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# On the Possible Number no(M) = The Number of Nonisomorphic Models $L_{\infty,\lambda}$ -Equivalent to M of Power $\lambda$ , for $\lambda$ Singular

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**Introduction** Let M be a model of power  $\lambda$ , with  $\lambda$  relations, each with  $<\lambda$  places and of power  $\leq \lambda$ . What can be

$$no(M) = \{N/\cong : N \equiv_{\infty,\lambda} M, ||N|| = \lambda\}$$
?

We assume V = L (otherwise there are independence results (by [8])). It is known that

- (A) If  $cf \lambda = \aleph_0$ , it can be only 1 (by Scott [5] for  $\lambda = \aleph_0$ , and generally by Chang [1], essentially).
- (B) If  $\lambda$  is regular uncountable and not weakly compact it can be 1 or  $2^{\lambda}$  (it can be  $2^{\lambda}$ , see [3]; cannot be  $\neq 1, 2^{\lambda}$ : for  $\lambda = \aleph_1$  by Palyutin [4], for any  $\lambda$  by [6]).
- (C) If  $\lambda$  is weakly compact  $> \aleph_0$  then it can be any cardinal  $\leq \lambda^+$  (by [7]). We prove here
  - (D) If  $\lambda$  is singular of uncountable cofinality, no(M) can be any cardinal  $\chi < \lambda$  (and also  $\chi = 2^{\lambda}$ ). (This follows by 3.18 here.)

So we answer the question from [7], bottom of p. 26. The second question there, top of p. 26, is answered trivially by 1.4.

Notation: We consider functions as relations.

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# 1 Introducing the notions

## 1.1 Definition

- (1) Let for a model M of power  $\lambda$ , no(M) be the cardinality of  $\{N/\cong: N \equiv_{\infty,\lambda}\}$ M,  $||N|| = \lambda$ .
- (2)  $SP_{\mu,\kappa}^{\lambda} = \{no(M): M \in K_{\mu,\kappa}^{\lambda}\}$  where  $K_{\mu,\kappa}^{\lambda} = \{M: M \text{ is a model, } ||M|| = \lambda \text{ and } M$ has  $\mu$  relations each of  $<\kappa$  places.
- (3) Let  $RK_{\mu,\kappa}^{\lambda} = \{M: M \in K_{\mu,\kappa}^{\lambda}, \Sigma\{|R^{M}|: R \in L(M)\} \leq \lambda\}$   $RSP_{\mu}^{\lambda,\kappa} = \{no(M): M \in RK_{\mu,\kappa}^{\lambda}\}.$ (4) We always assume that  $\lambda, \mu, \kappa$  are  $\geq \aleph_0, \kappa \leq \lambda$  and that  $\mu \geq cf \kappa$  or  $\kappa$  is a
- successor (otherwise  $M \in K_{\mu,\kappa}^{\lambda} \Leftrightarrow M \in \bigcup_{\vartheta < \kappa} K_{\mu,\vartheta}^{\lambda}$ ). So w.l.o.g. every  $M \in K_{\mu,\kappa}^{\lambda}$  is an  $L_{\mu,\kappa}^{\lambda}$ -model with a fixed  $L_{\mu,\kappa}^{\lambda}$ , which has for a closed unbounded set of  $\alpha < \kappa$  exactly  $\mu$   $\alpha$ -place predicates when  $\kappa$  is a limit cardinal, and  $\mu$   $\kappa$  place relations when  $\kappa = (\kappa^{-})^{+}$ .

Remark: Note that if  $\lambda^{<\kappa} > \lambda$ , then in a model  $M \in K_{\mu,\kappa}^{\lambda}$  we can code an arbitrary model of  $K_{\mu,\kappa}^{\chi}$ , where  $\chi = \lambda^{<\kappa}$ . This is a point in favor of dealing with  $RSP_{\mu,\kappa}^{\lambda}$ .

If  $\mu \leq \mu_1$  and  $\kappa \leq \kappa_1$ , then  $SP_{\mu,\kappa}^{\lambda} \subseteq SP_{\mu_1,\kappa_1}^{\lambda}$  and  $RSP_{\mu,\kappa}^{\lambda} \subseteq$ 1.2 Claim  $RSP_{\mu_1,\kappa_1}^{\lambda}$ .

Proof: Trivial.

- 1.3 Claim We assume  $\mu \geq \kappa$ .
- (1) If  $\lambda = \lambda^{<\kappa}$  then  $SP_{\mu,\kappa}^{\lambda} = SP_{\mu,\aleph_0}^{\lambda}$ . (2)  $RSP_{\mu,\kappa}^{\lambda} = RSP_{\mu,\aleph_0}^{\lambda}$  when  $\lambda > \kappa \vee cf \ \lambda \geq \kappa$ .

*Proof:* (1) For every  $M \in K_{\mu,\kappa}^{\lambda}$  let  $M^*$  be the following model:

- (i)  $|M^*| = |M| \cup \bigcup_{\alpha} |M|$
- (ii) for each  $i < \alpha < \kappa$  let  $R_{\alpha,i}$  be the two-place relation

$$R_{\alpha,i}^M = \{\langle a, \bar{b} \rangle : a \in M, \ \bar{b} \in {}^{\alpha}|M|, \alpha = \bar{b}[i]\}$$
.

(iii) For every  $\alpha$ -place relation R of M, a one-place relation  $R^*$ 

$$(R^*)^{M^*} = \{ \bar{b} \in {}^{\alpha}|M| : M \vDash R[\bar{b}] \} .$$

Clearly  $no(M^*) = no(M)$ ,  $M \in K_{\mu,\kappa}^{\lambda} \Rightarrow M^* \in K_{\mu,\kappa_0}^{\lambda}$ , hence  $SP_{\mu,\kappa}^{\lambda} \subseteq SP_{\mu,\kappa_0}^{\lambda}$ . The other inclusion holds by Claim 1.2.

(2) The proof is similar: define  $(R^*)^{M^*}$  as above,  $|M^*| = |M| \cup M^*$  $\bigcup_{n} (R^*)^{M^*}$ , and then

$$R_{\alpha,i} = \{ \langle a, \bar{b} \rangle : a \in M, \ \bar{b} \in {}^{\alpha}|M| \cap |M^*|, \ a = \bar{b}[i] \} .$$

Why did we restrict  $\lambda$ ? Because looking at  $L_{\infty,\lambda}$ -equivalence we want that for every subset A of  $M^*$  of power  $\langle \lambda, (A \cap M) \cup \{Rang \ \bar{b} : \bar{b} \in A \}$  has power  $<\lambda$ .

# 1.4 Claim

- (1) If  $\mu \leq \lambda^{<\kappa}$  then  $SP_{\mu,\kappa}^{\lambda} = SP_{\kappa,\kappa}^{\lambda}$ .
- (2) Moreover, if  $\mu \leq \lambda$ , then  $SP_{\mu,\kappa}^{\lambda} = SP_{cf\kappa,\kappa}^{\lambda}$ ; if  $\kappa$  is a successor then  $SP_{\mu,\kappa}^{\lambda} = SP_{\kappa,\kappa}^{\lambda}$  $SP_{\aleph_0,\kappa}^{\lambda}$  (really when  $\kappa$  is a successor or  $\aleph_0$   $SP_{\mu,\kappa}^{\lambda} = SP_{1,\kappa}^{\lambda}$ ).
- (3) Similar assertion holds for RSP.

