

## 103. Zeros, Primes and Rationals

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§ 1. Introduction. The connections between the primes and the zeros of the Riemann zeta function  $\zeta(s)$  have been expressed in the explicit formulae since Riemann. It is Landau who showed some arithmetical connection between them; on the Riemann Hypothesis,

$$\lim_{T \rightarrow \infty} \frac{1}{T} \sum_{0 < \gamma < T} e^{i a \gamma} = \begin{cases} -\frac{\log p}{2\pi p^{k/2}} & \text{if } a = k \log p \\ 0 & \text{otherwise,} \end{cases}$$

where  $\gamma$  runs over the positive imaginary parts of the zeros of  $\zeta(s)$ ,  $p$  is a prime and  $k$  is an integer  $\geq 1$ . Here we remark the following arithmetical connection between the zeros and the rationals which we have remarked in [3] and [4].

**Theorem 1.** *Let  $\alpha$  be a positive number and  $b$  be a real number  $\leq 1$ . Then on the Riemann Hypothesis,*

$$\begin{aligned} \lim_{T \rightarrow \infty} \frac{1}{T} \sum_{2\pi\epsilon\alpha < \gamma \leq T} e^{i\gamma (\log(\gamma/2\pi\epsilon\alpha))^b} \\ = \begin{cases} -\frac{e^{i\pi/4}}{2\pi} C(\alpha) & \text{if } b=1 \text{ and } \alpha \text{ is rational} \\ 0 & \text{otherwise,} \end{cases} \end{aligned}$$

where  $C(\alpha) = \mu(k)/(\sqrt{\alpha}\varphi(k))$  with the Möbius function  $\mu(k)$  and the Euler function  $\varphi(k)$  when  $\alpha = l/k$ ,  $l$  and  $k$  are integers  $\geq 1$  and  $(l, k) = 1$ .

In fact, we have proved a theorem on  $\sum_{c < \gamma \leq T} e^{if(\gamma)}$  for more general  $f$  without assuming any unproved hypothesis and given a different proof to the author's previous result (cf. [2]) which states that  $f(\gamma)$  is uniformly distributed mod one, where  $f(\gamma)$  may be, for example,  $\gamma \log \gamma / \log \log \log \gamma$ ,  $\gamma (\log \gamma)^b$  with  $b < 1$  and  $\gamma$ . Landau's theorem and Theorem 1 can be extended to Dirichlet  $L$ -functions  $L(s, \chi)$  and these have also  $q$ -analogues (cf. [4]). We state here only a  $q$ -analogue of Theorem 1. Let  $\sum'_\chi$  denote the summation over all non-principal characters  $\chi \pmod{q}$ . We suppose, for simplicity, that  $q$  runs over the primes. Let  $\gamma(\chi)$  denote an imaginary part of the non-trivial zeros of  $L(s, \chi)$ . Then our  $q$ -analogue of Theorem 1 can be stated as follows.

**Theorem 2.** *Let  $\eta$  be an integer,  $\alpha$  be a positive number and  $b$  be a real number  $\leq 1$ . We assume the generalized Riemann Hypothesis and suppose that  $T = T(q)$  satisfies  $q^\nu (\log q)^B \ll T \ll q^A$ , where  $\nu$  is a constant depending on  $\eta$ ,  $B > B_0$  and  $A$  is an arbitrarily large constant.*

If  $b=1, \eta=1$  and  $\alpha$  is rational and  $=l/k, (l, k)=1, 1 \leq l, k$ , then for any  $r$  relatively prime to  $k$ ,

$$\lim_{\substack{q \rightarrow \infty \\ q \equiv r \pmod{k}}} \frac{1}{T\sqrt{q}} \sum'_x \sum_{(2\pi ea/q^\eta) < r(x) \leq T} e^{ir(x)(\log(r(x)q^\eta/2\pi ea))^b} = -\frac{e^{i\pi/4}}{2\pi} C(\alpha) e^{-2\pi i l r/k},$$

where  $r\bar{r} \equiv -1 \pmod{k}$  and  $C(\alpha)$  has the same meaning as in Theorem 1. Otherwise,

$$\lim_{q \rightarrow \infty} \frac{1}{T\sqrt{q}} \sum_x \sum_{(2\pi ea/q^\eta) < r(x) \leq T} e^{ir(x)(\log(r(x)q^\eta/2\pi ea))^b} = 0.$$

We have proved our theorems with the remainder terms and  $\nu$  in Theorem 2 may be taken as  $\text{Max}(5\eta+3, 4\eta+20, 2-\eta, (3\eta/2)+15)$  (cf. [3] and [4] for full details).

