\sigma * I^\sigma \subseteq (I \cdot I)^\sigma = (I^2)^\sigma$  and by induction  $(I^\sigma)^n \subseteq (I^n)^\sigma$  for all  $n$ . Hence, if  $J(C)$  is nilpotent  $J(C)^\sigma \subseteq J(C^\sigma)$ . If  $C \xrightarrow{f} D$  is an algebra map,  $f^{\otimes 3}(\sigma)$  is a  $D$ -two-cocycle with  $e_{f(\sigma)} = f(e_\sigma)$ . In particular, if  $I$  is any ideal of  $C$  we may take  $D = C/I$  and  $f$  the canonical projection  $C \rightarrow C/I$ . If  $C \subseteq D$  we may take  $f$  to be the inclusion and in this way view a  $C$ -two-cocycle as a  $D$ -two-cocycle. If  $C/J(C)$  is commutative and  $C \xrightarrow{\pi} C/J(C)$  is the canonical projection,  $\{C/J(C)\}^{\pi(\sigma)} \simeq C^\sigma/J(C)^\sigma$ , and  $C/J(C)$  and  $C^\sigma/J(C)^\sigma$  are isomorphic by Example 1.2. Thus  $J\{C^\sigma/J(C)^\sigma\} = \{0\}^\sigma$  and  $J(C)^\sigma \supseteq J(C^\sigma)$ .

For any  $x$  in  $Z(C)$  and  $y$  in  $C$ , (1.1b) implies  $(xe_\sigma)^\sigma * y^\sigma = y^\sigma * (xe_\sigma)^\sigma$ . Therefore  $(Z(C)e_\sigma)^\sigma \subseteq Z(C^\sigma)$ . The map  $Z(C) \xrightarrow{i} Z(C^\sigma)$  given by  $x \mapsto (xe_\sigma)^\sigma$  is an injective algebra map by (1.1b). Suppose  $C/J(C)$  is commutative and let  $\sigma = \sum_i a_i \otimes b_i \otimes c_i$  be a  $C$ -two-cocycle. Then

$$\{e_\sigma + J(C)\} \{ \sum_i a_i b_i c_i + J(C) \} = 1 + J(C)$$

by (1.1b). Therefore  $e_\sigma + J(C)$  is invertible in  $C/J(C)$  which implies that  $e_\sigma$  is invertible in  $C$ . If we let  $\tau = \sum_i a_i \otimes e_\sigma b_i \otimes e_\sigma c_i e_\sigma^{-1}$ ,  $\tau$  is a  $C$ -two-cocycle with  $e_\tau = 1$  and  $\tau \sim^\delta \sigma$ , where  $\delta = 1 \otimes e_\sigma$  is vertible.

For convenience, we assemble our preceding comments and two

easy consequences in the following lemma.

LEMMA 2.1. *Let  $\sigma$  be a  $C$ -two-cocycle.*

- (i) *If  $I$  is an ideal of  $C$ ,  $I^\sigma$  is an ideal of  $C^\sigma$ .*
- (ii) *If  $J(C)$  is nilpotent,  $J(C)^\sigma \subseteq J(C^\sigma)$ .*
- (iii) *If  $C/J(C)$  is commutative,  $J(C^\sigma) \subseteq J(C)^\sigma$ .*
- (iv) *There is a  $k$ -algebra injection  $Z(C) \hookrightarrow Z(C^\sigma)$ .*
- (v) *If  $C^\sigma$  is simple (i.e., has no proper ideals),  $C$  is simple.*
- (vi) *If  $C^\sigma$  has center  $k$ ,  $C$  has center  $k$ .*

3. **Waterhouse two-cocycles.** In this section,  $C$  is a fixed  $k$ -algebra and  $B$  is a  $k$ -separable subalgebra of  $C$ . We investigate some properties of a  $B$ -two-cocycle discovered by Waterhouse. Recall that the  $k$ -algebra  $B$  is separable over  $k$  iff there is an element  $e = \sum_i a_i \otimes b_i$  in  $B \otimes B$  (called a separability idempotent for  $B$  over  $k$ ) with

$$(3.1) \quad \sum_i a_i b_i = 1$$

$$\sum_i x a_i \otimes b_i = \sum_i a_i \otimes b_i x \quad \text{for all } x \text{ in } B .$$

The reader may verify that  $\sigma_e = e \otimes 1 + 1 \otimes e - (e \otimes 1)(1 \otimes e)$  is a  $B$ -two-cocycle with  $e_{\sigma_e} = 1$ .

DEFINITION 3.2.  $\sigma_e$  is called the Waterhouse two-cocycle associated to the separable  $k$ -algebra  $B$  with separability idempotent  $e$ .

The Waterhouse two-cocycle  $\sigma_e$  figures prominently in our work. In fact, Example 1.5(a) is the Waterhouse two-cocycle for  $B = k \oplus k$  and separability idempotent  $f \otimes f + (1 - f) \otimes (1 - f)$ ,  $f = (1, 0)$ . Using (3.1) it can be shown that  $\sigma_e^2 = \sigma_e$  in  $B^{\otimes 3}$ . Since  $B$  is a subalgebra of  $C$ , we may view  $\sigma_e$  as a  $C$ -two-cocycle as mentioned in §2. We examine the algebra  $C^{\sigma_e}$  in detail.

Define  $\Gamma_e: C \rightarrow C$  by  $\Gamma_e(x) = \sum_i a_i x b_i$  for any  $x$  in  $C$ .  $\Gamma_e$  is a  $Z_c(B)$ -module endomorphism of  $C$ , where  $Z_c(B) = \{x \text{ in } C \mid xb = bx \text{ for all } b \text{ in } B\}$ . We have a  $Z_c(B)$ -module decomposition  $C = Z_c(B) \oplus \text{Ker } \Gamma_e$ . Let  $a, b$  be in  $Z_c(B)$ ,  $x, y$  be in  $\text{Ker } \Gamma_e$ . Then it is easily seen from the definition of  $\sigma_e$  that

$$(3.3) \quad \begin{aligned} a^{\sigma_e} * b^{\sigma_e} &= (ab)^{\sigma_e} \\ a^{\sigma_e} * y^{\sigma_e} &= (ay)^{\sigma_e} \\ x^{\sigma_e} * b^{\sigma_e} &= (xb)^{\sigma_e} \\ x^{\sigma_e} * y^{\sigma_e} &= 0^{\sigma_e} . \end{aligned}$$

Thus  $(\text{Ker } \Gamma_e)^{\sigma_e}$  is an ideal of  $C^{\sigma_e}$  with  $(\text{Ker } \Gamma_e)^{\sigma_e} * (\text{Ker } \Gamma_e)^{\sigma_e} = \{0\}^{\sigma_e}$ .

EXAMPLE 3.4. Let  $C$  be a central simple algebra of dimension

$n$  over a field  $k$  and choose a separability idempotent  $e$  for  $C$  over  $k$ . If  $x_1, \dots, x_{n-1}$  are indeterminates over  $k$ ,

$$C^{\sigma_e} \simeq k[x_1, \dots, x_{n-1}] / \langle \{x_i x_j\}_{i,j=1}^{n-1} \rangle.$$

4. **Rigidity.** Using the method of multiplication alteration by two-cocycles, we introduce a partial order on the class of  $k$ -algebras and study a related rigidity property.

