

55. Tuboids of C^n with Cone Property and Domains of Holomorphy

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Abstract: Let X be a C^∞ -manifold, M a closed submanifold, Ω an open set of M . We introduce in §1 a class of domains U of X called Ω -tuboids. They coincide with the original ones by [2] apart from an additional assumption, of cone type, at $\partial\Omega$. In §2 we take a complex of sheaves \mathcal{F} on X and denote by $\mu_\Omega(\mathcal{F})$ the microlocalization of \mathcal{F} along Ω . We take a closed convex proper cone λ of T_M^*X and describe the stalk of $R\pi_*R\Gamma_{\lambda, \mu_\Omega(\mathcal{F})}T_M^*X$ by means of cohomology groups of \mathcal{F} over Ω -tuboids U with profile $\gamma = \text{int } \lambda^{\text{oa}}$. In §3 we take $X = C^n$, $M = R^n$, Ω open convex in M and prove that in the class of Ω -tuboids with a prescribed profile there is a fundamental system of domains of holomorphy. By this tool we prove in §4 a decomposition theorem for the microsupport at the boundary SS_ρ by Schapira [9] (cf. also [5]).

§1. Let X be a C^∞ manifold, M a closed submanifold, let $\tau: TX \rightarrow X$ (resp $\pi: T^*X \rightarrow X$) be the tangent (resp cotangent) bundle to X , and let $\tau: T_M X \rightarrow M$ (resp $\pi: T_M^* X \rightarrow M$) be the normal (resp conormal) bundle to M in X . We note that we have an embedding $\iota: TM \hookrightarrow M \times_X TX$ and a projection $\sigma: M \times_X TX \rightarrow T_M X$. For a subset A of X (resp of M) we shall define the strict normal cone of A in X (resp M) by $N^X(A) = TX \setminus C(X \setminus A, A)$ (resp $N^M(A) = TM \setminus C(M \setminus A, A)$) where $C(\cdot, \cdot)$ is the closed cone of TX defined in [6]. If no confusion may arise, we shall omit the superscripts X and M . Let Ω be an open set of M and x_0 a point of $\partial\Omega$. We shall assume

$$(1.1) \quad N_{x_0}^M(\Omega) \neq \emptyset.$$

Let γ be an open convex cone of $\bar{\Omega} \times_M T_M X$ with $\tau(\gamma) \supset \bar{\Omega}$.

Definition 1.1. A domain $U \subset X$ is said to be an Ω -tuboid with profile γ when

$$(1.2) \quad \sigma(M \times_X TX \setminus C(X \setminus U, \bar{\Omega})) \supset \gamma.$$

One proves that $\theta \in T_{x_0} X \setminus C_{x_0}(X \setminus U, \bar{\Omega})$ iff for a choice of local coordinates there exists a neighborhood V of x_0 and an open cone G containing θ s.t. $((\bar{\Omega} \cap V) + G) \cap V \subset U$. In particular:

$$TX \setminus C(X \setminus U, \bar{\Omega}) = (TX \setminus C(X \setminus U, \bar{\Omega})) + N(\Omega).$$

Lemma 1.2. Let (1.2) hold. Then there exists an open convex cone $\beta \subset \bar{\Omega} \times_X TX$:

$$(1.3) \quad \beta \subset TX \setminus C(X \setminus U, \bar{\Omega}), \quad \beta = \beta + N(\Omega), \quad \sigma(\beta) \supset \gamma.$$

Proof. For a choice of coordinates on X we identify

$$(1.4) \quad M \times_X TX \cong TM \oplus_M T_M X \ni (t, x + \sqrt{-1}y).$$

Let $\theta \in N_t(\Omega)$, $|\theta|=1$ and let $\gamma' \subset \subset \gamma$ (in the sense that $\overline{\gamma'/R^+} \subset \subset \gamma$). Owing to (1.2) we then have for suitable ε

$$(1.5) \quad T_t X \setminus C_t(X \setminus U, \bar{\Omega}) \supset R^+(\theta + (\gamma'_t)_\varepsilon) \forall t,$$

(where $(\gamma'_t)_\varepsilon = \{y \in \gamma'_t : |y| < \varepsilon\}$). One may find an open cone $\beta \subset TX$ with convex fibers such that $\forall t$:

$$\beta_t \subset T_t X \setminus C_t(X \setminus U, \bar{\Omega}), \quad C_\theta(\beta_t, \{\theta\}) \supset \gamma_t.$$

In particular $\sigma(B_t) \supset \gamma_t$. If we replace β by $\beta + N(\Omega)$ we get the conclusion.

