

140. On Lacunary Fourier Series

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Our first theorem is as follows:

Theorem 1. If the function f has the Fourier series

$$(1) \quad f(x) \sim \sum_{k=1}^{\infty} (a_{n_k} \cos n_k x + b_{n_k} \sin n_k x)$$

where

$$(2) \quad n_{k+1} - n_k > An_k^\beta \quad (A \text{ constant and } 0 < \beta \leq 1)$$

and if f satisfies the α -Lipschitz condition ($\alpha > 0$) at a point x_0 , that is,

$$|f(x_0 + t) - f(x_0)| \leq A|t|^\alpha \quad \text{as } t \rightarrow 0,$$

then we have

$$a_{n_k} = O(1/n_k^{\alpha\beta}), \quad b_{n_k} = O(1/n_k^{\alpha\beta}) \quad (k=1, 2, \dots).$$

This is a generalization of theorems of Kennedy [1] and Tomić [2].

Proof. a) The case $1 > \alpha > 0$. We can suppose that $x_0 = 0$. Let c_{n_k} be the n_k -th complex Fourier coefficient of f , then

$$c_{n_k} = \frac{1}{2\pi} \int_{-\pi}^{\pi} f(x) e^{-in_k x} dx.$$

We can suppose that¹⁾

$$(2') \quad n_{k+1} - n_k \geq An_k^\beta \quad \text{and} \quad n_k - n_{k-1} \geq An_k^\beta$$

and then we have

$$c_{n_k} = \frac{1}{2\pi} \int_{-\pi}^{\pi} f(x) T_{M_k}(x) e^{-in_k x} dx$$

1) If $\beta = 1$, that is, $n_{k+1}/n_k \geq \lambda > 1$, then we can take $A = (\lambda - 1)/\lambda$. In the case $0 < \beta < 1$, we can suppose that $n_{k+1} \geq 2n_k$. For, if not, that is, if $n_{k+1} - n_k \geq A'n_k^\beta$ for a constant A' and $n_{k+1} > 2n_k$, then we insert the term $c_{n_{k'}} e^{in_{k'} x}$ with $n_{k'} = n_k + A'n_k^\beta$, then

$$n_{k'} - n_k = A'n_k^\beta, \quad n_{k+1} - n_{k'} = (n_{k+1} - n_k) - A'n_k^\beta \geq n_k - A'n_k^\beta \geq A'n_k^\beta$$

for large k . If, further, $n_{k+1} > 2n_{k'}$, then we insert also the term $c_{n_{k''}} e^{in_{k''} x}$ with $n_{k''} = n_{k'} + A'(n_{k'})^\beta$. Thus proceeding we get the sequence $(n_k^{(\nu)}; \nu = 1, 2, \dots, j)$ such that

$$n_k < n_{k'} < n_{k''} < \dots < n_k^{(j)} < n_{k+1}$$

and

$$n_{k+1} \leq 2n_k^{(j)}, \quad n_k^{(\nu+1)} \leq 2n_k^{(\nu)} (\nu = 1, 2, \dots, j-1), \quad n_{k'} \leq 2n_k, \\ n_k^{(\nu+1)} - n_k^{(\nu)} \geq A'(n_k^{(\nu)})^\beta (\nu = 1, 2, \dots, j-1), \quad n_{k+1} - n_k^{(j)} \geq A'(n_k^{(j)})^\beta, \quad n_{k'} - n_k \geq A'n_k^\beta.$$

This procedure is possible for all sufficiently large k . Now, instead of f , consider the function $g(x) = f(x) + h(x)$ where $h(x) \sim \sum_{\nu,k} c_k^{(\nu)} e^{in_k^{(\nu)} x} = \sum d_{k'} e^{im_{k'} x}$. We can take $(c_k^{(\nu)})$ such that h is sufficiently smooth. Then g satisfies the condition of f and the Fourier exponents (m_k) of g satisfy (2') with $A = A'/2^\beta$.

for all trigonometrical polynomial $T_{M_k}(x)$ of degree $M_k \leq An_k^\alpha$ and with constant term 1. We take $T_{M_k}(x)$ as the twice of the Fejér kernel, that is,

$$T_{M_k}(x) = 2K_{M_k}(x) = \frac{\sin^2(M_k + 1)x/2}{(M_k + 1)\sin^2 x/2}.$$

Now

$$\begin{aligned} c_{n_k} &= \frac{1}{2\pi} \int_{-\pi}^{\pi} f(x) T_{M_k}(x) e^{-in_k x} dx = -\frac{1}{2\pi} \int_{-\pi}^{\pi} f\left(x + \frac{\pi}{n_k}\right) T_{M_k}\left(x + \frac{\pi}{n_k}\right) e^{-in_k x} dx \\ &= \frac{1}{4\pi} \int_{-\pi}^{\pi} \left[f(x) T_{M_k}(x) - f\left(x + \frac{\pi}{n_k}\right) T_{M_k}\left(x + \frac{\pi}{n_k}\right) \right] e^{-in_k x} dx \\ &= \frac{1}{4\pi} \int_{-\pi}^{\pi} \left[f(x) - f\left(x + \frac{\pi}{n_k}\right) \right] T_{M_k}(x) e^{-in_k x} dx \\ &\quad + \frac{1}{4\pi} \int_{-\pi}^{\pi} f\left(x + \frac{\pi}{n_k}\right) \left[T_{M_k}(x) - T_{M_k}\left(x + \frac{\pi}{n_k}\right) \right] e^{-in_k x} dx = I + J \end{aligned}$$