*Proof*: (1) It is well known that  $(\lambda, <)$  is isomorphic to any model  $L_{\infty, \omega}$ equivalent to it; moreover each element of  $(\lambda, <)$  is defined by a formula in  $L_{\infty,\omega}$  (and we can replace  $L_{\infty,\omega}$  by  $L_{\infty,\lambda}$ ). Also  $L_{\infty,\lambda}$  satisfies the Feferman-Vaught Theorem. So we can show that for any M

$$no(M) = no(M + (\lambda, <))$$
.

Now in  $M + (\lambda, <)$  we can use the  $\alpha < \lambda$  and even sequences of length  $< \kappa$  to parametrize the relations.

(2) and (3): Left to the reader.

# 1.5 Claim

- (1) If  $\mu \ge \chi = \lambda^{<\kappa}$  then  $SP_{\mu,\kappa}^{\lambda} = SP_{\chi,\kappa}^{\lambda}$ . (2) If  $\mu \ge \chi = \lambda + \kappa$  then  $RSP_{\mu,\kappa}^{\lambda} = RSP_{\chi,\kappa}^{\lambda}$ .

*Proof:* (1) For every  $\alpha < \kappa$  and M, on  $\alpha |M|$ , we define an equivalence relation  $E_{\alpha}$ , realizing the same atomic type. The number of classes is  $\leq \lambda^{<\kappa} = \chi$  (if our hypothesis holds).

We define for every  $M \in K_{u,\kappa}^{\lambda}$  a model  $M^*$ :

- (i)  $|M^*| = |M|$
- (ii) for every  $\alpha < \kappa$  and  $E_{\alpha}$ -equivalence class A, let  $R_A^{M^*} = \{\bar{a} \in {}^{\alpha}|M| :$  $\bar{a} \in A$ .

Clearly  $M^* \in K_{\chi,\kappa}^{\lambda}$ ,  $||M^*|| = \lambda$  and  $no(M) = no(M^*)$ . Hence  $SP_{\chi,\kappa}^{\lambda} \subseteq SP_{\chi,\kappa}^{\lambda}$ , and the other inclusion follows by Claim 1.2.

(2) Similar proof.

**1.6 Claim** If 
$$\lambda^{<\kappa} \ge \chi > \lambda$$
, then  $Sup(SP_{\mu,\kappa}^{\lambda}) \ge Sup(SP_{\mu,\kappa}^{\chi})$ .

*Proof:* Let  $M \in K_{\mu,\kappa}^{\chi}$ ; for notational simplicity we assume that for some  $\vartheta < \kappa$ ,  $\lambda^{\vartheta} \geq \chi$ , so w.l.o.g.  $|M| \subseteq {}^{\vartheta}\lambda$ . So we reinterpret the relations of M as relations on  $\lambda$ ; i.e., we define a model  $M^*$ :

- (i)  $|M^*| = \lambda$
- (ii) for  $R \in L(M)$ , R  $\alpha$ -place.

 $R^{M^*} = \{ \langle a_i : i < \vartheta \alpha \rangle : a_i \in |M^*|, \text{ and if we let for } \beta < \alpha, \ \bar{b}_\beta = \langle a_{\vartheta,\beta+i} : a_{\vartheta,\beta+i} : a_{\vartheta,\beta+i} : \beta < \alpha, \ \bar{b}_\beta = \langle a_{\vartheta,\beta+i} : a_{\vartheta,\beta+i} : \beta < \alpha, \ \bar{b}_\beta = \langle a_{\vartheta,\beta+i} : a_{\vartheta,\beta+i} : \beta < \alpha, \ \bar{b}_\beta = \langle a_{\vartheta,\beta+i} : a_{\vartheta,\beta+i} : \beta < \alpha, \ \bar{b}_\beta = \langle a_{\vartheta,\beta+i} : \beta < \alpha, \ \bar{b}_\beta = \langle a_{\vartheta,\beta+i} : \beta < \alpha, \ \bar{b}_\beta = \langle a_{\vartheta,\beta+i} : \beta < \alpha, \ \bar{b}_\beta = \langle a_{\vartheta,\beta+i} : \beta < \alpha, \ \bar{b}_\beta = \langle a_{\vartheta,\beta+i} : \beta < \alpha, \ \bar{b}_\beta = \langle a_{\vartheta,\beta+i} : \beta < \alpha, \ \bar{b}_\beta = \langle a_{\vartheta,\beta+i} : \beta < \alpha, \ \bar{b}_\beta = \langle a_{\vartheta,\beta+i} : \beta < \alpha, \ \bar{b}_\beta = \langle a_{\vartheta,\beta+i} : \beta < \alpha, \ \bar{b}_\beta = \langle a_{\vartheta,\beta+i} : \beta < \alpha, \ \bar{b}_\beta = \langle a_{\vartheta,\beta+i} : \beta < \alpha, \ \bar{b}_\beta = \langle a_{\vartheta,\beta+i} : \beta < \alpha, \ \bar{b}_\beta = \langle a_{\vartheta,\beta+i} : \beta < \alpha, \ \bar{b}_\beta = \langle a_{\vartheta,\beta+i} : \beta < \alpha, \ \bar{b}_\beta = \langle a_{\vartheta,\beta+i} : \beta < \alpha, \ \bar{b}_\beta = \langle a_{\vartheta,\beta+i} : \beta < \alpha, \ \bar{b}_\beta = \langle a_{\vartheta,\beta+i} : \beta < \alpha, \ \bar{b}_\beta = \langle a_{\vartheta,\beta+i} : \beta < \alpha, \ \bar{b}_\beta = \langle a_{\vartheta,\beta+i} : \beta < \alpha, \ \bar{b}_\beta = \langle a_{\vartheta,\beta+i} : \beta < \alpha, \ \bar{b}_\beta = \langle a_{\vartheta,\beta+i} : \beta < \alpha, \ \bar{b}_\beta = \langle a_{\vartheta,\beta+i} : \beta < \alpha, \ \bar{b}_\beta = \langle a_{\vartheta,\beta+i} : \beta, \ \bar{b}_\beta = \langle$  $i < \vartheta \rangle$  then  $\langle \bar{b}_{\beta} : \beta < \alpha \rangle \in \mathbb{R}^{M} \rangle$ .

It is easy to see that  $M^* \in K_{\mu,\kappa}^{\lambda}$ , and  $no(M^*) \ge no(M)$  (we get  $\ge$  and not necessarily equality, as in no(M) we use a finer equivalence relation:  $L_{\infty,\gamma}$ equivalent and not  $L_{\infty,\lambda}$ -equivalence).

## 1.7 Claim

(1) If  $\chi_i \in SP_{\mu,\kappa}^{\lambda}$   $(i < \alpha \le \lambda)$  then

$$\prod_{i<\alpha}\chi_i\in SP_{\mu,\kappa}^{\lambda}.$$

(2) Similarly for RSP.

*Proof:* (1) Let  $M_i \in K_{\mu,\kappa}^{\lambda}$ ,  $\chi_i = no(M_i)$  and  $L = L(M_i)$  is fixed (see Definition 1.1(4)). W.l.o.g.  $|M_i| \cap |M_j| = \emptyset$  for  $i \neq j$ . We define a model M:

(i) 
$$|M| = \bigcup_{i < \alpha} M_i$$
.

(ii) 
$$R^M = \bigcup R^{M_i}$$
 for each  $R \in L$ .

(ii) 
$$R^M = \bigcup_{i < \alpha} R^{M_i}$$
 for each  $R \in L$ .  
(iii)  $\leq^M = \{(a, b) : (\exists i \leq j \leq \alpha) [a \in M_i \land b \in M_j]\}$ .

