

# AFFINE OPEN ORBITS, REDUCTIVE ISOTROPY GROUPS, AND DOMINANT GRADIENT MORPHISMS; A THEOREM OF MIKIO SATO

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**An algebraic proof is given for a theorem of M. Sato. The theorem gives criteria for the open orbit in a prehomogeneous vector space under a reductive group to be an affine variety. The following conditions are equivalent:**

1.  $O(G)$  the open orbit is an affine variety.
2.  $G_x$  the isotropy subgroup of  $X$  in  $O(G)$  is reductive.
3. There exists a semi-invariant form  $P$  of degree  $r \geq 2$  such that  $\text{grad } P: V \rightarrow V^*$  is a dominant morphism of affine varieties.

In 1965, Mikio Sato stated a theorem giving characterizations of open affine orbits in real or complex vector spaces under the actions of reductive linear Lie groups. The statement has not appeared published in a European language, but appeared as a remark in Japanese in [8]. "Let  $(G, V)$  be a prehomogeneous pair; assume that  $G$  is a reductive real or complex algebraic group. The following conditions are equivalent:

(i)  $H_x$ , the isotropy subgroup of  $X$  in the open dense orbit, is reductive.

(ii)  $S$ , the union of singular  $G$ -orbits in  $V$ , is a union of hypersurfaces  $Z(P_1) \cup Z(P_2) \cup \dots \cup Z(P_m)$ .

(iii) There exists a semi-invariant form  $P$  for  $G$  such that the mapping  $\text{grad } P/P: V - Z(P) \rightarrow V^*$  is dominant."

By a prehomogeneous pair  $(G, V)$  we mean an algebraic subgroup  $G \subseteq GL(V)$  acting on  $V$ , a finite dimensional vector space over  $R$  or  $C$  such that there is an *open dense orbit*  $O(G)$  in  $V$ ; see [9]. A proof of the theorem was not known. The result is striking in that the conditions are superficially quite different; also they are entirely algebraic whereas the theorem appears in the Sugaku article [8] where the techniques are analytic. The theorem is restated and provided with an algebraic proof. The author wishes to gratefully acknowledge the observations and assistance of Takuro Shintani.

Let  $k$  be an algebraically closed field of characteristic 0.  $k$  shall denote the multiplicative group  $k - \{0\}$ .  $V$  shall always denote a finite dimensional  $k$ -vector space and  $V^*$  shall be its  $k$ -dual.  $G \subset GL(V)$  shall denote a closed algebraic subgroup defined over  $k$ . The topologies used are always the Zariski topologies on the spaces. A

prehomogeneous pair  $(G, V)$  is defined as above with this modification. Let  $k[V]$  denote the graded affine  $k$ -algebra of polynomial functions on  $V$ . If  $P \in k[V]$ , reserve the notations " $Z(P)$ " for the Zariski closed subset of  $V$  consisting of zeroes of the function  $P$  and " $U_P$ " for the Zariski open subset  $U_P = V - Z(P)$ . If  $P \neq 0$ ,  $U_P$  is known to be an affine algebraic variety defined over  $k$ , Zariski dense in  $V$ ; see [7]. Let " $O(G)$ " denote the Zariski open orbit of  $G$  in  $V$  for a prehomogeneous pair  $(G, V)$ .  $G$  acts as a group of automorphisms of  $k[V]$  by  $\lambda_g P(X) = P(g^{-1}X)$  for all  $g \in G$ ,  $P \in k[V]$  and  $X \in V$ .  $P$  is semi-invariant for  $G$  if there exists a  $\chi \in k[G]$  which is a unit in  $k[G]$  such that for all  $g \in G$ ,  $\lambda_g P = \chi(g)^{-1}P$ .  $\chi: G \rightarrow k^*$  is a rational character. Define the morphism  $\text{grad } P: V \rightarrow V^*$  of the canonical affine variety structures on  $V$  and  $V^*$  by setting  $(\text{grad } P)(X)$  to be the element of  $V^*$  given by  $(\text{grad } P)(X)(Z) = (D_Z P)(X)$ , for all  $Z \in V$ , where  $D_Z: k[V] \rightarrow k[V]$  is the  $k$ -derivation of degree  $-1$  on the  $k$ -algebra  $k[V]$ .  $k[V]$  is canonically isomorphic to the symmetric algebra  $S_k(V^*)$  and in either description  $D_Z$  is defined by requiring  $D_Z(Y) = Y(Z)$  for all  $Y \in V^*$ . If a basis  $\mathcal{B} = \{X_1, \dots, X_n\}$  is chosen in  $V$  and a dual basis  $\mathcal{B}^* = \{Y_1, \dots, Y_n\}$  in  $V^*$  such that  $Y_j(X_i) = \delta_{ij}$ , then  $k[V]$  is naturally isomorphic to the polynomial algebra  $k[Y_1, \dots, Y_n]$  and  $(\text{grad } P)(X) = \sum_{i=1}^n \partial P / \partial Y_i(X) Y_i$ , or in coordinates  $(\text{grad } P)(X) = (\partial P / \partial Y_1(X), \dots, \partial P / \partial Y_n(X))$ .