Let $\gamma \subset T_M X$, $\beta \subset M \times_X TX$, $\alpha \subset TM$ be open (convex) cones with $\beta = \beta + \alpha$. Then

Lemma 1.3. *We have*

$$(1.6) \quad \sigma(\beta) \supset \gamma \Leftrightarrow \forall \gamma' \subset \subset \gamma \exists \beta' \text{ open convex:} \\ \beta' \supset \alpha \text{ and } \beta \supset \beta' \cap \sigma^{-1}(\gamma').$$

Proof. In the coordinates of (1.4) and for $\theta \in N_t(\Omega)$, $|\theta|=1$, we have:

$$(1.7) \quad \beta_t \supset R^+(\theta + (\gamma'_t)_\varepsilon) + \alpha_t \\ \supset \sigma^{-1}(\gamma'_t) \cap \{x + \sqrt{-1}y; x \in \alpha_t, |y| < \varepsilon' \text{ dist}(x, \partial\alpha_t)\} = \sigma^{-1}(\gamma'_t) \cap \beta'_t$$

Proposition 1.4. *Condition (1.2) is equivalent, for a choice of coordinates $x + \sqrt{-1}y \in X \cong T_M X$ to:*

$$(1.8) \quad U \supset \{x + \sqrt{-1}y \in \Omega \times_M \gamma' : |y| < \varepsilon \delta_x\} \forall \gamma' \subset \subset \gamma \text{ and for suitable } \varepsilon \\ (\text{where } \delta_x = \text{dist}(x, \partial\Omega) \wedge 1).$$

Proof. The proof just consists in rephrasing Lemma 1.3 with $\alpha = N(\Omega)$.

§ 2. Let X be a C^∞ -manifold of dimension n , M a closed submanifold of X of codimension l , and let $TM \xrightarrow{\iota} M \times_X TX \xrightarrow{\sigma} T_M X$ and $T^*M \xrightarrow{\rho} M \times_X T^*X \xrightarrow{\bar{\omega}} T^*X$ be the natural mappings. We shall consider the families of open convex cones $\gamma \subset T_M X$ (or $\alpha \subset TM$ or $\beta \subset TX$) and closed convex proper cones $\lambda \subset T_M^* X$ (or $\nu \subset T^*M$ or $\mu \subset T^*X$). They are related by $\lambda = \gamma^\circ$ (or $\nu = \alpha^\circ$, $\mu = \beta^\circ$), where γ° (α° , β°) denote the polar cone to γ (α , β). It is immediate to prove that:

$$(2.1) \quad \sigma(\beta) \supset \gamma \Leftrightarrow \mu \cap T_M^* X \subset \lambda \\ \bar{\beta} \supset \alpha \Leftrightarrow \mu \subset \rho^{-1}(\nu).$$

One also sees that if $\rho(\beta)$ is proper, then

$$(2.2) \quad \mu \text{ proper} \Leftrightarrow \mu \cap T_M^* X \text{ proper} \\ \text{c.h.}(\mu) \cap T_M^* X = \text{c.h.}(\mu \cap T_M^* X),$$

where ‘‘c.h.’’ denotes the convex hull. We denote by $D^b(X)$ the derived category of the category of complexes of sheaves with bounded cohomology. For $\mathcal{F} \in \text{Ob } D^b(X)$ and for $\Omega \subset M$ open, we put $\mu_\Omega(\mathcal{F}) = \mu \text{ hom}(Z_\Omega, \mathcal{F})$ (where $\mu \text{ hom}(\cdot, \cdot)$ is the bifunctor of [6, 7]) and call it the microlocalization of \mathcal{F} along Ω . Let $x_0 \in \partial\Omega$.