where $J = 0$, since the Fourier exponents of $f(x + \pi/n_k)$ with non-vanishing Fourier coefficients are the same as those of $f(x)$ and trigonometrical polynomial $T_{M_k}(x) - T_{M_k}(x + \pi/n_k)$ does not contain the constant term and is of order M_k . Therefore

$$\begin{aligned} c_{n_k} = I &= \frac{1}{4\pi} \int_{-\pi}^{\pi} \left[f(x) - f\left(x + \frac{\pi}{n_k}\right) \right] T_{M_k}(x) e^{-in_k x} dx \\ &= \frac{1}{2\pi} \int_{-\pi}^{\pi} \left[f(x) - f\left(x + \frac{\pi}{n_k}\right) \right] K_{M_k}(x) e^{-in_k x} dx \\ &= \frac{1}{2\pi} \left(\int_{-\delta_k}^{\delta_k} + \int_{\delta_k}^{\pi} + \int_{-\pi}^{-\delta_k} \right) \left[f(x) - f\left(x + \frac{\pi}{n_k}\right) \right] K_{M_k}(x) e^{-in_k x} dx = I_1 + I_2 + I_3 \end{aligned}$$

where $\delta_k = 1/M_k$. We have

$$\begin{aligned} |I_1| &\leq \frac{M_k}{2\pi} \int_{-\delta_k}^{\delta_k} |f(x) - f(x + \pi/n_k)| dx = O(1/M_k^\alpha) = O(1/n_k^{\alpha\beta}), \\ |I_2| &\leq \frac{1}{2\pi M_k} \int_{\delta_k}^{\pi} |f(x) - f(x + \pi/n_k)| \frac{dx}{x^2} \leq \frac{A}{M_k} \int_{\delta_k}^{\pi} \frac{dx}{x^{2-\alpha}} \leq \frac{A}{n_k^{\alpha\beta}} \end{aligned}$$

and I_3 may be estimated similarly as I_2 . Thus the theorem is proved.

b) The case $\alpha \geq 1$. In this case we use the polynomial

$$T_{M_k}(x) = (2K_{M_k/l}(x))^l \Big/ \int_{-\pi}^{\pi} (2K_{M_k/l}(x))^l dx$$

instead of the Fejér kernel where l is a fixed integer depending on α , then we have

$$|T_{M_k}(x)| \leq AM_k \quad \text{and} \quad |T_{M_k}(x)| \leq A/M_k^{2l-1} t^{2l}.$$

Therefore, in the estimation of I_1 and I_2 , α may be greater than or equal to 1. Thus the theorem holds for any $\alpha \geq 1$.

Corollary 1. If f satisfies the α -Lipschitz condition at a point ($0 < \alpha < 1$) and f has the Fourier series with the Hadamard gap, then f belongs to the Lip α class in the interval $(0, 2\pi)$.

Proof. By our theorem, the n_k -th Fourier coefficient of f is of order $O(1/n_k^\alpha)$ and then

$$|f(x+h)-f(x)| \leq A \sum_{k=1}^{\infty} n_k^{-\alpha} \left| \sin \frac{1}{2} n_k h \right| \quad \text{for all } x \text{ and all } h.$$

If h is sufficiently small, then there is an m such that $1/n_{m+1} \leq |h| \leq 1/n_m$. We have

$$\sum_{k=1}^m n_k^{-\alpha} \left| \sin \frac{1}{2} n_k h \right| \leq \frac{h}{2} \sum_{k=1}^m n_k^{1-\alpha} \leq A |h| n_m^{1-\alpha} \leq A |h|^\alpha$$

and

$$\sum_{k=m+1}^{\infty} n_k^{-\alpha} \left| \sin \frac{1}{2} n_k h \right| \leq \sum_{k=m+1}^{\infty} n_k^{-\alpha} \leq A n_{m+1}^{-\alpha} \leq A |h|^\alpha.$$

Hence f belongs to the class Lip α in the whole interval.

Corollary 2. If f satisfies the 1-Lipschitz condition at a point and f has the Fourier series with the Hadamard gap, then $f \in \Lambda$, that is,

$$f(x+h) - 2f(x) + f(x-h) = O(|h|) \quad \text{for all } x.$$

Proof is similar as Corollary 1.

*Theorem 2.*²⁾ Let f satisfy the condition of Theorem 1 with $0 < \beta < 1$, then the Fourier series of f converges absolutely when $\alpha > \min(1/2\beta, 1/\beta - 1)$.