Clearly  $M \in K_{\mu,\kappa}^{\lambda}$  and  $no(M) = \prod_{i < \alpha} no(M_i) = \prod_{i < \alpha} \chi_i$ , hence  $\prod_{i < \alpha} \chi_i = no(M) \in SP^{\lambda}$ 

(2) The same proof.

# 1.8 Claim

(1) If  $\chi \in SP_{\mu,\kappa}^{\lambda}$ ,  $\vartheta$  a cardinal,  $2 \le \vartheta \le \lambda$ , then the cardinality of  $\{\langle \vartheta_i : i < \chi \rangle : i < \chi \}$  $\sum_{i \le \gamma} \vartheta_i = \vartheta, \text{ each } \vartheta_i \text{ a cardinal, } 0 \le \vartheta_i \le \vartheta$  belongs to  $SP_{\mu,\kappa}^{\lambda}$ .

(2) Let  $N_i \in K_{\mu,\kappa}^{\leq \lambda}$  (may be even a finite model), for  $i < \alpha$ ,  $\alpha \leq \lambda$ , be pairwise nonisomorphic but  $N_i \equiv_{\infty,\lambda} N_0$  and  $\left[ N \equiv_{\infty,\lambda} N_0 \land ||N|| < \lambda \Rightarrow \bigvee_{i < \alpha} N \cong N_i \right]$ . Let  $G_i$  be the group of automorphisms of  $N_i$  and define  $f \approx g \mod G_i$ , if f,g are functions with domain  $N_i$  and  $(\exists h \in G_i)(\forall a \in N_i)[f(a) = g(h(a))]$ . Now  $\approx$  is an equivalence relation, and let  $\chi^{N_i}/G_i = 2f/\approx :fa$  function from  $N_i$  into  $\chi$ . Now if  $\chi \in SP_{\mu,\kappa}^{\lambda}$  then  $\sum_{i} |\chi^{N_i}/G_i| \in SP_{\mu,\kappa}^{\lambda}$ .

(3) Similarly for  $RSP_{\mu,\kappa}^{\lambda}$  (and  $N_i \in RK_{\mu,\kappa}^{\leq \lambda}$ ).

*Proof:* (1) Let  $M \in SP_{\mu,\kappa}^{\lambda}$ ,  $\chi = no(M)$ , and choose  $M_i \cong M$ ,  $|M_i| \cap |M_j| = \emptyset$  for  $i < j < \vartheta$ . Now define M as in the proof of Claim 1.7, except

(iii) 
$$E^{M^*} = \{(a,b) : (\exists i < \vartheta) (a \in M_i \land b \in M_i].$$

Clearly  $M^* \in K_{\mu,\kappa}^{\lambda}$ ,  $no(M^*)$  is as required to exemplify the conclusion.

(2) and (3): Proved similarly.

In the following two sections we shall prove:

1.9 Theorem If  $\lambda$  is singular of uncountable cofinality,  $\aleph_0 \le \xi \le \lambda$  then  $\xi^{cf\lambda} \in RSP^{\lambda}_{\lambda\lambda}$ .

Proof: See 3.17.

If  $\lambda$  is singular of uncountable cofinality,  $\chi^{cf\lambda} < \lambda$  then 1.10 Theorem  $\chi \in RSP_{\lambda,\lambda}^{\lambda}$ .

Proof: See 3.18.

In a following paper (in a Springer lecture notes volume) we shall prove similar results for  $SP_{\aleph_0,\aleph_0}^{\lambda}$ . Let us summarize the known results:

#### 1.11 Theorem

- (1) For every  $\lambda$ ,  $1 \in SP_{\aleph_0,\aleph_0}^{\lambda}$ .
- (2) If  $cf \lambda = \aleph_0$ , then  $SP^{\lambda}_{\mu,\aleph_0} = \{1\}$  and when  $[\lambda > \kappa \vee cf \lambda \ge \kappa]$ ,  $RSP^{\lambda}_{\mu,\kappa} = \{1\}$  (by Scott [5] when  $\lambda = \aleph_0$  and Chang [1] when  $\lambda > \aleph_0$ )
- (3) If  $\lambda > \aleph_0$  is regular or  $\lambda = \lambda^{\aleph_0}$  then  $2^{\lambda} \in SP^{\lambda}_{\aleph_0,\aleph_0}$  (see [3] for  $\lambda$  regular, and by Shelah [8] for  $\lambda = \lambda^{\aleph_0}$ ).
- (4) (V = L). If  $\lambda > \aleph_0$  is regular not weakly compact then  $SP_{\mu,\lambda}^{\lambda} = \{1,2^{\lambda}\}$  (by Palyutin [4] for  $\lambda = \aleph_1$  by Shelah [6] generally).
- (5) if  $\lambda > \aleph_0$  is weakly compact then every  $\chi, 2 \le \chi \le \lambda$ , belong to  $SP^{\lambda}_{\aleph_0, \aleph_0}$  (by Shelah [7]).
- (6) If  $\lambda$  is singular,  $\chi^{cf\lambda} < \lambda$  and  $cf\lambda > \aleph_0$  then  $\chi \in RSP_{\lambda,\lambda}^{\lambda}$  (by 1.10). (7) If  $\lambda > cf\lambda > \aleph_0$  and  $\chi \leq \lambda$  then  $\chi^{cf\lambda} \in RSP_{\lambda,\lambda}^{\lambda}$  (by 1.9). (8) If  $\lambda^{<\kappa} > \lambda$  then  $2^{\lambda} \in SP_{\mu,\kappa}^{\lambda}$  (by 1.6 and 1.7(1)).

In a subsequent paper we shall improve (6) for some  $\lambda, \chi$ .

- 2 Constructing the example This section is dedicated to the proof of
- 2.1 Main Lemma Suppose  $\lambda$  is strong limit singular,  $\kappa = cf \lambda$ . Also M is a model of power  $\leq \lambda$ , and
- (a)  $|M| = \bigcup P_i^M$ ,  $P_i^M \cap P_j^M = \emptyset$  for  $i \neq j$ ,  $|P_i^M| < \kappa$ ,  $\vartheta = no(M)$   $P_i$  a monadic predicate of M,  $\vartheta = no(M)$ , or even
- (b)  $|M| = \bigcup_{i < \kappa} P_i^M$ ,  $P_i^M \cap P_j^M = \emptyset$  for  $i \neq j$ ,  $P_i^M$  has power  $< \lambda$  and the number of nonisomorphic N satisfying the following is  $\vartheta$ :  $N \equiv_{L_{\infty,\kappa}} M$ , moreover in the following game (with  $\omega$  steps) player II has a winning strategy:

in stage  $n(<\omega)$ : player I chooses  $i_n$ ,  $\bigcup_{l< n} i_l < i_n < \kappa$ ; player II chooses an isomorphism  $g_n$  from  $M \upharpoonright \bigcup_{j < i_n} P_j^M$  onto  $N \upharpoonright \bigcup_{j < i_n} P_j^N$  which extends  $\bigcup_{l < n} g_l$ .

isomorphism 
$$g_n$$
 from  $M \upharpoonright \bigcup_{j \le i_n} P_j^M$  onto  $N \upharpoonright \bigcup_{j \le i_n} P_j^N$  which extends  $\bigcup_{l \le n} g_l$ .

Then we can find a model  $M^*$ , of cardinality  $\lambda$  such that:  $no(M^*) = \vartheta$  and each nonlogical symbol of  $M^*$ 's language has an arity smaller than  $\lambda$ , and power  $\leq 2^{\chi}$  for some  $\chi < \lambda$ , and  $|L(M^*)| \leq \lambda \leq \lambda + |L(M)|$ .

