

## INVARIANT SUBRINGS UNDER THE ACTION BY A FINITE GROUP GENERATED BY PSEUDO-REFLECTIONS

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(Received October 20, 1976)

### 1. Introduction

In this note, let  $R$  be a regular local ring with maximal ideal  $\mathfrak{m}$  and let  $k$  be the residue field of  $R$ . Assume that  $R$  contains  $k$  as a subfield. Let  $G$  be a finite subgroup of  $\text{Aut}_k R$ . Assume that  $(|G|, \text{ch } k) = 1$  if  $k$  has positive characteristic. Further we assume that  $G$  is generated by pseudo-reflections relative to the induced action on the Zariski tangent space  $\mathfrak{m}/\mathfrak{m}^2$  of  $R$ . (Hence  $R^G$  is again a regular local ring and  $R$  is a finitely generated  $R^G$ -module (c.f. [3]).) For an arbitrary Macaulay local ring  $B$  with maximal ideal  $\mathfrak{n}$ , we put  $r(B) = \dim_{B/\mathfrak{n}} \text{Ext}_B^d(B/\mathfrak{n}, B)$  ( $d = \dim B$ ) and call it the type of  $B$ . Recall that  $B$  is a Gorenstein local ring if and only if  $r(B) = 1$ . The aim of this paper is to prove the following

**Theorem.** *Let  $\mathfrak{a}$  be an ideal of  $R$  and assume that  $\mathfrak{a}$  is stable under the  $G$ -action on  $R$ . We denote  $R/\mathfrak{a}$  by  $A$ . Then we have*

- (1) *If  $A$  is a Macaulay local ring, then the ring  $A^G$  of invariants is again a Macaulay local ring and the inequality  $r(A^G) \leq r(A)$  holds.*
- (2) *If  $A$  is a complete intersection, then the ring  $A^G$  of invariants is again a complete intersection.*

It is known that  $A^G$  is a Macaulay local ring if  $A$  is a Macaulay local ring (c.f. Proposition 13, [2]).

As a consequence of this theorem we have

**Corollary** (c.f. Watanabe, [4]). *If  $A$  is a Gorenstein local ring, then the ring  $A^G$  of invariants is again a Gorenstein local ring.*

### 2. Proof of the theorem

An  $R$ -module  $M$  is called an  $(R, G)$ -module if the group  $G$  acts on the additive group of the module  $M$  so that the identity  $s(ax) = s(a)s(x)$  holds for every  $s \in G$ ,  $a \in R$ , and  $x \in M$ . An  $R$ -homomorphism of  $(R, G)$ -modules is

called a homomorphism of  $(R, G)$ -modules if it is compatible with  $G$ -action. For an  $(R, G)$ -module  $M$ ,  $M^G$  is an  $R^G$ -module and it is contained in the  $R^G$ -module  $M$  as a direct summand. The projection  $\rho_M: M \rightarrow M^G$  is given by  $\rho_M(x) = 1/g \cdot \sum_{s \in G} s(x)$  which is called the Reynolds operator for  $M$ , where  $g = |G|$ .

Note that  $[ ]^G$  is an exact functor.

Let  $N$  be a finitely generated  $R$ -module and let  $i \geq 0$  be an integer. We put  $\beta_i^R(N) = \dim_k \text{Tor}_i^R(k, N)$  and call it the  $i$ -th Betti number of  $N$ . Recall that, if the sequence  $\cdots \rightarrow F_i \rightarrow \cdots \rightarrow F_1 \rightarrow F_0 \rightarrow N \rightarrow 0$  is a minimal free resolution of  $N$ , then the number  $\beta_i^R(N)$  is equal to the rank of the  $R$ -module  $F_i$ .

First we give the following lemma.

**Lemma 1.** *Let  $M$  be an  $(R, G)$ -module and assume that  $M$  is finitely generated as an  $R$ -module. Then there exists an exact sequence*

$$\cdots \rightarrow F_i \rightarrow \cdots \rightarrow F_1 \rightarrow F_0 \rightarrow M \rightarrow 0$$

of  $(R, G)$ -modules such that each  $(R, G)$ -module  $F_i$  is a finitely generated free  $R$ -module with  $\text{rank}_R F_i = \beta_i^R(M)$ .

Proof. We put  $r = \beta_0^R(M)$  ( $= \dim_k M/mM$ ). Notice that the sequence  $0 \rightarrow mM \rightarrow M \xrightarrow{\varepsilon} M/mM \rightarrow 0$  of  $(R, G)$ -modules can be regarded as an exact sequence of  $G$ -spaces over the field  $k$ . Then, by virtue of Maschke's theorem, we can find an  $r$ -dimensional  $G$ -subspace  $V$  of  $M$  so that  $\varepsilon(V) = M/mM$ . (Recall that  $(|G|, \text{ch } k) = 1$  if  $k$  has positive characteristic. This is one of our standard assumptions.) Let  $\{e_i\}_{1 \leq i \leq r}$  be a  $k$ -basis of  $V$  and let  $[a_{ij}(s)]$  denote the matrix representation of an element  $s$  of  $G$  relative to this basis. Let  $F$  be a finitely generated free  $R$ -module of rank  $r$  and let  $\{X_i\}_{1 \leq i \leq r}$  be an  $R$ -free basis of  $F$ . We put  $s(X_j) = \sum_{i=1}^r a_{ij}(s)X_i$  for every  $s \in G$  and for every  $1 \leq j \leq r$ , and we define a  $G$ -action on  $F$  by