**DEFINITION 4.1** (Sweedler). Let  $C$  and  $D$  be algebras over the commutative ring  $k$ . We say that  $C$  dominates  $D$ , written  $C > D$ , if there is a  $C$ -two-cocycle  $\sigma$  with  $D \simeq C^\sigma$ .  $C$  is called rigid if  $C > D$  implies that  $D \simeq C$ .

Since for any  $k$ -algebra  $C$  the element  $1 \otimes 1 \otimes 1$  is a  $C$ -two-cocycle, dominance is reflexive. To prove that dominance is transitive we first develop another approach to  $C$ -two-cocycles. Let  $A(C)$  denote the category of  $k$ -algebras over  $C$ . The objects of  $A(C)$  are  $k$ -algebra maps  $C \xrightarrow{f} D$  with  $D$  a  $k$ -algebra. The morphisms are obvious. Let  $\mathcal{A}(C)$  denote the category with objects  $C \xrightarrow{f} D$ , where  $C, D$  are  $k$ -modules with multiplications (i.e.,  $k$ -linear maps  $C \otimes C \rightarrow C$  and  $D \otimes D \rightarrow D$ ) and  $f$  is a multiplicative  $k$ -module map. Again take the obvious morphisms. Note that  $A(C)$  is a subcategory of  $\mathcal{A}(C)$ .

Given any element  $\sigma = \sum_i a_i \otimes b_i \otimes c_i$  in  $C \otimes C \otimes C$  and an object  $C \xrightarrow{f} D$  of  $A(C)$ , we have an object  $C^\sigma \xrightarrow{f^\sigma} D^{f(\sigma)}$  of  $\mathcal{A}(C^\sigma)$  with the multiplication in  $D^{f(\sigma)}$  given by

$$x^{f(\sigma)} * y^{f(\sigma)} = \sum_i f(a_i)xf(b_i)yf(c_i)^{f(\sigma)}$$

and  $f^\sigma(x^\sigma) = f(x)^{f(\sigma)}$  for  $x, y$  in  $C$ . In this manner we obtain a functor  $A(C) \xrightarrow{F^\sigma} \mathcal{A}(C^\sigma)$ .

**NOTATION.** For any  $k$ -algebra  $C$ , we denote the free algebra obtained by adjoining three noncommuting indeterminants  $X, Y, Z$  by  $C\{X, Y, Z\}$ .

The following lemma gives a characterization of a  $C$ -two-cocycle  $\sigma$  in terms of the functor  $F^\sigma$ .

**LEMMA 4.2** (Sweedler). *Let  $C$  be an algebra over the commutative ring  $k$  and  $\sigma$  be in  $C \otimes C \otimes C$ . The following are equivalent:*

- (i)  $\sigma$  is a  $C$ -two-cocycle.
- (ii) The image of  $F^\sigma$  lies in  $A(C^\sigma)$ , i.e.,  $F^\sigma$  is a functor from  $A(C)$  to  $A(C^\sigma)$ .
- (iii)  $C\{X, Y, Z\}^\sigma$  is an associative unitary  $k$ -algebra.

*Proof.* (i)  $\Rightarrow$  (ii). If  $\sigma$  is a  $C$ -two-cocycle and  $C \xrightarrow{f} D$  is in  $A(C)$ ,  $f(\sigma)$  is a  $D$ -two-cocycle and hence  $C^\sigma \xrightarrow{f^\sigma} D^{f(\sigma)}$  is in  $A(C^\sigma)$ .

(ii)  $\Rightarrow$  (iii).  $C \xrightarrow{f} C\{X, Y, Z\}$  where  $f(c) = c$  for all  $c$  in  $C$  is an object of  $A(C)$  and thus by hypothesis  $C^\sigma \xrightarrow{f^\sigma} C\{X, Y, Z\}^{f(\sigma)}$  is an object of  $A(C^\sigma)$ . Hence  $C\{X, Y, Z\}^{f(\sigma)} = C\{X, Y, Z\}^\sigma$  is an associative unitary  $k$ -algebra.

(iii)  $\Rightarrow$  (i). The unit  $e_\sigma$  of  $C\{X, Y, Z\}^\sigma$  must lie in  $C$  and we have  $X^\sigma * e_\sigma = X^\sigma = e_\sigma * X^\sigma$  which implies (1.1b). By associativity,  $X^\sigma * (Y^\sigma * Z^\sigma) = (X^\sigma * Y^\sigma) * Z^\sigma$  which implies (1.1a). Thus  $\sigma$  is a  $C$ -two-cocycle.

**PROPOSITION 4.3.** *Dominance is transitive.*

*Proof.* Suppose we have a  $C$ -two-cocycle  $\sigma = \sum_i a_i \otimes b_i \otimes c_i$  and a  $C^\sigma$ -two-cocycle  $\tau = \sum_i d_i \otimes e_i \otimes f_i$ . Let  $x, y$  be in  $C$ . Then writing out  $(x^\sigma)^\tau * (y^\sigma)^\tau$  shows that we will be done if we can prove that

$$\gamma = \sum_{i_1, i_2, i_3, i_4, j} a_{i_1} a_{i_2} a_{i_3} a_{i_4} d_j b_{i_4} \otimes c_{i_4} b_{i_3} e_j c_{i_3} b_{i_2} \otimes c_{i_2} b_{i_1} f_j c_{i_1}$$

is a  $C$ -two-cocycle with  $e_\gamma = e_\tau$  since then  $C^\gamma \simeq (C^\sigma)^\tau$  via  $x^\gamma \mapsto (x^\sigma)^\tau$ . By Lemma 4.2 we have functors  $F^\sigma: A(C) \rightarrow A(C^\sigma)$  and  $F^\tau: A(C^\sigma) \rightarrow A((C^\sigma)^\tau)$ . The composite  $F^\tau \circ F^\sigma$  is just  $F^\gamma$ . Hence  $\gamma$  is a  $C$ -two-cocycle by Lemma 4.2. It is easily checked that  $e_\gamma = e_\tau$ .

Therefore dominance is a partial order on the class of  $k$ -algebras. In §6 we study the functors  $A(C) \xrightarrow{F^\sigma} A(C^\sigma)$  in detail.

**REMARKS 4.4.** (a) Example 1.2 shows that commutative  $k$ -algebras are rigid.

(b) If  $C = M(n, k)$  and  $\sigma = \sigma_s$  is a Waterhouse two-cocycle for  $C$ ,  $C^\sigma$  is commutative (cf. Example 3.4). Hence dominance is not symmetric.

The following two theorems provide a dominance characterization of central simple  $k$ -algebras.