Remark: (1) We use hypothesis 2.1(b) only as  $2.1(a) \Rightarrow 2.1(b)$ . (Note  $||M|| \le$  $\sum_{i < \kappa} |P_i^M| \le \sum_{i < \kappa} \kappa = \kappa; \text{ if } ||M|| < \kappa \text{ necessarily } \vartheta = 1, \text{ in which case the conclusion}$ is trivial, so  $||M|| = \kappa$ .)

(2) In case (b) we can assume that the range of  $h_R$  (see below) is bounded (if we omit the R's with unbounded  $h_R$  the hypothesis is not changed).

In order to get this in case (a) we need every relation of M has arity  $<\kappa$ .

*Proof:* Let L be the language of M. W.l.o.g. L has no function symbols and for every  $\alpha$ -place predicate R there is a function  $h_R$  from  $\alpha$  to  $\kappa$  such that  $M \vDash (\forall x_0, \ldots, x_i, \ldots)_{i < \alpha} \left[ R(x_0, \ldots, x_i, \ldots) \to \bigwedge_{i < \alpha} P_{h_R(i)}(x_i) \right].$  We let  $\alpha =$  $\alpha(R)$ . We assume that there is  $R \in L$ ,  $\alpha(R) > 1$ . Let  $\lambda = \sum_{i \le \kappa} \lambda_i$ ,  $\kappa < \lambda_i < \lambda_j$  for  $i < j < \kappa$ , and for each  $i \lambda_i$  is a regular cardinal  $> \sum_{i \in I} \lambda_i$ .

# 2.2 Definition

- (1) We define a class K of L-models:  $\mathfrak{A} \in K$  iff  $|\mathfrak{A}| = \bigcup_{i < \kappa} P_i^{\mathfrak{A}}$ , for  $i \neq j$   $P_i^{\mathfrak{A}} \cap P_i^{\mathfrak{A}} = \emptyset$ , and for every predicate R,  $\mathfrak{A} \models (\forall x_0, \ldots, x_i, \ldots)[R(x_0, \ldots, x_i, \ldots) \rightarrow R(x_0, \ldots, x_i, \ldots)]$  $\bigwedge_i P_{h_R(i)}(x_i)$ ].
- (2) We let  $K^0 \subseteq K$  be the family of  $N \in K$  such that player II wins the game described in 2.1(b).
- (3) For each  $\mathfrak{A} \in K$  we define an  $L^*$ -model  $\mathfrak{A}^*$ :  $\begin{aligned} |\mathfrak{A}^*| &= \{\langle a, \xi \rangle \colon a \in \mathfrak{A}, \text{ and } a \in P_i^{\mathfrak{A}} \Rightarrow \xi < \lambda_i \}. \\ P_i^{\mathfrak{A}^*} &= \{\langle a, \xi \rangle \colon a \in P_i^{\mathfrak{A}}, \text{ and } \xi < \lambda_i \}. \end{aligned}$  For each  $R \in L$  let  $I_R = \{\langle \alpha, j \rangle \colon \alpha < \alpha(R) \text{ and } j < \lambda_{h_R(\alpha)} \}$ , and let  $R^{\mathfrak{A}^*}$  be the

set of tuples

$$\langle x_{0,0}, x_{0,1}, \dots, x_{0,j}, \dots; x_{1,0}, x_{1,1}, \dots, x_{1,j}, \dots; \dots; x_{\alpha,0}, x_{\alpha,1}, \dots, x_{\alpha,j}, \dots; \dots \rangle \rangle_{\langle \alpha,j \rangle \in I_R}$$

which satisfies: there are  $a_{\alpha} \in \mathfrak{A}$  for  $\alpha < \alpha(R)$  such that

- (a)  $\mathfrak{A} \models R[a_0, \ldots, a_{\alpha}, \ldots]$  hence  $a_{\alpha} \in P_{h_R(\alpha)}^{\mathfrak{A}}$ . (b) for each  $\alpha$  for all but  $<\lambda_{h_R(\alpha)}$  ordinals  $\gamma < \lambda_{h_R(\alpha)}$ ,  $x_{\alpha,\gamma} = \langle a_{\alpha}, \gamma \rangle$ (c) the  $x_{\alpha,\gamma}(\alpha < \alpha(R), \gamma < \lambda_{h_R(\alpha)})$  are distinct, and  $x_{\alpha,\gamma} \in P_{\alpha}^{\mathfrak{A}^*}$ .
- (4) Let  $K^* = \{\mathfrak{A} : \mathfrak{A} \text{ an } L^*\text{-model}, L_{\infty,\lambda}\text{-equivalent to } M^*\}.$
- If  $\mathfrak{A} \in K^0$  then  $\|\mathfrak{A}\| = \|M\|$ ,  $|P_i^{\mathfrak{A}}| = |P_i^M|$  (for each i). Also  $M \in K^0$ . 2.3 Fact Proof: Trivial.
- If  $\mathfrak{B} \in K^*$  then  $\|\mathfrak{B}\| = \lambda$  and  $|P_i^{\mathfrak{B}}| = \lambda_i + |P_i^M| < \lambda$ . 2.4 Fact Proof: Trivial.
- If  $N \in K^0$  then  $N^* \in K^*$ .

*Proof:* Call a set  $A \subseteq M^*$  small if  $|A \cap P_i| < \lambda_i$ . Similarly for N. Call a partial isomorphism f from  $M^*$  to  $N^*$  good if some g induces it, which means:

- (a) g is an isomorphism from  $M 
  ightharpoonup \bigcup_{i \le i} P_j^M$  onto  $N 
  ightharpoonup \bigcup_{i \le i} P_j^N$  (for some i) which is a winning position for player II in the game from 2.1(b).
- $(\beta)$  the set  $\{\langle a, \xi \rangle : \langle g(a), \xi \rangle \neq f(\langle a, \xi \rangle), \text{ e.g., one is defined the other not}\}$ is a small subset of  $M^*$ .
- ( $\gamma$ ) f is one to one, preserving the predicates  $P_i$ , and it maps  $\bigcup_{j < i} P_j^{M^*}$  onto  $\bigcup_{i < i} P_j^{N^*}$ .

It is easy to see that the family of good f's, exemplifies  $M^* \equiv_{\infty,\lambda} N^*$ .

**2.6 Definition** For each  $\mathfrak{B} \in K^*$ , we define  $\mathfrak{B}^-$ . For each  $i < \kappa$  let

$$S_{i} = \{ \langle a_{\alpha} : \alpha < \lambda_{i} \rangle : a_{\alpha} \in P_{i}^{\mathfrak{B}} \text{ for each } \alpha, \ a_{\alpha} \neq a_{\beta} \text{ for } \alpha < \beta < \lambda_{i}, \text{ and for some } R, \gamma, b, \ h_{R}(\gamma) = i, \ \mathfrak{B} \vDash R[\bar{b}_{0}, \ldots, \bar{b}_{j}, \ldots]_{j < \alpha(R)}$$
 and  $\bar{b}_{\gamma} = \langle a_{\alpha} : \alpha < \lambda_{i} \rangle \}$ 

(we allow to use equality for R).

