

ON THE COEFFICIENT RING OF A TORUS EXTENSION

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Introduction. S. Abhyankar, W. Heinzer and P. Eakin treated the following problem in [1]; if $A[X]=B[Y]$, when is A isomorphic or identical to B ? Replacing the polynomial ring by the torus extension we shall take up the following problem; if $A[X, X^{-1}]=B[Y, Y^{-1}]$, when is A isomorphic or identical to B ? We say that A is torus invariant (resp. strongly torus invariant) whenever $A[X, X^{-1}]=B[Y, Y^{-1}]$ implies $A \cong B$ (resp. $A=B$). The roles played by polynomial rings in [1] are played by the graded rings in our theory. A graded ring $A=\sum A_i$, $i \in \mathbb{Z}$, with the property that $A_i \neq 0$ for each $i \in \mathbb{Z}$, will be called a \mathbb{Z} -graded ring. Main results are the followings.

An affine domain A of dimension one over a field k is always torus invariant. Moreover A is not strongly torus invariant if and only if A has a graded ring structure. An affine domain of dimension two is not always torus invariant. We shall construct an affine domain of dimension two which is not torus invariant. Let A be an affine domain over k of dimension two. Assume that the field k contains all roots of "unity" and is of characteristic zero. If A is not torus invariant, then A is a \mathbb{Z} -graded ring such that there exist invertible elements of non-zero degree.

In Section 1 we study elementary properties of graded rings. Especially we are interested in \mathbb{Z} -graded rings with invertible elements of non-zero degree. In Section 2 we discuss some conditions for A to be torus invariant. In Section 3 we give several sufficient conditions for an integral domain to be strongly torus invariant. Some relevant results will be found in S. Iitaka and T. Fujita [2]. Section 4 is devoted to the proof of the main results mentioned above. In Section 5 we fix an integral domain D and we treat only D -algebras and D -isomorphisms there. We shall prove the following two results. When A is a D -algebra of $\text{tr. deg}_D A=1$ and A is not D -torus invariant, A is a \mathbb{Z} -graded ring such that D is contained in A_0 . If A is a \mathbb{Z} -graded ring such as $D=A_0$, then the number of elements of the set of $\{D\text{-isomorphic classes of } D\text{-algebras } B \text{ such that } A[X, X^{-1}]=B[Y, Y^{-1}]\}$ is $\Phi(d)$, where d is the smallest positive integer among the degrees of units in A and Φ is the Euler function.

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1. Some properties of graded rings

Let R be commutative ring with identity. The ring R is said to be a graded ring if R is a graded module, $R = \sum R_i$, and $R_n R_m \subseteq R_{n+m}$.

Lemma 1.1. *Let R be a graded domain. Then we have the following.*

- (1) *The unity element of R is homogeneous.*
- (2) *If a is homogeneous and $a=bc$, then b and c are both homogeneous. In particular every invertible element is homogeneous.*
- (3) *If R contains a field k , then k is a subring of R_0 .*

Proof. (1) follows immediately from the relation $1^2=1$. The proof of (2) is easy and will be omitted. To prove (3) we can assume k is different from F_2 by (1). Let a be an element of k different from 1. Then $1-a$ is homogeneous from (2). The unity 1 is homogeneous of degree 0 by (1). Hence a should be homogeneous of degree 0.

We call a graded ring $R = \sum R_i$ to be a \mathbb{Z} -graded ring if $R_i \neq 0$, for some $i \in \mathbb{Z}^+$ and \mathbb{Z}^- .

Proposition 1.2. *Let R be a \mathbb{Z} -graded domain. Let $S = \{i \in \mathbb{Z}; R_i \neq 0\}$. Then $S = n\mathbb{Z}$ for a certain integer n .*

Proof. Since R is a domain, S is a semi-group. Hence (1.2) is immediately seen by the following lemma.

Lemma 1.3. *Let $S \subseteq \mathbb{Z}$ be a semi-group. If $S \cap \mathbb{Z}^+ \neq 0$ and $S \cap \mathbb{Z}^- \neq 0$, then S is a subgroup of \mathbb{Z} .*

If R is a \mathbb{Z} -graded domain, then we may assume $R_i \neq 0$ for any $i \in \mathbb{Z}$.

Proposition 1.4. *Let R be a graded ring. If there is an invertible element x in R_1 , then $R = R_0[x, x^{-1}]$.*

Proof. For any $r \in R_n$, $r = r(x^{-1}x)^n = rx^{-n}x^n$ and rx^{-n} is in R_0 , therefore $r \in R_0x^n$. Hence $R = R_0[x, x^{-1}]$.

Corollary. *Let R be a \mathbb{Z} -graded domain. If R_0 is a field, so $R = R_0[x, x^{-1}]$ for every $x \in R_1$, $x \neq 0$.*

Proof. Choose non-zero elements $x \in R_1$, and $y \in R_1$. Since R_0 is a field, $0 \neq xy$ is invertible, therefore x and y are units in R , hence $R = R_0[x, x^{-1}]$.

2. Torus invariant rings

A ring A is said to be torus invariant provided that A has the following property:

If there exist a ring B , a variable Y over B , and a variable X over A such that $A[X, X^{-1}]$ is isomorphic to $B[Y, Y^{-1}]$,

$$\Phi: A[X, X^{-1}] \rightarrow B[Y, Y^{-1}],$$

then A is always isomorphic to B .

Especially if we have always $\Phi(A)=B$ in such case, we say that the ring A is strongly torus invariant.

To show A is torus invariant (resp. strongly torus invariant) it suffices to prove that A is isomorphic to B (resp. $A=B$) under the assumption: $A[X, X^{-1}]=B[Y, Y^{-1}]$.

(2.0) We begin with some elementary observations. Assume that

$$(1) \quad R = A[X, X^{-1}] = B[Y, Y^{-1}].$$

Then X and Y are units of R . It follows from (1.1) that we have

$$(2) \quad X = vY^f \text{ and } Y = uX^{f'}, v \in B \text{ and } u \in A,$$

or equivalently

$$(3) \quad v = u^{-f}X^{1-ff'} \text{ and } u = v^{-f'}Y^{1-ff'}.$$

In the rest of our paper we shall use the letters u and v to denote the elements of A and B respectively satisfying the relations (2) and (3) whenever we encounter the situation (1).

