

RESOLUTION AND THE CONSISTENCY OF ANALYSIS

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§1. *Introduction.** In [2] we formulated a system \mathcal{R} , called a Resolution system, for refuting finite sets of sentences of type theory, and proved that \mathcal{R} is complete in the (weak) sense that every set of sentences which can be refuted in the system \mathcal{C} of type theory due to Church [5] can also be refuted in \mathcal{R} . The statement that \mathcal{R} is in this sense complete is a purely syntactic one concerning finite sequences of wffs. However, it is clear that there can be no purely syntactic proof of the completeness of \mathcal{R} , since the completeness of \mathcal{R} is closely related to Takeuti's conjecture [9] (since proved by Takahashi [8] and Pravitz [7]) concerning cut-elimination in type theory. As Takeuti pointed out in [9] and [10], cut-elimination in type theory implies the consistency of analysis. Indeed, Takeuti's conjecture implies the consistency of a formulation of type theory with an axiom of infinity; in such a system classical analysis and much more can be formalized. Hence, to avoid a conflict with Gödel's theorem, any proof of the completeness of resolution in type theory must involve arguments which cannot be formalized in type theory with an axiom of infinity. Indeed, the proof in [2] does involve a semantic argument. Nevertheless, it must be admitted that anyone who does not find the line of reasoning sketched above completely clear will have difficulty finding a unified and coherent exposition of the entire argument in the published literature. We propose to remedy this situation here.

We presuppose familiarity with §2 (The System \mathcal{C}) and Definitions 4.1 and 5.1 (The Resolution System \mathcal{R}) of [2], and follow the notation used there. In particular, \square stands for the contradictory sentence $\forall p_0 p_0$. To distinguish between formulations of \mathcal{C} with different sets of parameters, we henceforth assume \mathcal{C} has no parameters, and denote by $\mathcal{C}(\mathbf{A}^1, \dots, \mathbf{A}^n)$ a formulation of the system with parameters $\mathbf{A}^1, \dots, \mathbf{A}^n$. If \mathcal{H} is a set of sentences, $\mathcal{H} \vdash_{\mathcal{S}} \mathbf{B}$ shall mean that \mathbf{B} is derivable from some finite subset of \mathcal{H} in system \mathcal{S} . The deduction theorem is proved in §5 of [5]. We shall

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incorporate into our argument Gandy's results in §3 of [6] with some minor modifications. We also wish to thank Professor Gandy for the basic idea (attributed by him to Turing) used below in showing the relative consistency of the axiom of descriptions. (This idea is mentioned briefly at the top of page 48 of [6].) We shall have occasion to refer to the following wffs:

The set \mathcal{E} of *axioms of extensionality*:

$$\begin{aligned} E^o: & \quad \forall p_o \forall q_o \cdot p_o \equiv q_o \supset p_o = q_o. \\ E^{(\alpha\beta)}: & \quad \forall f_{\alpha\beta} \forall g_{\alpha\beta} \cdot \forall x_\beta [f_{\alpha\beta} x_\beta = g_{\alpha\beta} x_\beta] \supset f_{\alpha\beta} = g_{\alpha\beta}. \end{aligned}$$

The *axiom of descriptions* for type α :

$$D^\alpha: \quad \forall f_{o\alpha} \cdot \exists_1 x_\alpha f_{o\alpha} x_\alpha \supset f_{o\alpha} [L_{\alpha(o\alpha)} f_{o\alpha}].$$

An *axiom of infinity* for type α :

$$\begin{aligned} J^\alpha: & \quad \exists r_{o\alpha\alpha} \forall x_\alpha \forall y_\alpha \forall z_\alpha \cdot \exists w_\alpha r_{o\alpha\alpha} x_\alpha w_\alpha \wedge \\ & \quad \sim r_{o\alpha\alpha} x_\alpha x_\alpha \wedge \cdot \sim r_{o\alpha\alpha} x_\alpha y_\alpha \vee \sim r_{o\alpha\alpha} y_\alpha z_\alpha \vee r_{o\alpha\alpha} x_\alpha z_\alpha. \end{aligned}$$

We let \mathcal{A} denote the system obtained when one adds to $\mathcal{U}(L_{(o\alpha)})$ the axioms \mathcal{E} , D^α , and J^α . (Description operators and axioms for higher types are not needed, since Church showed [5] that they can be introduced by definition. This matter is also discussed in [3]).

In §4 we shall show how the natural numbers can be defined, and Peano's Postulates can be proved, in \mathcal{A} . The basic ideas here go back to Russell and Whitehead [11], of course, but our simple axiom of infinity is not that of Principia Mathematica, but is due to Bernays and Schönfinkel [4]. The natural numbers can be treated in a variety of ways in type theory (e.g., as in [5]), but we believe that the treatment given here has certain advantages of simplicity and naturalness. The simplicity of the axiom of infinity J^α is essential to our program in §3.

Once one has represented the natural numbers in \mathcal{A} , one can easily represent the primitive recursive functions. (With minor changes in type symbols, the details can be found in Chapter 3 of [1].) Syntactic statements about wffs can be represented in the usual way by wffs of \mathcal{A} via the device of Gödel numbering. Thus there is a wff *Consis* of \mathcal{A} whose interpretation is that \mathcal{A} is consistent, and by Gödel's theorem it is not the case that $\vdash_{\mathcal{A}} \text{Consis}$. Nevertheless, much of mathematics can be formalized in \mathcal{A} .

The completeness theorem for \mathcal{R} (Theorem 5.3 of [2]) is also a purely syntactic statement, and hence can be represented by a wff R of \mathcal{A} . After preparing the ground in §2 with some preliminary results, in §3 we shall show that by using the completeness of \mathcal{R} we can prove the consistency of \mathcal{A} . This argument will be purely syntactic, and could be formalized in \mathcal{A} , so $\vdash_{\mathcal{A}} [R \supset \text{Consis}]$. Thus it is not the case that $\vdash_{\mathcal{A}} R$, so any proof of the completeness of resolution in type theory must transcend the rather considerable means of proof available in \mathcal{A} . Of course such a proof can be formalized in transfinite type theory or in Zermelo set theory.