Clearly  $S_i$  is a definable subset of  $\mathfrak{B}$  (by a formula of  $L_{\infty,\lambda}$  with no parameters). Now we define on  $S_i$  an equivalence relation  $E_i$ :

$$\langle a_{\alpha}^0 : \alpha < \lambda_i \rangle \ E_i \langle a_{\alpha}^1 : \alpha < \lambda_i \rangle iff \langle a_{\alpha}^0 : \alpha < \lambda_i \rangle \in S_i, \ \langle a_{\alpha_1} : \alpha < \lambda_i \rangle \in S_i \text{ and the symmetric difference of } \{a_{\alpha}^0 : \alpha < \lambda_i \}, \ \{a_{\alpha}^1 : \alpha < \lambda_i \} \text{ has power } < \lambda_i.$$

Now we define  $\mathfrak{B}^-$ :

$$|\mathfrak{B}^-| = \{\bar{a}/E_i : \bar{a} \in S_i, i < \kappa\} .$$

$$P_i^{\mathfrak{B}^-} = \{\bar{a}/E_i : \bar{a} \in S_i\} .$$

$$R^{\mathfrak{B}^-} = \{\langle \bar{a}_0/E_{i(0)}, \dots, \bar{a}_{\alpha}/E_{i(\alpha)}, \dots \rangle_{\alpha < \alpha(R)} : \bar{a}_{\alpha} \in S_{h_R(\alpha)} .$$

$$i(\alpha) = h_R(\alpha) \text{ and } \mathfrak{B} = R^{\mathfrak{B}}[\bar{a}_0, \bar{a}_1, \dots, a_{\alpha}, \dots]_{\alpha < \alpha(R)}\} .$$

- **2.7 Fact** If  $N \in K^0$ , then  $(N^*)^-$  is isomorphic to N, and  $P_i^{(N^*)^-} = \{\langle (a,\xi) : \xi < \lambda_i \rangle / E_i : a \in P_i \}$  and the isomorphism is the obvious one.
- **2.8 Fact** If  $\mathfrak{B} \in K^*$  then  $\mathfrak{B}^- \in K^0$ .

*Proof:* We call a partial isomorphism g from M to  $\mathfrak{B}^-$  good if some f induces it, which means:

( $\alpha$ ) f is an isomorphism from  $M^* \upharpoonright \bigcup_{j < i} P_j^M$  onto  $\mathfrak{B} \upharpoonright \bigcup_{j < i} P_j^{\mathfrak{B}}$  which preserve  $L_{\infty,\lambda}$ -equivalence, i.e.,

$$(M^*,c)_{c\in\bigcup_{j\leqslant i}P_j^{M^*}}\equiv_{\infty,\lambda}(\mathfrak{B},f(c))_{c\in\bigcup_{j\leqslant i}P_j^{\mathfrak{B}}}.$$

( $\beta$ ) g is a function from  $\bigcup_{j < i} P_j^M$  onto  $\bigcup_{j < i} P_j^{\mathfrak{B}^-}$ , where for  $a \in P_j^M$ 

$$g(a) = \langle f \langle a, \xi \rangle : \xi < \lambda_j \rangle / E_j$$
.

It is easy to see that the family of good g exemplifies  $\mathfrak{B}^- \in K^0$ .

**2.9 Fact** If  $\mathfrak{B} \in K^*$  then  $(\mathfrak{B}^-)^*$  is isomorphic to  $\mathfrak{B}$ .

*Proof:* As  $\mathfrak{B} \in K^*$ ,  $|P_i^{\mathfrak{B}}| < \lambda$  (see Fact 2.3). Now by the definition  $\mathfrak{B} \equiv_{\infty,\lambda} M^*$ , hence there is a partition of  $P_i^{\mathfrak{B}}$ ,  $P_i^{\mathfrak{B}} = \bigcup_{a \in M} \{t_{a,\xi} : \xi < \lambda_i\}$ , the  $t_{a,\xi}$  are distinct (for  $a \in P_i^M$ ,  $\xi < \lambda_i$ ) and  $\{\langle t_{a,\xi} : \xi < \lambda_i \rangle / E_i : a \in M\}$  is a list of all  $E_i$ -equivalence classes. So  $P_i^{\mathfrak{B}} = \{\langle t_{a,\xi} : \xi < \lambda_i \rangle / E_i : a \in M\}$ , and

$$P_i^{(\mathfrak{B}^-)^*} = \{ (\langle t_{a,\xi} : \xi < \lambda_i \rangle / E_i, \xi \rangle : a \in M, \xi < \lambda \} .$$

Now define  $F: \mathfrak{B} \to (\mathfrak{B}^-)^*$ , for  $a \in M_i$ 

$$F(t_{a,\xi}) = (\langle t_{a,\xi} : \xi < \lambda_i \rangle / E_{i,\xi}) .$$

It is easy to check that F is an isomorphism from  $\mathfrak{B}$  onto  $(\mathfrak{B}^-)^*$ .

*Proof of Lemma 2.1:* The series of facts above prove that the number of nonisomorphic models in  $K^0$  and in  $K^*$  are equal: the map  $N \to N^*$  is from  $K^0$  into  $K^*$ (see Fact 2.5) and the map  $\mathfrak{B} \to \mathfrak{B}^-$  is from  $K^*$  to  $K^0$  (see Fact 2.8); those maps are each an inverse of the other (when we divide by isomorphism) (see Facts 2.7, 2.9). As by Definition 2.2(4) and Fact 2.4:

$$K^* = \{\mathfrak{A} : \mathfrak{A} \equiv_{\infty, \lambda} M^*, \|\mathfrak{A}\| = \lambda\}$$

clearly  $no(M^*)$  is the number of nonisomorphic  $M \in K$ , which was assumed to be  $\vartheta$ .

For  $\lambda$  not strong limit we use instead of Lemma 2.1:

Suppose that in 2.1 we assume further that every relation 2.10 Main Lemma of M, restricted to  $\bigcup P_j^{\hat{M}}$  (for  $i < \kappa$ ) has power  $< \lambda$ , but  $\lambda$  is singular, not necessarily strong limit.

Then  $\vartheta \in RSP_{\lambda,\lambda}^{\lambda}$ 

*Proof:* As the proof is similar to that of Lemma 2.1, we shall only mention the required changes:

In Definition 2.2(3) we redefine  $R^{\mathfrak{A}^*}$ :

$$R^{\mathfrak{A}^*} = \left\{ \langle x_{0,0}, x_{0,1}, \dots, x_{0,j_0}, \dots, x_{1,0}, x_{1,1}, \dots, x_{1,j_1}, \dots; \dots; x_{\alpha,0}, x_{\alpha,1}, \dots, x_{\alpha,j_{\alpha}}, \dots; \dots \rangle_{\alpha < \alpha(R)} : \alpha, j \in I_R \right\}$$

There are  $a_{\alpha} \in \mathfrak{A}$  for  $\alpha < \alpha(R)$  such that:

- (a)  $\mathfrak{A} \models R[a_0, \ldots, a_{\alpha}, \ldots]$  hence  $a_{\alpha} \in P_{h_R(\alpha)}^{\mathfrak{A}}$ ; (b) for each  $\alpha$  there are n and  $0 = \xi_0 < \xi_1 < \ldots < \xi_n < \lambda_{h_R(\alpha)}$  and  $a_{\alpha,l} \in P_{h_R(\alpha)}$  for l < n, such that:

$$\begin{aligned} \xi_n &\leq \gamma < \lambda_{h_R(\alpha)} \Rightarrow x_{\alpha,\gamma} = \langle a_\alpha, \gamma \rangle \\ \xi_l &\leq \gamma < \xi_{l+1} \Rightarrow x_{\alpha,\gamma} = \langle a_{\alpha,l}, \gamma \rangle \end{aligned}.$$

In the proof of Fact 2.5 redefine "g induces f" by replacing ( $\beta$ ) by:

 $(\beta)_1'$  for each j < i, there is  $\xi_i < \lambda_i$  such that for  $a \in P_i^M$ ,

$$f(\langle a, \xi \rangle) = \begin{cases} \langle g_j, \xi \rangle & \text{if } \xi < \xi_j \\ \langle g(a), \xi \rangle & \text{if } \xi \geq \xi_j \end{cases}$$

- $(\beta)'_2$  for each  $j \ge i$  for some  $\xi_i < \lambda_i$ ,  $f(\langle g_i, \xi \rangle) = \langle a, \xi \rangle$  if  $a \in P_i^M$ ,  $\xi < \xi_i$ , undefined otherwise.
- $(\beta)'_3$   $g_j$  is a one-to-one function from  $P_i^M$  onto  $I_i^N$ .