(2.1) The element u is in B if and only if $ff'=1$. In this case we have $A[X, X^{-1}]=B[X, X^{-1}]$, thus we have $A \cong B$.

Proof is easy and is omitted.

Proposition 2.2. *Let k be a field and A be a k -algebra. If A^* (the set of all invertible elements in A)= k^* , then the ring A is torus invariant.*

Proof. Let $R=A[X, X^{-1}]=B[Y, Y^{-1}]$. By (1.1) the field k is contained in B . Since $A^*=k^*$, the unit element u of A is in k , hence in B . It follows from (2.1) that A is torus invariant.

Proposition 2.3. *Let $A=A_0[t_1, t_2, \dots, t_n, (t_1t_2 \cdots t_n)^{-1}]$ where t_i 's are independent variables over k -algebra A_0 and $A_0^*=k^*$, then A is torus invariant.*

Proof. Let $R=A[X, X^{-1}]=B[Y, Y^{-1}]$. Then by the lemma (1.1) $Y=uX^{f'}$ and $X=vY^f$. Since u is invertible in $A=A_0[t_1, t_2, \dots, t_n, (t_1 \cdots t_n)^{-1}]$, $Y=rt_1^{e_1} \cdots t_n^{e_n}$, $r \in A_0^*=k^*$. We may assume that $r=1$, so $Y=t_1^{e_1} \cdots t_n^{e_n}X^{f'}$.

On the other hand as t_i is invertible in $R=B[Y, Y^{-1}]$, $t_i=b_iY^{f_i}$, $b_i \in B^*$. Then we have that

$$ff' + \sum e_i f_i = 1.$$

Therefore the following natural homomorphism is surjective.

$$j: \mathbf{Z}^{(n+1)} = \mathbf{Z} \oplus \cdots \oplus \mathbf{Z} \rightarrow \mathbf{Z}$$

$$j(i_0, i_1, \dots, i_n) = i_0 f' + \sum i_j e_j.$$

Since \mathbf{Z} is P.I.D., we can construct a basis of $\mathbf{Z}^{(n+1)}$ containing this vector (f', e_1, \dots, e_n) . Put this basis

$$e_0 = (f', e_1, \dots, e_n)$$

$$\dots\dots\dots$$

$$e_i = (f_i, f_{i1}, \dots, f_{in})$$

and put $u_i = t_{i1}^{f_{i1}} \cdots t_{in}^{f_{in}} X^{f_i}$.

$$R = A_0[u_1, \dots, u_n, (u_1 \cdots u_n)^{-1}] [Y, Y^{-1}] = A[X, X^{-1}] = B[Y, Y^{-1}].$$

Therefore A is isomorphic to B . Hence A is torus invariant.

(2.4) Let $R = A[X, X^{-1}] = B[Y, Y^{-1}]$. An ideal I of R is said to be vertical relative to A if there exists an ideal J of A such that $JR = I$. If J is an ideal of A such that JR is vertical relative to B , then we will simply say that J is vertical relative to B . If A is a k -affine domain, the prime ideals defined by the singular locus of $\text{Spec } A$ are vertical relative to B .

Proposition 2.5. *Let $R = A[X, X^{-1}] = B[Y, Y^{-1}]$. If there exists a maximal ideal of A which is vertical relative to B , then $A[X, X^{-1}] = B[X, X^{-1}]$. In particular A and B are isomorphic.*

Proof. Let m be a maximal ideal of A which is vertical relative to B . Then there exists an ideal n of B such that $mR = nR$. Therefore $R/mR = A/m[X, X^{-1}] = R/nR = B/n[Y, Y^{-1}]$, where $X = vY^f$ and $Y = uX^{f'}$. Since m is a maximal ideal, A/m is a field. Hence u is in B/n by (1.1). Therefore we obtain $f = \pm 1$ by (2.1). Thus A is isomorphic to B .

Corollary 2.6. *Let A be a k -affine domain with isolated singular points, then A is torus invariant.*

3. Strongly torus invariant rings

In this section we investigate strongly torus invariant rings.

Proposition 3.1. *Let $A[X, X^{-1}] = B[Y, Y^{-1}]$. If $Q(A) \subseteq Q(B)$, then $A = B$, where $Q(R)$ is the total quotient field of R .*

Proof. Let x be an element of A , then there exist two elements b and b' of B such as $x = b/b'$. Hence $b = b'x$. In the graded ring $B[Y, Y^{-1}]$ the elements b and b' are homogeneous of degree zero, thus x is also degree zero. Hence we have $A \subseteq B$. Let b be an element of B . Then $b = \sum a_j X^j$, $a_j \in A$. By (2) of (2.0) we have that $b = \sum a_j v^j Y^{jf}$. If $f = 0$, then $X \in B$. Thus $A[X, X^{-1}] \subseteq B$,

it's a contradiction, hence $f \neq 0$. Since $a_j v^j \in B$ and Y is a variable over B , $b = a_0 \in A$. Thus $A = B$.

Corollary 3.2. *Let \bar{A} denote the integral closure of A . If \bar{A} is strongly torus invariant, then A is also so.*

Proof. It is easily seen that if $A[X, X^{-1}] = B[Y, Y^{-1}]$ then $\bar{A}[X, X^{-1}] = \bar{B}[Y, Y^{-1}]$. Since \bar{A} is strongly torus invariant, $\bar{A} = \bar{B}$. Hence $Q(A) = Q(B)$, and we have that $A = B$.

Proposition 3.3. *Let A be a domain with $J(A) \neq 0$, where $J(D)$ is the Jacobson radical of a ring D . Then A is strongly torus invariant.*

Proof. Let a be a non-zero element of $J(A)$. Then $1+a$ is unit, so in the graded ring $B[Y, Y^{-1}]$, $1+a$ is homogeneous. Since the "unity 1" is a homogeneous element of degree 0, the element a is also so. Thus the element a is contained in B .

Let x be any element of A . Since xa is contained in $J(A)$, xa is in B . Hence A is contained in $Q(B)$. By (3.1), we have that $A = B$.

