

PAPERS COMMUNICATED

1. On the Completion by Cuts of Distributive Lattices.

By Nenosuke FUNAYAMA.

Sendai Rikugun Yonengakko.

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“Is the completion by cuts of modular lattices modular? Is that of distributive lattices necessarily distributive? This problem was presented by H. Macneille¹⁾. G. Birkhoff has listed this problem in his book among unsolved problems²⁾. In §2 we will solve this problem negatively by constructing an example of a distributive lattice, whose completion by cuts is not modular. In §3 we will give a necessary and sufficient condition for the distributivity of the lattice completed by cuts of a distributive lattice.

1. Explanation of the problem.

Let S be a subset of a lattice L , S^+ the set of all upper bounds of S , and S^* the set of all lower bounds of S . We call $\bar{S}=(S^+)^*$ the “normal hull” of S , and S a “normal subset” if and only if it is its own normal hull. If S consists of an element x , then \bar{x} is the set of $y \leq x$, and \bar{x} is called a “principal” normal subset. All the normal subsets of L , ordered with respect to set inclusion, form a complete lattice \bar{L} ³⁾. All the principal normal subsets form a sublattice isomorphic to L . Our problem is to discuss the distributivity of \bar{L} assuming that L is distributive.

In the discussion of distributivity, the notion of “neutral element” is very important. We define an element a to be neutral if and only if every triple $\{a, x, y\}$ generates a distributive sublattice. The neutral elements of a lattice L constitute a distributive sublattice of L . Thus \bar{L} is distributive if and only if all the elements of \bar{L} are neutral⁴⁾.

2. Example.

Let L_1, L_2 and L_3 be three simply ordered lattice (i. e. chain) such that

$$L_1; a_1 > a_2 > \cdots > a_i > \cdots > b_j > \cdots > b_2 > b_1$$

$$L_2; p > q$$

$$L_3; c_1 > c_2 > \cdots > c_k > \cdots > d_1 > \cdots > d_2 > d_1$$

Let L be a sublattice of the direct product $L_1 \times L_2 \times L_3$, consisting of the following elements,

1) H. Macneille, Partially ordered sets, Trans. Amer. Math. Soc., **42** (1937).

2) G. Birkhoff, Lattice theory, 146.

3) loc. cit. 1) or 2).

4) G. Birkhoff, Neutral elements in general lattice, Bull. Amer. Math. Soc., **46** (1940).

$$\begin{aligned}
A_{ik} &= (a_i, p, c_k) & (i, k=1, 2, \dots) \\
B_{jk} &= (b_j, p, c_k) & (j, k=1, 2, \dots) \\
C_{jk} &= (b_j, q, c_k) & (j, k=1, 2, \dots) \\
D_{jl} &= (b_j, q, d_l) & (j, l=1, 2, \dots)
\end{aligned}$$

We can verify that L is distributive as a sublattice of the distributive lattice $L_1 \times L_2 \times L_3$.

Let S be the normal subset consisting of $D_{j,l}(j, l=1, 2, \dots)$, \bar{B}_{11} and \bar{C}_{11} be two principal normal subsets. Then clearly

$$\begin{aligned}
S \cap \bar{B}_{11} &= S \cap \bar{C}_{11} = (D_{1l}; l=1, 2, \dots). \\
S \cup \bar{B}_{11} &= S \cup \bar{C}_{11} = (B_{j,k}, C_{jk}, D_{j,l}; j, k, l=1, 2, \dots), \\
\bar{B}_{11} &> \bar{C}_{11}.
\end{aligned}$$

Thus five normal subsets $S, \bar{B}_{11}, \bar{C}_{11}, S \cup \bar{B}_{11} = S \cup \bar{C}_{11}$ and $S \cap \bar{B}_{11} = S \cap \bar{C}_{11}$ form a non-modular sublattice of \bar{L} . By this example Macneille's problem is solved negatively.

3. A necessary and sufficient condition for the distributivity of \bar{L} .

We will seek a necessary and sufficient condition for the neutrality of all the elements of \bar{L} . Let S be a fixed normal subset of L , then $x \rightarrow \bar{x} \cap S$ and $x \rightarrow \bar{x} \cup S$ are lattice-homomorphisms from L into \bar{L} . Thus $x \rightarrow \{\bar{x} \cap S, \bar{x} \cup S\}$ is a lattice-homomorphism.

Lemma. An element of a lattice L is neutral if and only if it is carried into $\{I, 0\}$ under isomorphism of L onto a sublattice of a direct product¹⁾.

Theorem. A necessary and sufficient condition for the distributivity of \bar{L} is that the lattice-homomorphism $x \rightarrow \{\bar{x} \cup S, \bar{x} \cap S\}$ be isomorphic for any normal subset S .

Proof. Necessity. If the lattice-homomorphic mapping $x \rightarrow \{\bar{x} \cup S, \bar{x} \cap S\}$ is not isomorphic, there exist two distinct elements x and y such as $\{\bar{x} \cup S, \bar{x} \cap S\} = \{\bar{y} \cup S, \bar{y} \cap S\}$. We have $\{\overline{x \cup y} \cup S, \overline{x \cup y} \cap S\} = \{\bar{x} \cup S, \bar{x} \cap S\}$ and $\bar{x} \neq \overline{x \cup y}$. Thus five elements $S, \bar{x}, \overline{x \cup y}, S \cup \bar{x} = S \cup \overline{x \cup y}$ and $S \cap \bar{x} = S \cap \overline{x \cup y}$ form a non-distributive sublattice of \bar{L} .

Sufficiency. If L is isomorphic with a sublattice $\{\bar{x} \cup S, \bar{x} \cap S\}$ of the direct product $\{\bar{x} \cup S\} \times \{\bar{x} \cap S\}$, \bar{L} is isomorphic to $\{\overline{x \cup S}, \overline{x \cap S}\}$, which is a sublattice of the direct product $\{\bar{x} \cup S\} \times \{\bar{x} \cap S\}$. Under this isomorphism S is carried into $\{I, 0\}$. Thus S is a neutral element in \bar{L} , and then \bar{L} is distributive.

1) loc. cit. 4).