113. On Certain Diophantine Equations in Algebraic Number Fields

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1. Diophantine equations of the following type have been discussed by many authors.

Let K be an algebraic number field of some type (e.g. totally real, abelian over Q, or "almost real" cf. [3]), α , β given roots of unity, and m a given natural number. Find the solutions of the equation: (1) $\xi^m + \alpha = \eta, \quad \xi \in K(\beta + \beta^{-1}), \quad \eta \in U_{K(\beta)},$

where U_F will mean the group of units of the algebraic number field F. (Cf. [1]-[5]. E.g. it is shown in [3] that when K is almost real, $\alpha = \beta = -1$, $m \ge 3$, $\xi \in U_K$, then the only possible solutions are given by $\xi = a$ root of unity. This covers the results of [2], [5].)

We shall denote in the following the ring of integers of the field F by \mathcal{O}_F . p will mean an odd prime, and for any natural number n, ζ_n will mean a primitive n th root of unity.

Remark. From (1) follows immediately $\xi \in \mathcal{O}_{K(\beta+\beta^{-1})}$. In this note, we prove the following three theorems: Theorem A. Suppose K to be totally real and m=1 in (1).

(I) If $\alpha = \beta = \zeta_4$, then $\xi = 0$.

(II) If $\alpha = \beta = \zeta_p$, then $\xi = (\zeta_p^{c-1} - \zeta_p)/(1 - \zeta_p^c)$ with $c \in \{1, 2, \dots, p-1\}$.

(III) If $\alpha = \beta = \zeta_p$, K is moreover non-abelian and of prime degree over Q, then $\xi = 0$ or 1.

Remark. To Theorem A may be associated a problem posed by Julia Robinson, cited in [4], asking for possibilities of expressing 1 as the difference of two units in an algebraic number field.

Theorem B. Suppose K to be totally real, $m \ge 2$, $\alpha = \beta = 1$, $\eta \ne 1$. Then the only possible solutions of (1) are given by $\xi = a$ root of unity.

Theorem C. Suppose K/Q to be abelian, m=2, $\alpha=1$ and $\beta=\zeta_{4k}$ where k is an odd natural number ≥ 3 . Then the only solution of (1) is $\xi=0, \eta=1$.

2. Proof of Theorem A. Our equation is in this case $\xi + \alpha = \eta$, $\alpha = \beta = \zeta_4$ or ζ_p , $\xi \in K(\alpha + \alpha^{-1})$, $\eta \in U_{K(\alpha)}$. Notice first ξ should be $\in \mathcal{O}_{K(\alpha)}$ as α , $\eta \in \mathcal{O}_{K(\alpha)}$.

(I) Suppose $\xi \neq 0$. As $K(\zeta_4 + \zeta_4^{-1}) = K$ is totally real, all conjugates ξ' of ξ are real, and $|\xi' \pm \xi_4| > 1$, so that $\xi + \zeta_4$ can not be $\in U_{K(\zeta_4)}$.

(II) As $K(\zeta_p + \zeta_p^{-1})$ is totally real, $\xi \in K(\zeta_p + \zeta_p^{-1})$ and $\eta = \xi + \zeta_p \in U_{K(\zeta_p)}$, also the complex conjugate $\overline{\eta} = \xi + \zeta_p^{-1} \in U_{K(\zeta_p)}$, and $\eta \overline{\eta}^{-1} \in U_{K(\zeta_p)} \in \mathcal{O}_{K(\zeta_p)}$. We have $|\eta| = |\overline{\eta}|$, and it is easily seen that all conjugates $\eta \overline{\eta}^{-1}$ have the absolute value 1. Thus $\eta \overline{\eta}^{-1}$ is a root of unity in virtue of Kronecker's theorem. Put $\eta = \overline{\eta} \zeta_n^c$, with (n, c) = 1. Clearly $\zeta_n^c \neq 1$, and we have also $\zeta_n^c \neq -1$, because this would imply $-\xi = (\zeta_p + \zeta_p^{-1})/2$ which is not an integer. So we have $n \geq 3$. Put $n = n_0 p^{\nu}$, $(n_0, p) = 1$, $\nu \geq 0$, and examine different cases.

(a) $n_0=1$, $\nu \ge 2$. Raising the both sides of the equation

$$(\boldsymbol{\xi} + \boldsymbol{\zeta}_p) / (\boldsymbol{\xi} + \boldsymbol{\zeta}_p^{-1}) = \boldsymbol{\zeta}_{p^{\nu}}^c$$

to the *p* th power and then substracting 1, we see easily $\zeta_{p^{\nu-1}}^c - 1 \in \mathcal{PO}_{K(\zeta_p)}$, and so $\zeta_{p^{\nu-1}} - 1 \in \mathcal{PO}_{K(\zeta_p)}$ as (c, p) = 1, which implies $Q(\zeta_{p^{\nu-1}}) \subset K(\zeta_p)$. Using the fact $(p) = (\zeta_{p^{\nu-1}} - 1)^{\varphi(p^{\nu-1})}$ as ideal in $Q(\zeta_{p^{\nu-1}})$, we obtain $(\zeta_{p^{\nu-1}} - 1) \mathcal{O}_{Q(\zeta_p^{\nu-1})} = ((\zeta_{p^{\nu-1}} - 1) \mathcal{O}_{K(\zeta_p)}) \cap Q(\zeta_{p^{\nu-1}}) \subset (\mathcal{PO}_{K(\zeta_p)}) \cap Q(\zeta_{p^{\nu-1}})$ $= \mathcal{PO}_{Q(\zeta_p^{\nu-1})} = ((\zeta_{p^{\nu-1}} - 1)\mathcal{O}_{Q(\zeta_p^{\nu-1})})^{\varphi(p^{\nu-1})}.$

This shows the impossibility of this case, as $\varphi(p^{\nu-1}) \ge 2$ for $\nu \ge 2$.