Corollary 3.4. *If A is a local domain, then A is strongly torus invariant.*

Proposition 3.5. *Let A be an affine ring over a field k and let $A[X, X^{-1}] = B[Y, Y^{-1}]$. Then $A = B$ if and only if every maximal ideal of A is vertical relative to B .*

Proof. It suffices to prove the "if" part of the (3.5). By (3.3) we may assume that $J(A) = 0$. Let x be an element of B and let $x = \sum_{j=s}^t a_j X^j$, where $s < t$, $a_j \in A$ and $a_t \neq 0$ and $a_s \neq 0$. For any maximal ideal m of A there exists a maximal ideal n of B such as $mR = nR$, where $R = A[X, X^{-1}]$. Let \bar{x} denote the residue class of x in B/n . Then \bar{x} is algebraic over the coefficient field k , hence there exist elements $\lambda_0, \lambda_1, \dots, \lambda_{n-1}$ in k , such that $f(x) = x^n + \lambda_{n-1}x^{n-1} + \dots + \lambda_0 \in nR = mR$. If $t \neq 0$, then the highest degree term of $f(x)$ with respect to X is $a_t^n x^{nt} \in mR$, thus a_t is contained in m for every maximal ideal in A . Since $J(A) = 0$, $a_t = 0$. It's a contradiction. Therefore $t = 0$. By the same way, we have that $s = 0$, hence x is in A . Thus $A = B$.

We denote the subring generated by all the units of A by A_u .

Proposition 3.6. *Let A be a k -affine domain with an isolated singular point. If A is algebraic over A_u , then A is strongly torus invariant.*

Proof. Let $A[X, X^{-1}] = B[Y, Y^{-1}]$ and let m be the maximal ideal defined by the isolated singular point. Then there exists a maximal ideal n of B such as $mR = nR$. Let a be a unit element of A . In the graded ring $B[Y, Y^{-1}]$, the

element a is also invertible, so $a = bY^j$, for some invertible element b in B and a certain integer j . Since A/m is algebraic over k , there exist elements $\lambda_0, \lambda_1, \dots, \lambda_n \in k$ such that $\lambda_n a^n + \dots + \lambda_1 a + \lambda_0 \in mR = nR$. If $j \neq 0$, $\lambda_n b^n$ is in n , hence b is not invertible, it's a contradiction. Thus we have that $A_u \subseteq B$. By the following lemma our proof is over.

Lemma 3.7. *Let $A[X, X^{-1}] = B[Y, Y^{-1}]$. If A is algebraic over $A \cap B$, then $A = B$.*

Proof. Since A is algebraic over $A \cap B$, A is also algebraic over B , but B is algebraically closed in $B[Y, Y^{-1}]$, therefore A is contained in B . Thus we have that $A = B$.

Let A be an integral domain containing a field k . We denote the set of all automorphisms of A over k by $\text{Aut}_k(A)$.

Proposition 3.8. *Let A be an integral domain containing an infinite field k . If $\text{Aut}_k(A)$ is a finite set, then A is strongly torus invariant.*

Proof. Let $R = A[X, X^{-1}] = B[Y, Y^{-1}]$. Let Φ_λ , $\lambda \in k^*$, be an automorphism of R defined by $\Phi_\lambda(Y) = \lambda Y$ and $\Phi_\lambda(b) = b$ for $b \in B$. Following the notation of (2.0) we have $X = vY^f$, thus $\Phi_\lambda(X) = \lambda^f X$, therefore $R = \Phi_\lambda(A)[X, X^{-1}]$. Let p be the projection $A[X, X^{-1}] \rightarrow A$ defined by $p(X) = 1$ and i be the canonical injection $A \hookrightarrow A[X, X^{-1}]$. Define $\sigma_\lambda = q \circ \Phi_\lambda \circ i$. Then σ_λ is an endomorphism of A . We shall show that σ_λ is surjective. Let x be an element of A . Since $R = \Phi_\lambda(A)[X, X^{-1}]$, there exist elements a_j 's of A such as $x = \sum \Phi_\lambda(a_j)X^j$. Hence $x = p(x) = \sum p\Phi_\lambda(a_j)$. Let $x' = \sum a_j \in A$, then $\sigma_\lambda(x') = \sum p\Phi_\lambda(a_j) = x$. Thus σ_λ is surjective. Next we shall show that σ_λ is injective. Since $\Phi_\lambda^{-1}((X-1)R \cap \Phi_\lambda(A)) = \Phi_\lambda^{-1}(X-1)R \cap A = (\lambda^{-f}X-1)R \cap A = 0$, we have $(X-1)R \cap \Phi(A) = 0$, therefore σ_λ is injective. Hence σ_λ is an automorphism of A .

We shall prove that the set $\{\sigma_\lambda | \lambda \in k^*\}$ is infinite when $A \neq B$. Since $u = v^{-f}Y^{1-ff'}$, $\sigma_\lambda(u) = \lambda^{1-ff'}u$. Therefore our assertion is proved when $1-ff' \neq 0$. Suppose $ff' = 1$. Then we may assume that $R = A[X, X^{-1}] = B[X, X^{-1}]$. If $A \subseteq B$, then $A = B$, so there exists an element x of A not contained in B , say $x = \sum_{j=s}^t b_j X^j$, $t > s$. Since $\ker p = (X-1)R$ and $(X-1)R \cap B = 0$, $p(b_j) \neq 0$ for $b_j \neq 0$. Since $\sigma_\lambda(x) = \sum p(b_j)\lambda^j$ and $p(b_j) \neq 0$ for some $j \neq 0$, the set $\{\sigma_\lambda; \lambda \in k^*\}$ is infinite.

Next we shall give two cases of rings which are not strongly torus invariant. If A has a non-trivial locally finite iterative higher derivation $\psi: A \rightarrow A[T]$, then $A[T] = B[T]$, where $B = \psi(A)$ and $A \neq B$, as is proved in [4]. Hence we have that $A[T, T^{-1}] = B[T, T^{-1}]$ and $A \neq B$. If A is a graded ring, then A is not strongly torus invariant. Indeed, let X be a variable over A and let

$B_i = \{a_i X^i; a_i \in A_i\}$. Then B_i is an A_0 -module contained in $A[X, X^{-1}]$. Let $B = \sum B_i$. Then B is a graded ring and we easily see that $A[X, X^{-1}] = B[X, X^{-1}]$. We shall show that X is a variable over B . Assume that there exist elements b_0, b_1, \dots, b_n in B such that $b_n \neq 0$ and $b_n X^n + \dots + b_1 X + b_0 = 0$. By the definition of B we denote $b_i = \sum a_{ij} X^j$, $a_{ij} \in A_j$. In the graded ring $A[X, X^{-1}]$ the homogeneous term of degree t of this equation is that

$$(a_{n,t-n} + a_{n-1,t-n+1} + \dots + a_{0,t})X^t = 0.$$

Since A is a graded ring and a_{ij} is a homogeneous element of degree j , we obtain $a_{ij} = 0$ for all index i and j , hence X is a variable over B .