**THEOREM 4.5.** *Let  $C$  be an algebra over a field  $k$ . If  $C$  dominates a separable  $k$ -algebra,  $C$  is separable over  $k$ .*

*Proof.* There exists a  $C$ -two-cocycle  $\sigma$  with  $C^\sigma$   $k$ -separable. Hence by [6, Theorem 3.1]  $k\text{-dim } C = k\text{-dim } C^\sigma$  is finite. It then follows from Lemma 2.1 that  $J(C)^\sigma \subseteq J(C^\sigma) = \{0\}^\sigma$ , proving  $C$  to be semi-simple. Since  $Z(C) \hookrightarrow Z(C^\sigma)$  by Lemma 2.1 and  $Z(C^\sigma)$  is a commutative separable  $k$ -algebra [1, Theorem III.12],  $Z(C)$  is  $k$ -separable. Therefore  $C$  is  $k$ -separable, again using [1, Theorem III.21].

**THEOREM 4.6.** *Let  $k$  be a field and  $C$  a  $k$ -algebra with  $k\text{-dim } C = n$ . The following are equivalent:*

- (a)  $C$  dominates a central simple  $k$ -algebra.
- (b)  $C$  is a central simple  $k$ -algebra.
- (c)  $C > D$  for all  $k$ -algebras  $D$  with  $k\text{-dim } D = n$ .
- (d)  $C > k \oplus \cdots \oplus k$  and  $C > k[x]/(x^n)$ .
- (e)  $C$  dominates a separable  $k$ -algebra and  $C$  dominates a purely inseparable  $k$ -algebra.

*Proof.* Recall that an algebra  $A$  over the field  $k$  is a purely inseparable  $k$ -algebra [8, Definition 1] if the contraction map  $A \otimes A^0 \rightarrow A$  given by  $a \otimes b^0 \mapsto ab$  provides an  $A \otimes A^0$  projective cover of  $A$ . If  $k\text{-dim } A < \infty$ ,  $A$  is purely inseparable over  $k$  iff  $A/J(A)$  is a purely inseparable (in the usual sense) field extension of  $k$  [8, Corollary 13(b)].

(a)  $\Leftrightarrow$  (b). This is clear from the reflexive property of dominance and (v) and (vi) of Lemma 2.1.

(b)  $\Rightarrow$  (c). Let  $D$  be any  $k$ -algebra of  $k$ -dimension  $n$ . We may then identify  $C$  and  $D$  as  $k$ -spaces. Since  $C$  is central simple, by [7, 1.3a and 1.6] we have a linear isomorphism  $C \otimes C \otimes C \simeq \text{Hom}(C \otimes C, C)$  given by

$$x_1 \otimes x_2 \otimes x_3 \longmapsto (y_1 \otimes y_2 \longmapsto x_1 y_1 x_2 y_2 x_3).$$

Since a multiplication on  $D$  is a linear map  $C \otimes C \rightarrow C$  we have an element  $\sigma$  in  $C^{\otimes 3}$  with  $C^\sigma \simeq D$  as  $k$ -algebras. By [7, Proposition 1.6]  $\sigma$  is a  $C$ -two-cocycle, and thus  $C > D$ .

(c)  $\Rightarrow$  (d). Clear.

(d)  $\Rightarrow$  (e). Clear since  $k \oplus \cdots \oplus k$  is  $k$ -separable and  $k[x]/(x^n)$  is  $k$ -purely inseparable.

(e)  $\Rightarrow$  (b). By Theorem 4.5,  $C$  is  $k$ -separable. In particular,  $C$  is a finite  $k$ -dimensional semi-simple  $k$ -algebra and  $Z(C)$  is  $k$ -separable. We have a  $C$ -two-cocycle  $\tau$  with  $C^\tau$  purely inseparable over  $k$ . Since  $Z(C) \hookrightarrow C^\tau$  (cf. 2.1 (iv)),  $Z(C)$  is purely inseparable  $k$  [8, Corollary 7(c)]. Thus  $Z(C)$  is both separable and purely inseparable over  $k$ , which implies  $Z(C) = k$  [8, Corollary 7(a)]. Since  $C$  is semisimple and  $Z(C) = k$ , it follows that  $C$  is simple.

We now study the structure of rigid algebras. The crucial theorem is

**THEOREM 4.7.** *Let  $k$  be a commutative ring and  $C$  be a  $k$ -algebra. Suppose there is a  $k$ -algebra map  $p: C \rightarrow C$  such that  $p^2 = p$  and  $(\text{Ker}(p)) \otimes C^0 + C \otimes (\text{Ker}(p))^0 \subseteq J(C \otimes C^0)$ . Then every  $C$ -two-cocycle is equivalent to a  $p(C)$ -two-cocycle.*



*Proof.* Let  $\sigma = \sum_i a_i \otimes b_i \otimes c_i$  be a  $C$ -two-cocycle. Since

$$\begin{aligned} [p \otimes 1^0](\sum_i p(a_i e_o) b_i \otimes c_i^o) &= \sum_i p(a_i e_o) p(b_i) \otimes c_i^o \\ &= [p \otimes 1^0](\sum_i a_i e_o b_i \otimes c_i^o) \\ &= [p \otimes 1^0](1 \otimes 1^o) = 1 \otimes 1^o \end{aligned}$$

and  $\ker(p \otimes 1^o) \subseteq J(C \otimes C^o)$  by hypothesis,  $\sum_i p(a_i e_o) b_i \otimes c_i^o$  is invertible in  $C \otimes C^o$ . Thus  $\delta_1 = \sum_i p(a_i e_o) b_i \otimes c_i$  is vertible. Denote its verse by  $\sum_i u_i \otimes v_i \cdot \tau_1 \sim^1 \sigma$  defines a  $C$ -two-cocycle  $\tau_1$  with  $e_{\tau_1} = p(e_o)$  and it follows from the associativity relation for  $\sigma$  that

$$\tau_1 = \sum_{i,j} p(a_i) \otimes b_i u_j \otimes v_j c_i .$$

By an obvious analog of the argument used for  $\delta_1$  above, one may see that  $\delta_2 = \sum_{i,j} p(a_i) \otimes b_i u_j p(e_o v_j c_i)$  is vertible. Call its verse  $\sum_i x_i \otimes y_i$ . Note that  $\sum_i x_i \otimes y_i = \sum_i p(x_i) \otimes y_i$  by uniqueness of verse (uniqueness of inverse in  $C \otimes C^o$ ).

$\tau_2 \sim^{\delta_2} \tau_1$  defines a  $C$ -two-cocycle  $\tau_2$  with  $e_{\tau_2} = e_{\tau_1}$  and it follows from the associativity relation for  $\tau_1$  that

$$\tau_2 = \sum_{i,j,l} p(a_i) x_j \otimes y_j b_i u_l \otimes p(v_l c_i) .$$

Thus  $\tau_2$  is in  $p(C) \otimes C \otimes p(C)$ .

We claim that  $\tau_2$  in fact lies in  $p(C)^{\otimes 3}$ . To see this, apply the map  $(1 \otimes m_o \otimes 1) \circ (1 \otimes p \otimes 1 \otimes 1)$  to the associativity relation for  $\tau_2$ , where  $m_o: C \otimes C \rightarrow C$  is given by  $a \otimes b \mapsto a e_{\tau_2} b$ . Since  $p^2 = p$ , this yields that  $\tau_2$  is in  $p(C)^{\otimes 3}$ .