Still the power of  $L(M^*)$  is too large, but we can use Claim 1.4(1).

To get the desired conclusion we still have to find M as required in Lemma 2.1(b). We shall construct such M.

If  $\aleph_0 < \kappa = cf \lambda < \lambda$  then  $2^{\kappa} \in RSP_{\lambda,\lambda}^{\lambda}$ . 2.11 Conclusion

*Proof:* it is well known that there are two trees, with  $\kappa$ -levels,  $L_{\infty,\kappa}$ - equivalent:

one has a branch of order type  $\kappa$ , the other not. So each such tree is a model satisfying Lemma 2.1(a) for some  $\vartheta \leq 2^{\kappa}$ ,  $\vartheta > 1$ . In fact the hypothesis of Lemma 2.10 holds also. Hence, by 2.10,  $(\exists \vartheta \leq 2^{\kappa})[\vartheta \in RSP_{\lambda,\lambda}^{\lambda} \wedge \vartheta > 1]$ . By Claim 1.7(2) this implies that  $2^{\kappa} \in RSP_{\lambda,\lambda}^{\lambda}$ .

# 3 Building K-Systems

- **3.1 Definition** A  $\kappa$ -system will mean here a model of the form  $\mathfrak{A} = \langle G_i, h_{i,j} \rangle_{1 \leq j < \kappa}$  where
- (i)  $G_i$  is an Abelian group such that  $(\forall x \in G_i)(x + x = 0)$ , the  $G_i$ 's are pairwise disjoint.
- (ii)  $h_{i,j}$  is a homomorphism from  $G_i$  into  $G_i$  when  $i \le j$ .
- (iii)  $h_{i_1,i_2} \circ h_{i_2,i_3} = h_{i_1,i_3}$  when  $i_1 \le i_2 \le i_3$ .
- (iv)  $h_{i,i}$  is the identity.

We denote  $\kappa$ -systems by  $\mathfrak{A}$ ,  $\mathfrak{B}$  and for a system  $\mathfrak{A}$ , we write  $G_i = G_i^{\mathfrak{A}}$   $h_{i,j} = h_{i,j}^{\mathfrak{A}}$ . Let  $\|\mathfrak{A}\| = \sum_{i < \kappa} \|G_i\|$ . Almost everything we prove holds for  $\delta$ -systems,  $\delta$  a limit ordinal and we shall use this.

Let  $\mathfrak{A} \uparrow \delta = \langle G_i^{\mathfrak{A}}, h_{i,j}^{\mathfrak{A}} \rangle_{i \leq j < \delta}$ .

- **3.2 Definition** We say  $\mathfrak{A} \leq \mathfrak{B}$  if  $G_i^{\mathfrak{A}}$  is a subgroup of  $G_i^{\mathfrak{B}}$ ,  $h_{i,j}^{\mathfrak{A}} \subseteq h_{i,j}^{\mathfrak{B}}$ , and:
- (\*) for every  $j < \kappa$ ,  $a \in G_j^{\mathfrak{B}}$  there is a maximal  $i \le j$  such that  $h_{i,j}^{\mathfrak{B}}(a) \in G_i^{\mathfrak{A}}$ .
- 3.3 Fact  $\leq$  is transitive reflexive and if  $\mathfrak{A}_{\alpha}(\alpha < \delta)$  is increasing then

$$\bigwedge_{\alpha<\delta}\left[\mathfrak{A}_{\alpha}\leq\bigcup_{\beta<\delta}\mathfrak{A}_{\beta}\right]\;.$$

**3.4 Definition**  $Gr(\mathfrak{A}) = \{a = \langle a_{i,j} : i < j < \kappa \rangle : a_{i,j} \in G_i, \text{ and if } \alpha < \beta < \gamma < \kappa \}$  then  $a_{\alpha,\gamma} = a_{\alpha,\beta} + h_{\alpha,\beta}(a_{\beta,\gamma})\}.$ 

This is a group by coordinatewise addition.

- **3.5 Definition** For  $a = \langle a_i : i < \kappa \rangle \in \prod_{i < \kappa} G_i$ , let fact  $(a) = \langle a_{i,j} : i < j < \kappa \rangle$  where  $a_{i,j} = a_i h_{i,j}(a_j)$ . Let Fact  $(\mathfrak{A}) = \{fact(a) : a \in \Pi G_i^{\mathfrak{A}}\}$ .
- **3.6 Claim** The mapping  $a \to fact(a)$  is from  $\prod_{i < \kappa} G_i$  into  $Gr(\mathfrak{A})$ , and is a homorphism. So  $Fact(\mathfrak{A})$  is a subgroup of  $Gr(\mathfrak{A})$ .

## 3.7 Definition

- (1)  $Gs(\mathfrak{A}) = \{\bar{a} \in Gr(\mathfrak{A}) : \text{ for every } \delta < \kappa, \langle a_{i,j} : i < j < \delta \rangle \in Fact(\mathfrak{A} \upharpoonright \delta) \}$
- (2)  $E(\mathfrak{A}) = Gr(\mathfrak{A})/Fact(\mathfrak{A}), E^{\circ}(\mathfrak{A}) = Gs(\mathfrak{A})/Fact(\mathfrak{A}).$
- (3)  $\mathfrak A$  is called smooth if for every limit  $\delta < \kappa$ ,  $E^{\circ}(\mathfrak A \upharpoonright \delta)$  has power 1.

# **Fact 3.7A** Let $\mathfrak{A}$ be a $\kappa$ -system:

- (1) for every limit  $\delta$ , Fact( $\mathfrak{A} \upharpoonright \delta$ )  $\subseteq Gs(\mathfrak{A} \upharpoonright \delta) \subseteq Gr(\mathfrak{A} \upharpoonright \delta)$ .
- (2) If  $\mathfrak A$  is smooth then for every limit  $\delta < \kappa_1$ ,  $E(\mathfrak A \dagger \delta)$  has power 1 and, i.e.,

 $Gr(\mathfrak{A} \uparrow \delta) = Fact(\mathfrak{A} \uparrow \delta).$ 

(3)  $Gr(\mathfrak{A}) = Gs(\mathfrak{A})$ .

Proof: (1) Easy.

- (2) We prove this by induction on  $\delta$ . For a given  $\delta$ , by the induction hypotheses  $Gr(\mathfrak{A} \upharpoonright \delta) = Gs(\mathfrak{A} \upharpoonright \delta)$ . As  $\mathfrak{A}$  is smooth,  $E^{\circ}(\mathfrak{A} \upharpoonright \delta) = Gs(\mathfrak{A} \upharpoonright \delta) / Fact(\mathfrak{A} \upharpoonright \delta)$  has power 1, hence  $Gs(\mathfrak{A} \upharpoonright \delta) = Fact(\mathfrak{A} \upharpoonright \delta)$ ; together with the previous sentence we get  $Gr(\mathfrak{A} \upharpoonright \delta) = Fact(\mathfrak{A} \upharpoonright \delta)$ , hence  $E(\mathfrak{A} \upharpoonright \delta) = Gr(\mathfrak{A} \upharpoonright \delta) / Fact(\mathfrak{A} \upharpoonright \delta)$  has power 1.
  - (3) Easy.

