# CLASS NUMBER PARITY OF A QUADRATIC TWIST OF A CYCLOTOMIC FIELD OF PRIME POWER CONDUCTOR

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## **Abstract**

Let p be a fixed odd prime number and  $K_n$  the  $p^{n+1}$ -st cyclotomic field. For a fixed integer  $d \in \mathbb{Z}$  with  $\sqrt{d} \notin K_0$ , denote by  $L_n$  the imaginary quadratic subextension of the biquadratic extension  $K_n(\sqrt{d})/K_n^+$  with  $L_n \neq K_n$ . Let  $h_n^*$  and  $h_n^-$  be the relative class numbers of  $K_n$  and  $L_n$ , respectively. We give an explicit constant  $n_d$  depending on p and d such that (i) for any integer  $n \geq n_d$ , the ratio  $h_n^-/h_{n-1}^-$  is odd if and only if  $h_n^*/h_{n-1}^*$  is odd and (ii) for  $1 \leq n < n_d$ ,  $h_n^-/h_{n-1}^-$  is even.

## 1. Introduction

Let p be a fixed odd prime number. Let  $K_n = Q(\zeta_{p^{n+1}})$  be the  $p^{n+1}$ -st cyclotomic field for an integer  $n \geq 0$ , and  $K_{\infty} = \bigcup_{n} K_{n}$ . Let  $d \in \mathbb{Z}$  be a fixed integer with  $\sqrt{d} \notin K_0$ . We denote by  $L_n$  the imaginary quadratic subextension of the biquadratic extension  $K_n(\sqrt{d})/K_n^+$  with  $L_n \neq K_n$ . Here,  $K^+$  denotes the maximal real subfield of an imaginary abelian field K. When d < 0, we have  $L_n = K_n^+(\sqrt{d})$ . We call  $L_n$  the quadratic twist of  $K_n$  associated to the integer d. The extension  $L_{\infty} = \bigcup_n L_n$  is the cyclotomic  $\mathbb{Z}_p$ -extension over  $L_0$  with the *n*-th layer  $L_n$ . We call  $L_{\infty}/L_0$  the quadratic twist of the cyclotomic  $\mathbb{Z}_p$ -extension  $K_{\infty}/K_0$  associated to d. Let  $h_n^*$  and  $h_n^-$  be the relative class numbers of  $K_n$  and  $L_n$ , respectively. It is known and easy to show that  $h_{n-1}^*$  (resp.  $h_{n-1}^-$ ) divides  $h_n^*$  (resp.  $h_n^-$ ) using class field theory. The parity of  $h_0^*$ behaves rather irregularly when p varies (see a table in Schoof [6]). However, it is recently shown that when  $p \le 509$ , the ratio  $h_n^*/h_{n-1}^*$  is odd for all  $n \ge 1$  ([3, Theorem 2]). And it might be possible that the ratio is odd for any prime p and any  $n \ge 1$ . The purpose of this paper is to study the parity of the ratio  $h_n^-/h_{n-1}^-$  of the quadratic twist  $L_n$ . We already know that  $h_n^-/h_{n-1}^-$  is odd for sufficiently large n by a theorem of Washington [8] on the non-p-part of the class number in a cyclotomic  $\mathbf{Z}_p$ -extension. Denote by  $S = S_d$  the set of prime numbers  $l \neq p$  which ramify in  $Q(\sqrt{d})/Q$ . The set S is non-empty as  $\sqrt{d} \notin K_0$ . We define an integer  $n_d \ge 1$  by

$$n_d = \max\{ \text{ord}_p(l^{p-1} - 1) \mid l \in S \},$$

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where  $\operatorname{ord}_p(*)$  is the normalized *p*-adic additive valuation. The following is the main theorem of this paper.

**Theorem 1.** Under the above setting, the following assertions hold.

- (I) When  $n \ge n_d$ , the ratio  $h_n^-/h_{n-1}^-$  is odd if and only if  $h_n^*/h_{n-1}^*$  is odd.
- (II) When  $n_d \ge 2$  and  $1 \le n < n_d$ , the ratio  $h_n^-/h_{n-1}^-$  is even.

