Decompositions of Semi-Prime Rings and Jordan Isomorphisms

By Yoshiki Kurata

§ 1. Recently, in his paper [1], A. W. Goldie studied a minimal decomposition set of a semi-simple ring. In § 2, we shall consider a minimal decomposition set of a semi-prime ring which is an extension of that of semi-simple rings, and shall obtain a generalization of a theorem of M. Nagata [4], Proposition 34.

In §3, we shall consider a Jordan isomorphism of a ring onto a semi-prime ring. Combining with the results in §2. we shall obtain some generalizations of theorems due to I. Kaplansky ([2], Theorems 1 and 3) under the assumption that the prime rings are not of characteristic 2.

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§ 2. A ring *R* is called a *semi-prime ring* if *R* is isomorphic to a subdirect sum of prime rings, that is, if there exist prime ideals \mathfrak{P}_{λ} ($\lambda \in \Lambda$) in *R* such that $\bigwedge_{\lambda \in \Lambda} \mathfrak{P}_{\lambda} = 0$. Whenever Λ_0 is a subset of Λ such that $\bigwedge_{\lambda \in \Lambda_0} \mathfrak{P}_{\lambda} = 0$, *R* is isomorphic to a subdirect sum of prime rings R/\mathfrak{P}_{λ} ($\lambda \in \Lambda_0$). Such a representation of *R* is said to be *irredundant* if *R* is not isomorphic to a subdirect sum of these rings.

A semi-prime ring R has an irredundant representation if and only if R satisfies the following conditions: There exist prime ideals \mathfrak{P}_{λ} ($\lambda \in \Lambda_0$) in R such that $\bigwedge_{\lambda \in \Lambda_0} \mathfrak{P}_{\lambda} = 0$ and $\bigwedge_{\lambda \in \Lambda_1} \mathfrak{P}_{\lambda} \pm 0$ for any proper subset Λ_1 in Λ_0 . A set of prime ideals which satisfies the above conditions is called a *minimal decomposition set for* R (we shall abbreviate it to *m. d. s.*). If we denote $\mathfrak{P}_{\lambda}^* = \bigwedge_{\lambda \in \Lambda_0, \nu \neq \lambda} \mathfrak{P}_{\nu}$, the latter condition may be replaced by $\mathfrak{P}_{\lambda}^* \pm 0$ for all $\lambda \in \Lambda_0$.

Lemma 1. Let \mathfrak{P} be a prime ideal of a ring R.

(i) If \mathfrak{A} is a two-sided ideal of R, then we have either $\mathfrak{A} \subseteq \mathfrak{P}$ or $r(\mathfrak{A})^{1} \subseteq \mathfrak{P}$.

¹⁾ $r(\mathfrak{A})$ $(l(\mathfrak{A}))$ denotes the right (left) annihilator of \mathfrak{A} in R. If R is semi-prime, then $r(\mathfrak{A})=l(\mathfrak{A})$ for any two-sided ideal \mathfrak{A} in R.

(ii) If, in particular, R is semi-prime and $r(\mathfrak{P}) = 0$, then \mathfrak{P} is a minimal prime ideal in R.

Proof. The first part is obvious by the definition of prime ideals. To prove the second part we suppose that \mathfrak{G} is a prime ideal in R such that $\mathfrak{G} \subseteq \mathfrak{P}$. Then we have either $\mathfrak{P} \subseteq \mathfrak{G}$ or $r(\mathfrak{P}) \subseteq \mathfrak{G}$ by (i). The latter implies $r(\mathfrak{P})^2 = 0$, which is a contradiction because R has no non-zero nilpotent ideals.

Lemma 2. Let $\{\mathfrak{P}_{\lambda}\}_{\lambda \in \Lambda_0}$ be an m.d.s. for a semi-prime ring R. Then \mathfrak{P}_{λ} is a minimal prime ideal in R.

Proof. It follows from Lemma 1 (ii).

The following theorem is a generalization of [4], Proposition 34.

Theorem 3. A semi-prime ring R has at most one minimal decomposition set of prime ideals.

Proof. Let $\{\mathfrak{P}_{\lambda}\}_{\lambda\in\Lambda_{0}}$ be an m.d.s. for R and let \mathfrak{P} be a member of another m.d.s. for R. For each $\lambda\in\Lambda_{0}$, we have either $\mathfrak{P}\subseteq\mathfrak{P}_{\lambda}$ or $r(\mathfrak{P})\subseteq\mathfrak{P}_{\lambda}$ by Lemma 1 (i). Since $\bigwedge_{\lambda\in\Lambda_{0}}\mathfrak{P}_{\lambda}=0$ and $r(\mathfrak{P})=0$, $\mathfrak{P}\subseteq\mathfrak{P}_{\lambda}$ for some $\lambda\in\Lambda_{0}$. It follows from Lemma 2 that $\mathfrak{P}=\mathfrak{P}_{\lambda}$.