**SATO'S THEOREM.** *Let  $(G, V)$  be a prehomogeneous pair such that  $G$  is a reductive algebraic group containing  $k \cdot I_V$ . The following are equivalent:*

- (1)  $O(G)$  is an affine variety defined over  $k$ .
- (1')  $O(G)$  is equal to  $U_P$ , for  $P$  a nonzero semi-invariant form of degree  $r \geq 2$  for  $G$ .
- (2) For  $X \in O(G)$ ,  $G_X = \{g \in G \mid gX = X\}$ , the subgroup fixing  $X$  in  $G$ , is a reductive closed subgroup of  $G$ .
- (3) There exists a nonzero form  $P$  of degree  $r \geq 2$  in  $k[V]$  semi-invariant for  $G$  such that  $\text{grad } P: V \rightarrow V^*$  is a dominant morphism.
- (3') There exists a nonzero form  $P$  in  $k[V]$  of degree  $r \geq 2$  semi-invariant for  $G$  such that  $\text{grad } P/P: V \rightarrow V^*$ ,  $X \mapsto 1/P(X) (\text{grad } P)(X)$  is a dominant rational mapping.

**REMARKS AND EXAMPLES.** (a) The condition that  $\text{grad } P: V \rightarrow V^*$  is a dominant morphism is equivalent to the condition that the forms  $\partial P / \partial Y_i$ ;  $i = 1, \dots, n$  be algebraically independent over  $k$ .

(b) **LEMMA.** *For a form  $P \in k[V]$ ,  $\text{grad } P: V \rightarrow V^*$  is a*

*dominant morphism of affine algebraic varieties if and only if  $\text{grad } P/P: V \rightarrow V^*$  is a dominant rational mapping.*

*Proof.* The proof is straightforward in view of the fact that the dominance of the rational mapping is equivalent to the algebraic independence of the rational functions  $\partial P/\partial Y_i/P$ ;  $i = 1, \dots, n$ .

This lemma enables us to conclude immediately that (3) and (3') are equivalent.

(c) The theorem as stated in the *Sugaku* article [8], contains a "non-fatal" error. Statement "(ii)" lacks the requirement that  $m$ , the number of hypersurfaces, be greater than 1 or if  $m = 1$ , that the degree of the form  $P_1$  be greater than 1.

(d) EXAMPLES. (i) If  $G = GL(V)$  and  $\dim V \geq 2$ , then all statements (1),  $\dots$ , (3') are false; if  $\dim V = 1$ , then all statements are true with  $G = k^*$ ,  $G_x = 1$ , and  $P = Y_1^2$ .

(ii) Let  $R = Y_1^2 + Y_2^2 + \dots + Y_n^2$  be a quadratic form on  $k^n$ ,  $G = k \cdot I_V \cdot O(n)$  where  $O(n)$  is the orthogonal group of  $R$ . Then all statements of the theorem are true. (1) and (1') are applications of Witt's theorem;  $G_x \cong O(n-1)$  a reductive group and  $\text{grad } R$  gives a linear isomorphism since  $R$  is a nondegenerate quadratic form.