From Theorem 1 and [3, Theorem 2], we immediately obtain the following:

**Corollary 1.** Under the above setting, let p be an odd prime number with  $p \le 509$ . Then the ratio  $h_n^-/h_{n,-1}^-$  is odd for all  $n \ge n_d$ .

This corollary, though given in a very special setting, is an explicit version of the above mentioned theorem of Washington. In [4], we showed Theorem 1 when d = -1 and  $L_n = K_n^+(\sqrt{-1})$  using some results of cyclotomic Iwasawa theory. In this paper, we prove Theorem 1 by using a main theorem of Conner and Hurrelbrink [1, Theorem 2.3].

REMARK. When  $p \equiv 1 \mod 4$  (resp.  $p \equiv 3 \mod 4$ ), we can show that two integers  $d_1$  and  $d_2$  give the same twist  $L_{\infty}/L_0$  of  $K_{\infty}/K_0$  if and only if  $d_2 = d_1x^2$  or  $d_2 = pd_1x^2$  (resp.  $d_2 = -pd_1x^2$ ) for some  $x \in \mathbf{Q}^{\times}$ . Hence, the set  $S_d$  and the integer  $n_d$  depend only on the twist  $L_{\infty}/L_0$  and not on the choice of d.

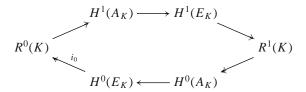
# 2. Exact hexagon of Conner and Hurrelbrink

In this section, we recall the exact hexagon of Conner and Hurrelbrink. Let k be an imaginary abelian field with 2-power degree, and F a real abelian field with  $2 \nmid [F:Q]$ . We put K = kF, and

$$G = \operatorname{Gal}(K/k) = \operatorname{Gal}(K^+/k^+) = \operatorname{Gal}(F/\mathbf{0}).$$

For a number field N, let  $A_N$  be the 2-part of the ideal class group of N,  $\mathcal{O}_N$  the ring of integers, and  $E_N = \mathcal{O}_N^{\times}$  the group of units of N. The groups  $A_K$  and  $E_K$  are naturally regarded as modules over  $\operatorname{Gal}(K/K^+)$  and at the same time as those over G. For a  $\operatorname{Gal}(K/K^+)$ -module X, denote by  $H^i(X) = H^i(K/K^+; X)$  the Tate cohomology group with i = 0, 1. When  $X = A_K$  or  $E_K$ , the group  $H^i(X)$  is also regarded as G-modules. In [1, Theorem 2.3], Conner and Hurrelbrink introduced the following exact hexagon

of G-modules to study the 2-part of the class number of a relative quadratic extension.



Here,  $R^i(K)$  is a certain G-module associated to  $K/K^+$  defined in [1]. We describe the G-module structure of  $R^i(K)$  following [1]. Let  $T_f$  be the set of prime ideals  $\wp$  of  $k^+$  for which a prime ideal  $\mathfrak P$  of  $K^+$  over  $\wp$  ramifies in K. Let  $T_\infty$  be the set of infinite prime divisors of  $k^+$ . We put  $T = T_f \cup T_\infty$ . For each  $v \in T$ , let  $G_v \subseteq G$  be the decomposition group of v at  $K^+/k^+$ . When v is an infinite prime, the group  $G_v$  is trivial. We define G-modules  $\Omega_f$  and  $\Omega_\infty$  by

$$\Omega_f = igoplus_{\wp \in T_f} F_2[G/G_\wp] \quad ext{and} \quad \Omega_\infty = igoplus_{v \in T_\infty} F_2[G/G_v] = igoplus_{v \in T_\infty} F_2[G],$$

respectively, where  $F_2 = \mathbb{Z}/2\mathbb{Z}$  is the finite field with two elements. (When  $T_f$  is empty,  $\Omega_f = \{0\}$  by definition.) For each prime divisor w of  $K^+$  with the restriction  $w_{|k^+} \in T$  and an element  $x \in (K^+)^\times$ , we put  $\iota_w(x) = 0$  or 1 according as  $x \in N(K_w^\times)$  or not. Here,  $K_w$  is the completion of K at the unique prime divisor of K over W and  $N = N_{K/K^+}$  is the norm map. For  $g \in G$  and  $x \in (K^+)^\times$ , we see that