§2. *Preliminary Definitions and Lemmas.* We first establish some preliminary results which will be useful in §3. The reader may wish to

postpone the proofs of this section and proceed rapidly to §3. In presenting proofs of theorems of $\bar{\mathcal{C}}$ (and extensions of $\bar{\mathcal{C}}$), we shall make extensive use of proofs from hypotheses and the deduction theorem. Each line of a proof will have a number, which will appear at the left hand margin in parentheses. For the sake of brevity, this number will be used as an abbreviation for the wff which is asserted in that line. At the right hand margin we shall list the number(s) of the line(s) from which the given line is inferred (unless it is simply inferred from the preceding line). We use ‘hyp’ to indicate that the wff is inferred with the aid of one or more of the hypotheses of the given line. Thus in

- (.1) $\vdash A$
- (.2) $B \vdash B$ hyp
- (.3) $B \vdash C$.1, .2
- (.4) $D \vdash C$.1, hyp

the hypothesis B is introduced in line .2, and C is inferred from B and the theorem A in line .3; C is also inferred from A and a different hypothesis D in line .4. However, if the wffs B and C are long, we may write this proof instead as follows:

- (.1) $\vdash A$
- (.2) $.2 \vdash B$ hyp
- (.3) $.2 \vdash C$.1, .2
- (.4) $D \vdash .3$.1, hyp

A generally useful derived rule of inference is that if \mathcal{H} is a set of hypotheses such that $\mathcal{H} \vdash \exists xA$ and $\mathcal{H}, A \vdash B$, where x does not occur free in B or any wff of \mathcal{H} , then $\mathcal{H} \vdash B$. We shall indicate applications of this rule in the following fashion:

- (.17) $\mathcal{H} \vdash \exists xA$...
- (.20) $\mathcal{H}, .20 \vdash A$ choose x (.17)
- (.23) $\mathcal{H}, .20 \vdash B$...
- (.24) $\mathcal{H} \vdash B$.17, .23

If the wff A is long, we might write step (.17) as follows:

- (.17) $\mathcal{H} \vdash \exists x.20$

We shall present only abstracts of proofs, omitting many steps and using familiar laws of quantification theory, equality, and λ -conversion quite freely. We shall usually omit type symbols on occurrences of variables after the first.

Definition. For each wff A of $\bar{\mathcal{C}}(\iota_{o_i(o(o_i))})$, let $\#A$ be the wff of $\bar{\mathcal{C}}$ which is the result of replacing the primitive constant $\iota_{o_i(o(o_i))}$ everywhere by the wff

$$[\lambda f_{o(o_i)} \lambda z_i . \exists x_{o_i} . f_{o(o_i)} x_{o_i} \wedge x_{o_i} z_i].$$

Lemma 1. $E^o, E^{o_i} \vdash_{\bar{\mathcal{C}}} \#D^{o_i}$.

Proof: First note that $\#D^{o_i} \text{ conv } \forall f_{o(o_i)} . \exists_1 x_{o_i} f x \supset f[\lambda z_i . \exists x_{o_i} . f x \wedge x z]$

- (.1) $.1 \vdash \exists_1 x_{oi} f_{o(o_i)} x_{oi}$ hyp
 (.2) $.1, .2 \vdash f_{o(o_i)} x_{oi} \wedge \forall u_{oi}. fu \supset u = x$ choose x (.1)
 (.3) $.1, .2 \vdash x_{oi} z_i \equiv \exists x_{oi}. f_{o(o_i)} x \wedge xz$.2
 (.4) $E^o, .1, .2 \vdash \forall z_i. x_{oi} z_i = \exists x_{oi}. f_{o(o_i)} x \wedge xz$.3, E^o
 (.5) $E^o, E^{oi}, .1, .2 \vdash x_{oi} = [\lambda z_i. \exists x_{oi}. f_{o(o_i)} x \wedge xz]$.4, E^{oi}
 (.6) $E^o, E^{oi}, .1, .2 \vdash f_{o(o_i)} [\lambda z_i. \exists x_{oi}. fx \wedge xz]$.2, .5
 (.7) $E^o, E^{oi}, .1 \vdash .6$.1, .6
 (.8) $E^o, E^{oi} \vdash \#D^{oi}$.7

Lemma 2. $J^t \vdash J^{oi}$

Proof: We assume J^t .

- (.1) $.1 \vdash \forall x_i \forall y_i \forall z_i. \exists w_i r_{oi} xw \wedge \sim rxx \wedge \sim rxy \vee \sim ryz \vee rxz$ choose r_{oi}

Let $K_{o(o_i)(oi)}$ be

$$[\lambda u_{oi} \lambda v_{oi}. \exists t_i v_{oi} t_i \wedge \sim \exists s_i u_{oi} s_i \vee \exists s_i. u_{oi} s_i \wedge \forall t_i. v_{oi} t_i \supset r_{oi} s_i t_i].$$

We shall establish in lines (.11), (.16) and (.31) that K has the properties necessary to establish J^{oi} . To attack (.11) we consider two cases, (.2) and (.5).

- (.2) $.2 \vdash \sim \exists s_i x_{oi} s$ hyp (case 1)
 (.3) $.2 \vdash K x_{oi} [\lambda t_i. t_i = t_i]$.2, def. of K
 (.4) $.2 \vdash \exists w_{oi} K x_{oi} w$.3
 (.5) $.5 \vdash \exists s_i x_{oi} s_i$ hyp (case 2)
 (.6) $.5, .6 \vdash x_{oi} s_i$ choose s (.5)
 (.7) $.1, .5, .6, .7 \vdash r_{oi} s_i w_i$ choose w_i (.1)
 (.8) $.1, .5, .6, .7 \vdash K x_{oi} [\lambda t_i. w_i = t_i]$.6, .7, def. of K
 (.9) $.1, .5, .6, .7 \vdash \exists w_{oi} K x_{oi} w$.8
 (.10) $.1, .5 \vdash .9$.9, .1, .5
 (.11) $.1 \vdash \exists w_{oi} K x_{oi} w$.4, .10

Next we attack (.16). The proof is by contradiction.

- (.12) $.12 \vdash K x_{oi} x_{oi}$ hyp
 (.13) $.12 \vdash \exists s_i. x_{oi} s \wedge \forall t_i. xt \supset r_{oi} st$.12, def. of K
 (.14) $.12 \vdash \exists s_i r_{oi} ss$.13 (instantiate t with s)
 (.15) $.1 \vdash \forall s_i \sim r_{oi} ss$.1
 (.16) $.1 \vdash \sim K x_{oi} x_{oi}$.14, .15

Finally we attack (.31).

