

94. Codimension 1 Foliations on Simply Connected 5-Manifolds

By Kazuhiko FUKUI

Mathematical Institute, Kyoto University

(Comm. by Kinjirô KUNUGI, M. J. A., June 12, 1973)

1. Recently N. A'Campo [1] has shown that every simply connected, closed 5-manifold with vanishing second Stiefel-Whitney class admits a codimension 1 foliation. The essential point in his construction is to utilize Smale's classification theorem [4].

In this note, similarly utilizing Barden's result [2], we show that every simply connected, closed 5-manifold admits a codimension 1 foliation. All the manifolds and the foliations considered here, are smooth of class C^∞ .

2. Preliminaries. a) The second Stiefel-Whitney class $\omega^2(M)$ of a simply connected manifold M may be regarded as a homomorphism $\omega^2: H_2(M; \mathbb{Z}) \rightarrow \mathbb{Z}_2$, and we may consider ω^2 to be non-zero on at most one element of a basis. In a simply connected 5-manifold, the value of ω^2 on the homology class carried by an imbedded 2-sphere is the obstruction to the triviality of its normal bundle. Such a "non-zero valued" class has order 2^i for some positive integer i . Then i is a diffeomorphism invariant $i(M)$ of M .

D. Barden [2] has classified simply connected, closed, smooth 5-manifolds under diffeomorphism. Such a manifold is determined by $H_2(\)$ and $i(\)$. More precisely:

Proposition 1 [2]. *Simply connected, closed, smooth, oriented 5-manifolds are classified under diffeomorphism as follows. A canonical set of representatives is $\{X_j \# M_{k_1} \# \dots \# M_{k_s}\}$, where $-1 \leq j \leq \infty$, $s \geq 0$, $1 < k_1$ and k_i divides k_{i+1} or $k_{i+1} = \infty$. A complete set of invariants is provided by $H_2(M)$ and $i(M)$. (for the notation, see [2], p. 373.)*

b) S^2 -bundles over S^2 with group SO_3 are classified by $\pi_1(SO_3) \cong \mathbb{Z}_2$. We denote by A the product, and by B the non-trivial bundle. Next consider reductions of the structure group to SO_2 , which are classified by $\pi_1(SO_2) \cong \mathbb{Z}$. Let T_k be the S^2 -bundle associated with the reduction given by the integer k . Furthermore, let x be the class in $H_2(T_k)$ of the sphere imbedded as the cross-section, corresponding to the "south pole", and y be the class of the sphere imbedded as a fiber. If \cdot denotes the intersection number of homology class, then $x \cdot x = k$, $x \cdot y = 1$ (we have the orientation of y to ensure this) and $y \cdot y = 0$. For the homology bases of A, B , we shall reduce the bundles as T_0, T_1 . Then we have, in [5]

Proposition 2. *Let N be a simply connected 4-manifold, $\omega \in H_2(N)$ with $\omega \cdot \omega = 2s$, then $N \# T_k$ admits a diffeomorphism inducing the following automorphism of $H_2(N \# T_k)$:*

$$\xi \in H_2(N) \rightarrow \xi - (\xi \cdot \omega)y, x \rightarrow x + \omega - sy, y \rightarrow y.$$

Generators x, y of the second homology groups of various copies of the 2-sphere bundles A, B will carry the same suffixes as the bundles. Now, consider for the case $N = A_1, T_k = A_2$. (i.e., k is even.) Put $\omega = ly_1$. By Proposition 2, we have a diffeomorphism $d_l : A_1 \# A_2 \rightarrow A_1 \# A_2$ for each $1 < l < \infty$. Let $e : A_1 \# A_2 \rightarrow A_1 \# A_2$ be a diffeomorphism which induces the automorphism of $H_2 : x_1, y_1, x_2, y_2 \rightarrow y_2, x_2, y_1, x_1$. Put $\alpha(l) = d_l \cdot e$. Next consider for the case $N = B_1, T_k = B_2$. (i.e., k is odd.) Put $\omega = 2^j \cdot x_1$. As before, by Proposition 2 we have a diffeomorphism $f_j : B_1 \# B_2 \rightarrow B_1 \# B_2$ for each $1 \leq j < \infty$. Let $g : B_1 \# B_2 \rightarrow B_1 \# B_2$ be a diffeomorphism which corresponds to the automorphism $x_1, y_1, x_2, y_2 \rightarrow x_2, y_2, x_1, y_1$. Put $\beta(j) = f_j \cdot g$. Hence we have an orientation preserving diffeomorphism $\alpha(l) : A_1 \# A_2 \rightarrow A_1 \# A_2$ for each $1 < l < \infty$ (resp. $\beta(j) : B_1 \# B_2 \rightarrow B_1 \# B_2$ for each $1 \leq j < \infty$) such that the inducing automorphism $\alpha(l)_* : H_2(A_1 \# A_2) \rightarrow H_2(A_1 \# A_2)$ (resp. $\beta(j)_* : H_2(B_1 \# B_2) \rightarrow H_2(B_1 \# B_2)$) corresponds to the following matrix;