$$\iota_{w^g}(x) = \iota_w(x^{g^{-1}})$$

by local class field theory. For a prime ideal  $\mathfrak P$  of  $K^+$  with  $\mathfrak P \cap k^+ \in T_f$ , let  $\tilde{\mathfrak P}$  be the unique prime ideal of K over  $\mathfrak P$ . For an ideal  $\mathfrak A$  of K, writing  $\mathfrak A = \tilde{\mathfrak P}^*\mathfrak B$  with an integer e and an ideal  $\mathfrak B$  relatively prime to  $\tilde{\mathfrak P}$ , we put  $\mathrm{ord}_{\mathfrak P}(\mathfrak A) = e$ .

We denote by I(K) the group of (fractional) ideals of K. Let X be the subgroup of I(K) consisting of ideals  $\mathfrak A$  with  $\mathfrak A^J=\mathfrak A$ . Here, J is the complex conjugation acting on several objects associated to K. Let  $X_0$  be the subgroup of X consisting of ideals  $\mathfrak A \in I(K)$  with  $\mathfrak A = x\mathfrak B^{1+J}$  for some  $x \in (K^+)^\times$  and  $\mathfrak B \in I(K)$ . The G-module  $R^1(K)$  is isomorphic to the quotient  $X/X_0$ . For this, see the lines 1–2 from the bottom of  $\mathfrak B$ . From the argument in [1, §5], we obtain the following isomorphism of G-modules:

(2) 
$$R^{1}(K) \cong \Omega_{f}; \quad \mathfrak{A}X_{0} \to \bigoplus_{\wp \in T_{f}} \left( \sum_{\bar{g}} \operatorname{ord}_{\mathfrak{P}^{g}}(\mathfrak{A})\bar{g} \right),$$

where  $\bar{g}$  (with  $g \in G$ ) runs over the quotient  $G/G_{\wp}$ .

Let Y be the subgroup of the multiplicative group  $(K^+)^{\times} \times I(K)$  consisting of pairs  $(x,\mathfrak{A})$  with  $x\mathfrak{A}^{1+J} = \mathcal{O}_K$ . Let  $Y_0$  be the subgroup of Y consisting of pairs  $(N(y), y^{-1}\mathfrak{B}^{1-J})$  with  $y \in K^{\times}$  and  $\mathfrak{B} \in I(K)$ . By definition,  $R^0(K) = Y/Y_0$ . We denote by  $[x,\mathfrak{A}] \in R^0(K)$  the class containing  $(x,\mathfrak{A})$ . The map  $i_0$  in the hexagon is defined by

$$i_0: H^0(E_K) = E_{K^+}/N(E_K) \to R^0(K); \quad [\epsilon] \to [\epsilon, \mathcal{O}_K]$$

with  $\epsilon \in E_{K^+}$ . For each  $v \in T_{\infty}$ , we fix a prime divisor  $\tilde{v}$  of  $K^+$  over v. Using (1), we observe that the homomorphisms

$$\alpha_{\infty} \colon (K^{+})^{\times} \to \Omega_{\infty}; \quad x \to \bigoplus_{v \in T_{\infty}} \left( \sum_{g \in G} \iota_{\tilde{v}^{g}}(x)g \right)$$

and

$$\alpha_f \colon (K^+)^{\times} \to \Omega_f \,; \quad x \to \bigoplus_{\wp \in T_f} \left( \sum_{\bar{g}} \iota_{\mathfrak{P}^g}(x) \bar{g} \right)$$

are compatible with the action of G. Further,  $\alpha_{\infty}$  is nothing but the "sign" map. From the argument in [1, §4], we obtain the following exact sequence of G-modules:

(3) 
$$\{0\} \to R^0(K) \xrightarrow{\alpha} \Omega_f \oplus \Omega_\infty \xrightarrow{\beta} F_2 \to \{0\}.$$

Here,  $\alpha$  is defined by  $\alpha([x, \mathfrak{A}]) = (\alpha_f(x), \alpha_\infty(x))$ ,  $\beta$  is the argumentation map and G acts trivially on  $F_2$ .