By [4] we have that a k -algebra A has a non-trivial locally finite iterative higher derivation if and only if $\text{Aut}_k(A)$ has a subgroup isomorphic to $G_a = \text{Spec } k[T]$. We easily see that A is a non-trivial graded ring if and only if $\text{Aut}_k(A)$ has a subgroup isomorphic to $G_m = \text{Spec}(k[T, T^{-1}])$.

Proposition 3.9. *A k -algebra A is not strongly torus invariant, if $\text{Aut}_k(A)$ has a subgroup isomorphic to G_a or G_m .*

Assume that $\text{Aut}_k(A)$ is an infinite group. If $\text{Aut}_k(A)$ has an algebraic group structure, then there exists the following exact sequence;

$$0 \rightarrow T \rightarrow \text{Aut}_k(A)_0 \rightarrow \theta \rightarrow 0$$

where $\text{Aut}_k(A)_0$ is the connected component containing the identity I_A , and T is a maximal torus subgroup of $\text{Aut}_k(A)_0$ and θ is an abelian variety. Let P be an arbitrary closed point of $\text{Spec}(A)$. If $T=0$, then there exists a regular map

$$\begin{aligned} \Phi: \text{Aut}_k(A)_0 &\rightarrow \text{Spec}(A) \\ \sigma &\rightarrow \sigma(P). \end{aligned}$$

Since $\text{Im}(\Phi)$ is a projective variety contained in the affine variety $\text{Spec}(A)$, the set $\text{Im}(\Phi)$ consists of one point, it contradicts $\dim \text{Aut}_k(A)_0 > 0$. Hence we have that $T \neq 0$. Since $T \cong G_a$ or G_m , we have the following result:

Proposition 3.10. *If $\text{Aut}_k(A)$ is not a finite set and has an algebraic group structure, then A is not strongly torus invariant.*

4. Affine domains of dimension ≤ 2

Let k be a field of characteristic zero which contains all roots of "unity". In this section let A be an affine domain over k . We shall see that if $\dim A = 1$, then A is always torus invariant. Moreover A is not strongly torus invariant if and only if $\text{Aut}_k(A) \cong G_m$. Let $\dim A \geq 2$. Then A is not always torus

invariant. But if an integrally closed domain A is not a \mathbf{Z} -graded ring, then A is torus invariant.

For the proof we need a lemma.

Lemma 4.1. *Let K be a finite separable algebraic field extension of a field \mathbf{k} . If A is a one-dimensional affine normal ring such that $\mathbf{k} \subset A \subseteq K[X, X^{-1}]$, then A is a polynomial ring or a torus ring over \mathbf{k}' where \mathbf{k}' is the algebraic closure of \mathbf{k} in A .*

Proof. We may assume that $\mathbf{k} = \mathbf{k}'$. Following the similar device to the proof of (2.9) in [1, p 322], we have $Q(A) = \mathbf{k}(\theta)$ for some element θ of A .

Since $\mathbf{k}[\theta] \subseteq A \subset \mathbf{k}(\theta)$, $A = \mathbf{k}[\theta]$ or $A = \mathbf{k}\left[\theta, \frac{1}{f(\theta)}\right]$ for some polynomial $f(\theta) \in \mathbf{k}[\theta]$. Let $A = \mathbf{k}\left[\theta, \frac{1}{f(\theta)}\right]$. Then we may assume that $f(\theta)$ has no multiple factors. The element $f(\theta)$ is invertible in A , so is also invertible in $K[X, X^{-1}]$. Thus we have $f(\theta) = \beta X^r$, $\beta \in K$, $\theta \in K[X, X^{-1}]$. We may assume that $r \geq 0$, if necessary, by replacing X with X^{-1} . Then we easily see that $\theta \in K[X]$. The uniqueness of the irreducible decomposition in a polynomial ring implies that $\deg_{\theta} f(\theta) = 1$, since the polynomial $f(\theta)$ has not multiple factors and $f(\theta) = \beta X^r$. Hence we may assume that $f(\theta) = \theta$ and we obtain $A = \mathbf{k}\left[\theta, \frac{1}{\theta}\right]$.

Let A be an integral domain. If A is contained in $K[X, X^{-1}]$, then \bar{A} is a polynomial ring or a torus ring over \mathbf{k}' .

Proposition 4.2. *Let A be a one-dimensional affine domain over a field \mathbf{k} of characteristics zero. Then we obtain that*

- (1) A is torus invariant,
- (2) A is not strongly torus invariant if and only if $\text{Aut}_{\mathbf{k}}(A)$ has a subgroup isomorphic to G_m . If A is not strongly torus invariant and A is integrally closed, then A is a polynomial ring or a torus ring over the algebraic closure of \mathbf{k} in A .

Proof. At first we shall prove (2). The sufficiency follows from (3.9). Let $R = A[X, X^{-1}] = B[Y, Y^{-1}]$ in which $A \neq B$. If $mR \cap A \neq 0$ for any maximal ideal m of B , then m is vertical relative to A , and we have $A = B$ by (3.5). Hence there exists a maximal ideal m such as $mR \cap A = 0$. Since $\text{ch } \mathbf{k} = 0$, $B/m = K$ is a finite separable algebraic field over \mathbf{k} . The residue mapping of R to R/mR yields (up to isomorphism) $\mathbf{k} \subset A \subseteq K[Y, Y^{-1}]$ where Y is algebraically independent over K . Therefore A is a polynomial ring or a torus ring by the lemma (4.1). Thus the automorphism group $\text{Aut}_{\mathbf{k}} A$ contains a subgroup isomorphic to G_m .

Assume that A is not integrally closed. Then prime divisors in A of the conductor $t(\bar{A}/A)$ are vertical relative to B . Hence we may assume $X = Y$ by

(2.5). The above lemma (4.1) implies that $\bar{A} = \mathbf{k}'[t, t^{-1}]$ or $\bar{A} = \mathbf{k}'[t]$ where \mathbf{k}' is the algebraic closure of \mathbf{k} in \bar{A} .