$$s\left(\sum_{j=1}^r a_j X_j\right) = \sum_{j=1}^r s(a_j) s(X_j)$$

where  $s \in G$  and  $a_j \in R$ . Then the  $R$ -module  $F$  becomes an  $(R, G)$ -module under this action. Moreover, if we define an  $R$ -linear map  $f: F \rightarrow M$  by  $f(X_j) = e_j$  for every  $1 \leq j \leq r$ , then  $f$  is a surjective homomorphism of  $(R, G)$ -modules. (Note that  $M = \sum_{i=1}^r Re_i$  by Nakayama's lemma.) Inductively we can construct an exact sequence of  $(R, G)$ -modules mentioned above.

**Lemma 2.** *Let  $M$  be an  $(R, G)$ -module and assume that  $M$  is finitely generated as an  $R$ -module. Then the inequality  $\beta_i^R(M) \geq \beta_i^{R^G}(M^G)$  holds for every integer  $i \geq 0$ .*

Proof. Let  $\cdots \rightarrow F_i \rightarrow \cdots \rightarrow F_1 \rightarrow F_0 \rightarrow M \rightarrow 0$  be an exact sequence of  $(R, G)$ -modules obtained for  $M$  by Lemma 1. Since  $R$  is a finitely generated free  $R^G$ -module and since  $F_i^G$  is a direct summand of  $F_i$  as an  $R^G$ -module, we see that  $F_i^G$  is a finitely generated free  $R^G$ -module for every integer  $i \geq 0$ . Therefore, as the sequence  $\cdots \rightarrow F_i^G \rightarrow \cdots \rightarrow F_1^G \rightarrow F_0^G \rightarrow M^G \rightarrow 0$  of  $R^G$ -modules is exact, to prove this lemma we have only to show that  $\text{rank}_R F \geq \text{rank}_{R^G} F^G$  for every  $(R, G)$ -module  $F$  which is finitely generated and free as an  $R$ -module. We put  $r = \text{rank}_{R^G} F^G$  and let  $\{x_i\}_{1 \leq i \leq r}$  be an  $R^G$ -free basis of  $F^G$ . We denote by  $K$  the quotient field of  $R$  and consider  $K \otimes_R F$  as a  $(K, G)$ -module naturally (*i.e.*, We define  $s(c \otimes x) = s(c) \otimes s(x)$  for  $s \in G$ ,  $c \in K$ , and  $x \in F$ ).

Now assume that  $r > \text{rank}_R F$ . Then  $\{1 \otimes x_i\}_{1 \leq i \leq r}$  is not linearly independent over  $K$  and so we may express, without loss of generality,  $1 \otimes x_1 = \sum_{i=2}^r c_i \otimes x_i$  for some  $c_i \in K$ . Let  $s$  be an element of  $G$ . Then, as  $s(x_i) = x_i$  for every  $1 \leq i \leq r$ , we have  $1 \otimes x_1 = \sum_{i=2}^r s(c_i) \otimes x_i$ . Thus we see that  $1 \otimes x_1 = \sum_{i=2}^r (1/g \cdot \sum_{s \in G} s(c_i)) \otimes x_i$  where  $g = |G|$ . Now we put  $b_i = 1/g \cdot \sum_{s \in G} s(c_i)$ . Then, since  $b_i \in K^G$  and since  $K^G$  coincides with the quotient field of  $R^G$ , we can find a non-zero element  $a$  of  $R^G$  so that  $ab_i \in R^G$  for every  $2 \leq i \leq r$ . Therefore there is an identity  $ax_1 = \sum_{i=2}^r a_i x_i$  in  $F^G$  where  $a_i = ab_i$ . But this is impossible, since  $\{x_i\}_{1 \leq i \leq r}$  is linearly independent over  $R^G$ . Thus we conclude that  $r \leq \text{rank}_R F$ .

Proof of the theorem.

First consider (1) and suppose that  $A$  is a Macaulay local ring. It is known that  $A^G$  is a Macaulay local ring (c.f. Proposition 13, [2]). We put  $s = \dim R - \dim A^G$ . (Note that  $s = \dim R^G - \dim A^G$ , since  $\dim R^G = \dim R$  and  $\dim A^G = \dim A$ .) Then we have, by Lemma 3.5 of [1], that  $\beta_s^R(A) = r(A)$ . Similarly we have  $\beta_s^{R^G}(A^G) = r(A^G)$ , since  $R^G$  is a regular local ring by the standard assumption. Thus we conclude that  $r(A^G) \leq r(A)$  by Lemma 2.

Now consider (2) and suppose that  $A$  is a complete intersection. Then  $\beta_1^R(A) = s$  and hence  $\beta_s^{R^G}(A^G) \leq s$  by Lemma 2. On the other hand, since  $R^G$  is a regular local ring and since  $s = \dim R^G - \dim A^G$ , we know that  $\beta_1^{R^G}(A^G) \geq s$ . Therefore  $\beta_1^{R^G}(A^G) = s$  and this implies that  $A^G$  is again a complete intersection.

Acknowledgement. The author thanks Dr. S. Mori for his useful suggestions and discussions.

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