Theorem 2.1. *Assume that $N_{x_0}^m(\Omega) \neq \emptyset$, let λ be a closed convex proper cone of $T_M^* X$ containing $\bar{\Omega} \times_X T_X^* X$ at x_0 . Then*

$$(2.3) \quad \mathcal{H}_\lambda^i(\mu_\Omega(\mathcal{F})_{T_M^* X})_{x_0} = \varinjlim_{U, B} H^{j-l}(U \cap B, \mathcal{F})$$

where U (resp B) ranges through the family of tuboids with profile $\gamma = \text{int } \lambda^{\circ\alpha}$ (resp open neighborhoods of x_0).

Proof (cf. also [11]). Let us denote by μ the cones of T^*X with $\rho(\mu) \subset N^o(\Omega)$ and $\mu \cap T_M^*X \subset \lambda$; by (2.2) it is not restrictive to assume the μ 's to be proper and convex. Let $q_j: X \times X \rightarrow X$, $j=1, 2$ be the projections, let $s: X \times X \rightarrow X$, $(x, y) \mapsto x - y$ and let Δ be the diagonal of $X \times X$. We have:

$$(2.4) \quad H_i^j(T_M^*X, \mu_\rho(\mathcal{F})_{T_M^*X}) = \varinjlim_\mu H_i^j(T^*X, \mu_\rho(\mathcal{F})) \\ = \varinjlim_W H^{j-n} \mathbf{R}\mathcal{H}om_{\mathbf{Z}_X}(\mathbf{R}_{q_{11}} \mathbf{Z}_{W \cap (X \times \Omega)}, \mathcal{F}),$$

for W verifying $T_\Delta(X \times X) \setminus C_\Delta((X \times X) \setminus W) \supset \text{int } \mu^{oa}$, in the identification $T_\Delta(X \times X) \xrightarrow{s'} TX$. (cf. 6, Proposition 2.3.2] as for the latter equality.)

But for a fundamental system of neighborhoods B of x_0 , we have:

$$(2.5) \quad \mathbf{R}_{q_{11}} \mathbf{Z}_{W \cap (X \times \Omega)}|_B = \mathbf{Z}_{q_{11}(W \cap (X \times \Omega))}[-\dim M]|_B.$$

If we assume (2.5) the conclusion is immediate since the sets $q_1(W \cap (X \times \Omega))$ are a fundamental system of Ω -tuboids with profile $\text{int } \lambda^{oa}$ (cf. [11, Lemma 1.2 and 1.3]). Let us prove (2.5). We identify $T_x X \cong X$ and $M \times_x TX \cong T_M X \oplus TM$ (for a choice of a projection $X \rightarrow M$). Let $F \subset \subset \mu_x^a$, $N \subset \subset N_x^M(\Omega)$ $\forall x$ close to x_0 and put $G = F + N$, $G_\varepsilon = G \cap \{g \in G: \langle g, \theta \rangle < \varepsilon\}$ where θ is a fixed vector of $N_{x_0}^M(\Omega)$. We shall prove (2.5) with W replaced by $s'^{-1}(G_\varepsilon)$. In fact set $A_x = q_1^{-1}(x) \cap s'^{-1}(G_\varepsilon) \cap (X \times \Omega)$. Let L_ε be the plane through $x_0 + \varepsilon\theta$ with conormal θ and L_ε^- the half-space with exterior conormal θ and boundary L_ε . Then for suitable B and $\forall x \in B$, we see that A_x is an open connected set which verifies:

$$\begin{cases} (y+N) \cap L_\varepsilon^- \subset A_x & \forall y \in A_x \\ (y+N) \cap (z+N) \cap L_\varepsilon^- \neq \emptyset & \forall y, z \in A_x, \end{cases}$$

(for a new ε'). Hence A_x is contractile and $\mathbf{R}\Gamma_c(A_x, \mathbf{Z}_M) = \mathbf{Z}[\dim M]$.

Remark 2.2. If Ω is convex in $M \cong \mathbf{R}^n$, then we get a "global" version of Theorem 2.1: $H_i^j(T_M^*X, \mu_\rho(\mathcal{F})_{T_M^*X}) = \varinjlim_U H^{j-i}(U, \mathcal{F})$.