Firstly let $\bar{A} = \mathbf{k}'[t]$. Since $\bar{A} \cong \bar{B}$, there exists an element s in \bar{B} such as $\bar{B} = \mathbf{k}'[s]$. Since $\bar{R} = \bar{A}[X, X^{-1}] = \bar{B}[X, X^{-1}]$, we have $\mathbf{k}'[X, X^{-1}][t] = \mathbf{k}'[X, X^{-1}][s]$, hence we easily see that $t = f_1(X)s + f(X)$ and $s = g_1(X)t + g(X)$ where $f_1(X)g_1(X) = 1$ and $f(X), g(X) \in \mathbf{k}'[X, X^{-1}]$. We may assume that $t = X^n s + f(X)$ and $s = X^{-n} t + g(X)$. Let \bar{n} be a prime divisor in \bar{A} of the conductor $\mathfrak{t}(\bar{A}/A)$.

Then there exists a maximal ideal \bar{m} of \bar{B} such as $\bar{n}\bar{R} = \bar{m}\bar{R}$. Since \bar{A}/\bar{n} is algebraic over \mathbf{k} , there exist elements $\lambda_0, \lambda_1, \dots, \lambda_{d-1} \in \mathbf{k}$ such that $t^{d-1} + \lambda_{d-1}t^{d-2} + \dots + \lambda_0 \in \bar{m}\bar{R} = \bar{n}\bar{R}$. Hence we have that $(X^n s + f(X))^d + \lambda_{d-1}(X^n s + f(X))^{d-1} + \dots + \lambda_0 \in \bar{n}\bar{R}$. The constant term of this polynomial with respect to s is the following;

$$f(X)^d + \lambda_{d-1}f(X)^{d-1} + \dots + \lambda_0 \in \bar{n}\mathbf{k}'[s][X, X^{-1}].$$

Therefore $f(X) = f \in \mathbf{k}'$. Hence we may assume that $t = X^n s$. We shall show that A is a graded ring. Let a be an element of A . Since a is contained in $\bar{A} = \mathbf{k}'[t]$ and $t = X^n s$, we have that $a = \sum \lambda_j t^j = \sum \lambda_j s^j X^{jn}$, $\lambda_j s^j \in \bar{B}$. On the other hand, as the element a is contained in $B[X, X^{-1}]$, $a = \sum b_i X^i$, $b_i \in B$. Comparing the coefficient of the each term in the following; $\sum \lambda_j s^j X^{jn} = \sum b_i X^i$, we have $b_i = \lambda_j s^j$ ($i = jn$) and $b_i = 0$ ($i \notin n\mathbf{Z}$). If $b_i \neq 0$, then $b_i X^i = \lambda_j s^j X^{jn} = \lambda_j t^j \in B[X, X^{-1}] \cap \bar{A} = A[X, X^{-1}] \cap \bar{A} = A$. Therefore A has a graded ring structure.

Secondly let $\bar{A} = \mathbf{k}'[t, t^{-1}]$. Then $\bar{B} = \mathbf{k}'[s, s^{-1}]$. Since t and s are invertible in \bar{R} , we may assume that $t = s^i X^n$ and $s = t^j X^m$, then $t = (t^j X^m)^i X^n = t^{ij} X^{im+n}$, therefore $ij = 1$. Hence we may assume $t = sX^n$. By the same method as in the case $\bar{A} = \mathbf{k}'[t]$ we have that A is a graded ring.

Proof of (1). If A is not integrally closed, then the prime divisors of the conductor $\mathfrak{t}(\bar{A}/A)$ are vertical relative to B . Since non-zero prime ideals of A are maximal, the ring A is isomorphic to B by (2.5). If A is integrally closed and A is neither a polynomial ring nor a torus ring, then A is strongly torus invariant, hence A is torus invariant. If A is either a polynomial ring or a torus ring, A is torus invariant by (2.2) and (2.3).

Next we shall consider the case; the coefficient field k has all roots of "unity" and its characteristic is zero. Then we prove the following:

Theorem 4.3. *Let A be an integrally closed \mathbf{k} -affine domain of dimension two, where the field \mathbf{k} has all roots of "unity" and $ch \mathbf{k} = 0$. If A is not torus invariant, then A is a \mathbf{Z} -graded ring which contains units of non-zero degree.*

Proof. Assume that A is not torus invariant. Then there exist a \mathbf{k} -algebra B and independent variables X, Y such that A is not isomorphic to B and $R = A[X, X^{-1}] = B[Y, Y^{-1}]$. By (2.0) and (2.1) we obtain $ff' \neq 1$. We shall show

that it follows from $ff' \neq 1$ that A is a \mathbf{Z} -graded ring. We may only consider the case $1 - ff' > 0$. Let x be a $(1 - ff')$ -th root of u and let $y = x^{-f}/X$. Then $y^{1-f'} = v$. Since $(y^{-f'}y)^{1-f'} = u$, $x = \lambda y^{-f'}Y$ for some $(1 - ff')$ -th root λ of "unity". From the relations; $y = x^{-f}X$ and $Y = uX^{f'}$, we have $\lambda = 1$.

Since $y = x^{-f}X$ and $x = y^{-f'}Y$ are invertible, we have $A[x][X, X^{-1}] = B[y][Y, Y^{-1}] = A[x][y, y^{-1}] = B[y][x, x^{-1}]$. Define a surjective homomorphism $j: A[x][y, y^{-1}] \rightarrow A[x]$ by $j(y) = 1$. Let $A_0 = j(B[y]) \subseteq A[x]$. We shall show that $A[x] = A_0[x, x^{-1}]$. Let a be an element of A . Then $a = \sum b_i x^i$, $b_i \in B$. Since $j(a) = a$ and $j(x) = x$, we have that $a = \sum j(b_i)x^i$, $j(b_i) \in A_0$. Thus $A[x] = A_0[x, x^{-1}]$ and x is algebraically independent over A_0 . By the same way $B[y] = B_0[y, y^{-1}]$.

Since the every $(1 - ff')$ -th roots of "unity" is contained in k and $ch\ k = 0$ and A is normal, the extension $A[x]/A$ is a Galois extension with a cyclic group $G = \langle \sigma \rangle$ (cf. [3] p 214). Indeed when $|G| = n$, $n|1 - ff'$ and there exists a primitive n -th root λ of "unity" such that $\sigma(x) = \lambda x$ and the invariant subring $(A[x])^\sigma = A$ and $A[x] = A + Ax + \cdots + Ax^{n-1}$ is a free A -module.