$$A(l) = \begin{bmatrix} l & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & -l & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \quad \left(\text{resp. } B(j) = \begin{bmatrix} 0 & -2^j & 1 & 0 \\ 0 & -2^j & 0 & 1 \\ 1 & -2^{2j-1} & 2^j & 0 \\ 0 & 1 & 0 & 0 \end{bmatrix} \right)$$

We denote by $N(l)$ (resp. $L(j)$) the manifold obtained by identifying points $(x, 0)$ and $(\alpha(l) \cdot x, 1)$ for $x \in A_1 \# A_2$ (resp. $(x, 0)$ and $(\beta(j) \cdot x, 1)$ for $x \in B_1 \# B_2$) in $(A_1 \# A_2) \times [0, 1]$ (resp. $(B_1 \# B_2) \times [0, 1]$). The projection $(A_1 \# A_2) \times [0, 1] \rightarrow [0, 1]$ (resp. $(B_1 \# B_2) \times [0, 1] \rightarrow [0, 1]$) induces a fiber map $N(l) \rightarrow S^1$ with $A_1 \# A_2$ as a fiber (resp. $L(j) \rightarrow S^1$ with $B_1 \# B_2$ as a fiber). Let CP^2 be the complex projective plane. We denote by $L(-1)$ the manifold obtained by attaching $CP^2 \times \{0\}$ and $CP^2 \times \{1\}$ in $CP^2 \times [0, 1]$ by a diffeomorphism which reverses the orientation of the projective line. Let $L(\infty)$ be the product $CP^2 \times S^1$.

Lemma (i) $H_2(N(l)) = Z_l + Z_l$ for $1 < l < \infty, H_2(L(j)) = Z_{2^j} + Z_{2^j}$ for $1 \leq j < \infty, H_2(L(-1)) = Z_2$ and $H_2(L(\infty)) = Z$.

(ii) $\omega^2(N(l)) = 0$ for $1 < l < \infty. \omega^2(L(j)) \neq 0$ for $j = -1, 1, 2, \dots, \infty$.

Proof. (i) It follows by noting the attachment.

(ii) First note that $i^*(\tau(N(l))) = \tau(A_1 \# A_2) \oplus \varepsilon^1$ for $1 < l < \infty, i^*(\tau(L(j))) = \tau(B_1 \# B_2) \oplus \varepsilon^1$ for $j \neq -1, \infty, i^*(\tau(L(j))) = \tau(CP^2) \oplus \varepsilon^1$ for $j = -1, \infty$, where i is the inclusion map of $A_1 \# A_2$ (resp. $B_1 \# B_2$ or CP^2) into $N(l)$ (resp. $L(j)$) as a fiber and ε^1 is a trivial line bundle. Then we have $i^*\omega^2(N(l)) = \omega^2(A_1 \# A_2), i^*\omega^2(L(j)) = \omega^2(B_1 \# B_2)$ for $j \neq 1, \infty$ and $i^*\omega^2(L(j)) = \omega^2(CP^2)$ for $j = -1, \infty$. Since $i^* : H^2(N(l); Z_2) \rightarrow H^2(A_1 \# A_2; Z_2)$ is injective, $\omega^2(A_1 \# A_2)$

$=0$, $\omega^2(B_1 \# B_2) \neq 0$ and $\omega^2(\mathbb{C}P^2) \neq 0$, we have $\omega^2(N(l))=0$ and $\omega^2(L(j)) \neq 0$.