(iii) For  $V = k^{4 \times 3}$  and  $G = k \cdot I_V \cdot \text{Sp}(4) \times O(3)$  there is a semi-invariant form  $P$  for  $G$  of degree 4. With  $X = (X_1, X_2, X_3)$  and  $X_i \in k^4$ ,  $P(X) = [X_1, X_2]^2 + [X_2, X_3]^2 + [X_3, X_1]^2$  where  $[ , ]$  is the skew bilinear form on  $k^4$  defining the symplectic group,  $\text{Sp}(4)$ . In this case we have

(1)  $O(G)$  is not affine.

(1')  $O(G) \subsetneq U_P$ ; in fact  $X = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix} \in U_P$  but  $GX$ , the  $G$ -orbit

of  $X$  has codimension 2 in  $U_P$ .  $O(G) \subset U_P - GX$ .

(2) For  $X = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix} \in O(G)$ ,  $G_x$  is a unipotent algebraic group

of dimension 2.

(3)  $\text{grad } P$  is not a dominant morphism. The closure of the image of  $\text{grad } P$  has codimension 2 in  $V^*$ . See [8], page 141.

*Proof of Sato's theorem.* (1) *if and only if* (1'). Only "(1) implies (1')" needs justification. Since  $O(G)$  is open in  $V$  and is an affine variety, by the result in [5],  $V - O(G)$  is an algebraic set of

pure codimension 1. Since  $k[V]$  is a unique factorization domain,  $V - O(G) = Z(P)$  by [7]. Thus  $O(G) = U_P$  for some  $P \neq 0$  in  $k[V]$ . Clearly  $P$  must be  $G$ -semi-invariant, and  $P$  must be a form since  $k \cdot I_V \subset G$ . The form  $P$  must have degree  $r \geq 2$ ; for if  $r = 1$ , we may assume  $P = Y_1$  and then  $U_P = \{X \in V \mid Y_1(X) \neq 0\}$ .  $Z(Y_1) = \{X \in V \mid Y_1(X) = 0\}$  is a  $G$ -invariant subspace of codimension 1. Since  $G$  is reductive there exists a complementary  $G$ -invariant subspace, a line  $Z(Y_2, \dots, Y_n)$  on appropriate choice of basis  $\mathcal{B}$ . But  $Z(Y_2, \dots, Y_n) \cap U_{Y_1}$  is nonempty unless  $\dim V = 1$ , where the theorem has been verified. However,  $Z(Y_2, \dots, Y_n) \cap U_{Y_1}$  being nonempty contradicts  $O(G) = U_P$ .

(2) *implies* (1). Since  $G_X$  is a closed subgroup of  $G$  acting on  $G$  by right translation and since  $G_X$  is reductive, Mumford's theorem enables us to conclude that the quotient variety  $G/G_X$  is an affine variety; see [4]. However, the action of  $G_X$  on the image of the orbit mapping  $\text{Gor}: XG \rightarrow O(G)$  is isomorphic

$$g \longmapsto gX$$

to the action of  $G_X$  on  $G$  by right translation and thus is a quotient morphism in the sense of [1]. Hence,  $G/G_X \cong O(G)$ . Therefore,  $O(G)$  is affine.

(1) *implies* (2). As above,  $G/G_X \cong O(G)$ . With  $G$  reductive and  $k$  of characteristic 0, and  $O(G)$  affine, Theorem 3.5 in [2] allows us to conclude that  $G_X$  is reductive.

The equivalence of (3) and conditions (1) and (2) is seen more easily if the following lemmas are established. First, fix some notation. Let  $A \in \text{Hom}_k(V, W)$ , " $A^*$ " shall always denote the transpose of  $A$ . Thus  $A^* \in \text{Hom}_k(W^*, V^*)$  is defined by the requirement that  $(A^*Y)(X) = Y(AX)$  for all  $X \in V$  and all  $Y \in W^*$ .