We remark next that a slight modification of the author's [1] gives the following arithmetical connection between the zeros and the primes.

**Theorem 3.** For any  $b > b_0$  and any relatively prime integers  $a$  and  $k \geq 1$ , there exists infinitely many primes which are congruent to  $a \pmod{k}$  and are of the form  $[\gamma \log \gamma / b \log \log \gamma]$ .

We remark that  $b \log \log \gamma$  in Theorem 3 can be replaced by  $\Phi(\gamma)$  if  $\Phi(x)$  satisfies the following conditions.  $\Phi(x)$  is a positive increasing function with continuous derivatives up to three times, satisfies  $\log \log x \ll \Phi(x) \ll \log x$  and  $\Phi(x^c) \cong \Phi(x)$  for any positive constant  $c$  and satisfies either

- 1)  $\Phi^{(j)}(x)/\Phi(x) = o(x^{-j}(\log x)^{-1})$  for  $j=1, 2, 3$ , or
- 2)  $\Phi^{(j)}(x)/\Phi(x) = a_j x^{-j}(\log x)^{-1} + x^{-j}(\log x)^{-1}(u(x))^{-1}(b_j + o(1))$   
for  $j=1, 2, 3$ ,

where  $u(x)$  is some positive increasing function which tends to  $\infty$  as  $x \rightarrow \infty$ , is  $\ll (\log x)^D$  for some positive constant  $D$  and satisfies  $u(x^c) \cong u(x)$  for any positive constant  $c, a_1 = -a_2 = a_3/2 \neq 0$  and if  $a_1 = 1$ , then we suppose further that  $2b_1 + b_2 \neq 0, 3b_2 + b_3 \neq 0$  and  $4b_1 + 5b_2 + b_3 \neq 0$ . We remark also that if we assume the Riemann Hypothesis,  $\Phi(x)$  need not be  $\gg \log \log x$  but must be  $\gg 1$  as in [1]. And that if  $\Phi(x) \gg \log x / \log \log \log x$ , then by Littlewood's theorem (cf. Theorem 9.12 of [12]) every sufficiently large integer can be written as  $[\gamma \log \gamma / \Phi(\gamma)]$ .

We shall prove our Theorem 3 in § 2.

**§ 2. Proof of Theorem 3.** The same analysis as [1] proves Theorem 3. So we remark only how to modify it. We suppose that  $X > X_0$  and  $1 \leq k \ll (\log X)^E$  with some positive constant  $E$ . We put  $f(x) = x \log x / \Phi(x)$  and  $h(x) = f^{-1}(x)$  for  $X > X_0$ , where  $\Phi(x)$  satisfies the conditions in the introduction. Since  $[f(\gamma)] = p$  if and only if  $p \leq f(\gamma) < p+1$ , we shall estimate

$$S \equiv \sum_p |\{\gamma : h(p) \leq \gamma < h(p+1)\}|,$$

where  $p$  runs over the primes which are in  $X/2 < p < X$  and  $\equiv a \pmod{k}$ .

$$S = \frac{1}{\varphi(k)} \int_{x/2}^x \frac{L(h(u+1)) - L(h(u))}{\log u} du + O(Xe^{-c\sqrt{\log X}}) + \sum_p (S(h(p+1)) - S(h(p))).$$

where  $L(t) = (t/2\pi) \log t - ((1 + \log 2\pi)/2\pi)t$ ,  $S(t) = (1/\pi) \arg \zeta(1/2 + it)$  as usual,  $C$  is some positive constant and we have used the Riemann-von Mangoldt formula and the prime number theorem. We put  $y = X^{1/d}$  with  $d = b \log \log X$ ,  $b > b_0$  and use Selberg's explicit formula for  $S(t)$  (cf. p. 125 of [10]). Then the estimation of the last sum in  $S$  is reduced to the estimates of the following type of sums.

$$S_1 = \sum_p e^{ih(p)B}, \quad S_2 = \sum_p \left| \sum_{r < y^3} \frac{a(r)r^{-ih(p)}}{\sqrt{r}} \right|^2, \\ S_3 = \sum_p \left| \sum_{r < y^{3/2}} \frac{a'(r)r^{-i2h(p)}}{r} \right|^2 \quad \text{and} \\ S_4 = \sum_p (\sigma_{y,h(p)} - 1/2)^2 \xi^{\sigma_{y,h(p)} - 1/2},$$