Since the element u is a unit of A and $ch(k) = 0$, the extension $A[x]/A$ is étale. Since A is a normal domain, $A[x]$, hence $A_0[x, x^{-1}]$, is also a normal domain. From this we see that A_0 is always normal.

We shall show that there exists a subring A'_0 in $A[x]$ such that $A[x] = A'_0[x, x^{-1}]$ and $\sigma(A'_0) = A'_0$. If A_0 is strongly torus invariant, then $\sigma(A_0) = A_0$; for $\sigma(A_0)[x, x^{-1}] = A_0[x, x^{-1}]$, therefore A_0 satisfies the conditions. If A_0 is not strongly torus invariant, then $A_0 = k'[t]$ or $k'[t, t^{-1}]$ by (4.2). Firstly let $A_0 = k'[t]$. Since $k'[x, x^{-1}][t] = k'[x, x^{-1}][\sigma(t)]$, we easily see that $\sigma(t) = \mu x^i t + f(x)$, $\mu \in k^*$ and $f(x) \in k'[x, x^{-1}]$. The order of σ is n , i.e. $\sigma^n = \text{Identity}$, so $\sigma^n(t) = t$, the other hand $\sigma^n(t) = \mu^n \lambda^{(1+\cdots+n-1)i} x^{in} t + g(x)$, $g(x) \in k'[x, x^{-1}]$, therefore we have that $i = 0$, thus $\sigma(t) = \mu t + f(x)$ and $\mu^n = 1$. Let $f(x) = \sum f_i x^i$ and define the set $\Delta = \{j \in \mathbf{Z}; \lambda^j \neq \mu\}$. Let $h(x) = \sum_{j \in \Delta} h_j x^j$, where $h_j = f_j(\mu - \lambda^j)^{-1}$, and put $s = t + h(x)$. Then $\sigma(s) = \mu s + \sum_{i \in \Delta} f_i x^i$, hence $\sigma^n(s) = \mu^n s + n\mu^{n-1}(\sum_{i \in \Delta} f_i x^i) = s + n\mu^{n-1}(\sum_{i \in \Delta} f_i x^i)$. Since $\sigma^n(s) = s$, we have $\sigma(s) = \mu s$. We set $A'_0 = k'[s]$, then A'_0 satisfies the conditions.

Secondary let $A_0 = k'[t, t^{-1}]$. Since $k'[x, x^{-1}][t, t^{-1}] = k'[x, x^{-1}][\sigma(t), \sigma(t)^{-1}]$, we easily see that $\sigma(t) = \mu x^i t$ or $\sigma(t) = \mu x^i t^{-1}$, $\mu \in k'^*$.

Case (i); $\sigma(t) = \mu x^i t$. Since $\sigma^n(t) = \mu^n \lambda^{(1+\cdots+n-1)i} x^{in} t$ and $\sigma^n(t) = t$, we have that $\sigma(t) = \mu t$, so $\sigma(A_0) = A_0$.

Case (ii); $\sigma(t) = \mu x^i t^{-1}$. If n is odd, say $n = 2m + 1$, then $\sigma^n(t) = \mu \lambda^{im} x^{it} t^{-1}$, but this is impossible for $\sigma^n(t) = t$. Therefore n is even, say $n = 2m$. Then $\sigma^n(t) = \lambda^{im} t$. Since λ is a primitive n -th root of "unity", the integer i is even, say $i = 2j$. Let $s = x^{-j} t$ and $A'_0 = k'[s, s^{-1}]$. Then A'_0 satisfies the conditions.

Next we shall show that A has a \mathbb{Z} -graded ring structure. Let a be an element of A . Since $a \in A'_0[x, x^{-1}]$, $a = \sum a_i x^i$. Then $a = \sigma(a) = \sum \sigma(a_i) \lambda^i x^i$ and $\sigma(a_i) \in A'_0$. Comparing the coefficient of each term in the equality; $\sum a_i x^i = \sum \sigma(a_i) \lambda^i x^i$, we have that $a_i = \sigma(a_i) \lambda^i$, then $\sigma(a_i x^i) = a_i x^i$. Thus $a_i x^i$ is an element of A . Therefore A is a graded ring. Since there exists units of non-zero degree, A has a \mathbb{Z} -graded ring structure.

REMARK. The converse of this theorem is false. Indeed we find by (2.3) that the ring $k[T][X, X^{-1}]$ is a \mathbb{Z} -graded ring with respect to X which is torus invariant.

EXAMPLE. We shall construct an example of an affine dimension A of dimension two which is not torus invariant.

Let D be an integrally closed domain of dimension one over an algebraically closed field k and $D^* = k^*$. Let a be a non-unit of D and $\alpha^5 = a$, $\alpha \in D$. Assume that D is noetherian and $D[\alpha]$ is strongly torus invariant. Since an affine domain of dimension one whose totally quotient field has a positive genus is strongly torus invariant by (4.2), this assumption can be satisfied for a suitable choice of D . Let T be a variable over D and $A = D[\alpha T, T^5, T^{-5}]$. Let X be a variable over A and $S = T^2 X$ and $Y = T^5 X^2$. Let $B = D[\alpha S^3, S^5, S^{-5}]$. Since $T = S^{-2} Y$ and $X = S^5 Y^{-2}$, we have that $A[X, X^{-1}] = B[Y, Y^{-1}]$. By (1.1) invertible elements in the graded ring A are homogeneous. Since $D^* = k^*$, we obtain $A^* = \{\eta T^{5i}; \eta \in k^* \text{ and } i \in \mathbb{Z}\}$. Hence the quotient A^*/k^* is generated by T^5 . Similarly B^*/k^* is generated by S^5 . We shall show that A is not isomorphic to B . We assume that there exists an isomorphism σ of A to B . Since σ is a group-isomorphism of A^* to B^* , we have $\sigma(T^5) = \mu S^5$ or $\sigma(T^5) = \mu S^{-5}$, $\mu \in k^*$. We shall only consider the case: $\sigma(T^5) = \mu S^5$, since the proof of the other case is the similar. Let $\bar{\sigma}$ be an isomorphism of $A[T]$ to $B[S]$ defined by $\bar{\sigma} = \sigma$ on A and $\bar{\sigma}(T) = \zeta S$, $\zeta^5 = \mu$. Then we have that $D[\alpha][S, S^{-1}] = \bar{\sigma}(D[\alpha])[S, S^{-1}]$, therefore $\bar{\sigma}(D[\alpha]) = D[\alpha]$; for $D[\alpha]$ is strongly torus invariant. Since $\sigma(D) \subseteq D[\alpha] \cap B = D$, we have $\sigma(D) = D$, therefore we easily see that σ is an isomorphism as graded rings. Thus we have $\sigma((\alpha T)D) = (\alpha^2 S)D$, hence $\sigma(a) \in a^2 D$. Since the element a is not a unit, $a^2 D \subsetneq aD$, thus $\sigma(a)D \subseteq a^2 D \subsetneq aD$, so $aD \subsetneq \sigma^{-1}(a)D$, hence we have a proper ascending chain $\{\sigma^{-n}(a)D\}$, but it contradicts the noetherian assumption of D . Hence A is not torus invariant.