## 3. Consequences

In this section, we derive some consequences of the exact hexagon and (2), (3). All of them are G-decomposed versions of the corresponding results in [1]. We work under the setting of Section 2. Denote by  $\tilde{A}_{K^+}$  the 2-part of the narrow class group of  $K^+$ . Letting  $K^+_{>0}$  be the group of totally positive elements of  $K^+$ , we have an exact sequence

(4) 
$$\{0\} \to (K^+)^{\times}/(K_{>0}^+ E_{K^+}) \to \tilde{A}_{K^+} \to A_{K^+} \to \{0\}$$

of G-modules. We define the minus class group  $A_K^-$  to be the kernel of the norm map  $A_K \to A_{K^+}$ . Let  $\chi$  be a  $\bar{Q}_2$ -valued character of  $G = \operatorname{Gal}(K/k) = \operatorname{Gal}(F/Q)$ , which we also regard as a primitive Dirichlet character. For a module M over  $\mathbb{Z}_2[G]$ , we denote by  $M(\chi)$  the  $\chi$ -part of M. Here,  $\mathbb{Z}_2$  is the ring of 2-adic integers and  $\bar{Q}_2$  is a fixed algebraic closure of the 2-adic rationals  $Q_2$ . (For the definition of the  $\chi$ -part and some of its properties, see Tsuji [7, §2].) Denote by  $S_K$  the set of prime numbers lying

below some prime ideal in  $T_f$ . In all what follows, we assume that  $\chi$  is a *nontrivial* character. The following is a version of [1, Theorem 13.8].

**Theorem 2.** Under the above setting, the groups  $H^i(K/K^+; A_K)(\chi)$  with i = 0 and 1 are trivial if and only if

- (i)  $\chi(l) \neq 1$  for all  $l \in S_K$  and
- (ii)  $|\tilde{A}_{K^+}(\chi)| = |A_{K^+}(\chi)|$ .

The following corollary is a version of [1, Corollary 13.10] and Hasse [2, Satz 45].

**Corollary 2.** Under the above setting, the group  $A_K^-(\chi)$  is trivial if and only if

- (i)  $\chi(l) \neq 1$  for all  $l \in S_K$  and
- (ii)  $\tilde{A}_{K^+}(\chi)$  is trivial.

Let  $\tilde{h}_M$  be the class number in the narrow sense of a number field M. When M is an imaginary abelian field, let  $h_M^-$  be the relative class number of M. We can easily show that  $h_k^-$  (resp.  $\tilde{h}_{k^+}$ ) divides  $h_K^-$  (resp.  $\tilde{h}_{K^+}$ ) using class field theory. The following is an immediate consequence of Corollary 2.

**Corollary 3.** Under the above setting, the ratio  $h_K^-/h_k^-$  is odd if and only if

- (i) no prime number l in  $S_K$  splits in F and
- (ii)  $\tilde{h}_{K^+}/\tilde{h}_{k^+}$  is odd.

To prove these assertions, we prepare the following two lemmas. For a number field L, let  $\mu(L)$  be the group of roots of unity in L and  $\mu_2(L)$  the 2-part of  $\mu(L)$ .

**Lemma 1.** The group  $H^1(K/K^+; E_K)(\chi)$  is trivial.

Proof. Let  ${}_N E_K$  be the group of units  $\epsilon \in E_K$  with  $N(\epsilon) = \epsilon^{1+J} = 1$ . We have  $N(\epsilon) = 1$  if and only if  $\epsilon \in \mu(K)$  by a theorem on units of a CM-field (cf. Washington [9, Theorem 4.12]). Since  $\mu(K)^2 = \mu(K)^{1-J} \subseteq E_K^{1-J}$ , we obtain a surjection

$$\mu(K)/\mu(K)^2 \to H^1(K/K^+; E_K) = {}_N E_K/E_K^{1-J}$$

of G-modules. However, as [K:k] is odd, we have

$$\mu(K)/\mu(K)^2 = \mu_2(K)/\mu_2(K)^2 = \mu_2(k)/\mu_2(k)^2.$$

Since  $\chi$  is nontrivial, the  $\chi$ -part  $(\mu_2(k)/\mu_2(k)^2)(\chi)$  is trivial. Hence, we obtain the assertion.