**LEMMA 1.** *There is a  $k$ -linear isomorphism  $T: V \rightarrow V^*$  such that  $T^* = T$  and an automorphism  $i: G \rightarrow G$  of order 2 over  $k$  such that for all  $g \in G$ , and for all  $X \in V$ ,  $T(gX) = (i(g)^*)^{-1}T(X)$ .*

*Proof.* There is a  $k = \mathbb{C}$  version of this in [9], Lemma 1.1 on page 135. One can justify the result for  $k$  by proving Lemma 2 below and then using it to obtain the result for  $G$ , whose Lie algebra is  $L$  by imitating the techniques used in [10].

**LEMMA 2.** *Let  $L$  be a reductive algebraic Lie subalgebra of  $\text{LGL}(V)$ , the Lie algebra of  $\text{GL}(V)$ . There is a  $k$ -linear isomorphism  $T: V \rightarrow V^*$  such that  $T = T^*$  and a Lie algebra automorphism  $i'$  of  $L$  of order 2 such that for all  $A \in L$ , for all  $X \in V$ ,*

$$T(AX) = -i'(A)^*T(X).$$

*Sketch of proof of Lemma 2.*  $L \cong \tau \times L'$  where  $\tau$  is an algebraic torus and  $L'$  is the derived subalgebra of  $L$ ,  $k$ -split semi-simple; see [3]. For  $i'$ , send elements of  $\tau$  to their negatives and specify  $i'$  on  $L'$  by sending each root to its negative and extend on a system of canonical generators of  $L'$  as described in [6].  $T$  is specified by sending each element of a basis of weight vectors of  $L'$  in  $V$  to its correspondent in a dual basis of  $V^*$ . This suffices to verify Lemma 2.

LEMMA 3. *If  $P$  is a semi-invariant form in  $k[V]$  for  $G$ , then for all  $g \in G$ , for all  $X, U \in V$ ,  $\text{grad } P(gX)(gU) = \chi(g) \text{grad } P(X)(U)$ . Equivalently, for all  $g \in G$ , for all  $X \in V$ ,  $\chi(g)g^{*-1} \text{grad } (P) = \text{grad } P(gX)$ .*

*Proof.* Let  $t$  be transcendental over  $k$ .  $\text{grad } P(X)(U)$  is the coefficient of  $t$  in the  $k[t]$ -polynomial  $P(X + tU)$ ; see [11]. The identity  $\chi(g)P(X + tU) = P(g(X + tU)) = P(gX + tgU)$  establishes the lemma.

Let  $G^* = \{g^* | g \in G\}$ . From Lemma 1, it follows that  $(G^*, V^*)$  is a prehomogeneous pair. Let  $O(G^*)$  be the open orbit in  $V^*$ . Since  $k$  is algebraically closed and  $T^* = T$ , there exists a choice of basis  $\mathcal{B} = \{X_1, \dots, X_n\}$  such that  $T\mathcal{B} = \{TX_1, \dots, TX_n\}$  is the dual basis to  $\mathcal{B}$ , namely  $(TX_i)(X_j) = \delta_{ij}$  for  $i, j = 1, \dots, n$ . Such a basis  $\mathcal{B}$  will be called an *orthogonal basis*. Any change of basis by an orthogonal transformation results again in an orthogonal basis. As above, let  $\mathcal{B}^* = \{Y_1, \dots, Y_n\}$  denote the dual basis of  $\mathcal{B}$ .