- (.17) $.17 \vdash K x_{oi} y_{oi} \wedge K y_{oi} z_{oi}$ hyp
 (.18) $.17 \vdash \exists t_i y_{oi} t \wedge \exists t_i z_{oi} t$.17, def. of K
 (.19) $.17 \vdash \sim \exists s_i x_{oi} s \vee \exists s_i. xs \wedge \forall q_i. y_{oi} q \supset r_{oi} sq$.17, def. of K

In (.20) and (.21) we consider the two possibilities set forth in (.19).

- (.20) $.17, \sim \exists s_i x_{oi} s \vdash K x_{oi} z_{oi}$.18, hyp, def. of K
 (.21) $.17, .21 \vdash \exists s_i. x_{oi} s \wedge \forall q_i. y_{oi} q \supset r_{oi} sq$ hyp
 (.22) $.17, .21, .22 \vdash x_{oi} s_i \wedge \forall q_i. y_{oi} q \supset r_{oi} sq$ choose s (.21)

- (.23) .17 $\vdash \exists q_i . 24$.17, .18, def. of K
 (.24) .17, .24 $\vdash y_{oi} q_i \wedge \forall t_i . z_{oi} t \supset r_{oui} q t$ choose q (.23)
 (.25) .17, .21, .22, .24, $z_{oi} t_i \vdash r_{oui} s_i q_i \wedge r q t_i$ hyp, .22, .24
 (.26) .1, .17, .21, .22, .24, $z_{oi} t_i \vdash r_{oui} s_i t_i$.1, .25
 (.27) .1, .17, .21, .22, .24 $\vdash \forall t_i . z_{oi} t \supset r_{oui} s_i t$.26
 (.28) .1, .17, .21, .22, .24 $\vdash K x_{oi} z_{oi}$.18, .22, .27, def. of K
 (.29) .1, .17, .21 $\vdash .28$.23, .21, .28
 (.30) .1, .17 $\vdash .28$.19, .20, .29
 (.31) .1 $\vdash \sim K x_{oi} y_{oi} \vee \sim K y z_{oi} \vee K x z$.30
 (.32) .1 $\vdash J^{oi}$.11, .16, .31
 (.33) $J^i \vdash J^{oi}$.32

We next repeat Gandy's definitions in [6] with some minor modifications.

Definition. By induction on γ , we define wffs $\text{Mod}_{o\gamma}$ and $M_{o\gamma\gamma}$ for each type symbol γ .

$\mathbf{A}_\gamma \stackrel{M}{=} \mathbf{B}_\gamma$ stands for $M_{o\gamma\gamma} \mathbf{A}_\gamma \mathbf{B}_\gamma$.

$\text{Mod}_{o\kappa}$ stands for $[\lambda x_\kappa \exists p_o p_o]$ for $\kappa = o, \iota$.

M_{ooo} stands for $[\lambda p_o \lambda q_o . p_o \equiv q_o]$.

M_{oui} stands for $[\lambda x_i \lambda y_i . x_i = y_i]$.

$\text{Mod}_{o(\alpha\beta)}$ stands for $[\lambda f_{\alpha\beta} . \forall x_\beta \forall y_\beta . \text{Mod}_{o\beta} x_\beta \wedge \text{Mod}_{o\beta} y_\beta \wedge x_\beta \stackrel{M}{=} y_\beta \supset . \text{Mod}_{o\alpha} [f_{\alpha\beta} x_\beta] \wedge . f_{\alpha\beta} x_\beta \stackrel{M}{=} f_{\alpha\beta} y_\beta]$.

$M_{o(\alpha\beta)(\alpha\beta)}$ stands for $[\lambda f_{\alpha\beta} \lambda g_{\alpha\beta} . \forall x_\beta . \text{Mod}_{o\beta} x_\beta \supset . f_{\alpha\beta} x_\beta \stackrel{M}{=} g_{\alpha\beta} x_\beta]$.

Lemma 3. $\vdash_{\mathcal{C}} x_\alpha \stackrel{M}{=} x_\alpha \wedge . x_\alpha \stackrel{M}{=} y_\alpha \supset . z_\alpha \stackrel{M}{=} x_\alpha \equiv . z_\alpha \stackrel{M}{=} y_\alpha$.

Proof: By induction on α .

Definition. For each wff \mathbf{A} of \mathcal{C} , \mathbf{A}^\top is the result of replacing $\Pi_{o(o\alpha)}$ by $[\lambda f_{o\alpha} . \forall x_\alpha . \text{Mod}_{o\alpha} x_\alpha \supset f_{o\alpha} x_\alpha]$ everywhere in \mathbf{A} .

Lemma 4. If $\mathbf{A}^1, \dots, \mathbf{A}^n$, and \mathbf{B} are sentences of \mathcal{C} such that $\mathbf{A}_1, \dots, \mathbf{A}^n \vdash_{\mathcal{C}} \mathbf{B}$, then $(\mathbf{A}^1)^\top, \dots, (\mathbf{A}^n)^\top \vdash_{\mathcal{C}} \mathbf{B}^\top$.

Proof: This is an immediate consequence of Theorem 3.26 of [6], since Gandy's full translation \mathbf{C}^F of \mathbf{C} is \mathbf{C}^\top when \mathbf{C} is a sentence. Our modifications of Gandy's definitions do not injure the proof.

Lemma 5. $\vdash_{\mathcal{C}} \text{Mod}[M_{o\alpha\alpha} z_\alpha]$.

Proof: $\text{Mod}[M_{o\alpha\alpha} z_\alpha]$ is equivalent to

$\forall x_\alpha \forall y_\alpha [\text{Mod } x_\alpha \wedge \text{Mod } y_\alpha \wedge x \stackrel{M}{=} y \supset . \text{Mod}[M_{o\alpha\alpha} z_\alpha x_\alpha] \wedge . M_{o\alpha\alpha} z_\alpha x_\alpha \equiv M_{o\alpha\alpha} z_\alpha y_\alpha]$.

This is readily proved using the definition of Mod_{ooo} and Lemma 3.

Lemma 6. $\vdash_{\mathcal{C}} (\mathbf{E}^\gamma)^\top$ for each \mathbf{E}^γ in \mathcal{E} .