# **3.8 Claim** There is $\mathfrak{A}$ , $|\mathfrak{A}| = \mu + \kappa$ and $|E(\mathfrak{A})| \ge \mu$ .

*Proof:* Let  $G_i$  be the free Abelian group of order two generated by  $W_i = \{a_{i,j}^{\xi}: \xi < \mu, j < \kappa \text{ but } j > i\}$ . So we can identify it with the family of finite subsets of  $W_i$ , with addition being the symmetric difference.

$$h_{\alpha,\beta}: G_{\beta} \to G_{\alpha}$$
 is defined by

[1] 
$$h_{\alpha,\beta}(a_{\beta,\gamma}^{\xi}) = a_{\alpha,\gamma}^{\xi} - a_{\alpha,\beta}^{\xi}$$
.

Check: For  $\alpha < \beta < \gamma$   $h_{\alpha,\gamma} = h_{\alpha,\beta} \circ h_{\beta,\gamma}$  as

$$\begin{array}{l} h_{\alpha,\beta}(h_{\beta,\gamma}(a_{\gamma,i}^\xi)) = h_{\alpha,\beta}(a_{\beta,i}^\xi - a_{\beta,\gamma}^\xi) = (a_{\alpha,i}^\xi - a_{\alpha,\beta}^\xi) - (a_{\alpha,\gamma}^\xi - a_{\alpha,\beta}^\xi) \\ = a_{\alpha,i}^\xi - a_{\alpha,\gamma}^\xi = h_{\alpha,\gamma}(a_{\gamma,i}^\xi) \end{array} .$$

Let  $a^{\xi} = \langle a_{i,j}^{\xi} : i < j < \kappa \rangle$ . Clearly  $a^{\xi} \in Gr(\mathfrak{A})$ . We want to show  $a^{\xi} - a^{\xi} \notin Fact(\mathfrak{A})$  for  $\xi \neq \zeta$ .

If not there are  $w_i \in G_i$ 

[2] 
$$a_{i,j}^{\xi} - a_{i,j}^{\zeta} = w_i - h_{i,j}(w_j).$$

Clearly  $w_i$  is nothing but a finite subset of  $W_i$ .

Let  $G_i^* = \langle \{a_{i,j}^{\xi} : \epsilon \neq \xi, i < j < \kappa \} \rangle$ . We can define a projection  $g_i$  onto  $G_i^* : g_i(x) = x \cap \{a_{i,j}^{\xi} : j < \kappa, j > i\}$ . It is easy to check that for  $i < j < \kappa, h_{i,j} \circ g_j = g_i \circ h_{i,j}$  and  $h_{i,j}$  maps  $G_j^*$  into  $G_i^*$ . Applying  $g_i$  on the equations [2] we get  $a_{i,j}^{\xi} = w_i^0 - h_{i,j}(w_j^0)$  when  $w_i^0 = g_i(w_i)$ . So we get that for some  $w_i(i < \kappa)$ 

[3] 
$$a_{i,j}^{\xi} = w_i - h_{i,j}(w_i)$$
.

So there are  $n < \omega$  and S, an unbounded subset of  $\kappa$  such that  $(\forall i \in S)|w_i| = n$ .

Let  $\alpha < \beta < \gamma$  be in S, by [3]  $a_{\beta,\gamma}^{\xi} = w_{\beta} - h_{\beta,\gamma}(w_{\gamma})$ ; apply  $h_{\alpha,\beta}$  and get  $a_{\alpha,\gamma}^{\xi} - a_{\alpha,\beta}^{\xi} = h_{\alpha,\beta}(w_{\beta}) - h_{\alpha,\gamma}(w_{\gamma})$ .

$$a_{\alpha,\gamma}^{\xi} + h_{\alpha,\gamma}(w_{\gamma}) = a_{\alpha,\beta}^{\xi} + h_{\alpha,\beta}(w_{\beta})$$
.

So for some  $c_{\alpha} \in G_{\alpha}$  for every  $\beta$ ,  $\alpha < \beta$ 

$$[4] a_{\alpha,\beta}^{\xi} + h_{\alpha,\beta}(w_{\beta}) = c_{\alpha}.$$

Let  $U_{\alpha} = \{i : a_{\alpha,i}^{\xi} \text{ appear in } c_{\alpha}\}$ , remember  $c_{\alpha}$  is a finite subset of  $W_{\alpha}$ , so  $U_{\alpha}$  is a finite subset of  $\kappa$ .

W.l.o.g.  $\alpha \in S \land \beta \in S \land \alpha < \beta \Rightarrow \beta > Max \ U_{\alpha}$ . So if  $\alpha < \beta$  are in S, by the equation [4],  $h_{\alpha,\beta}(w_{\beta})$  has elements of the form  $a_{\alpha,\beta}^{\xi}$  or  $a_{\alpha,\gamma}^{\xi}$ :  $(\gamma < \beta)$  only.

(Clearly  $a_{\alpha,\beta}^{\xi}$  does not appears in  $c_{\alpha}$ , so it appears in  $h_{\alpha,\beta}(w_{\beta})$ .) Hence (by  $h_{\alpha,\beta}$ 's definition) some  $a_{\alpha,\gamma}^{\xi}(\gamma > \beta)$  appears in  $h_{\alpha,\beta}(w_{\beta})$ , but this contradicts the equality.

3.9 Fact Assume  $cf \ \kappa > \aleph_0$ . If  $\mathfrak{A}_{\alpha}(\alpha < \delta)$  is  $\leq$ -increasing continuous,  $a \in Gr(\mathfrak{A}_0) \subseteq Gr(\mathfrak{A}_{\alpha})$ ,  $a \notin Fact(\mathfrak{A}_{\alpha})$  (for  $\alpha < \delta$ ) then  $a \notin Fact(\bigcup_{\alpha \leq \delta} \mathfrak{A}_{\alpha})$ .

*Proof:* Suppose  $a = fact(\bar{b})$   $\bar{b} = \langle b_i : i < \kappa \rangle$ . For each i there is a minimal  $\alpha = \alpha(i) < \delta$ ,  $b_i \in G_i^{\mathfrak{A}_{\alpha(i)}}$ .

Now  $i < j \Rightarrow \alpha(i) \leq \alpha(j)$ , because  $a_{i,j} = b_i - h_{i,j}(b_j)$  hence  $b_i = a_{i,j} + h_{i,j}(b_j)$  but  $a_{i,j} \in G_i^{\mathfrak{A}_{\alpha}(j)}$ , and  $b_j \in G_i^{\mathfrak{A}_{\alpha}(j)}$ . So  $b_i \in G_i^{\mathfrak{A}_{\alpha}(j)}$  hence  $\alpha(i) \leq \alpha(j)$ . If  $\langle \alpha(i) : i < \kappa \rangle$  has a bound  $\alpha^* < \delta$  then  $a \in Fact(\mathfrak{A}_{\alpha})$  contradiction.

Hence  $\langle \alpha(i) : i < \kappa \rangle$  converge to  $\delta$ . So  $cf \delta = cf \kappa > \aleph_0$ .

Hence for some  $\vartheta < \kappa$ ,  $cf \vartheta = \aleph_0$ ,  $\langle \alpha(i) : i < \vartheta \rangle$  is not eventually constant and let  $\beta = \bigcup_{i \geq 0} \alpha(i)$ .