§ 3. We shall extend here the results of [2]. Let $C^n = \mathbf{R}^n + \sqrt{-1}\mathbf{R}^n_y$, let $\pi = \pi_x$ be the first projection $C^n \rightarrow \mathbf{R}^n_x$, let $\hat{\mathbf{R}}^n = \mathbf{R}^n \setminus \{0\}$, and set $S^{n-1} = \hat{\mathbf{R}}^n / \mathbf{R}^+$. We shall call (convex) cone of C^n any subset γ of C^n with (convex) conic π -fibers. For cones γ, γ' of C^n , we write $\gamma' \subset \subset \gamma$ when $\bar{\gamma}' \cap (\mathbf{R}^n_x + \sqrt{-1}\mathbf{S}_y^{n-1})$ is compact in γ . Let Ω be an open set of \mathbf{R}^n , and γ an open convex cone of $\bar{\Omega} \times_M T_M X$. We shall assume all through this section that Ω is convex. For $x \in \Omega$, we set $\delta_x \stackrel{\text{def.}}{=} \text{dist}(x, \partial\Omega) \wedge 1$ and $\gamma_\rho \stackrel{\text{def.}}{=} \gamma \cap \pi^{-1}(\Omega)$. We recall from § 1 that a domain U is an Ω -tuboid with profile γ , when $\forall \gamma' \subset \subset \gamma \exists \varepsilon: U \supset \{x + \sqrt{-1}y \in \gamma'_\rho, |y| < \varepsilon\delta_x\}$. We shall also assume without loss of generality that $U \subset \gamma_\rho$ in what follows. Note that if $\pi(\gamma) \subset \Omega$, then our definition coincides with the original one by [2].

Lemma 3.1. *Let $U' \subset U$ be Ω -tuboids with profiles $\gamma' \subset \subset \gamma$, and set $W' = \pi(\gamma')$, $W = \pi(\gamma)$. Assume that U' has convex fibers, that $\bar{U}' \setminus \bar{\Omega} \subset U$, and that*

(3.1) *For a finite open covering $\bigcup_j V^j \supset \partial\Omega \cap W'$, for open truncated cones G^j and H^j with $G^j \subset \subset H^j$, we have*

$$U_{V^j} \subset \bigcup_{x \in \partial \cap V^j} x + \sqrt{-1} G'_{\delta_x} \subset \bigcup_{x \in \partial \cap V^j} x + \sqrt{-1} H'_{\delta_x} \subset U.$$

Then for any γ'' with $\gamma' \subset \subset \gamma'' \subset \subset \gamma$ there exists an Ω -tuboid U'' with profile γ'' such that: U'' has convex fibers; $U \subset U''$ and $\bar{U}'' \setminus \bar{\Omega} \subset U$; (3.1) holds for new V''^j, G''^j, H''^j .

Proof. Set

$$U'' = \bigcup_{x \in \partial \cup W''} x + \sqrt{-1} c.h. (U'_x \cup (\gamma''_{\delta_x})).$$

According to [2], U'' satisfies all requirements except over a neighborhood of $\partial \Omega$. We decompose such a neighborhood as $\bigcup_j V^j$ with $V = V^j$ satisfying $V \subset \subset W, \gamma''_V \subset V + \sqrt{-1} F'' \subset \subset \gamma$. (Observe that here the F 's (resp. G 's) are cones (resp truncated cones).) We assume also (3.1) to be satisfied by the V 's such that $V \cap \bar{W}' \neq \emptyset$ (and neglect the other V 's). We have

$$U''_V \subset \bigcup_{V \cap \partial} x + \sqrt{-1} c.h. (G'_{\delta_x} \cup F''_{\delta_x}).$$

Since $\bigcap_x c.h. (G' \cup F'') = \bar{G}'$, then $\forall \kappa_1$ and for suitable κ and J' with $G' \subset \subset J' \subset \subset H'$, we have that $c.h. (G' \cup F''_{\kappa_1}) \subset J' \cup F''_{\kappa_1}$. Let $F \supset \supset F''$ with $V + \sqrt{-1} F \subset \subset \gamma$; since U has profile γ , then $U \supset \supset \bigcup_{\partial \cap V} x + \sqrt{-1} F_{\delta_x}$. Thus if we take $\kappa_1 < \kappa_2$ and set $G'' = J' \cup F''_{\kappa_1}, H'' = H' \cup F_{\kappa_2}$, we get

$$\bar{U}''_V \setminus \bar{V} \subset \bigcup_{\partial \cap V} x + \sqrt{-1} G''_{\delta_x} \subset \bigcup_{\partial \cap V} x + \sqrt{-1} H''_{\delta_x} \subset U.$$

Reasoning by induction one immediately obtains from Lemma 3.1.