**Lemma 2.** The natural map  $A_{K^+}(\chi) \to A_K(\chi)$  is injective.

Proof. Denote the natural map  $A_{K^+} \to A_K$  by  $\iota$ . Let  $\mathfrak A$  be an ideal of  $K^+$  with the class  $[\mathfrak A] \in \ker \iota$ . Then  $\mathfrak A \mathcal O_K = x \mathcal O_K$  for some  $x \in K^\times$ . We see that  $\epsilon = x^{1-J}$  is a unit of K with  $N(\epsilon) = 1$ . It is known that the map

$$\ker \iota \to H^1(K/K^+; E_K); [\mathfrak{A}] \to x^{1-J}E_K^{1-J}$$

is an injective *G*-homomorphism ([1, Theorem 7.1]). Then, from Lemma 1, we see that the  $\chi$ -part (ker  $\iota$ )( $\chi$ ) is trivial, from which we obtain the assertion.

Proof of Theorem 2. Let  $\wp$  be a prime ideal in  $T_f$ , and  $l = \wp \cap Q \in S_K$ . We see that the  $\chi$ -part  $F_2[G/G_\wp](\chi) \neq \{0\}$  if and only if  $\chi$  factors through  $G/G_\wp$ , which is equivalent to  $\chi(G_\wp) = \{1\}$ . Since  $[k^+ : Q]$  is a 2-power and [F : Q] is odd, we have  $\chi(G_\wp) = \{1\}$  if and only if  $\chi(l) = 1$ . Hence, we have shown that the condition (i) in Theorem 2 is equivalent to the condition  $\Omega_f(\chi) = \{0\}$ . By the hexagon and Lemma 1, we see that  $H^0(A_K)(\chi)$  and  $H^1(A_K)(\chi)$  are trivial if and only if (iii)  $R^1(K)(\chi) = \{0\}$  and (iv) the map

$$i_0: H^0(E_K)(\chi) = (E_{K^+}/N(E_K))(\chi) \to R^0(K)(\chi)$$

is an isomorphism. By (2) and the above, the condition (iii) is equivalent to (i). Under the condition (i), we see that  $R^0(K)(\chi) = \Omega_\infty(\chi)$  from the exact sequence (3), and that for each class  $[\epsilon] \in H^0(E_K)(\chi)$  with  $\epsilon \in E_{K^+}$ , we have  $i_0([\epsilon]) = \alpha_\infty(\epsilon)$  from the definitions of the maps  $i_0$  and  $\alpha$ . Further, the 2-rank of  $\Omega_\infty(\chi)$  is larger than or equal to that of  $H^0(E_K)(\chi)$  by a theorem of Minkowski on units of a Galois extension (cf. Narkiewicz [5, Theorem 3.26]). Therefore, under (i), we observe that the condition (iv) holds if and only if  $\alpha_\infty(E_{K^+})(\chi) = \Omega_\infty(\chi)$ . We see that the last condition is equivalent to the condition (ii) in Theorem 2 because of the exact sequence (4) and  $\alpha_\infty((K^+)^\times)(\chi) = \Omega_\infty(\chi)$ . Therefore, we obtain Theorem 2.

Proof of Corollary 2. First, we show the "only if" part assuming that  $A_K^-(\chi)$  is trivial. By Lemma 2, we can regard  $A_{K^+}(\chi)$  as a subgroup of  $A_K(\chi)$ . Assume that  $A_{K^+}(\chi)$  is nontrivial. Then there exists a class  $c \in A_{K^+}(\chi)$  of order 2. We have  $c^J = c = c^{-1}$ , and hence  $c \in A_K^-(\chi)$ . It follows that  $A_K^-(\chi)$  is nontrivial, a contradiction. Hence,  $A_{K^+}(\chi) = \{0\}$ . It follows that  $A_K^-(\chi)$  is trivial by the exact sequence

$$\{0\} \to A_K^-(\chi) \to A_K(\chi) \xrightarrow{1+J} A_{K^+}(\chi) \to \{0\}.$$

Therefore, the "only if" part follows from Theorem 2. Next, assume that the conditions (i) and (ii) in Corollary 2 are satisfied. Then,  $A_{K^+}(\chi) = \{0\}$ , and the groups  $H^i(A_K)(\chi)$  (i = 0, 1) are trivial by Theorem 2. As the cohomology groups are trivial, we obtain an exact sequence

$$\{0\} \to A_{K^+}(\chi) \hookrightarrow A_K(\chi) \xrightarrow{1-J} A_K^{1-J}(\chi) = A_K^-(\chi) \to \{0\}.$$

Since  $A_{K^+}(\chi) = \{0\}$ , we see that  $A_K(\chi) = A_K^-(\chi)$ , and

$$A_K^-(\chi) = A_K^-(\chi)^{1-J} = A_K^-(\chi)^2$$

from the above exact sequence. Therefore,  $A_K^-(\chi)$  is trivial.