**THEOREM 4.8.**  *$k$  perfect field. Let  $C$  be a  $k$ -algebra with  $J(C)$  nilpotent and  $C/J(C)$  locally finite (i.e., every finite subset of  $C/J(C)$  generates a finite dimensional  $k$ -algebra). If every  $k$ -separable subalgebra  $B$  of  $C$  is commutative and  $\text{Ker } \Gamma_e$  is an ideal of square zero for some separability idempotent  $e$  of  $B$ , then  $C$  is rigid.*

*Proof.* Let  $\sigma = \sum_{i=1}^n a_i \otimes b_i \otimes c_i$  be a  $C$ -two-cocycle and let  $D$  be the subalgebra of  $C$  generated by  $\{a_i, b_i, c_i, e_o\}_{i=1}^n \cup J(C)$ . Since  $J(C)$  is a nilpotent ideal of  $D$ ,  $J(C) \subseteq J(D)$ . The local finiteness of  $C/J(C)$  implies that  $D/J(C)$  is finite dimensional. Hence the radical  $J(D/J(C)) = J(D)/J(C)$  of  $D/J(C)$  is nilpotent. Since  $J(C)$  is nilpotent, it follows that  $J(D)$  is nilpotent.

$D/J(D)$  is  $k$ -separable. Hence by the Wedderburn Principal Theorem  $D = B \oplus J(D)$  for some  $k$ -separable subalgebra  $B$  of  $C$ . (cf. [4, Theorem 72.19]. To remove the finite dimension restriction on  $D$ , induct on the index of nilpotency of  $J(D)$ .) By Theorem 4.7  $\sigma$  is

equivalent to a  $B$ -two-cocycle. Hence we need only show  $C^\sigma \simeq C$  if  $\sigma$  is in  $B^{\otimes 3}$ . Since  $B$  is commutative by hypothesis, we may assume  $e_\sigma = 1$ .

Recall that  $C = Z_c(B) \oplus \text{Ker } \Gamma_e$ . By hypothesis we may assume  $\text{Ker } \Gamma_e$  is an ideal of square zero. For  $a, b$  in  $Z(C)$ ,  $x, y$  in  $\text{Ker } \Gamma_e$ , we have

$$\begin{aligned} a^\sigma * b^\sigma &= (ab)^\sigma \\ a^\sigma * y^\sigma &= (ay)^\sigma \\ x^\sigma * b^\sigma &= (xb)^\sigma \\ x^\sigma * y^\sigma &= 0^\sigma = (xy)^\sigma. \end{aligned}$$

Thus  $C^\sigma \simeq C$  via  $c^\sigma \mapsto c$ .

We now study dominance and Waterhouse two-cocycles in order to prove a partial converse of Theorem 4.8.

**LEMMA 4.9.** *Let  $C$  be a  $k$ -algebra with  $J(C) = \{0\}$ . Suppose that  $B$  is a  $k$ -separable subalgebra of  $C$  with separability idempotent  $e$ . If  $C^{\sigma_e} \simeq C$ ,  $B \subseteq Z(C)$ .*

*Proof.*  $J(C^{\sigma_e}) = \{0\}^{\sigma_e}$  so the nilpotent ideal  $(\text{Ker } \Gamma_e)^{\sigma_e}$  must be the zero ideal. Hence  $C = Z_c(B)$  and  $B$  is central.

**LEMMA 4.10.** *Let  $C$  be an algebra over the field  $k$ ,  $B$  a  $k$ -separable subalgebra with separability idempotent  $e$ . If  $C^{\sigma_e} \simeq C$ ,  $B$  is commutative.*

*Proof.* Consider the canonical projection  $C \xrightarrow{\pi} C/J(C)$ .  $\bar{B} = \pi(B)$  is a  $k$ -separable subalgebra of  $\bar{C} = C/J(C)$  with separability idempotent  $\bar{e} = \pi(e)$ . Since  $C^{\sigma_e} \simeq C$ , we have  $\bar{C}^{\sigma_{\bar{e}}} \simeq \bar{C}$ . Hence by Lemma 4.9  $\bar{B}$  is central in  $\bar{C}$ . Thus for all  $x, y$  in  $B$   $xy - yx$  is in  $B \cap J(C)$ . Since  $B$  is finite dimensional over  $k$ ,  $B \cap J(C)$  is a nil ideal of  $B$ . Because  $B$  is separable,  $J(B) = \{0\}$  and hence  $B \cap J(C) = \{0\}$ . Therefore  $B$  is commutative.

**THEOREM 4.11.** *Let  $k$  be a perfect field and  $C$  be a  $k$ -algebra with  $J(C)$  nilpotent and  $k$ -dimension of  $C/J(C)$  finite. If  $C$  is rigid every  $k$ -separable subalgebra  $B$  of  $C$  is commutative and  $\text{Ker } \Gamma_e$  is an ideal of square zero for some separability idempotent  $e$  for  $B$ .*

*Proof.* Every  $k$ -separable subalgebra of  $C$  is commutative by Lemma 4.10. Using the Wedderburn Principal Theorem we have  $C = B_0 \oplus J(C)$  for some  $k$ -separable subalgebra  $B_0$  of  $C$ . For any separability idempotent  $e_0$  for  $B_0$ ,  $C^{\sigma_{e_0}} \simeq C$  implies that there is a  $k$ -

separable subalgebra  $B_1$  of  $C$  with separability idempotent  $e_1$  such that  $B_1 \simeq B_0$  and  $\text{Ker } \Gamma_{e_1}$  is an ideal of square zero. Since any two Wedderburn factors of  $C$  are isomorphic by an inner automorphism of  $C$  (cf [4, p. 491]) and any  $k$ -separable subalgebra of  $C$  is contained in some Wedderburn factor, we are done.

Combining Theorems 4.8 and 4.11 we have

**THEOREM 4.12.** *Let  $k$  be a perfect field and  $C$  be a  $k$ -algebra with  $J(C)$  nilpotent and  $k$ -dimension of  $C/J(C)$  finite. Then  $C$  is rigid iff every  $k$ -separable subalgebra  $B$  of  $C$  is commutative and  $\text{Ker } \Gamma_e$  is an ideal of square zero for some separability idempotent  $e$  for  $B$ .*

5. **Modular rigidity.** Given a  $k$ -algebra  $C$ , we denote by  $M(C)$  the category of  $C$ -bimodules. Let  $\sigma = \sum_i a_i \otimes b_i \otimes c_i$  be a  $C$ -two-cocycle. If  $M$  is  $C$ -bimodule, we form a  $C^\sigma$ -bimodule from  $M$  in the following manner. Starting with an abelian group  $M^\sigma$  isomorphic with  $M$  via  $m^\sigma \Leftrightarrow m$ , we define left and right actions of  $C^\sigma$  on  $M^\sigma$  by

$$x^\sigma * m^\sigma = (\sum_i a_i x b_i m c_i)^\sigma$$

$$m^\sigma * x^\sigma = (\sum_i a_i m b_i x c_i)^\sigma \quad x \text{ in } C, m \text{ in } M.$$

Using the defining relations (1.1) of a  $C$ -two-cocycle, it is readily checked that this provides  $M^\sigma$  with a  $C^\sigma$ -bimodule structure. Given a  $C$ -bimodule map  $M \xrightarrow{f} N$  we let  $M^\sigma \xrightarrow{f^\sigma} N^\sigma$  by  $f^\sigma(m^\sigma) = f(m)^\sigma$ . These constructions define a faithful functor from  $M(C)$  to  $M(C^\sigma)$  which we denote by  $( )^\sigma$ .