Proof: $(\mathbf{E}^o)^\top$ is equivalent to

$\forall p_o [\text{Mod } p_o \supset \forall q_o . \text{Mod } q_o \supset . [p_o \equiv q_o] \supset \forall f_{oo} . \text{Mod } f_{oo} \supset . f_{oo} p_o \supset f_{oo} q_o]$,

which is easily proved using the definition of $\text{Mod } f_{oo}$. $(\mathbf{E}^{\alpha^3})^\top$ is equivalent to

$$\forall f_{\alpha\beta} [\text{Mod } f \supset \forall g_{\alpha\beta} \cdot \text{Mod } g \supset \cdot \forall x_{\beta} [\text{Mod } x \supset \forall h_{\alpha\alpha} \cdot \text{Mod } h \supset \cdot h[fx] \supset h.gx] \\ \supset \forall k_{\alpha(\alpha\beta)} \cdot \text{Mod } k \supset \cdot kf \supset kg],$$

which we prove as follows:

- (.1) .1 $\vdash \text{Mod } f_{\alpha\beta} \wedge \text{Mod } g_{\alpha\beta}$ hyp
 (.2) .2 $\vdash \forall x_{\beta} [\text{Mod } x \supset \forall h_{\alpha\alpha} \cdot \text{Mod } h \supset \cdot h[fx] \supset h.gx]$ hyp
 (.3) .3 $\vdash \text{Mod } k_{\alpha(\alpha\beta)}$ hyp
 (.4) $\vdash \text{Mod}_{(\alpha\alpha)} \cdot M_{\alpha\alpha\alpha} \cdot f_{\alpha\beta} x_{\beta}$ Lemma 5
 (.5) .2, $\text{Mod } x_{\beta} \vdash [M_{\alpha\alpha\alpha} \cdot f_{\alpha\beta} x_{\beta}] [f_{\alpha\beta} x_{\beta}] \supset \cdot [M_{\alpha\alpha\alpha} \cdot f_{\alpha\beta} x_{\beta}] \cdot g_{\alpha\beta} x_{\beta}$
.2, .4 (instantiate $h_{\alpha\alpha}$ with $M[fx]$)
 (.6) $\vdash M_{\alpha\alpha\alpha} [f_{\alpha\beta} x_{\beta}] [f_{\alpha\beta} x_{\beta}]$ Lemma 3
 (.7) .2, $\text{Mod } x_{\beta} \vdash f_{\alpha\beta} x_{\beta} \stackrel{M}{=} g_{\alpha\beta} x_{\beta}$.5, .6
 (.8) .2 $\vdash f_{\alpha\beta} \stackrel{M}{=} g_{\alpha\beta}$.7, def. of $M_{\alpha(\alpha\beta)(\alpha\beta)}$
 (.9) .1, .2, .3 $\vdash k_{\alpha(\alpha\beta)} f_{\alpha\beta} \equiv k_{\alpha(\alpha\beta)} g_{\alpha\beta}$.3, def. of $\text{Mod } k_{\alpha(\alpha\beta)}$, .1, .8
 (.10) $\vdash (\mathbb{E}^{\alpha\beta})^{\top}$.9

Lemma 7. $\vdash_{\sigma} \text{Mod } r_{\alpha\alpha}$.

Proof: $\text{Mod } z_{\alpha i}$ is equivalent to

$$\forall x_i \forall y_i [\text{Mod } x_i \wedge \text{Mod } y_i \wedge x_i = y_i \supset \cdot \text{Mod} [z_{\alpha i} x_i] \wedge \cdot z_{\alpha i} x_i \equiv z_{\alpha i} y_i]$$

so $\vdash \forall z_{\alpha i} \text{Mod } z_{\alpha i}$. $\text{Mod } r_{\alpha\alpha}$ is equivalent to

$$\forall x_i \forall y_i [\text{Mod } x_i \wedge \text{Mod } y_i \wedge x_i = y_i \supset \cdot \text{Mod} [r_{\alpha\alpha} x_i] \wedge \forall w_i \cdot \text{Mod } w_i \supset \cdot \\ r_{\alpha\alpha} x_i w_i \equiv r_{\alpha\alpha} y_i w_i],$$

which is easily proved.

Lemma 8. $J^i \vdash_{\sigma} (J^i)^{\top}$.

Proof: $(J^i)^{\top}$ is equivalent to

$$\exists r_{\alpha\alpha} [\text{Mod } r_{\alpha\alpha} \wedge \forall x_i \cdot \text{Mod } x_i \supset \forall y_i \cdot \text{Mod } y_i \supset \forall z_i \cdot \text{Mod } z_i \supset \cdot \\ \exists w_i [\text{Mod } w_i \wedge r_{\alpha\alpha} x_i w_i] \wedge \sim r_{\alpha\alpha} x_i x_i \wedge \sim r_{\alpha\alpha} x_i y_i \vee \sim r_{\alpha\alpha} y_i z_i \vee r_{\alpha\alpha} x_i z_i].$$

This is easily derived from J^i with the aid of Lemma 7.

Definition: Let θ be the substitution $\mathbb{S}_{\mathbf{A}^1 \dots \mathbf{A}^n}^{x^1 \dots x^n}$, i.e., the simultaneous substitution of \mathbf{A}^i for all free occurrences of x^i for $1 \leq i \leq n$, where x^1, \dots, x^n are distinct variables and \mathbf{A}^i has the same type as x^i for $1 \leq i \leq n$. If \mathbf{B} is any wff, we let $\theta * \mathbf{B}$ denote $\eta[[\lambda x^1 \dots \lambda x^n \mathbf{B}] \mathbf{A}^1 \dots \mathbf{A}^n]$. If θ is the null substitution (i.e., $n = 0$), then $\theta * \mathbf{B}$ denotes $\eta \mathbf{B}$.

Note that if x_{α} and y_{β} are distinct variables, $[[\lambda x_{\alpha} \lambda y_{\beta} \mathbf{B}] \mathbf{A}_{\alpha} \mathbf{C}_{\beta}] \text{conv} [[\lambda y_{\beta} \lambda x_{\alpha} \mathbf{B}] \mathbf{C}_{\beta} \mathbf{A}_{\alpha}]$, so the definition above is unambiguous. Clearly, if there are no conflicts of bound variables, $\theta * \mathbf{B}$ is simply $\eta \theta \mathbf{B}$, the η -normal form of the result of applying the substitution θ to \mathbf{B} . From the definition it is evident that if $\mathbf{B} \text{conv } \mathbf{C}$, then $\theta * \mathbf{B} = \theta * \mathbf{C}$.