(b) $n_0 \ge 2, \nu \ge 1$. Then we should have $(\zeta_p - \zeta_p^{-1})/(\xi + \xi_p^{-1}) = \zeta_{n_0 p^{\nu}}^c - 1$, (c, $n_0 p^{\nu}) = 1$. This is not possible, since $\zeta_{n_0 p^{\nu}} - 1$, $\xi + \zeta_p^{-1}$ are units and $\zeta_p - \zeta_p^{-1}$ is not a unit.

(c) $n_0 \ge 3, \nu = 0$. Then we get $(\zeta_p - 1)\mathcal{O}_{K(\zeta_p)} = (\zeta_{n_0} - 1)\mathcal{O}_{K(\zeta_p)}$ as in (b). This is not possible if $n_0 = 2^{\mu+1}$ or $n_0 = q^{\mu}$ ($\mu \ge 1$), where q is an odd prime different from p. If n_0 has distinct prime factors, then $\zeta_{n_0} - 1$ is a unit, so that $(\zeta_p - 1) \mathcal{O}_{K(\zeta_p)} = (\zeta_{n_0} - 1) \mathcal{O}_{K(\zeta_p)} = \mathcal{O}_{K(\zeta_p)}$, which is also a contradiction.

Thus only the case $n_0=1$, $\nu=1$ remains. Namely $(\xi+\zeta_p)/(\xi+\zeta_p^{-1}) = \zeta_p^c$, $c \in \{1, 2, \dots, p-1\}$, which yields $\xi = (\zeta_p^{c-1}-\zeta_p)/(1-\zeta_p^c)$ and $\xi \in K(\zeta_p+\zeta_p^{-1})$.

(III) In this case, we have $K \cap Q(\zeta_p) = Q$ for any odd prime p. If $c \ge 3$ in $\xi = (\zeta_p^{c-1} - \zeta_p)/(1 - \zeta_p^c)$, ξ must be a unit in $K \cap Q(\zeta_p) = Q$. Hence $\xi = \pm 1$. However $\xi \ne -1$, because $\zeta_p^c - \zeta_p^{c-1} + \zeta_p - 1 \ne 0$. Therefore we obtain $\xi = 1$. If c = 2, then we have $\xi = 0$. If c = 1, then we get $\xi = 1$.

Thus the proof of Theorem A is concluded.

3. Proof of Theorem B. Without loss of generality, we may assume that m is prime.

(i) Let m=p an odd prime. As $\eta = (\xi+1)(\xi+\zeta_p)\cdots(\xi+\zeta_p^{p-1})$ $\in U_{K(\zeta_p)}, \ \xi^p \in \mathcal{O}_{K(\zeta_p)}$ and $\xi \in K(\zeta_p+\zeta_p^{-1})$, we have $\xi \in \mathcal{O}_{K(\zeta_p+\zeta_p^{-1})}$ and $\xi+\zeta_p$ $\in U_{K(\zeta_p)}$. Then we have $\xi = (\zeta_p^{e-1}-\zeta_p)/(1-\zeta_p^e)$ with $e \in \{1, 2, \dots, p-1\}$ in virtue of (II) in Theorem A. If e=1, then $\xi=1$, in contradiction with $\eta = \xi^p + 1 \in U_{K(\zeta_p)}$. If e=2, then $\xi=0$. This also contradicts $\eta \neq 1$. If $e \geq 3$, then ξ is a unit in totally real algebraic number field $K(\zeta_p+\zeta_p^{-1})$, so we have immediately Theorem B from the cited Grossman's result [3]. M. WATABE

(ii) Consider now the case m=2. As $\eta = (\xi + \zeta_4)(\xi + \zeta_4^{-1}) \in U_{K(\zeta_4)}$, $\xi^2 \in \mathcal{O}_{K(\zeta_4)}$ and $\xi \in K = K(\zeta_4 + \zeta_4^{-1})$, we have $\xi \in \mathcal{O}_K$ and $\xi + \zeta_4 \in U_{K(\zeta_4)}$, so that $\xi = 0$ in virtue of (I) in Theorem A. This is a contradiction and the proof is completed.

4. Proof of Theorem C. As $\eta = (\xi + \zeta_4)(\xi + \zeta_4^{-1}) \in U_{K(\zeta_{4m})}, \ \delta = \xi + \zeta_4$ and its complex conjugate $\overline{\delta} = \xi + \zeta_4^{-1}$ are in $U_{K(\zeta_{4m})}$, so that $\overline{\delta}\delta^{-1} \in U_{K(\zeta_{4m})}$ $\subset \mathcal{O}_{K(\zeta_{4m})}$. Moreover, since $K(\zeta_{4m})$ is contained in some cyclotomic field, $\gamma = \overline{\delta}\delta^{-1}$ is a root of unity by a well known theorem. Then $(\gamma - 1)\xi$ $= -\zeta_4(\gamma + 1)$. It is clear that $\gamma \neq 1$. Hence $\xi = -(\gamma + 1)\zeta_4/(\gamma - 1)$. From $\xi + \zeta_4 = -2\zeta_4/(\gamma - 1) \in U_{K(\zeta_{4m})}$, we have

$$\pm 1 = N_{K(\zeta_{4m})}(\xi + \zeta_{4}) = N_{K(\zeta_{4m})}\left(-\frac{2\zeta_{4}}{\gamma - 1}\right).$$

This yields $\gamma = -1$, since γ is a root of unity. Thus we obtain $\xi = 0$, $\eta = 1$. The proof is completed.

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