We define a linear map

$$C^\sigma \otimes C^{\sigma^0} \xrightarrow{\varphi_\sigma} C \otimes C^0$$

$$x^\sigma \otimes y^{\sigma^0} \longrightarrow \sum_{i,j} a_i a_j x b_j \otimes (c_j b_i y c_i)^{\sigma^0}.$$

**LEMMA 5.1.**  $\varphi_\sigma$  is a map of  $k$ -algebras.

*Proof.* Since  $\varphi_\sigma$  is linear and  $\varphi_\sigma(e_\sigma^\sigma \otimes e_{\sigma^0}^{\sigma^0}) = 1 \otimes 1^0$ , we need only check that  $\varphi_\sigma$  is multiplicative. This follows from the two-cocycle associativity relation for  $\sigma$ :

$$\varphi_\sigma\{(x^\sigma \otimes y^{\sigma^0}) * (x_1^\sigma \otimes y_1^{\sigma^0})\} = \varphi_\sigma\{\sum_{i,j} (a_i x b_i x_1 c_i)^\sigma \otimes (a_j y_1 b_j y c_j)^{\sigma^0}\}$$

$$= \sum_{i,j,m,n} a_m a_n a_i x b_i x_1 c_i b_n \otimes (c_n b_m a_j y_1 b_j y c_j c_m)^{\sigma^0}$$

$$= \sum_{i,j,m,n} a_m a_i x b_i x_1 c_i b_m a_n \otimes (b_n a_j y_1 b_j y c_j c_n c_m)^{\sigma^0}$$

$$\begin{aligned}
 &= \sum_{i,j,m,n} a_m x b_m a_i x_1 b_i a_n \otimes (b_n a_j y_1 b_j y c_j c_n c_i c_m)^0 \\
 &= \sum_{i,j,m,n} a_m x b_m a_i a_n x_1 b_n \otimes (c_n b_i a_j y_1 b_j y c_j c_i c_m)^0 \\
 &= \sum_{i,j,m,n} a_m x b_m a_i a_j a_n x_1 b_n \otimes (c_n b_j y_1 c_j b_i y c_i c_m)^0 \\
 &= \left\{ \sum_{m,i} a_m x b_m a_i \otimes (b_i y c_i c_m)^0 \right\} \left\{ \sum_{j,n} a_j a_n x_1 b_n \otimes (c_n b_j y_1 c_j)^0 \right\} \\
 &= \varphi_\sigma(x^\sigma \otimes y^{\sigma^0}) \cdot \varphi_\sigma(x_1^\sigma \otimes y_1^{\sigma^0}) .
 \end{aligned}$$

The change of rings functor induced by  $\varphi_\sigma$  is the functor that we called  $( )^\sigma$ .

Recall that a functor  $F$  from a category  $\mathcal{A}$  to a category  $\mathcal{B}$  is dense if given any object  $B$  of  $\mathcal{B}$  there is an object  $A$  of  $\mathcal{A}$  with  $F(A)$  isomorphic to  $B$  in  $\mathcal{B}$ .

**REMARKS 5.2.** (a)  $C^\sigma \otimes C^{\sigma^0}$  is a faithful  $C^\sigma \otimes C^{\sigma^0}$ -module. Thus if  $( )^\sigma$  is dense,  $\varphi_\sigma$  is injective since  $\varphi_\sigma(\sum_i x_i^\sigma \otimes y_i^{\sigma^0}) = 0$  implies  $(\sum_i x_i^\sigma \otimes y_i^{\sigma^0}) * M^\sigma = \{0\}^\sigma$  for all  $M$  in  $M(C)$ .

(b) If  $\varphi_\sigma$  is an isomorphism,  $( )^\sigma$  is an equivalence of categories.

(c) If  $C$  is a finite dimensional algebra over a field  $k$ , parts (a) and (b) imply that  $( )^\sigma$  is an equivalence iff  $\varphi_\sigma$  is an isomorphism since  $k\text{-dim } C \otimes C^0 = k\text{-dim } C^\sigma \otimes C^{\sigma^0}$ .

**DEFINITION 5.3** (Sweedler). Let  $C$  be a  $k$ -algebra. We say that  $C$  is modularly rigid (modularly semi-rigid) if  $( )^\sigma$  is an equivalence (dense) for all  $C$ -two-cocycles  $\sigma$ .

Note that modular rigidity implies modular semi-rigidity. We will later show that for certain types of algebras over a field  $k$ , e.g., finite dimensional ones, modular rigidity is equivalent to modular semi-rigidity.

**EXAMPLES 5.4.** (a) Let  $C$  be a commutative ring and  $\sigma$  be a  $C$ -two-cocycle. By Example 1.2,  $e_\sigma$  is invertible. For  $x, y$  in  $C$ ,  $\varphi_\sigma(x^\sigma \otimes y^{\sigma^0}) = (x \otimes y)(e_\sigma^{-1} \otimes e_\sigma^{-1^0})$ . Hence  $C$  is modularly rigid by (5.2b).

(b) Let  $C = U(2, k)$ , the algebra of upper triangular two by two matrices over  $k$ , and take  $\sigma_e$  to be the Waterhouse two-cocycle associated with  $B = ke_{11} \oplus ke_{22}$  and separability idempotent  $e = e_{11} \otimes e_{11} + e_{22} \otimes e_{22}$ . Since  $\varphi_{\sigma_e}(e_{12}^{\sigma_e} \otimes e_{12}^{\sigma_e^0}) = 0$  by direct calculation,  $( )^{\sigma_e}$  is not dense by (5.2a). Thus  $U(2, k)$  is rigid (by Theorem 4.7) but not modularly rigid.

The remainder of this section is devoted to studying the structure of modularly rigid algebras over a field  $k$ .

**LEMMA 5.5.** *Let  $C$  be an algebra over a commutative ring  $k$ . Suppose that  $\sigma = \sum_i a_i \otimes b_i \otimes c_i$  is a  $C$ -two-cocycle and let*

$$z_\sigma = \sum_{i,j} a_i a_j \otimes_{Z(C)} b_j^0 \otimes c_j b_i \otimes_{Z(C)} c_i^0$$

*in  $C \otimes_{Z(C)} C^0 \otimes C \otimes_{Z(C)} C^0$ . Then if  $z_\sigma$  is invertible,  $\varphi_\sigma$  is an isomorphism.*

*Proof.* Let  $z_\sigma^{-1} = \sum_l p_l \otimes_{Z(C)} r_l^0 \otimes s_l \otimes_{Z(C)} t_l^0$ . Define a map  $A_\sigma: C \otimes C^0 \rightarrow C^\sigma \otimes C^{\sigma^0}$  by

$$A_\sigma(\sum_i x_i \otimes y_i^0) = \sum_{i,l} (p_l x_i r_l)^0 \otimes (s_l y_i t_l)^0.$$

Then  $z_\sigma^{-1} z_\sigma = 1$  implies  $A_\sigma \varphi_\sigma = I_{C^\sigma \otimes C^{\sigma^0}}$  and  $z_\sigma z_\sigma^{-1} = 1$  implies  $\varphi_\sigma A_\sigma = I_{C \otimes C^0}$ . Hence  $A_\sigma = \varphi_\sigma^{-1}$ .