§3. The Consistency of \mathcal{A} .

Theorem. \mathcal{A} is consistent.

Proof: The proof is by contradiction, so we suppose \mathcal{A} is inconsistent. Thus

- (1) $J^i, \mathcal{E}, D^i \vdash_{\overline{\sigma}(\iota(o_i))} \square$.
- (2) $J^{o_i}, \mathcal{E}, D^{o_i} \vdash_{\overline{\sigma}(\iota(o_i(o_i)))} \square$.

Proof: Replace the type symbol ι by the type symbol (o_i) everywhere in the sequence of wffs which constitutes a proof of \square whose existence is asserted in step 1. By checking the axioms and rules of inference of $\overline{\sigma}$ one easily sees that a proof of \square satisfying the requirements of step 2 is obtained.

- (3) $J^{o_i}, \mathcal{E}, \#D^{o_i} \vdash_{\overline{\sigma}} \square$.

Proof: The replacement of \mathbf{A} by $\#\mathbf{A}$ everywhere in the proof whose existence is asserted in step 2 yields a proof satisfying step 3, possibly after the insertion of a few applications of the rule of alphabetic change of bound variables.

- (4) $J^{o_i}, \mathcal{E} \vdash_{\overline{\sigma}} \square$ by Lemma 1.
- (5) $J^i, \mathcal{E} \vdash_{\overline{\sigma}} \square$ by Lemma 2.
- (6) $(J^i)^{\top}, \{(E^y)^{\top} \mid E^y \in \mathcal{E}\} \vdash_{\overline{\sigma}} \square$

Proof: By Lemma 4, since $\vdash_{\overline{\sigma}} \square^{\top} \supset \square$.

- (7) $(J^i)^{\top} \vdash_{\overline{\sigma}} \square$ by Lemma 6.
- (8) $J^i \vdash_{\overline{\sigma}} \square$ by Lemma 8.

We next introduce parameters \overline{r}_{ou} and \overline{g}_u . Let:

$$\mathcal{J} = \{ \forall x_i \overline{r}_{ou} x_i [\overline{g}_u x_i], \forall x_i \sim \overline{r}_{ou} x_i x_i, \forall x_i \forall y_i \forall z_i \cdot \sim \overline{r}_{ou} x_i y_i \vee \sim \overline{r}_{ou} y_i z_i \vee \overline{r}_{ou} x_i z_i \}.$$

- (9) $\mathcal{J} \vdash_{\overline{\sigma}(\overline{r}_{ou}, \overline{g}_u)} \square$.

Proof: $J^i \vdash_{\overline{\sigma}(\overline{r}, \overline{g})} \square$ by (8), and $\mathcal{J} \vdash_{\overline{\sigma}(\overline{r}, \overline{g})} J^i$.

- (10) $\mathcal{J} \vdash_{\mathcal{R}} \square$

Proof: This follows from (9) by the completeness of resolution in type theory, i.e., Theorem 5.3 of [2]. The proof of this theorem is the one non-syntactic step in our present proof of the consistency of \mathcal{A} .

- (11) It is not the case that $\mathcal{J} \vdash_{\mathcal{R}} \square$.

Proof: An η -wff of the form $\overline{r}_{ou} \mathbf{A}_i \mathbf{B}_i$ will be called *positive* if the number of occurrences of \overline{g}_u in \mathbf{A}_i is strictly less than the number of occurrences of \overline{g}_u in \mathbf{B}_i , and otherwise *negative*. An η -wff of the form $\sim \overline{r}_{ou} \mathbf{A}_i \mathbf{B}_i$ will be called positive iff $\overline{r}_{ou} \mathbf{A}_i \mathbf{B}_i$ is negative, and negative iff $\overline{r}_{ou} \mathbf{A}_i \mathbf{B}_i$ is positive. Let \mathcal{F} be the set of wffs \mathbf{G} having one of the following six forms:

- (a) $\forall x_i \overline{r} x [\overline{g} x]$
- (b) $\forall x_i \sim \overline{r} x x$
- (c) $\forall x_i \forall y_i \forall z_i [\sim \overline{r} x y \vee \sim \overline{r} y z \vee \overline{r} x z]$ where $x_i, y_i,$ and z_i are distinct variables.

- (d) $\forall \mathbf{y}_i \forall \mathbf{z}_i [\sim \bar{\mathcal{R}} \mathbf{A}_i \mathbf{y}_i \vee \sim \bar{\mathcal{R}} \mathbf{y}_i \mathbf{z}_i \vee \bar{\mathcal{R}} \mathbf{A}_i \mathbf{z}_i]$ where \mathbf{y}_i and \mathbf{z}_i are distinct from one another and from the free variables of \mathbf{A}_i .
- (e) $\forall \mathbf{z}_i [\sim \bar{\mathcal{R}} \mathbf{A}_i \mathbf{B}_i \vee \sim \bar{\mathcal{R}} \mathbf{B}_i \mathbf{z}_i \vee \bar{\mathcal{R}} \mathbf{A}_i \mathbf{z}_i]$ where \mathbf{z}_i is distinct from the free variables of \mathbf{A}_i and of \mathbf{B}_i .
- (f) \mathbf{G} is a disjunction of wffs, each of the form $\bar{\mathcal{R}} \mathbf{A}_i \mathbf{B}_i$ or $\sim \bar{\mathcal{R}} \mathbf{A} \mathbf{B}$, at least one of which is positive.

Let \mathcal{C} be the set of wffs \mathbf{C} such that for each substitution θ , $\theta * \mathbf{C}$ is in \mathcal{F} . We assert that if $\mathcal{J} \vdash_{\mathcal{R}} \mathbf{C}$, then $\mathbf{C} \in \mathcal{C}$. Clearly $\mathcal{J} \subseteq \mathcal{C}$, so it suffices to show that \mathcal{C} is closed under the rules of inference of \mathcal{R} . For each rule of inference of \mathcal{R} and any substitution θ , we show that $\theta * \mathbf{E} \in \mathcal{F}$ for any wff \mathbf{E} derived from wff(s) of \mathcal{C} by that rule.