LEMMA 4. *For  $(G, V)$  prehomogeneous with  $G$  reductive and  $P$  a semi-invariant for  $G$ , there exists an orthogonal basis  $\mathcal{B}$  for  $V$  with  $X_1 \in O(G)$  and  $c \neq 0$  such that  $\text{grad } P(X_1) = cY_1$  if and only if  $\text{grad } P: V \rightarrow V^*$  is a dominant morphism.*

*Proof.* For a basis  $\mathcal{B}$  let the  $n \times 1$  matrix of coordinates or basis coefficients for  $X \in V$  be denoted by  $X_{\mathcal{B}}$ , the  $n \times n$  matrix of  $A \in \text{End}_k(V)$  be denoted by  $A_{\mathcal{B}}$  and the  $1 \times n$  matrix of dual basis coefficients of  $Y \in V^*$  be denoted by  $Y_{\mathcal{B}}$ . Note that  $Y(AX) = Y_{\mathcal{B}} A_{\mathcal{B}} X_{\mathcal{B}}$ . For an orthogonal basis  $\mathcal{B}$ ,  $Y_{\mathcal{B}}^{\text{transpose}} = (T^{-1}Y)_{\mathcal{B}}$ . The conditions of Lemmas 1 and 3 give  $i(g)X = T^{-1}g^{-1*}TX$  and

$$\text{grad } P(gX)_{\mathcal{B}}^{\text{transpose}} = (\chi(g)T^{-1}g^{-1*} \text{grad } P(X))_{\mathcal{B}}.$$

Hence if  $\mathcal{B}$  is an orthogonal basis and  $X_1 \in O(G)$  and  $\text{grad } P(X_1) = cY_1 = cTX_1$  with  $c \neq 0$ , then

$$\text{grad } P(gX_1)^{\text{transpose}} = c(i(g)X_1)_{\mathcal{B}} = ci(g)_{\mathcal{B}} X_{1\mathcal{B}}.$$

Since  $i$  is an automorphism of  $G$  and  $X_1 \in O(G)$ , the first column of coordinate functions of  $G$  in basis  $\mathcal{B}$  are algebraically independent. Hence the coordinate functions of  $\text{grad } P$  are algebraically independent.

Conversely, if  $\text{grad } P$  is dominant, then the rational mapping  $\text{grad } P/P: V \rightarrow V^*$  has the property that  $\text{grad } P/P(O(G))$  contains a Zariski open subset  $U$  of  $V^*$  such that  $k \cdot U \subset U$ . Hence by the proposition below  $\text{grad } P/P(O(G))$  contains a vector  $Y_1$  which may be completed to an orthogonal basis. Let  $X_1$  be such that

$$\frac{1}{r} \frac{\text{grad } P}{P}(X_1) = Y_1.$$

Since  $O(G) \subset U_P$ ,

$$Y_1(X_1) = \frac{1}{r} \frac{\text{grad } P}{P}(X_1)(X_1) = 1.$$

Now complete  $\{X_1\}$  to an orthogonal basis for  $V$ .

**PROPOSITION.** *Let  $U$  be a Zariski open subset of  $V$  such that  $k \cdot U \subset U$ , and let  $R$  be a nondegenerate quadratic form on  $V$ . Then  $U$  contains an orthogonal basis with respect to  $R$ .*

*Proof.*  $U \cap U_R$  is open and nonempty. Therefore there is an  $X_1 \in U \cap U_R$  such that  $R(X_1) = 1$ . Let  $Y_1 = R(X_1, \cdot)$  be the linear (polynomial) function on  $V$  given by the symmetric bilinear form associated to  $R$ .  $Z(Y_1)$  is the closed subset of  $V$  with underlying point set equal to the vector space  $Y_1^\perp$ .  $R_1 (= R \text{ restricted to } Y_1)$  is a nondegenerate quadratic form. Consider  $U \cap U_R \cap Z(Y_1)$ . If the latter is nonempty choose  $X_2$  as above in the choice of  $X_1$  for this vector space  $Y_1^\perp$ . If  $U \cap U_R \cap Z(Y_1)$  is empty, then  $Z(Y_1) \subset Z(R) \cup S$ , where  $S = V - U$ .  $Z(Y_1)$  is an irreducible closed set. Hence  $Z(Y_1) \subset S = Z(R_1, \dots, R_m)$ , where  $R_i, i = 1, \dots, m$  are forms in  $k[V]$ . Equivalently the following inclusion of ideals holds;

$$(Y_1) \supset (R_1, \dots, R_m).$$

It is clear now that an  $X'_1$  could be chosen, as was  $X_1$  for which  $(Y'_1) \not\supset (R_1, \dots, R_m)$  so that  $U \cap U_R \cap Z(Y'_1)$  is not empty. Proceed inductively until an orthogonal basis is chosen in  $V$ .