In preparation for the next theorem, we need the following

**LEMMA 5.6.**  *$k$  field. Let  $C$  be an algebraic  $k$ -algebra with all  $k$ -separable subalgebras of  $C/J(C)$  central. Then every semi-simple subalgebra of  $C/J(C)$  is commutative.*

This lemma may be proved using Wedderburn-Artin structure theory and the Jacobson-Noether theorem [5, Theorem 3.2.1].

**THEOREM 5.7.**  *$k$  field. Let  $C$  be a  $k$ -algebra with  $J(C)$  nilpotent and  $C/J(C)$  locally finite. If all  $k$ -separable subalgebras of  $C$  are central,  $\varphi_\sigma$  is an isomorphism for all  $C$ -two-cocycles  $\sigma$ .*

*Proof.* As in the proof of Theorem 4.8, let  $\sigma = \sum_{i=1}^n a_i \otimes b_i \otimes c_i$  be a  $C$ -two-cocycle and let  $D$  be the subalgebra of  $C$  generated by  $\{a_i, b_i, c_i, e_\sigma\}_{i=1}^n \cup J(C)$ . If  $\bar{B}$  is any  $k$ -separable subalgebra of  $D/J(D)$ , we may lift  $\bar{B}$  isomorphically to a  $k$ -separable subalgebra  $B \subseteq D \subseteq C$  by the Wedderburn Principal Theorem. By hypothesis,  $B \subseteq Z(C)$ , and so also  $B \subseteq Z(D)$  and  $\bar{B} \subseteq Z(D/J(D))$ . Therefore  $D/J(D)$  is commutative by Lemma 5.6.

Let  $\bar{D} = D/J(D)$ . Since  $\bar{D}$  is commutative and finite dimensional, there exists a unique maximal  $k$ -separable subalgebra  $\bar{S}$  of  $\bar{D}$  and  $\bar{D}$  is a purely inseparable  $\bar{S}$ -algebra (to see this, use structure theory to write  $\bar{D}$  as a finite product of field extensions of  $k$ ). Lift  $\bar{S}$  via the Wedderburn Principal Theorem to a  $k$ -separable subalgebra  $S$  in the center of  $C$ .

Let  $z = \sum_{i,j} a_i a_j \otimes_S b_j^0 \otimes c_j b_i \otimes_S c_i^0$ . We claim that  $z$  is invertible. Once this is established, we would be able to complete the proof by noting that then the image  $z_\sigma$  of  $z$  in  $C \otimes_{Z(C)} C^0 \otimes C \otimes_{Z(C)} C^0$  is invertible and hence Lemma 5.5 applies.

Thus we need only show that  $z$  is invertible. First, we note

that  $z$  is in  $D \otimes_s D^0 \otimes D \otimes_s D^0$ . Since  $J(D)$  is nilpotent,  $z$  is invertible in  $(D \otimes_s D^0)^{\otimes 2}$  iff its image  $\bar{z}$  under the natural map

$$D \otimes_s D^0 \otimes D \otimes_s D^0 \longrightarrow \bar{D} \otimes_{\bar{s}} \bar{D} \otimes \bar{D} \otimes_{\bar{s}} \bar{D}$$

is invertible.

Because  $\bar{D}$  is purely inseparable over  $\bar{S}$ , the kernel of the contraction map  $\bar{D} \otimes_{\bar{s}} \bar{D}^0 \xrightarrow{m} \bar{D}$  is contained in  $J(\bar{D} \otimes_{\bar{s}} \bar{D}^0)$ .  $J(\bar{D} \otimes_{\bar{s}} \bar{D}^0)$  is nilpotent since  $\bar{D} \otimes_{\bar{s}} \bar{D}^0$  is a finite dimensional  $k$ -algebra and hence  $\bar{z}$  is invertible iff its image under the map  $m \otimes m$  is invertible (note that  $m$  is an algebra map since  $\bar{D}$  is commutative). Since  $\{m \otimes m\}(x)$  clearly has inverse  $\bar{e}_\sigma \otimes \bar{e}_\sigma$ , we done.

We use Waterhouse two-cocycles to obtain the converse of the above theorem.

**LEMMA 5.8.** *Let  $C$  be an algebra over the field  $k$  and  $B$  a  $k$ -separable subalgebra of  $C$  with separability idempotent  $e$ . Then if  $\varphi_{\sigma_e}$  is injective  $B$  is central in  $C$ .*

*Proof.* If  $B$  were not central, we would have a nonzero  $x$  in  $\text{Ker } \Gamma_e$ . Then  $\varphi_{\sigma_e}(x^{\sigma_e} \otimes x^{\sigma_e^0}) = 0$  by explicit calculation.

**COROLLARY.**  *$k$  field. If  $C$  is modularly semi-rigid, all  $k$ -separable subalgebras  $B$  of  $C$  are central.*

*Proof.* Since  $C$  is modularly semi-rigid, in particular  $(\ )^{\sigma_e}$  is dense for all Waterhouse two-cocycles  $\sigma_e$ . By Remark 5.2a we thus have  $\varphi_{\sigma_e}$  injective for all  $\sigma_e$ . Hence all  $k$ -separable subalgebras of  $C$  are central by the lemma.

We have thus shown

**THEOREM 5.9.**  *$k$  field. Let  $C$  be a  $k$ -algebra with  $J(C)$  nilpotent and  $C/J(C)$  locally finite. The following are equivalent:*

- (a)  $C$  is modularly rigid.
- (b)  $C$  is modularly semi-rigid.
- (c) All  $k$ -separable subalgebras of  $C$  are central.
- (d)  $\varphi_\sigma$  is an isomorphism for all  $C$ -two-cocycles  $\sigma$ .

**6. Categorical rigidity.** In this section we take a "functorial" approach to multiplication alteration by two-cocycles. As in §4 we let  $A(C)$  denote the category of  $k$ -algebras over  $C$ . Recall that given a  $C$ -two-cocycle  $\sigma$  and an object  $C \xrightarrow{f} D$  of  $A(C)$ ,  $f(\sigma)$  is a  $D$ -two-cocycle and  $C^\sigma \xrightarrow{f^\sigma} D^{f(\sigma)}$  is an object of  $A(C^\sigma)$  with  $f^\sigma(x^\sigma) = f(x)^{f(\sigma)}$

for  $x$  in  $C$ . This map describes a faithful functor from  $A(C)$  to  $A(C^\sigma)$  which we denoted  $F^\sigma$ .

**DEFINITION 6.1** (Sweedler). Let  $C$  be a  $k$ -algebra. We say that  $C$  is categorically rigid (categorically semi-rigid) if  $F^\sigma$  is an equivalence (dense) for all  $C$ -two-cocycles  $\sigma$ .