where  $B \neq 0$ ,  $r$  runs over the primes,  $a(r) \ll \log r / \log y$  for  $r < y^3$ ,  $a'(r) \ll 1$  for  $r < y^{3/2}$ ,  $1 \leq \xi \leq y^2$ ,  $y^3 \xi^2 \ll (h(X))^{1/8}$  and  $\sigma_{y,t} = 1/2 + 2 \text{Max}_\rho (\beta - 1/2, 2/\log y)$ ,  $\rho$  running over the zeros  $\beta + i\gamma$  of  $\zeta(s)$  for which  $|t - \gamma| \leq y^{3(\beta - 1/2)} / \log y$ . We remark that  $h''(x) \sim -\Phi^2(h(x))(h(x))^{-1}(\log h(x))^{-3}A_1$  and  $h'''(x) \sim \Phi^3(h(x))(h(x))^{-2}(\log h(x))^{-4}A_2$ , where  $A_1 = A_2 = 1$  if  $\Phi(x)$  satisfies 1) and  $A_1 = 1 - a_1 - (2b_1 + b_2)(u(h(x)))^{-1}$  and  $A_2 = 1 - a_1 + (3b_2 + b_3)(u(h(x)))^{-1}$  if  $\Phi(x)$  satisfies 2). Consequently, the analysis in pp. 118-122 of [1] gives us

$$S_1 \ll X^\delta (|B|^{1/6} + |B|^{-1/2}),$$

where  $\delta$  denotes some positive number  $< 1$ .  $S_2$  and  $S_3$  can be estimated as in p. 123 of [1], and we get

$$S_2, S_3 \ll X/\varphi(k) \log X.$$

Now we estimate  $S_4$ .

$$S_4 = \frac{X}{\varphi(k)(\log X)(\log y)^2} + \sum'_p (\sigma_{y,h(p)} - 1/2)^2 \xi^{\sigma_{y,h(p)} - 1/2},$$

where the dash indicates that we sum over all  $p$ 's which satisfy  $\sigma_{y,h(p)} - 1/2 > 4/\log y$ ,  $X/2 < p < X$  and  $p \equiv a \pmod{k}$ . The last sum is

$$\ll \sum''_\rho (\beta - 1/2)^2 \xi^{2(\beta - 1/2)} \left\{ X/2 < p < X; p \equiv a \pmod{k}, |h(p) - \gamma| \leq \frac{y^{3(\beta - 1/2)}}{\log y} \right\} \\ \ll \sum''_\rho (\beta - 1/2)^2 (y^3 \xi^2)^{(\beta - 1/2)} (\log X) / (k\Phi(X) \log y) + \sum''_\rho (\beta - 1/2)^2 \xi^{2(\beta - 1/2)} \\ = S_5 (\log X) / (k\Phi(X) \log y) + S_6,$$

say, where the double dash indicates that we sum over all  $\rho = \beta + i\gamma$  for which  $\beta > 1/2 + 2/\log y$  and  $1 \ll \gamma \ll h(X)$ .

$$S_5 = \sum''_\rho \left( \int_{1/2}^{1/2 + 2/\log y} + \int_{1/2 + 2/\log y}^\infty \right) ((\log(y^3 \xi^2)(\sigma - 1/2))^2 + 2(\sigma - 1/2)(y^3 \xi^2)^{(\sigma - 1/2)}) d\sigma \\ \ll (\log y)^{-2} \{ \beta + i\gamma; \beta > 1/2 + 2/\log y, 1 \ll \gamma \ll h(X) \}$$

$$\begin{aligned}
& + h(X) \log h(X) \int_{1/2+2/\log y}^{\infty} ((\log(y^3 \xi^2))^{\sigma-1/2})^2 \\
& + 2(\sigma-1/2)h(X)^{-1/8(\sigma-1/2)} d\sigma \\
& \ll h(X)(\log X)(\log y)^{-2} e^{-\Delta/8}
\end{aligned}$$

by Selberg's density estimate near  $\sigma=1/2$  (cf. Theorem 1 of [10]). In the same way, we get the estimate of  $S_5$  and get

$$S_4 \ll X(\varphi(k)(\log X)(\log y)^2)^{-1} + \left( \frac{\log X}{k\Phi(X) \log y} + 1 \right) \frac{h(X)e^{-\Delta/8} \log X}{(\log y)^2}.$$

Consequently, we get

$$\sum_p S(h(p)), \quad \sum_p S(h(p+1)) \ll \frac{X \log \log X}{\varphi(k) \log X}.$$

Hence we get

$$S = \frac{1}{\varphi(k)} \int_{x/2}^x \frac{L(h(u+1)) - L(h(u))}{\log u} du + O\left( \frac{X \log \log X}{\varphi(k) \log X} \right).$$

This is  $\gg X\Phi(X)/(\varphi(k) \log X)$  if  $\Phi(X) \gg \log \log X$ .

Q.E.D.

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