Proof. a) Suppose that $\alpha > \beta^{-1} - 1$. We shall prove that

(3) $n_j > B j^\gamma$ for all sufficiently large j , a constant B and for any $\gamma < 1/(1-\beta)$. If we assume that $n_k > B \cdot k^\gamma$ for a k and for a B , $0 < B < 1$, then

$$\begin{aligned} n_{k+1} &\geq n_k + A n_k^\beta \geq B k^\gamma + A B^\beta k^{\beta\gamma} \geq B(k^\gamma + A k^{\beta\gamma}) \\ &\geq B(k^\gamma + \gamma k^{\gamma-1} + \dots) = B(k+1)^\gamma \quad \text{for } k \geq k_0, \end{aligned}$$

where k_0 is determined independently of B . We can take B , $0 < B < 1$ such that $n_{k_0} \geq B k_0^\gamma$. Thus we have $n_j > B j^\gamma$ for all $j \geq k_0$. We have now

$$\sum_{k=1}^{\infty} |c_{n_k}| \leq A \sum_{k=1}^{\infty} \frac{1}{n_k^{\alpha\beta}} \leq A \sum_{k=1}^{\infty} \frac{1}{k^{\alpha\beta\gamma}}$$

which is finite when $\alpha\beta\gamma > 1$. γ may be taken so near to $1/(1-\beta)$ such that $\alpha\beta\gamma > 1$ when $\alpha > \beta^{-1} - 1$.

b) Suppose that $\alpha\beta > 1/2$. We suppose that $x_0 = 0$. Let us put

$$f_k(x) = f(x + \pi/4n_k) - f(x - \pi/4n_k)$$

then

$$f_k(x) \sim \sum_j c_{n_j} (e^{in_j(x+\pi/4n_k)} - e^{in_j(x-\pi/4n_k)}) = 2i \sum_j c_{n_j} \sin \frac{n_j\pi}{4n_k} e^{in_j x}.$$

If $T_{M_k}(x)$ is a trigonometrical polynomial of order $A n_k^\beta$ and with constant term 1, then the Fourier exponents with non-vanishing coefficients of $T_{M_k}(x) f_k(x)$ in the interval $(n_k, 2n_k)$ are the same as

2) This is the joint work of Mr. J.A. Chao and us.

those of $f_k(x)$ in the same interval. Thus we have

$$4 \sum_{n_k \leq n_j \leq 2n_k} |c_{n_j}|^2 \sin^2 \frac{n_j \pi}{4n_k} \leq \frac{1}{\pi} \int_{-\pi}^{\pi} f_k^2(x) T_{M_k}^2(x) dx$$

and hence

$$\sum_{n_k \leq n_j \leq 2n_k} |c_{n_j}|^2 \leq \frac{1}{4\pi} \int_{-\pi}^{\pi} f_k^2(x) T_{M_k}^2(x) dx.$$

We take $T_{M_k}(x) = 2K_{M_k}(x)$, then the right side integral is, except for a factor 4,

$$\begin{aligned} \int_{-\pi}^{\pi} f_k^2(x) K_{M_k}^2(x) dx &= \int_{-1/M_k}^{1/M_k} + \int_{1/M_k}^{\pi} + \int_{-\pi}^{-1/M_k} \\ &\leq 2 \int_0^{1/n_k} \frac{M_k^2}{n_k^{2\alpha}} dx + 2 \int_{1/n_k}^{1/M_k} x^{2\alpha} M_k^2 dx + \frac{2}{M_k^2} \int_{1/M_k}^{\pi} \frac{dx}{x^{2(2-\alpha)}} \\ &\leq \frac{A}{M_k^{2\alpha-1}} \leq \frac{A}{n_k^{(2\alpha-1)\beta}} \end{aligned}$$

and then

$$\begin{aligned} \sum_{n_k \leq n_j \leq 2n_k} |c_{n_j}|^2 &\leq \frac{A}{n_k^{(2\alpha-1)\beta}}, \\ \sum_{j=1}^{\infty} |c_j| &\leq \sum_{k=1}^{\infty} \sum_{2^k \leq n_j \leq 2^{k+1}} |c_{n_j}| \leq A \sum_{k=1}^{\infty} \sqrt{2^{(1-\beta)k}} \sum_{2^k \leq n_j \leq 2^{k+1}} |c_{n_j}|^2 \\ &\leq A \sum_{k=1}^{\infty} \frac{2^{(1-\beta)k/2}}{2^{(\alpha-1/2)\beta k}} = A \sum_{k=1}^{\infty} \frac{1}{2^{(\alpha\beta-1/2)k}} < \infty, \end{aligned}$$

when $\alpha\beta > 1/2$.

References

- [1] P. B. Kennedy: Fourier series with gaps. Quart. J. Math. (Oxford), **7**, 224-230 (1956).
- [2] M. Tomić: On the order of magnitude of Fourier coefficients with Hadamard's gaps. J. London Math. Soc., **37**, 117-120 (1962).