Note that categorical rigidity implies categorical semi-rigidity. We will later show that for certain types of algebras over a field  $k$ , e.g., finite dimensional ones, categorical rigidity is equivalent to categorical semi-rigidity.

Suppose  $\sigma, \tau$  are  $C$ -two-cocycles with  $\sigma \sim^\delta \tau$ ,  $\delta = \sum_i u_i \otimes v_i$ . Then the map  $R^\delta: C^\sigma \rightarrow C^\tau$  given by  $x^\sigma \mapsto (\sum_i u_i x v_i)^\tau$  induces a functor  $A(C^\sigma) \xrightarrow{\mathcal{R}^\delta} A(C^\tau)$  by "composition." For  $C \xrightarrow{f} D$  in  $A(C)$ , define

$$T_{C \xrightarrow{f} D}: F^\sigma(C \xrightarrow{f} D) \longrightarrow \mathcal{R}^\delta F^\tau(C \xrightarrow{f} D)$$

to be

$$\begin{array}{ccc} D^{f(\sigma)} & \xrightarrow{R^{f(\delta)}} & D^{f(\tau)} \\ & \swarrow f^\sigma & \nearrow f^\tau R^\delta \\ & C^\sigma & \end{array}$$

in  $A(C^\sigma)$ .  $T$  describes a natural transformation  $F^\sigma$  to  $\mathcal{R}^\delta F^\tau$ . If  $\delta$  is vertible the reader may check that  $T$  is a natural equivalence. Since  $\mathcal{R}^\delta$  is an equivalence when  $\delta$  is vertible we have

**LEMMA 6.2.** Let  $\sigma, \tau$  be  $C$ -two-cocycles with  $\sigma \sim^\delta \tau$ ,  $\delta$  vertible. Then  $F^\sigma$  is dense (resp. full) iff  $F^\tau$  is dense (resp. full).

We now direct our attention to the structure of algebras over a field  $k$  which are categorically rigid.

**LEMMA 6.3.** Let  $C$  be an algebra over the commutative ring  $k$ . Suppose  $\sigma = \sum_i a_i \otimes b_i \otimes c_i$  is a  $C$ -two-cocycle with  $e_\sigma = 1$ . Let

$$w_\sigma = \sum_{i_1, i_2, i_3, i_4} (a_{i_1} a_{i_2} a_{i_3} a_{i_4})^0 \otimes b_{i_4} \otimes (c_{i_4} b_{i_3})^0 \otimes c_{i_3} b_{i_2} \otimes (c_{i_2} b_{i_1})^0 \otimes c_{i_1}$$

in  $(C^0 \otimes C)^{\otimes 3}$ . Then if  $w_\sigma$  is invertible there is a  $C^\sigma$ -two-cocycle  $\tau$  with  $F^\tau \circ F^\sigma = F^{1 \otimes 1 \otimes 1}$ , which is the identity functor on  $A(C)$ .

*Proof.* There is an element

$$w_\sigma^{-1} = \sum_j u_j^0 \otimes v_j \otimes w_j^0 \otimes x_j \otimes y_j^0 \otimes z_j$$

in  $(C^0 \otimes C)^{\otimes 3}$  with  $w_\sigma^{-1}w_\sigma = 1$ . This implies

$$\begin{aligned} & \sum a_{i_1} a_{i_2} a_{i_3} a_{i_4} u_j v_j b_{i_4} \otimes c_{i_4} b_{i_3} w_j x_j c_{i_3} b_{i_2} \otimes c_{i_2} b_{i_1} y_j z_j c_{i_1} \\ & = 1 \otimes 1 \otimes 1. \end{aligned}$$

Thus we will be done if we show that

$$\tau = \sum_j (u_j v_j)^\sigma \otimes (y_j x_j)^\sigma \otimes (y_j z_j)^\sigma \equiv \sum_j d_j^\sigma \otimes e_j^\sigma \otimes f_j^\sigma$$

is a  $C^\sigma$ -two-cocycle with  $e_\tau = 1$ .

Let  $C\{X, Y, Z\}$  be the free algebra on noncommuting indeterminants  $X, Y, Z$  as in Lemma 4.2. From the last paragraph we have  $(C\{X, Y, Z\})^\sigma \simeq C\{X, Y, Z\}$  as  $k$ -algebras via  $(x^\sigma)^\tau \mapsto x$  for  $x$  in  $C\{X, Y, Z\}$ . In particular,  $(C\{X, Y, Z\})^\sigma{}^\tau$  is an associative algebra with unit element 1. This two-cocycle unitary property for  $\tau$  is then a consequence of  $(1^\sigma)^\tau * (X^\sigma)^\tau = (X^\sigma)^\tau = (X^\sigma)^\tau * (1^\sigma)^\tau$ . Since  $(C\{X, Y, Z\})^\sigma{}^\tau$  is associative we have

$$\begin{aligned} & \sum [ [ [ [ [ (d_j^\sigma * d_j^\sigma) * X^\sigma ] * e_j^\sigma ] * Y^\sigma ] * (f_j^\sigma * e_j^\sigma) ] * Z^\sigma ] * f_j^\sigma \\ & = \sum [ [ [ [ [ d_j^\sigma * X^\sigma ] * (e_j^\sigma * d_j^\sigma) ] * Y^\sigma ] * e_j^\sigma ] * Z^\sigma ] * (f_j^\sigma * f_j^\sigma). \end{aligned}$$

The two-cocycle associativity relation for  $\tau$  follows from this.

We have left the tedious verifications to the reader since they are straightforward applications of the two-cocycle relations for  $\sigma$  and the invertibility of  $w_\sigma$ .

**COROLLARY.** *Let  $C$  be commutative  $k$ -algebra and  $\sigma$  be an Amitsur two-cocycle (i.e., an invertible  $C$ -two-cocycle). Then  $F^\sigma$  is an equivalence.*

*Proof.* Since  $C$  is commutative,  $e_\sigma$  is invertible and hence we may assume  $e_\sigma = 1$  by Lemma 6.2.  $w_\sigma$  is clearly invertible so by Lemma 6.3 there is a  $C^\sigma$ -two-cocycle  $\tau$  with  $F^\tau \circ F^\sigma = F^{1 \otimes 1 \otimes 1}$ . Thus  $F^\tau$  is dense and  $F^\sigma$  is full. It is easy to see that  $\tau$  is an invertible  $C^\sigma$ -two-cocycle by its construction and another application of Lemma 6.3 proves that  $F^\tau$  is full. Hence  $F^\tau$  is an equivalence, which implies that  $F^\sigma$  is dense.

**THEOREM 6.4.** *Let  $C$  be an algebra over a field  $k$  with  $J(C)$  nilpotent and  $C/J(C)$  locally finite. Then  $C$  has no  $k$ -separable subalgebras (except  $k$ )  $\Leftrightarrow$  all  $C$ -two-cocycles are invertible.*

*Proof.* ( $\Leftarrow$ ) If  $C$  had a nontrivial  $k$ -separable subalgebra  $B$ , any Waterhouse  $B$ -two-cocycle  $\sigma_B$  would be a nontrivial idempotent element of  $C \otimes C \otimes C$  and hence would not be invertible.