(4.4) Now let $A = \sum A_i$ be an integrally closed \mathbb{Z} -graded domain which contains invertible elements of non-zero degree. Let e be an invertible element of A with the smallest positive degree d . Let a be a unit of A , then a is a homogeneous elements with $\deg a = jd$ for some integer j , and there exists an element ξ of A_0^* such as $a = \xi e^j$. Let i be any positive integer and x be one of the ijd -th roots of a , say $x^{ijd} = a$. Since $A[x]$ is a \mathbb{Z} -graded ring with the

invertible elements x of degree one, $A[x] = A'_0[x, x^{-1}]$ by (1.4) where A'_0 contains A_0 . Let f and f' be integers such as $ff' + ijd = 1$ and let X be a variable over A . Put $y = x^{-f}X$ and $Y = aX^{f'}$. Then $x = y^{-f'}Y$ and $X = y^{ijd}Y^f$. Therefore $A'_0[x, x^{-1}][X, X^{-1}] = A'_0[y, y^{-1}][Y, Y^{-1}]$. Since the every n -th roots of "unity" is contained in k and A is integral closed, the extension $A[x]/A$ is a Galois extension with a cyclic group $G = \langle \sigma \rangle$. Indeed $|G| = di$ and there exists a primitive di -th root λ of "unity" such as $\sigma(x) = \lambda x$, and $(A[x])^\sigma = A$. Since A'_0 is algebraic over A_0 , $\sigma(A'_0)$ is also so, hence $\sigma(A'_0)$ is algebraic over A'_0 , but A'_0 is algebraically closed in $A'_0[x, x^{-1}]$, therefore $\sigma(A'_0) = A'_0$. Since $\sigma(y) = \lambda^{-f}y$, σ is an automorphism of $A'_0[y, y^{-1}]$. Let $B = A'_0[y, y^{-1}]^\sigma$ and $\bar{\sigma}$ be an automorphism of $A'_0[x, x^{-1}][X, X^{-1}]$ defined by $\bar{\sigma}(X) = X$ and $\bar{\sigma} = \sigma$ over $A'_0[x, x^{-1}]$. Since $\bar{\sigma}(Y) = Y$ and $\bar{\sigma}(X) = X$, we obtain $B[Y, Y^{-1}] = A'_0[y, y^{-1}][Y, Y^{-1}]^\sigma = A'_0[x, x^{-1}][X, X^{-1}]^\sigma = A[X, X^{-1}]$.

Proposition 4.5. *Let A be an integrally closed k -affine domain of dimension 2. If $A[X, X^{-1}] = B[Y, Y^{-1}]$ and $ff' \neq 1$, then A has a \mathbf{Z} -graded ring structure and B is isomorphic to one of algebras constructed in (4.4).*

Proof. The first statement is already mentioned in the proof of (4.3) and we obtained $A'_0[x, x^{-1}][X, X^{-1}] = A'_0[y, y^{-1}][Y, Y^{-1}]$ and $\sigma(A'_0) = A'_0$. Let $B' = A'_0[y, y^{-1}]^\sigma$. Then B' is one of algebras in (4.4). Since $B'[Y, Y^{-1}] = B[Y, Y^{-1}]$, B is isomorphic to B' .

5. D-torus invariant

Let D be an integral domain containing a field k of characteristic zero and A be a D -algebra. The ring A is called D -torus invariant; if $A[X, X^{-1}] = B[Y, Y^{-1}]$ for a certain D -algebra B and independent variables X and Y , then we have always $A \cong_D B$. Then we have the following result:

Proposition 5.1. *Let A be an integrally closed domain over D and $\text{tr. deg}_D A = 1$. If A is not D -torus invariant, then A is a \mathbf{Z} -graded ring containing units of non-zero degree.*

Proof. Let $A[X, X^{-1}] = B[Y, Y^{-1}]$, where B is a D -algebra and not D -isomorphic to A . By (2.0) and (2.1) we easily see that $ff' = 1$. Then we may assume $1 - ff' > 0$. Let x be a $(1 - ff')$ -th root of u and $y = x^{-f}X$. Then we have that $A[x] = A_0[x, x^{-1}]$ and $B[y] = B_0[y, y^{-1}]$ as the proof of (4.3), where A_0 and B_0 are respectively subalgebras of $A[x]$ and $B[y]$ containing D . Let σ be a generator of the cyclic Galois group of the extension $A[x]/A$. We shall show that $\sigma(A_0) = A_0$. Since $\text{tr. deg}_D A_0[x, X^{-1}] = 1$, A_0 is algebraic over D , thus $\sigma(A_0)$ is also so. Since A_0 is algebraically closed in $A_0[x, x^{-1}]$, we have that $\sigma(A_0) = A_0$. Following the similar devise to the proof of (4.3) we obtain

that A is a \mathbf{Z} -graded ring, and D is contained in A .

In the following we shall consider the case where A is a \mathbf{Z} -graded ring and $A_0=D$. We consider only D -isomorphisms of D -algebras.