REMARK. From the above proof, we see that an m.d.s. for a semiprime ring R (if there exists) consists of all prime ideals of R with non-zero right (left) annihilators.

Let S be the complete direct sum of prime rings R_{λ} ($\lambda \in \Lambda$). Then, for $x_{\lambda} \in R_{\lambda}$, (x_{λ}) will signify the element of S whose λ -component is x_{λ} . Now let R_{λ}'' be the subring of S consisting of all elements with zeros in all ν -th places for $\nu \neq \lambda$. Identifying R_{λ}'' with R_{λ} , we obtain $S = R_{\lambda} \oplus R_{\lambda}^{\circ}$ for all $\lambda \in \Lambda$, where R_{λ}° is an ideal of S consisting of all elements with zero in the λ -th place. A subring R of S is a subdirect sum of the prime rings R_{λ} ($\lambda \in \Lambda$), if the set of its λ -th components is equal to R_{λ} for each $\lambda \in \Lambda$.

Theorem 4. $\{R \cap R_{\lambda}^{\circ}\}_{\lambda \in \Lambda}$ is an m.d.s. for a subdirect sum R of R_{λ} $(\lambda \in \Lambda)$ if and only if $R \cap R_{\lambda} \neq 0$ for all $\lambda \in \Lambda$.

Proof. The ideal $R \cap R_{\lambda}^{\circ}$ is a prime ideal of R, since $R/(R \cap R_{\lambda}^{\circ})$ $\simeq (R+R_{\lambda}^{\circ})/R_{\lambda}^{\circ} \simeq R_{\lambda}$. Evidently we have $\bigwedge_{\lambda \in \Lambda} (R \cap R_{\lambda}^{\circ}) = R \cap \bigwedge_{\lambda \in \Lambda} R_{\lambda}^{\circ} = 0$, and $(R \cap R_{\lambda}^{\circ})^{*} = R \cap (R_{\lambda}^{\circ})^{*} = R \cap R_{\lambda} = 0$.

The converse part is clear.

Next, we assume that R is a special subdirect sum²⁾ of prime rings R_{λ} ($\lambda \in \Lambda$), that is, R contains $R_{\lambda}^{"}$ for all $\lambda \in \Lambda$. In this case we have $R = R_{\lambda} \oplus (R \cap R_{\lambda}^{\circ})$, and hence we have

Corollary. If R is a special subdirect sum of prime rings R_{λ} ($\lambda \in \Lambda$), R has an m. d. s. $\{R \cap R_{\lambda}^{\circ}\}_{\lambda \in \Lambda}$.

Furthermore, by Theorem 3, $\{R \cap R_{\lambda}^{\circ}\}_{\lambda \in \Lambda}$ is the unique m.d.s. for R, and hence the totality of R_{λ} which is equal to $(R \cap R_{\lambda}^{\circ})^*$ exhausts the unique prime components in our special subdirect sum representation of R.

§3. A mapping φ of a ring R into another ring R' is called a *Jordan homomorphism* of R into R' if it satisfies the following conditions:

(1) $\varphi(x+y) = \varphi(x) + \varphi(y) ,$

(2)
$$\varphi(xy+yx) = \varphi(x)\varphi(y) + \varphi(y)\varphi(x)$$

for all x and y in R. In case R' is not of characteristic 2 (2x'=0) implies x'=0, (2') is equivalent to

$$\varphi(x^2) = \varphi(x)^2.$$

If, in particular, φ is one-to-one, then we shall say it is a Jordan isomorphism.

In his paper [5], M. F. Smiley proved that a Jordan homomorphism of a ring onto a prime ring which is not of characteristic 2 is either a homomorphism or an anti-homomorphism. In this section, we shall consider a Jordan isomorphism of a ring R onto a semi-prime ring R'. Throughout this section, we assume that R' is a semi-prime ring which is represented as a subdirect sum of prime rings R_{λ}' ($\lambda \ni \Lambda$).

Theorem 5. Let φ be a Jordan isomorphism of a ring R onto R'. Suppose that R_{λ}' is not of characteristic 2 for each $\lambda \in \Lambda$. Then R is also semi-prime and isomorphic to a subdirect sum of prime rings each of which is either isomorphic or anti-isomorphic to some of R_{λ}' .