( $\Rightarrow$ ) As in the proof of Lemma 4.8, let  $\sigma = \sum_{i=1}^n a_i \otimes b_i \otimes c_i$  be a  $C$ -two-cocycle and let  $D$  be the subalgebra of  $C$  generated by  $\{a_i, b_i, c_i, e_\sigma\}_{i=1}^n \cup J(C)$ . If  $\bar{S}$  is any  $k$ -separable subalgebra of  $D/J(D)$ , we may lift  $\bar{S}$  isomorphically to a  $k$ -separable subalgebra  $S \subseteq D \subseteq C$  by the Wedderburn Principal Theorem. By hypothesis,  $S = k$  so  $\bar{S} = k$ . It follows from Wedderburn-Artin structure theory that  $D/J(D)$  is a purely inseparable field extension of  $k$ . Since  $J(D)$  is nilpotent  $\sigma$  is invertible iff  $\bar{\sigma} = p(\sigma)$  is invertible, where  $p: D \rightarrow D/J(D)$  is the natural map. But  $\bar{\sigma}$  is a  $D/J(D)$ -two-cocycle and hence invertible [7, 2.15].

Note that  $D/J(D)$  commutative implies that  $e_\sigma$  is invertible and  $\sigma$  is equivalent to a  $C$ -two-cocycle  $\tau$  with  $e_\tau = 1$  (cf. §2).

**THEOREM 6.5.** *Let  $C$  be an algebra over a field  $k$  with  $J(C)$  nilpotent and  $C/J(C)$  locally finite. If all  $C$ -two-cocycles are invertible,  $C$  is categorically rigid.*

*Proof.* Let  $\sigma$  be a  $C$ -two-cocycle. By the remark at the end of Theorem 6.4 and Lemma 6.2 we may assume that  $e_\sigma = 1$ . Let  $D$  be as in Theorem 6.4 and consider the element  $w_\sigma$  in  $(D^0 \otimes D)^{\otimes 3}$  as in Lemma 6.3. Since  $J(D)$  is nilpotent,  $w_\sigma$  is invertible iff  $\bar{w}_\sigma = p(w_\sigma)$  is invertible, where  $p: D \rightarrow D/J(D)$  is the natural map. Because  $\sigma$  is invertible and  $D/J(D)$  is commutative,  $\bar{w}_\sigma$  is clearly invertible. Therefore by Lemma 6.3 we have a  $C^\sigma$ -two-cocycle  $\tau$  with  $F^\tau \circ F^\sigma = F^{1 \otimes 1 \otimes 1}$ .  $F^\sigma$  is full,  $F^\tau$  is dense, and we will be done if we show  $F^\tau$  is also full, i.e.  $F^\tau$  is an equivalence.

Let  $E$  be the subalgebra of  $C^\sigma$  generated by  $\{d_j^\sigma, e_j^\sigma, f_j^\sigma\} \cup J(C)^\sigma$ . Noting how  $\tau = \sum_j d_j^\sigma \otimes e_j^\sigma \otimes f_j^\sigma$  arose, we have  $E \subseteq D^\sigma$ . Since  $J(C)^\sigma$  is a nilpotent ideal of  $E$ ,  $J(C)^\sigma \subseteq J(E)$  and  $E/J(C)^\sigma \subseteq D^\sigma/J(C)^\sigma = (D/J(C))^\sigma$ . Hence  $E/J(C)^\sigma$  is finite dimensional and it follows that  $J(E)$  is nilpotent.  $C^\sigma$  has no  $k$ -separable subalgebras and thus  $E/J(E)$  is commutative by Wedderburn-Artin theory. The invertibility of  $\tau$  follows easily from the proof of Lemma 6.3 and it follows that  $w_\tau$  is invertible in  $(E^0 \otimes E)^{\otimes 3}$ . Thus  $F^\tau$  is full by Lemma 6.3.

Now we use Waterhouse two-cocycles to prove the converse of the above theorem.

**LEMMA 6.6.** *Let  $k$  be a field,  $C$  be a  $k$ -algebra, and  $B$  a  $k$ -separable subalgebra with separability idempotent  $e$  and associated Waterhouse two-cocycle  $\sigma_e$ . Then, if  $F^{\sigma_e}$  is dense,  $B = k$ .*

*Proof.* Let  $E = \text{End}_k(C^{\sigma_e})$  and  $C^{\sigma_e} \hookrightarrow E$  be given by  $x^{\sigma_e} \mapsto$  (left multiplication by  $x^{\sigma_e}$ ). There is an object  $C \xrightarrow{f} D$  in  $A(C)$  with

$$\begin{array}{ccc}
 D^{f(\sigma_e)} & \xrightarrow{\sim} & E \\
 \swarrow f^{\sigma_e} & & \nearrow \\
 & C^{\sigma_e} &
 \end{array}$$

commutative. In particular,  $f$  is injective.

$\bar{B} = f(B)$  is a  $k$ -separable subalgebra of  $D$  with separability idempotent  $\bar{e} = f(e)$  and associated Waterhouse two-cocycle  $\bar{\sigma} = f(\sigma_e)$ . Thus we have  $D^{\bar{\sigma}} = Z_D(\bar{B})^{\bar{\sigma}} \oplus (\text{Ker } \Gamma_{\bar{e}})^{\bar{\sigma}}$  with  $(\text{Ker } \Gamma_{\bar{e}})^{\bar{\sigma}}$  an ideal of square zero. Since  $J(D^{\bar{\sigma}}) = \{0\}^{\bar{\sigma}}$  and  $Z(D^{\bar{\sigma}}) = k$ , we have  $f(B) = \bar{B} \subseteq k$ . Because  $f$  is an injective  $k$ -algebra map we have  $B = k$ .

Combining the above results we have

**THEOREM 6.7.** *Let  $C$  be an algebra over the field  $k$  with  $J(C)$  nilpotent.*

Consider the following statements:

- (1)  $\sigma \sim \delta_e \cdot 1 \otimes 1 \otimes 1$  for some vertible  $\delta_e$  for all  $C$ -two-cocycles  $\sigma$ .
- (2)  $C$  is categorically rigid.
- (3)  $C$  is categorically semi-rigid.
- (4)  $C$  has no  $k$ -separable subalgebras (except  $k$ ).
- (5) All  $C$ -two-cocycles are invertible.

Then

- (a) (1)  $\Rightarrow$  (2)  $\Rightarrow$  (3)  $\Rightarrow$  (4)  $\Leftarrow$  (5).
- (b) If  $C/J(C)$  is locally finite, (2)-(5) are equivalent.

*Proof.* (a) (1)  $\Rightarrow$  (2) follows from Lemma 6.2. (2)  $\Rightarrow$  (3) is trivial and (3)  $\Rightarrow$  (4) holds by Lemma 6.6. For (5)  $\Rightarrow$  (4), see Theorem 6.4, proof of ( $\Leftarrow$ ).

(b) (2)  $\Rightarrow$  (3)  $\Rightarrow$  (4)  $\Leftarrow$  (5) by part (a). (4)  $\Rightarrow$  (5) and (5)  $\Rightarrow$  (2) follow from Theorem 6.4 and Theorem 6.5, respectively.

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