## 4. Proof of Theorem 1

We use the same notation as in Section 1. In particular,  $d \in \mathbb{Z}$  is a fixed integer with  $\sqrt{d} \notin K_0$  and  $L_n$  is the quadratic twist of  $K_n$  associated to d. We have  $L_n^+ = K_n^+$ . Let k (resp.  $k_d$ ) be the maximal intermediate field of  $K_0/Q$  (resp.  $L_0/Q$ ) of 2-power degree, and let  $F_0$  be the maximal subfield of  $K_0^+ = L_0^+$  of odd degree over Q. Then k and  $k_d$  are imaginary abelian fields with  $k^+ = k_d^+$ . Let  $B_n/Q$  be the real abelian field with conductor  $p^{n+1}$  and  $[B_n:Q]=p^n$ . We put  $F_n=F_0B_n$ . Then  $L_n=k_dF_n$  and  $K_n=kF_n$ . The triples  $(k_d,F_n,L_n)$  and  $(k,F_n,K_n)$  correspond to (k,F,K) in Sections 2 and 3. We see that

$$(5) S_{L_n} = S_d or S_d \cup \{p\}$$

and  $S_{K_n} = \{p\}$ . We put

$$G_n = \operatorname{Gal}(F_n/\mathbb{Q}) = \operatorname{Gal}(L_n/k_d) = \operatorname{Gal}(K_n/k),$$

and

$$\Delta = \operatorname{Gal}(F_0/Q), \quad \Gamma_n = \operatorname{Gal}(F_n/F_0) = \operatorname{Gal}(B_n/Q).$$

Then we have a natural decomposition  $G_n = \Delta \times \Gamma_n$ . For characters  $\varphi$  and  $\psi$  of  $\Delta$  and  $\Gamma_n$  respectively, we regard  $\varphi \psi = \varphi \times \psi$  as a character of  $G_n$ . Further, we regard  $\varphi$ ,  $\psi$  and  $\varphi \psi$  also as primitive Dirichlet characters. The class groups  $A_{L_n}^-$ ,  $A_{K_n}^-$  and  $\tilde{A}_{K_n^+}^+$  are modules over  $G_n$ . We can naturally regard  $A_{L_{n-1}}^-$  as a subgroup of  $A_{L_n}^-$  since  $L_n/L_{n-1}$  is a cyclic extension of degree  $p \neq 2$  and  $A_{L_{n-1}}^-$  is the 2-part of the class group. Actually, it is a direct summand of  $A_{L_n}^-$  (cf. [9, Lemma 16.15]). We see that

(6) 
$$A_{L_n}^{-}/A_{L_{n-1}}^{-} = \bigoplus_{\varphi,\psi_n} A_{L_n}^{-}(\varphi\psi_n)$$

where  $\varphi$  (resp.  $\psi_n$ ) runs over a complete set of representatives of the  $Q_2$ -conjugacy classes of the  $\bar{Q}_2$ -valued characters of  $\Delta$  (resp.  $\Gamma_n$  of order  $p^n$ ). Regarding  $A_{K_{n-1}}^-$  as a subgroup of  $A_{K_n}^-$ , we have a similar decomposition for  $A_{K_n}^-/A_{K_{n-1}}^-$ . As  $S_{K_n} = \{p\}$  and  $(\varphi\psi_n)(p) = 0$ , we obtain the following assertion from Corollary 2 for the triple  $(k, F_n, K_n)$ .

**Lemma 3.** Let  $n \ge 1$  be an integer, and the characters  $\varphi$  and  $\psi_n$  be as in (6). Then  $A_{K_n}^-(\varphi\psi_n) = \{0\}$  if and only if  $\tilde{A}_{K_n^+}(\varphi\psi_n) = \{0\}$ .