Proposition 3.2. Any Ω -tuboid with profile γ contains an Ω -tuboid with the same profile γ and with convex fibers.

Let G be an open convex set of \mathbf{R}^n contained in $\{y : |y| < 1/2\}$ and with $0 \in \bar{G}$. Let $S^{n-1} = \{\eta \in \mathbf{R}^n : |\eta| = 1\}$ and define $\sigma_{\eta\sigma} = \sup_{\sigma \in G} \langle \sigma, -\eta \rangle$. We also write $\sigma_\eta = \sigma_{\eta\sigma}$ and define $\widehat{G} = \bigcap_{\eta \in S^{n-1}} \{y : \langle y, \eta \rangle + \sigma_\eta - |y + \sigma_\eta \eta|^2 > 0\}$ (cf. [2]). Clearly

$$(3.2) \quad \widehat{G} \subset G, \quad \text{and} \quad C(\widehat{G}, \{0\}) = C(G, \{0\}).$$

Let Ω be an open convex set of \mathbf{R}^n_x and γ an open convex cone of $\bar{\Omega} + \sqrt{-1} \mathbf{R}^n_y$.

Theorem 3.3. Let U be an Ω -tuboid with profile γ . Then U contains an Ω -tuboid with the same profile γ which is in addition a domain of holomorphy.

Proof. It is not restrictive to assume that U has convex fibers and that $U \subset \{x + \sqrt{-1}y : x \in \Omega, |y| < \varepsilon \delta_x\}$, ε small. Let Ω be defined by $\phi(x) < 0$ for $-\phi$ being a convex function; clearly $-\phi(x)$ is equivalent to δ_x over $K \cap \Omega$ ($K \subset \subset \mathbf{R}^n$). We also remark that $\mathbf{C}^n \rightarrow \mathbf{R}, z \mapsto -\phi(\text{Re } z)$ is plurisubharmonic. For $a \in \Omega \cap \pi(\gamma)$ and $\eta \in S^{n-1}$, we write $\sigma_{\eta a} = \sigma_{\eta u_a}$ ($= \sup_{y \in u_a} \langle y, -\eta \rangle$), and let $\psi_{a\eta}(x, y) \stackrel{\text{def.}}{=} \langle y, \eta \rangle + \sigma_{a\eta}(\phi(x)/\phi(a)) - |y + \sigma_{a\eta} \eta|^2 + |x - a|^2$. We define

$$(3.3) \quad U' = \{x + \sqrt{-1}y : x \in \Omega \cap \pi(\gamma) \text{ and } \psi_{a\eta}(x, y) > 0 \forall a \in \Omega \cap \pi(\gamma), \text{ and } \forall \eta \in S^{n-1}\}.$$

Clearly $U'_x \subset \widehat{U}_x \subset U_x \forall x$. Moreover:

$$(3.4) \quad \{x + \sqrt{-1}y : \psi_{a\eta}(x, y) > 0\} \\ \supset \left\{ x + \sqrt{-1}y : |y| < \left(|x - a|^2 + \left(\sigma_{a\eta} \frac{\phi(x)}{\phi(a)} - \sigma_{a\eta}^2 \right) + \frac{1}{4} \right)^{1/2} - \frac{1}{2} \right\}.$$

By (3.4) one proves as in [2] that U' is an open domain. It remains to prove that it is an Ω -tuboid of profile γ . Let $W' + G' \subset \subset \gamma$. Fix $x_0 \in W' \subset \subset W'$, fix $\varepsilon > 0$ and define U'_1 (resp U'_2 , resp U'_3) by adding in the definition (3.3) for U' the condition $a \in W'$ and $(\phi(x_0)/\phi(a)^2) \geq \varepsilon$, (resp $a \in W'$ and $(\phi(x_0)/\phi(a)) < \varepsilon$, resp $a \notin W'$); hence $U' = U'_1 \cup U'_2 \cup U'_3$. By the second of (3.2) one gets $(U'_1)_{x_0} \supset G'_{\varepsilon \delta x_0}$ for suitable κ independent of $x_0 \in W''$. One also easily sees that $(U'_2)_{x_0} \supset S_{\varepsilon \delta x_0}^{n-1}$, and $(U'_3)_{x_0} \supset S_{\varepsilon d^2}^{n-1}$ for $d = \text{dist}(\partial W', \partial W'')$. The conclusion follows.