Suppose $\mathbf{M} \vee \mathbf{A}$ and $\mathbf{N} \vee \sim \mathbf{A}$ are in \mathcal{C} , and $\mathbf{M} \vee \mathbf{N}$ is obtained from them by cut. Then $\theta * [\mathbf{M} \vee \mathbf{A}]$ and $\theta * [\mathbf{N} \vee \sim \mathbf{A}]$ must each have form (f). (For $\theta * [\mathbf{N} \vee \sim \mathbf{A}] = [(\theta * \mathbf{N}) \vee (\theta * \sim \mathbf{A})]$; even if \mathbf{N} is null, this cannot have any of the forms (a)-(e), so $\theta * \mathbf{A}$ must have the form $\bar{\mathcal{R}} \mathbf{B}_i \mathbf{C}_i$.) $\theta * [\mathbf{M} \vee \mathbf{A}] = [(\theta * \mathbf{M}) \vee \theta * \mathbf{A}]$; if $\theta * \mathbf{A}$ is negative, $\theta * \mathbf{M}$ must contain a positive wff (so \mathbf{M} cannot be null), so $\theta * [\mathbf{M} \vee \mathbf{N}]$ does also. If $\theta * \mathbf{A}$ is positive, then $\theta * [\sim \mathbf{A}]$ is negative, so $\theta * \mathbf{N}$ must contain a positive wff, so $\theta * [\mathbf{M} \vee \mathbf{N}]$ does also, and hence has form (f).

Suppose \mathbf{D} is in \mathcal{C} , and $[\lambda \mathbf{x}_\alpha \mathbf{D}] \mathbf{B}_\alpha$ is obtained from \mathbf{D} by substitution. Let ρ be the substitution $\sum_{\mathbf{B}_\alpha}^{x_\alpha}$, and let $\theta \circ \rho$ be the substitution which is the composition of θ with ρ (i.e., $(\theta \circ \rho) * \mathbf{C} = \theta * (\rho * \mathbf{C})$ for each wff \mathbf{C}). Then $\theta * [[\lambda \mathbf{x}_\alpha \mathbf{D}] \mathbf{B}_\alpha] = \theta * \eta [[\lambda \mathbf{x}_\alpha \mathbf{D}] \mathbf{B}_\alpha] = \theta * (\rho * \mathbf{D}) = (\theta \circ \rho) * \mathbf{D} \in \mathcal{F}$ since $\mathbf{D} \in \mathcal{C}$, so $[[\lambda \mathbf{x}_\alpha \mathbf{D}] \mathbf{B}_\alpha] \in \mathcal{C}$.

Suppose $\mathbf{D} \in \mathcal{C}$ and \mathbf{E} is derived from \mathbf{D} by universal instantiation. Thus \mathbf{D} has the form $\mathbf{M} \vee \Pi_{o(o\alpha)} \mathbf{A}_{o\alpha}$, where \mathbf{M} may be null. By considering the null substitution we see that $\eta \mathbf{D} \in \mathcal{F}$, so \mathbf{D} has the form $\Pi_{o(o_i)} \mathbf{A}_{o_i}$ and \mathbf{E} has the form $\mathbf{A}_{o_i} \mathbf{x}_i$. It is easily checked by examining forms (a)-(e) that if \mathbf{H} is any wff obtained from a wff of \mathcal{F} by universal instantiation, then $(\theta * \mathbf{H}) \in \mathcal{F}$. But $(\eta \mathbf{A}_{o_i}) \mathbf{x}_i$ is obtained from $\eta \mathbf{D}$ by universal instantiation, so $\theta * \mathbf{E} = \theta * [(\eta \mathbf{A}_{o_i}) \mathbf{x}_i]$ is in \mathcal{F} .

The verification that \mathcal{C} is closed under the remaining rules of inference of \mathcal{R} is trivial, so our assertion is proved. Now \square is not in \mathcal{C} , so it is not the case that $\mathcal{J} \vdash_{\mathcal{R}} \square$.

(12) The contradiction between (10) and (11) proves our theorem.

§4. *The Natural Numbers in \mathcal{A} .* We shall define the natural numbers to be equivalence classes of sets of individuals having the same finite cardinality. We let σ denote the type symbol $(o(o_i))$. σ is the type of natural numbers.

Definitions:

\mathbf{O}_σ stands for $[\lambda p_{o_i} \forall x_i \sim p_{o_i} x_i]$.

$\mathbf{S}_{\sigma\sigma}$ stands for $[\lambda n_{o(o_i)} \lambda p_{o_i} \exists x_i. p_{o_i} x_i \wedge n_{o(o_i)} [\lambda t_i. t_i \neq x_i \wedge p_{o_i} t_i]]$.

$\mathbf{N}_{\sigma\sigma}$ stands for $[\lambda n_{\sigma\sigma} \forall p_{\sigma\sigma}. [p_{\sigma\sigma} \mathbf{O}_\sigma \wedge \forall x_{\sigma\sigma}. p_{\sigma\sigma} x_{\sigma\sigma} \supset p_{\sigma\sigma} \mathbf{S}_{\sigma\sigma} x_{\sigma\sigma}] \supset p_{\sigma\sigma} n_{\sigma\sigma}]$.

$\dot{\forall}x_\sigma A$ stands for $\forall x_\sigma [N_{\sigma\sigma}x_\sigma \supset A]$.

$\dot{\exists}x_\sigma A$ stands for $\exists x_\sigma [N_{\sigma\sigma}x_\sigma \wedge A]$.

Thus zero is the collection of all sets with zero members, i.e., the collection containing just the empty set $[\lambda x_i \square]$. S represents the successor function. If $n_{(o(o_i))}$ is a finite cardinal (say 2), then a set p_{o_i} (say $\{a, b, c\}$) is in Sn iff there is an individual (say c) which is in p_{o_i} and whose deletion from p_{o_i} leaves a set $\{a, b\}$ which is in n . $N_{\sigma\sigma}$ represents the set of natural numbers, i.e., the intersection of all sets which contain O and are closed under S .

We now prove Peano's Postulates (Theorems 1, 2, 3, 4, and 7 below.) In this section $\vdash B$ means B is a theorem of \mathcal{A} .