Proof of Theorem 1 (I). Let  $\varphi$  and  $\psi_n$  be as in (6). As the orders of  $\varphi$  and  $\psi_n$  are relatively prime to each other, we have  $(\varphi\psi_n)(l)=1$  if and only if  $\varphi(l)=\psi_n(l)=1$  for a prime number l. Let n be an integer with  $n\geq n_d$ . Then we have  $\psi_n(l)\neq 1$  and hence  $(\varphi\psi_n)(l)\neq 1$  for all prime numbers  $l\in S=S_d$ . Further, we have  $(\varphi\psi_n)(p)=0$ . Hence, by (5), the condition (i) in Corollary 2 for the triple  $(k_d,F_n,L_n)$  is satisfied. It follows that the condition  $A_{L_n}^-(\varphi\psi_n)=\{0\}$  is equivalent to  $\tilde{A}_{K_n^+}(\varphi\psi_n)=\{0\}$ . (Note that  $L_n^+=K_n^+$ .) Therefore, we obtain Theorem 1(I) from Lemma 3.

To show Theorem 1 (II), assume that  $n_d \ge 2$  and let n be an integer with  $1 \le n < n_d$ . We put

$$S^{(n)} = \{l \in S = S_d \mid \operatorname{ord}_p(l^{p-1} - 1) \ge n + 1\}.$$

From the definition, we see that

$$S \supseteq S^{(1)} \supseteq S^{(2)} \supseteq \cdots \supseteq S^{(n_d-1)}$$

and that each  $S^{(n)}$  is non-empty. Let  $\varphi$  (resp.  $\psi_n$ ) be a  $\bar{Q}_2$ -valued character of  $\Delta$  (resp. of  $\Gamma_n$  of order  $p^n$ ). Denote by  $\varphi_0$  the trivial character of  $\Delta$ . Theorem 1 (II) is a consequence of the following assertion.

**Proposition 1.** Under the above setting, the following hold.

- (I) The class group  $A_{L_n}^-(\varphi \psi_n)$  is nontrivial if  $\varphi(l) = 1$  for some  $l \in S^{(n)}$ . In particular,  $A_{L_n}^-(\varphi_0\psi_n)$  is nontrivial.
- (II) If  $A_{K_n}^-(\varphi \psi_n) = \{0\}$ , the converse of the first assertion of (I) holds.

Proof. Applying Corollary 2 for the triple  $(k_d, F_n, L_n)$ , we see from Lemma 3 that  $A_{L_n}^-(\varphi\psi_n)=\{0\}$  if and only if (i)  $(\varphi\psi_n)(l)\neq 1$  for all  $l\in S=S_d$  and (ii)  $A_{K_n}^-(\varphi\psi_n)=\{0\}$ . We have  $\psi_n(l)=1$  for  $l\in S^{(n)}$ , and  $\psi_n(l)\neq 1$  for  $l\in S\setminus S^{(n)}$ . Therefore, we see that the condition (i) is satisfied if and only if  $\varphi(l)\neq 1$  for all  $l\in S^{(n)}$  noting that the orders of  $\varphi$  and  $\psi_n$  are relatively prime. From this, we obtain the proposition.

We put  $M_n = K_n(\sqrt{d}) = K_n L_n$ . On the relative class number  $h_{M_n}^-$  of  $M_n$ , the following assertion holds.

**Proposition 2.** (I) When  $n \ge n_d$ , the ratio  $h_{M_n}^-/h_{M_{n-1}}^-$  is odd if and only if  $h_n^*/h_{n-1}^*$  is odd.

(II) When  $n_d \ge 2$  and  $1 \le n < n_d$ ,  $h_{M_n}^-/h_{M_{n-1}}^-$  is even.

To prove this proposition, we need to show the following lemma. For an imaginary abelian field N, we put

$$\mathcal{E}_N = E_N/\mu(N)E_{N^+}$$
.

It is well known that the unit index  $Q_N = |\mathcal{E}_N|$  is 1 or 2 ([9, Theorem 4.12]).