Theorem 5.2. *Let A be an integrally closed \mathbf{Z} -graded ring. Assume that the subring A_0 contains an algebraically closed field \mathbf{k} and that $A_0^*=\mathbf{k}^*$. Let d be the smallest positive integer among the set of degrees of units in A . Then the number of the isomorphic classes of A_0 -algebra as B such that $A[X, X^{-1}]=B[Y, Y^{-1}]$ equals to $\Phi(d)$, where Φ is the Euler function.*

Proof. Let i be an integer such as $1 \leq i < d$ and $(i, d)=1$. Since $(i, d)=1$, $ij+dh=1$ for some integers j and h . Moreover we may assume $h \geq 0$. Fix a unit e of degree d . Let x be one of the d -th roots of e . Then we have that $A[x]=A_0[x, x^{-1}]$ for a subring A'_0 containing A_0 by (1.4). Let σ be a generator of the cyclic Galois group of the extension $A[x]/A$. Then $\sigma(x)=\lambda x$, where λ is a primitive d -th root of "unity". Since A'_0 is algebraic over A_0 and algebraically closed in $A'_0[x, x^{-1}]$, we obtain $\sigma(A'_0)=A'_0$. Let X be a variable over A and let $y=x^{-i}X$ and $Y=e^h x^j$. Then we have that $A'_0[x, x^{-1}][X, X^{-1}]=A'_0[y, y^{-1}][Y, Y^{-1}]$. Define $B_i=A'_0[y, y^{-1}]^\sigma$ and let $\bar{\sigma}$ be an isomorphism of $A'_0[x, x^{-1}][X, X^{-1}]$ defined by $\bar{\sigma}(X)=X$ and $\bar{\sigma}=\sigma$ on $A'_0[x, x^{-1}]$. Since $Y=e^h X^j$, $\bar{\sigma}(Y)=Y$, therefore we obtain that $A[X, X^{-1}]=B_i[Y, Y^{-1}]$. We can easily see that B_i is a \mathbf{X} -graded ring and $(B_i)_0=A_0$. Especially we have $B_1 \cong A$.

Let i_1 and i_2 be integers such as $1 \leq i_1 < i_2 < d$ and $(i_1, d)=(i_2, d)=1$. Let $B'=A'_0[y, y^{-1}]^\sigma$ and $B''=A'_0[z, z^{-1}]^\sigma$ where $\sigma(y)=\lambda^{-i_1}y$ and $\sigma(z)=\lambda^{-i_2}z$ i.e., $B'=B_{i_1}$ and $B''=B_{i_2}$. We shall show that B' and B'' are not isomorphic. Assume that there exists an A_0 -isomorphism ψ of B' to B'' . Let a be a unit in B' of non-zero degree, say degree $a=n$, $n \neq 0$. Let b be a homogeneous element of B' and degree $b=t$. Then we have $b^n=r a^t$ for an element r in the coefficient ring A_0 , hence $\psi(b^n)=\psi(b)^n=r \psi(a^t)$. Since r and $\psi(a^t)$ are homogeneous, $\psi(b)$ is also homogeneous by (1.1), therefore ψ is an isomorphism as graded rings.

Let c be a homogeneous element in B' of degree one. Then $c=s_1 y$ for an element s_1 in A'_0 . Since $\sigma(c)=c$ and $\sigma(y)=\lambda^{-i_1}y$, we have $\sigma(s_1)=\lambda^{i_1} s_1$ hence s_1^d is in B' . Since $\psi(s_1 y)$ is a homogeneous element of degree one, we obtain $\psi(s_1 y)=s_2 z$ for an element s_2 in A'_0 . Since $\sigma(s_2 z)=s_2 z$ and $\sigma(z)=\lambda^{-i_2} z$, we have $\sigma(s_2)=\lambda^{i_2} s_2$, hence s_2^d is in B'' . By the relations; $s_1^d \psi(y^d)=\psi((s_1 y)^d)=\psi(s_1 y)^d=s_2^d z^d$, we obtain $s_2^d=\psi(y^d) z^{-d} s_1^d$. Since $\psi(y^d) z^{-d}$ is an invertible element in B'' and degree zero, we have $\zeta=\psi(y^d) z^{-d} \in A_0^*=\mathbf{k}^*$, therefore we have $s_2=\eta s_1$ for some $\eta \in \mathbf{k}$, $\eta^d=\zeta$. Hence $\sigma(s_2)=\lambda^{i_2} s_2$, but it contradicts the fact that $\sigma(s_2)=\lambda^{i_1} s_2$ and λ is a primitive d -th root of "unity". Therefore $B' \not\cong B''$.

Finally we shall show that if $A[X, X^{-1}]=B[Y, Y^{-1}]$ then B is isomorphic to B_i for some i satisfying $0 < i < d$ and $(i, d)=1$. The invertible element u

in (2.0) is homogeneous. Let n be the degree of u . If $n=0$, then A is isomorphic to B by (2.1), hence $B \cong B_1$. Assume $n \neq 0$. Let c be a non-zero homogeneous element of degree 1 and put $\eta = c^n u^{-1}$. Then η is an element of A_0 . In the graded ring $B[Y, Y^{-1}]$ the elements u and η are homogeneous, hence c is also homogeneous, thus we denote $c = bY^j$ for some element b in B and some integer j . Then we obtain that $c^n = b^n Y^{nj}$. On the other hand we have $c^n = \eta u = \eta v^{-f'} Y^{1-f'f'}$ by (2.0). Therefore we have $1 - ff' = nj$.

By the minimality of d we obtain $n = ld$ for some integer l and $u = \xi e$, $\xi \in A_0^* = k^*$. Since the field k is algebraically closed, we may assume $\xi = 1$, then the d -th root x of e is an n -th root of u . Since the element λ is a primitive d -th root of "unity", there exists the unique integer i such that $\lambda^{-f} = \lambda^{-i}$, $0 < i < d$, then $(i, d) = 1$ since $(f, d) = 1$. Let $y' = x^{-f} X^j$ and $B' = (A'_0[y', y'^{-1}])^{\bar{\sigma}}$. Then $\sigma(y') = \lambda^{-f} y' = \lambda^{-i} y'$, hence $B' = B_i$. We can easily show that $x = y'^{-f'} Y^j$, therefore we obtain $A'_0[x, x^{-1}][X, X^{-1}] = A'_0[y', y'^{-1}][Y, Y^{-1}]$. Since $\sigma(X) = X$ and $\sigma(Y) = Y$, we have $A[X, X^{-1}] = B_i[Y, Y^{-1}]$, hence $B[Y, Y^{-1}] = B_i[Y, Y^{-1}]$. Thus we have $B \cong B_i$.

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