1 $\vdash N_{\sigma\sigma}O_\sigma$ by the def. of N

2 $\vdash \forall x_\sigma. N_{\sigma\sigma}x_\sigma \supset N_{\sigma\sigma}. S_{\sigma\sigma}x_\sigma$

Proof:

(.1) $Nx_\sigma, .1 \vdash p_{\sigma\sigma}O \wedge \forall x_\sigma. px \supset p. Sx$ hyp

(.2) $Nx_\sigma, .1 \vdash p_{\sigma\sigma}x_\sigma$.1, hyp, def. of N

(.3) $Nx_\sigma, .1 \vdash p_{\sigma\sigma}. Sx_\sigma$.1, .2

(.4) $Nx_\sigma \vdash N. Sx_\sigma$.3, def. of N

3 The Induction Theorem:

$\vdash \forall p_{\sigma\sigma}. [p_{\sigma\sigma}O_\sigma \wedge \dot{\forall}x_\sigma. p_{\sigma\sigma}x_\sigma \supset p_{\sigma\sigma}. S_{\sigma\sigma}x_\sigma] \supset \dot{\forall}x_\sigma p_{\sigma\sigma}x_\sigma$

Proof: Let $P_{\sigma\sigma}$ be $[\lambda t_\sigma. Nt \wedge p_{\sigma\sigma}t]$.

(.1) $.1 \vdash p_{\sigma\sigma}O \wedge \forall x_\sigma. Nx \supset. px \supset p. Sx$ hyp

(.2) $Ny_\sigma \vdash [P \circ \wedge \forall x_\sigma. Px \supset P. Sx] \supset Py_\sigma$ hyp, def. of N

(.3) $.1 \vdash P \circ$ def. of P , .1, Theorem 1

(.4) $.1 \vdash \forall x_\sigma. Px \supset P. Sx$ def. of P , .1, Theorem 2

(.5) $.1, Ny_\sigma \vdash Py_\sigma$.2, .3, .4

(.6) $.1 \vdash \forall y_\sigma py_\sigma$.5, def. of $\dot{\forall}$, P

4 $\vdash \dot{\forall}n_\sigma. S_{\sigma\sigma}n_\sigma \neq O_\sigma$

Proof by contradiction:

(.1) $.1 \vdash Sn_\sigma = O$ hyp

(.2) $\vdash O_\sigma[\lambda x_i \square]$ def. of O

(.3) $.1 \vdash Sn_\sigma[\lambda x_i \square]$.1, .2

(.4) $.1 \vdash \exists x_i \square$.3, def. of S

(.5) $\vdash Sn_\sigma \neq O$.4

(.6) $\vdash \dot{\forall}n_\sigma. Sn \neq O$.5, def. of $\dot{\forall}$

Our first step in proving Theorem 7 is to show that if we remove any element from a set of cardinality Sn we obtain a set of cardinality n .

5 $\vdash \dot{\forall}n_\sigma \forall p_{o_i}. \sim p_{o_i}w_i \wedge S_{\sigma\sigma}n_\sigma[\lambda t_i. t_i = w_i \vee p_{o_i}t_i] \supset n_\sigma p_{o_i}$

The proof is by induction on n . First we treat the case $n = O$.

- (.1) $.1 \vdash \sim p_{oi} w_i \wedge SO[\lambda t_i . t = w \vee pt]$ hyp
 (.2) $.1 \vdash \exists x_i . 3$.1, def. of S
 (.3) $.1, .3 \vdash [x_i = w_i \vee p_{oi} x] \wedge O[\lambda t_i . t \neq x \wedge . t = w \vee pt]$ choose x (.2)
 (.4) $.1, .3 \vdash \sim . w_i \neq x_i \wedge . w = w \vee p_{oi} w_i$.3, def. of O
 (.5) $.1, .3 \vdash w_i = x_i$.4
 (.6) $.1, .3 \vdash \forall t_i . p_{oi} t \equiv . t \neq x_i \wedge . t = w_i \vee pt$.1, .5
 (.7) $.1, .3 \vdash p_{oi} = [\lambda t_i . t \neq x_i \wedge . t = w_i \vee pt]$.6, E^o , E^{oi}
 (.8) $.1, .3 \vdash O p_{oi}$.3, .7
 (.9) $\vdash \forall p_{oi} . \sim p_{oi} w_i \wedge SO[\lambda t_i . t = w \vee pt] \supset O p$.2, .8

Next we treat the induction step

- (.10) $.10 \vdash N n_\sigma \wedge \forall p_{oi} . \sim p w_i \wedge S n[\lambda t_i . t = w \vee pt] \supset n p$ (inductive) hyp
 (.11) $.11 \vdash \sim p_{oi} w_i \wedge [S n_\sigma][\lambda t_i . t = w \vee pt]$ hyp
 (.12) $.11 \vdash \exists x_i . 13$.11, def. of S
 (.13) $.11, .13 \vdash [x_i = w_i \vee p_{oi} x] \wedge . S n_\sigma[\lambda t_i . t \neq x \wedge . t = w \vee pt]$ choose x (.12)

From (.11) we must prove $[S n] p$. We consider two cases in (.14) and (.17).

- (.14) $.14 \vdash x_i = w_i$ hyp (case 1)
 (.15) $.11, .13, .14 \vdash p_{oi} = [\lambda t_i . t \neq x_i \wedge . t = w_i \vee pt]$.11, .14
 (.16) $.11, .13, .14 \vdash [S n_\sigma] p_{oi}$.13, .15

In case 2 we shall use the inductive hypothesis.

- (.17) $.17 \vdash x_i \neq w_i$ hyp (case 2)
 (.18) $.17 \vdash [\lambda t_i . t \neq x_i \wedge . t = w_i \vee p_{oi} t] = [\lambda t_i . t = w_i \vee . t \neq x_i \wedge p_{oi} t]$.17
 (.19) $.11, .13, .17 \vdash S n_\sigma[\lambda t_i . t = w_i \vee . t \neq x_i \wedge p_{oi} t]$.13, .18
 (.20) $.10, .11, .13, .17 \vdash n_\sigma[\lambda t_i . t \neq x_i \wedge p_{oi} t]$.10, .11, .19
 (.21) $.11, .13, .17 \vdash p_{oi} x_i$.13, .17
 (.22) $.10, .11, .13, .17 \vdash [S n_\sigma] p_{oi}$ def. of S, .20, .21
 (.23) $.10, .11 \vdash [S n_\sigma] p_{oi}$.16, .22, .12
 (.24) $.10 \vdash \forall p_{oi} . \sim p w_i \wedge [S n_\sigma][\lambda t_i . t = w \vee pt] \supset [S n_\sigma] p$.23

This completes the induction step. The theorem now follows from .9 and .24 by the Induction Theorem.