**Lemma 4.** Let T and N be imaginary abelian fields with  $N \subseteq T$ . If the degree [T:N] is odd, then  $Q_T = Q_N$ .

Proof. We first show that the inclusion map  $N \to T$  induces an injection  $\mathcal{E}_N \hookrightarrow \mathcal{E}_T$ . For a unit  $\epsilon$  of N, assume that  $\epsilon = \zeta \eta$  for some  $\zeta \in \mu(T)$  and  $\eta \in E_{T^+}$ . Let  $\rho$  be a nontrivial element of the Galois group  $G = \operatorname{Gal}(T/N)$ . Then, as  $\epsilon = \epsilon^{\rho}$ , we see that  $\zeta^{1-\rho} = \eta^{\rho-1} \in \mu(T) \cap E_{T^+}$ . Hence,  $\zeta^{1-\rho} = \pm 1$ . However, as  $N_{T/N}(\zeta^{1-\rho}) = 1$  and [T:N] is odd, the case  $\zeta^{1-\rho} = -1$  does not happen. Hence,  $\zeta^{1-\rho} = 1$  for all  $\rho \in G$ . It follows that  $\zeta \in \mu(N)$  and hence  $\eta \in E_{N^+}$ . Therefore, we can regard  $\mathcal{E}_N$  as a subgroup of  $\mathcal{E}_T$ . In particular,  $Q_N$  divides  $Q_T$ .

Assume that  $Q_N \neq Q_T$ . Then we have  $|\mathcal{E}_T| = |\mathcal{E}_T/\mathcal{E}_N| = 2$ . Regarding  $\mathcal{E}_T$  as a module over G, we have a canonical decomposition

$$\mathcal{E}_T = \mathcal{E}_T/\mathcal{E}_N = \bigoplus_{\chi} \mathcal{E}_T(\chi)$$

where  $\chi$  runs over a complete set of representatives of the  $Q_2$ -conjugacy classes of the *nontrivial*  $\bar{Q}_2$ -valued characters of G. Hence,  $|\mathcal{E}_T(\chi)| = 2$  for some such  $\chi$ . Let  $\mathbf{Z}_2[\chi]$  be the subring of  $\bar{Q}_2$  generated by the values of  $\chi$  over  $\mathbf{Z}_2$ . The group  $\mathcal{E}_T(\chi)$  is naturally regarded as a module over the principal ideal domain  $\mathbf{Z}_2[\chi]$ . Since the order of  $\chi$  is odd and  $\geq 3$ , we observe that  $\mathbf{Z}_2[\chi] \cong \mathbf{Z}_2^d$  as  $\mathbf{Z}_2$ -modules for some  $d \geq 2$ . Hence,  $|\mathcal{E}_n(\chi)|$  is a multiple of  $2^d$ , which contradicts  $|\mathcal{E}_n(\chi)| = 2$ . Therefore, we obtain  $Q_N = Q_T$ .

Proof of Proposition 2. By Lemma 4, we have  $Q_{M_n} = Q_{M_{n-1}}$  and  $Q_{L_n} = Q_{L_{n-1}}$  for all  $n \ge 1$ . Therefore, using the class number formula [9, Theorem 4.17], we see that

$$h_{M_n}^-/h_{M_{n-1}}^- = p \prod_{\varpi} \prod_{\psi_n} \left( -\frac{1}{2} B_{1,\varpi\,\psi_n} \right)$$

where  $\varpi$  runs over the odd Dirichlet characters associated to  $M_0$ , and  $\psi_n$  over the even characters of conductor  $p^{n+1}$  and order  $p^n$ . Further,  $B_{1,\varpi\psi_n}$  denotes the generalized Bernoulli number. We easily see that  $\varpi$  equals an odd Dirichlet character associated to  $K_0$  or  $L_0$  since  $M_0/K_0^+$  is an imaginary biquadratic extension with the imaginary quadratic subextensions  $K_0$  and  $L_0$ . Hence, using the class number formulas for  $L_n$ ,  $K_n$  and  $Q_{L_n} = Q_{L_{n-1}}$ , we obtain

$$h_{M_n}^-/h_{M_{n-1}}^- = h_n^*/h_{n-1}^* \times h_n^-/h_{n-1}^-.$$

Therefore, the assertion follows from Theorem 1.

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