In characteristic 0, it is well known that if a closed algebraic subgroup of  $GL(V)$  has a reductive Lie algebra, then that subgroup is reductive; see [4], Proposition 3.31 and [3].

(3) *implies* (2). For this part of the proof we use the Lie algebras of  $GL(V)$ ,  $G$  and  $G_X$  which we denote by  $LGL(V)$ ,  $L$  and  $L_X$  respectively. These are algebraic Lie algebras over  $k$ . We show  $G_X$  reductive by showing  $L_X$  reductive.  $LX = \{AX | A \in L\}$  is canonically isomorphic to the tangent space of the orbit  $GX$  at  $X$ . Hence  $L_X = \{A \in L | AX = 0\}$ . For  $X \in O(G)$ ,  $L_X$  has codimension  $n$  in  $L$  since the dimension of the orbit  $O(G) = GX$  is  $n$ . We use the following criterion of reductivity for algebraic Lie algebras.

LEMMA 5. *Let  $L \subset LGL(V)$  be an algebraic Lie subalgebra.  $L$  is reductive if and only if the trace form restricted to  $L \times L$  is nondegenerate.*

*Proof.* See [3].

The trace form is nondegenerate when restricted to  $L$ . We need show that the trace form restricted to  $L_X$  is nondegenerate for  $X \in O(G)$ . We show that  $L_X$  can be defined under the trace form as the subspace orthogonal and complementary to a subspace of  $L$  of codimension  $n$ . As above, choose an orthogonal basis where  $X_1 \in O(G)$  and  $\text{grad } P(X_1) = cY_1$ ,  $c \neq 0$ . Since  $\text{grad } P(gX) = \chi(g)g^{*-1} \text{grad } P(X)$ , we see that  $L_X = L_{\text{grad } P(X)}$  where  $L_{\text{grad } P(X)} = \{A \in L | A^* \text{grad } P(X) = 0 \text{ in } V\}$ .  $\text{grad } P$  is dominant implies that  $\text{grad } P(X_1)$  lies in the open orbit  $O(G^*)$  in  $V^*$  and hence  $L_{\text{grad } P(X_1)}$  is also of codimension  $n$  in  $L$ . Hence  $L_{X_1} = L_{\text{grad } P(X_1)}$ . With the basis chosen as above,  $AX_1 = 0$  if and only if  $A_{i1} = 0$  for  $i = 1, \dots, n$  if and only if  $\text{Trace } AE_{1j} = 0$  for  $j = 1, \dots, n$  where  $E_{1j}$  is the  $n \times n$  matrix with first row  $(0, \dots, 0, 1, 0, \dots, 0)$  with 1 in the  $j$ th place and other rows zero if and only if  $\text{Trace } AE'_{1j} = 0$  where  $E'_{1j} \equiv E_{1j}$  modulo the annihilator of  $L$  under the trace form and  $E'_{1j} \in L$ . Let  $M_{X_1}$  be the subspace spanned by  $E'_{1j}$  in  $L$ . The criterion  $L_{X_1} = L_{Y_1}$  implies immediately that  $L_{X_1} \cap M_{X_1} = 0$ . Hence  $L_{X_1}$  is reductive.