Proof. The mapping $\varphi_{\lambda}: R \ni x \to \varphi(x)_{\lambda} \in R_{\lambda}'$ is an onto Jordan homomorphism by our assumptions, where $\varphi(x) = (\varphi(x)_{\lambda})$. By a theorem obtained by M. F. Smiley, φ_{λ} is either a homomorphism or an antihomomorphism. If we denote the kernel of φ_{λ} by \mathfrak{P}_{λ} , then \mathfrak{P}_{λ} is a two-sided ideal in R and φ_{λ} induces either an isomorphism or an antiisomorphism of R/\mathfrak{P}_{λ} onto R_{λ}' . Hence \mathfrak{P}_{λ} is a prime ideal in R. More-

²⁾ See [3], §9.

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over, if $x \in \bigwedge_{\lambda \in \Lambda} \mathfrak{P}_{\lambda}, \varphi(x)_{\lambda} = 0$ for all $\lambda \in \Lambda$, and therefore $\varphi(x) = 0$. Since φ is one-to-one, x = 0. Hence R is isomorphic to a subdirect sum of the prime rings R/\mathfrak{P}_{λ} ($\lambda \in \Lambda$).

REMARK. In case R' is a special subdirect sum of R_{λ}' ($\lambda \in \Lambda$), our assumption for the characteristic is nothing but to say that R' is not of characteristic 2.

Now, we suppose that R' is a special subdirect sum of prime rings R_{λ}' ($\lambda \in \Lambda$) and is not of characteristic 2, and suppose that φ is a Jordan isomorphism of a ring R onto R'. Then as is shown in Theorem 5, R is semi-prime, that is, there exist prime ideals \mathfrak{P}_{λ} ($\lambda \in \Lambda$) in R such that $\bigwedge_{\lambda \in \Lambda} \mathfrak{P}_{\lambda} = 0$. Evidently, $R = \mathfrak{P}_{\lambda}^* \oplus \mathfrak{P}_{\lambda}$ corresponding to $R' = R_{\lambda}' \oplus (R' \cap R_{\lambda}^{\circ})$. Then we can see that R is also a special subdirect sum of prime rings \mathfrak{P}_{λ}^* ($\lambda \in \Lambda$).³ And so, by Theorem 3 and Corollary to Theorem 4, $\{\mathfrak{P}_{\lambda}\}_{\lambda \in \Lambda}$ is the unique m.d.s. for R. Accordingly, if we denote \mathfrak{P}_{λ}^* by R_{λ} , then the totality of R_{λ} exhausts the unique prime components in our special subdirect sum representation of R. On the other hand, the totality of R_{λ}' are those of R'. Now we shall prove the following theorem which corresponds to [2], Theorem 3.

Theorem 6. Under the above situation, the prime components of R and R' can be paired off in such a way that φ is an isomorphism or an anti-isomorphism of each pair.

Proof. $\varphi(R_{\lambda}) = \varphi(\mathfrak{P}_{\lambda})^* = (R' \cap R_{\lambda}^{\circ})^* = R_{\lambda}'$. Hence, φ is a Jordan isomorphism of R_{λ} onto R_{λ}' and thus our proof is completed by [5].

Finally, we shall prove the following theorem which corresponds to [2], Theorem 1.

Theorem 7. Let φ , R and R' be as in Theorem 5. Then φ induces in $V_R(R)^{(4)}$ an isomorphism onto $V_{R'}(R')$.

Proof. Let x be in $V_R(R)$, and let $y_{\lambda'}$ be in $R_{\lambda'}$. Taking an element y in R with $\varphi_{\lambda}(y) = y_{\lambda'}$, we have

$$\begin{split} \varphi(x)_{\lambda} \cdot y_{\lambda}' &= \varphi_{\lambda}(x) \cdot \varphi_{\lambda}(y) \\ &= \begin{cases} \varphi_{\lambda}(xy) = \varphi_{\lambda}(yx) & \text{if } \varphi_{\lambda} \text{ is a homomorphism,} \\ \varphi_{\lambda}(yx) = \varphi_{\lambda}(xy) & \text{if } \varphi_{\lambda} \text{ is an anti-homomorphism,} \\ &= \varphi_{\lambda}(y) \cdot \varphi_{\lambda}(x) = y_{\lambda}' \cdot \varphi(x)_{\lambda}, \end{split}$$

which proves $\varphi(V_R(R)) \subseteq V_{R'}(R')$.

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³⁾ See [3], Theorem 15.

⁴⁾ $V_R(R)$ denotes the center of R.

Conversely, let $\varphi(x)$ be an arbitrary element in $V_{R'}(R')$. Then $\varphi_{\lambda}(x) \cdot \varphi_{\lambda}(y) = \varphi_{\lambda}(y) \cdot \varphi_{\lambda}(x)$ for any y in R. As φ_{λ} is either a homomorphism or an anti-homomorphism, by the last equality one will readily see $\varphi(xy) = \varphi(yx) = \varphi(x) \cdot \varphi(y)$. This completes our proof.

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