§ 4. Let M be a C^ω -manifold of dimension n , X a complexification of M , Ω an open C^ω -convex subset of M . Let \mathcal{O}_X be the sheaf of holomorphic functions on X , and $\text{or}_{M/X}$ the sheaf of relative orientation of M in X . We shall deal with the complex by Schapira (see also [5]) of microfunctions at the boundary

$$(4.1) \quad C_{\partial_1 X} = \mu \text{ hom} (Z_\partial, \mathcal{O}_X) \otimes \text{or}_{M/X}[n].$$

Let $x \in \partial\Omega$, let λ be a closed convex proper cone of $\bar{\Omega} \times_M T_M^* X$ such that $\pi(\lambda)$ is a neighborhood of x in $\bar{\Omega}$ and set $\gamma = \text{int } \lambda^{\text{ca}}$. The results of § 2 and 3 give

Theorem 4.1. *We have*

$$(4.2) \quad \mathcal{H}_i^*((C_{\partial_1 X})_{T_M^* X})_x = \begin{cases} 0 & \text{for } i \neq 0 \\ \varinjlim_U \Gamma(U, \mathcal{O}_X) & \text{for } i = 0, \end{cases}$$

where U ranges through the family of Ω -tuboids of holomorphy of X with profile γ .

We assume now that

$$(4.3) \quad (C_{\partial_1 X})_{T_M^* X} \text{ is concentrated in degree } 0$$

(cf. [9] and [3] for sufficient condition for (4.3) to hold). Let \mathcal{B}_M be the sheaf of hyperfunctions on M , let $\iota: \Omega \hookrightarrow M$ be the embedding, and let $\Gamma_\partial(\mathcal{B}_M) \stackrel{\text{def.}}{=} \iota_* \iota^{-1}(\mathcal{B}_M)$. We recall that $\pi_*((C_{\partial_1 X})_{T_M^* X}) = \Gamma_\partial(\mathcal{B}_M)$. We also recall that for $f \in \Gamma_\partial(\mathcal{B}_M)$ the microsupport at the boundary $SS_\partial(f)$ is the support of f identified to a section of $(C_{\partial_1 X})_{T_M^* X}$. We then get

Proposition 4.2. *Let $f \in \Gamma_\partial(\mathcal{B}_M)_x$ and let $\lambda_j, j=1, \dots, s$ be a family of closed convex proper cones with $\bigcup_{j=1}^s \lambda_j \supset SS_\partial(f)$ and with $\pi(\lambda_j)$ being a neighborhood of x in $\bar{\Omega} \forall j$. Then we may find $f_j \in \Gamma_\partial(\mathcal{B}_M)_x, j=1, \dots, s$ such that $f = \sum_{j=1}^s f_j$ and $SS_\partial(f_j) \subset \lambda_j$.*

Proof. One sees that the property: $\mathcal{H}_i^*((C_{\partial_1 X})_{T_M^* X})_x = 0 \forall i \geq 1$, proved in Theorem 4.1, is stable under finite intersection and finite union of λ 's. (The first is trivial while the second is an easy application of the Mayer-Vietoris long exact sequence.) The conclusion follows at once.

Some decomposition theorem of the above type was already stated, in a different frame in [8].

Corollary 4.3. *Let $f \in \Gamma_\partial(\mathcal{B}_M)_x$ and let $p \in T_M^* X, \pi(p) = x$. Then $p \in SS_\partial(f)$ if and only if f is a finite sum of boundary values of holomorphic functions $F_j \in \mathcal{O}_X(U_j)$ with the U_j 's being Ω -tuboids whose profiles γ_j verify $\gamma_j^{\text{ca}} \ni p$.*

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