Let $p \in A_1 \# A_2$ (resp. $B_1 \# B_2$) be a fixed point of $\alpha(l)$ (resp. $\beta(j)$). Let $\varphi: S^1 \rightarrow N(l)$ (resp. $L(j)$) be an imbedding defined by $t \in [0, 1] \rightarrow (p, t) \in (A_1 \# A_2) \times [0, 1]$ (resp. $(B_1 \# B_2) \times [0, 1]$). This imbedding is transverse to the fibers. Therefore this imbedding is transverse to the foliation on $N(l)$ (resp. $L(j)$) induced from the pointwise foliation of S^1 . Then by modifying the foliation on $N(l)$ (resp. $L(j)$), we can obtain the foliation on $N(l)$ (resp. $L(j)$) which contains a *Reeb component* (see [3]). We denote by $(M(l), \partial M(l))$, (resp. $(K(j), \partial K(j))$) the foliated manifold with boundary obtained by removing the *Reeb component* from $N(l)$ (resp. $L(j)$). Then $\partial M(l)$ (resp. $\partial K(j)$) is a closed leaf diffeomorphic to $S^1 \times S^3$, and $H_2(M(l)) = \mathbb{Z}_l + \mathbb{Z}_l$, $H_2(K(j)) = \mathbb{Z}_{2j} + \mathbb{Z}_{2j}$ for $1 \leq j < \infty$, $H_2(K(-1)) = \mathbb{Z}_2$, $H_2(K(\infty)) = \mathbb{Z}$, $\omega^2(M(l))=0$ and $\omega^2(K(j)) \neq 0$.

3. Theorem *Every simply connected, closed 5-manifold admits a codimension 1 foliation.*

Proof. It is sufficient to prove for the case $i(M) \neq 0$ since N . A'Campo [1] has shown the theorem for the case $i(M)=0$. Let M be a simply connected, closed 5-manifold with $i(M)=j$. As first consider for the case $j \neq -1, \infty$. Then we have $H_2(M) = \overbrace{\mathbb{Z} + \cdots + \mathbb{Z}}^n + \mathbb{Z}_{2j} + \mathbb{Z}_{2j} + \mathbb{Z}_{n_1} + \mathbb{Z}_{n_2} + \cdots + \mathbb{Z}_{n_s} + \mathbb{Z}_{n_s}$. By the way, we have already known in [3] that S^5 admits a codimension 1 foliation. By modifying the foliation on S^5 , we can obtain the foliation on S^5 which contains $(n+s+1)$ -*Reeb components*. We remove $(n+s+1)$ -*Reeb components* from the foliated 5-sphere. Then the resulting manifold is the foliated manifold with $(n+s+1)$ -copies of $S^1 \times S^3$ as a boundary. We denote it by $(B(n+s+1), \partial B(n+s+1))$. Let X be the manifold obtained by attaching, along the boundaries, a union of n -copies of $(B(1), \partial B(1))$, $(K(j), \partial K(j))$ and $\bigcup_{i=1}^s (M(n_i), \partial M(n_i))$ to $(B(n+s+1), \partial B(n+s+1))$. By using Van Kampen's theorem and Mayer Vietoris exact sequence, we can show $\pi_1(X)=0$, $H_2(X)=H_2(M)$, and $i(X)=j$. Therefore X is diffeomorphic to M by Proposition 1. Hence it follows that M admits a codimension 1 foliation. It is similar for the case $j = -1, \infty$.

References

- [1] N. A'Campo: Feuilletages de codimension 1 sur des variétés de dimension 5. C. R. Acad. Sci. Paris, **273**, 603–604 (1971).
- [2] D. Barden: Simply connected five manifolds. Ann. Math., **82**, 365–385 (1965).
- [3] H. B. Lawson: Codimension-one foliations of spheres. Ann. Math., **94**, 494–503 (1971).
- [4] S. Smale: On the structure of 5-manifold. Ann. Math., **75**, 38–46 (1965).
- [5] C. T. C. Wall: Diffeomorphisms of 4-manifolds. J. London Math. Soc., **39**, 131–140 (1964).