It will be observed that so far in this section we have not used the axiom of infinity J^i . We shall use it in proving the next theorem, which will also be used to prove Theorem 7.

$$6 \vdash \dot{\forall} n_\sigma . n_\sigma p_{oi} \supset \exists w_i \sim p_{oi} w_i$$

- (.1) $.1 \vdash \forall x_i \forall y_i \forall z_i . \exists w_i r_{oi} x w \wedge \sim r x x \wedge . \sim r x y \vee \sim r y z \vee r x z$ choose r (J^i)

Let P_{oo} be $[\lambda n_\sigma \forall p_{oi} . n p \supset \exists z_i \forall w_i . r_{oi} z w \supset \sim p w]$.

We may informally interpret $r z w$ as meaning that z is below w . Thus $P n$ means that if p is in n , then there is an element z which is below no member of p . We shall prove $\dot{\forall} n_\sigma P n$ by induction on n .

- (.2) $O p_{oi} \vdash \sim p_{oi} w_i$ def. of O
 (.3) $\vdash P O$.2, def. of P

Next we treat the induction step.

- (.4) $.4 \vdash \text{N}n_{\sigma} \wedge \text{P}n$ (inductive) hyp
 (.5) $.5 \vdash \text{S}n_{\sigma} p_{oi}$ hyp
 (.6) $.5 \vdash \exists x_i .7$.5, def. of S
 (.7) $.5, .7 \vdash p_{oi} x_i \wedge n_{\sigma} [\lambda t_i . t \neq x \wedge p t]$ choose x (.6)
 (.8) $.4, .5, .7 \vdash \exists z_i .9$.4, def. of P, .7
 (.9) $.4, .5, .7, .9 \vdash \forall w_i . r_{oi} z_i w \supset . w = x_i \vee \sim p_{oi} w$ choose z (.8)

Thus from the inductive hypothesis we see that there is an element z which is under nothing in $p - \{x\}$. We must show that there is an element which is under nothing in p . We consider two cases, (.10) and (.14).

- (.10) $.10 \vdash \sim r_{oi} z_i x_i$ hyp (case 1)
 (.11) $.4, .5, .7, .9, .10 \vdash r_{oi} z_i w_i \supset w \neq x_i$.10
 (.12) $.4, .5, .7, .9, .10 \vdash \forall w_i . r_{oi} z_i w \supset \sim p_{oi} w$.9, .11
 (.13) $.4, .5, .7, .9, .10 \vdash \exists z_i .12$.12

Next we consider case 2, and show that x is under nothing in p .

- (.14) $.14 \vdash r_{oi} z_i x_i$ hyp (case 2)
 (.15) $.1, .14, r_{oi} x_i w_i \vdash r_{oi} z_i w_i$.14, hyp, .1
 (.16) $.1, .4, .5, .7, .9, .14, r_{oi} x_i w_i \vdash w_i = x_i \vee \sim p_{oi} w$.9, .15
 (.17) $\vdash w_i = x_i \supset . r_{oi} x w \supset r x x$
 (.18) $.1 \vdash \sim r_{oi} x_i x$.1
 (.19) $.1, .4, .5, .7, .9, .14 \vdash \forall w_i . r_{oi} x_i w \supset \sim p_{oi} w$.16, .17, .18
 (.20) $.1, .4, .5, .7, .9, .14 \vdash \exists z_i \forall w_i . r_{oi} z w \supset \sim p_{oi} w$.19
 (.21) $.1, .4, .5 \vdash .20$.13, .20, .8, .6
 (.22) $.1 \vdash \text{N}n_{\sigma} \wedge \text{P}n \supset \text{P}S n$.21, def. of P
 (.23) $.1 \vdash \forall n_{\sigma} \text{P}n_{\sigma}$.3, .22, Theorem 3

Having finished the inductive proof, we proceed to prove the main theorem.

- (.24) $.24 \vdash \text{N}n_{\sigma} \wedge n p_{oi}$ hyp
 (.25) $.1, .24 \vdash \exists z_i \forall w_i . r_{oi} z w \supset \sim p_{oi} w$.23, .24, def. of P
 (.26) $.1 \vdash \forall z_i \exists w_i r_{oi} z w$.1
 (.27) $.1, .24 \vdash \exists w_i \sim p_{oi} w_i$.25, .26
 (.28) $.1 \vdash \forall n_{\sigma} . n p_{oi} \supset \exists w_i \sim p_{oi} w_i$.27
 (.29) $\vdash .28$ J'

$$7 \vdash \forall n_{\sigma} \forall m_{\sigma} . S_{\sigma\sigma} n_{\sigma} = S_{\sigma\sigma} m_{\sigma} \supset n_{\sigma} = m_{\sigma}$$

Proof:

- (.1) $.1 \vdash \text{N}n_{\sigma} \wedge \text{N}m_{\sigma} \wedge \text{S}n = \text{S}m$ hyp
 (.2) $.2 \vdash n_{\sigma} p_{oi}$ hyp
 (.3) $.1, .2, \vdash \exists w_i \sim p_{oi} w_i$.1, .2, Theorem 6
 (.4) $.1, .2, .4 \vdash \sim p_{oi} w_i$ choose w (.3)
 (.5) $.1, .2, .4 \vdash p_{oi} = [\lambda t_i . t \neq w_i \wedge . t = w \vee p t]$.4, E^o, E^{oi}
 (.6) $.1, .2, .4 \vdash n_{\sigma} [\lambda t_i . t \neq w_i \wedge . t = w \vee p_{oi} t]$.2, .5
 (.7) $.1, .2, .4 \vdash \text{S}n_{\sigma} [\lambda t_i . t = w_i \vee p_{oi} t]$.6, def. of S

- (.8) .1, .2, .4 $\vdash Sm_\sigma[\lambda t_i. t = w_i \vee p_{\sigma_i}t]$.1, .7
 (.9) .1, .2, .4 $\vdash m_\sigma p_{\sigma_i}$.1, .4, .8, Theorem 5
 (.10) .1 $\vdash n_\sigma p_{\sigma_i} \supset m_\sigma p$.3, .9
 (.11) .1 $\vdash m_\sigma p_{\sigma_i} \supset n_\sigma p$ proof as for .10
 (.12) .1 $\vdash \forall p_{\sigma_i}. n_\sigma p \equiv m_\sigma p$.10, .11
 (.13) .1 $\vdash n_\sigma = m_\sigma$.12, E^o, E^{oi}

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