(1') *implies* (3). We assume that  $O(G) = U_P$ . Recall that the dual pair  $(G^*, V^*)$  is a prehomogeneous vector space with a corresponding form  $Q \in k[V^*]$  of degree  $r$ ; Lemma 1 gives this.  $\text{grad } P$  sends  $G$  orbits to  $G^*$  orbits; i.e.,  $\text{grad } P(GX) = G^* \text{grad } P(X)$  for all  $X \in V$ . Lemma 3 implies this easily. Let  $R$  be the quadratic form associated to the  $k$ -vector space mapping  $T: V \rightarrow V^*$  of Lemma 1, so that  $R(X) = T(X)(X)$ . We may choose an  $X_1 \in O(G) \cap U_R$  and assume that  $P(X_1) = 1$  and that  $X_1$  is a member of an orthogonal basis  $\mathcal{B}$ . Then  $P = Y_1^r + Y_1^{r-1}P_1 + \dots + Y_1P_{r-1} + P_r$  with  $P_i \in k[Y_2, \dots, Y_n]$  of degree  $i$ . We compute easily that  $\text{grad } P(X_1) = rY_1 + P_1$ . Since  $\mathcal{B}$  is an orthogonal basis,  $Q = X_1^r + X_1^{r-1}Q_1 + \dots + X_1Q_{r-1} + Q_r$  where  $Q_i \in k[X_2, \dots, X_n]$  is of degree  $i$  and is the cor-

respondent of  $P_i$ . Thus  $Q_i$  is  $P_i$  with  $Y$  replaced by  $X$ . We establish that  $Q(\text{grad } P(X_i)) \neq 0$ . For any  $g \in G$ ,

$$Q(\text{grad } P(gX_i)) = Q(\chi(g)g^{-1} * \text{grad } P(X_i)) = \chi(g)^r Q(g^{-1} * (rY_1 + P_1)) .$$

It suffices to compute  $Q(g * (rY_1 + P_1))$ .

$$\begin{aligned} Q(g * (rY_1 + P_1)) &= X_1^r(g * (rY_1 + P_1)) \\ &\quad + X_1^{r-1}(g * (rY_1 + P_1))Q_1(g * (rY_1 + P_1)) + \cdots + Q_r(g * (rY_1 + P_1)) \\ &= (gX_1)^r(rY_1 + P_1) + (gX_1)^{r-1}(rY_1 + P_1)gQ_1(rY_1 + P_1) \\ &\quad + \cdots + gQ_r(rY_1 + P_1) \\ &= \left(\sum_{i=1}^n g_{i1}X_i\right)^r(rY_1 + P_1) + \left(\sum_{i=1}^n g_{i1}X_i\right)^{r-1}(rY_1 + P_1)gQ_1(rY_1 + P_1) \\ &\quad + \cdots + gQ_r(rY_1 + P_1) \\ &= \left(r g_{11} + \sum_{i=2}^n g_{i1}X_i(P_1)\right)^r + \left(r g_{11} + \sum_{i=2}^n g_{i1}X_i(P_1)\right)^{r-1} gQ_1(rY_1 + P_1) \\ &\quad + \cdots + gQ_r(rY_1 + P_1) . \end{aligned}$$

The latter is a nonzero polynomial expression of the type

$$r^r g_{11}^r + g_{11}^{r-1} S_{r-1}(g) + \cdots + g_{11} S_1(g) + S_0(g)$$

with  $S_i(g)$  polynomial expressions in the coordinate functions  $g_{1m}$  with  $(1, m) \neq (1, 1)$ . This polynomial cannot be the zero polynomial, since otherwise  $g_{11}$  is algebraically dependent on the  $g_{1m}$  with  $(1, m) \neq (1, 1)$  and this contradicts that the point  $X_1 \in O(G)$ . This completes the proof of the theorem.

A description of all prehomogeneous pairs  $(G, V)$  over  $k$  with  $G$  acting irreducibly on  $V$  is being sought. The examples such as (iii) with  $\text{Sp}(2n)$ ,  $n \geq 2$ , are the only ones known where there exists a semi-invariant  $P$  and the condition  $O(G) \subseteq U_P$  maintains. We have shown that  $\text{grad } P(O(G))$  is contained in a proper  $G$ -invariant closed subvariety of  $U_Q$  in  $V^*$ . In general  $\text{grad } P$  restricted to  $Z(P)$  fails to have the property of being a dominant mapping to  $Z(Q)$  even when the conditions of the theorem hold; an example is  $G \cong k \cdot SL(n) \times SL(n)$  acting on  $k^{n \times n}$  with  $(c, g_1, g_2)X = c g_1 X g_2^{-1}$  and  $P = \text{determinant}$ .

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