However, look at 3.2(\*), apply to  $\mathfrak{A} = \mathfrak{A}_{\beta}$ ,  $\mathfrak{B} = \mathfrak{A}_{\alpha(\vartheta)}$ ,  $j = \beta$ ,  $a = b_{\beta}$ , and get contradication.

**3.10 Fact** There is a smooth  $\mathfrak{A}$ ,  $|\mathfrak{A}| = \mu^{\kappa}$  with  $|E(\mathfrak{A})| = \mu$  such that every  $h_{i,j}^{\mathfrak{A}}$  is onto  $G_i^{\mathfrak{A}}$ .

*Proof:* By 3.8 there is  $\mathfrak{A}_0$ ,  $||\mathfrak{A}_0|| \le \mu^{\kappa}$ ,  $|E(\mathfrak{A})| \ge \mu$ . Let  $a_{\xi} + Fact(\mathfrak{A}_0) \in Gr(\mathfrak{A}_0)/Fact(\mathfrak{A}_0)$  be distinct for  $\xi < \mu$ . We define by induction on  $\alpha < \mu^{\kappa} \times \kappa^+$  (ordinal multiplication)  $\mathfrak{A}_{\alpha}$ ,  $\le$ -increasing, continuous  $||\mathfrak{A}_{\alpha}|| \le \mu^{\kappa}$ , such that  $a_{\xi} - a_{\xi} \notin Fact(\mathfrak{A}_{\alpha})$  for  $\xi \neq \zeta$ . Clearly it is enough to prove [1], [2], [3] below (see later):

[1] if  $b \in Gr(\mathfrak{A}_{\alpha}) - \langle Fact(\mathfrak{A}_0), \ldots, a_{\xi}, \ldots \rangle_{\xi < \mu}$ , then we can define  $\mathfrak{A}_{\alpha+1}$  such that:  $b \in Fact(\mathfrak{A}_{\alpha+1})$ .

We take care of smoothness similarly. This is done as follows: let  $\mathfrak{A}_{\alpha+1} = \langle G_i^{\alpha+1}, h_{i,j}^{\alpha+1} \rangle_{i < j < \kappa}$ , where

$$G_i^{\alpha+1} = \langle G_i^{\alpha}, x_i \rangle$$
-free extension (among Abelian satisfying  $x + x = 0$ )

$$h_{i,j}(x_j) = x_i - b_{i,j}$$

[2] if  $i < j < \kappa$ ,  $x \in G_i^{\mathfrak{A}_{\alpha}} - Range \ h_{i,j}^{\mathfrak{A}_{\alpha}}$  we can define  $\mathfrak{A}_{\alpha+1}$  such that  $x \in Rang \ h_{i,j}^{\mathfrak{A}_{\alpha+1}}$ 

We let

$$G_{\xi}^{\mathfrak{A}_{\alpha+1}} = \begin{cases} G_{\xi}^{\mathfrak{A}_{\alpha}} & \text{if } \xi \leq i \text{ or } \xi > j \\ \langle G_{\xi}^{\mathfrak{A}_{\alpha}}, x_{\xi} \rangle & \text{if } i < \xi \leq j \end{cases}.$$

 $h_{\zeta,\xi}(x_{\xi}) = x_{\zeta}$  when  $i < \zeta < \xi \le j$ ,  $h_{i,\xi}(x_{\xi}) = x$ .

[3] if  $\delta < \kappa$ ,  $b \in Gr(\mathfrak{A}_{\alpha} \mid \delta)$  then we can define  $\mathfrak{A}_{\alpha+1}$  such that  $b \in Fact(\mathfrak{A}_{\alpha+1} \mid \delta)$ .

This is similar to [1].

Why are [1], [2], [3] enough?

As we can define the  $\mathfrak{A}_{\alpha}$ 's such that if  $\epsilon = \mu^{\kappa} \times \gamma$ ,  $\epsilon(1) = \mu^{\kappa} \times (\gamma + 1)$   $\epsilon(^*) = \mu^{\kappa} \times \kappa^+$ :

(a) for  $b \in Gr(\mathfrak{A}_{\mu^k \times \gamma})$  for some  $\beta < \epsilon(1)$ ,  $b \in \langle Fact(\mathfrak{A}_{\beta}), \ldots, a_{\xi}, \ldots \rangle_{\xi < \mu}$  (use [1]) hence:

$$b \in \langle Fact(\mathfrak{A}_{\epsilon(1)}), \ldots, a_{\xi}, \ldots \rangle$$

(b) for every  $x \in P_i^{\mathfrak{A}_{\xi}}$ ,  $i < j < \kappa$ , for some  $\beta < \epsilon(1)$ 

$$x \in \text{Rang}(h_{i,j}^{\mathfrak{A}_{\beta}})$$
 (use [2]) (hence  $x \in \text{Rang}(h_{i,j}^{\mathfrak{A}_{\epsilon}(^{\bullet})})$ 

(c) for every limit  $\delta < \kappa$ , if  $\mathbf{b} \in Gr(\mathfrak{A}_{\epsilon} \mid \delta)$  then for some  $\beta < \epsilon(1)$ ,  $\mathbf{b} \in Fact(\mathfrak{A}_{\beta} \mid \delta)$  (see [3]) hence  $\mathbf{b} \in Fact(\mathfrak{A}_{\epsilon(*)} \mid \delta)$ .

As 
$$cf \ \epsilon(^*) > \kappa$$
,  $Gr(\mathfrak{A}_{\epsilon(^*)}) = \bigcup_{\beta < \kappa^+} Gr(\mathfrak{A}_{\lambda^{\kappa} \times \beta})$  and  $Gr(\mathfrak{A}_{\epsilon(^*)} \upharpoonright \delta) = \bigcup_{\beta < \kappa^+} Gr(\mathfrak{A}_{\lambda^{\kappa} \times \beta} \upharpoonright \delta)$ , so  $\mathfrak{A}_{\epsilon(^*)}$  is as required.

**3.11 Claim** For every  $\kappa$ -system  $\mathfrak A$  where the  $h_{i,j}^{\mathfrak A}$  are onto, there is M,  $||M|| = ||\mathfrak A||$ , as in Lemma 2.1(b), and we get for M,  $\vartheta = |E^{\circ}(\mathfrak A)|$ .

*Proof:* We concentrate on  $\vartheta \geq \aleph_0$ .

For every  $a \in Gr(\mathfrak{A})$  we define a model  $M_a$ :

- (i)  $|M_a| = \bigcup_{i < \kappa} G_i^{\mathfrak{A}}.$
- (ii)  $P_i^{M_a} = G_i^{\mathfrak{A}}$ .
- (iii) for every  $i < \kappa$ ,  $c \in G_i$  we have a partial function  $F_c: P_i^{M_a} \to P_i^{M_a}$ :

$$F_c(x) = c + x$$

(iv) for every i < j, we have a partial function  $H_{i,j}: P_j^{M_a} \to P_i^{M_a}$ 

$$H_{i,i}(x) = h_{i,i}(x) + a_{i,i}$$
.

The following series of Facts will prove Claim 3.11.

3.12 Fact  $M_a \cong M_b$  iff  $a - b \in Fact(\mathfrak{A})$  (the subtraction is in  $Gr(\mathfrak{A})$ ).

*Proof:* Suppose b - a = fact(d) where  $d = \langle d_i : i < \kappa \rangle$ . We define an isomorphism  $g = g_d$  from  $M_a$  onto  $M_b$ :

for 
$$x \in G_i^{\mathfrak{A}}$$
 let  $g(x) = x + d_i$ .

Clearly g maps each  $P_i^{M_a}$  onto  $P_i^{M_b}$  hence it maps  $|M_a|$  onto  $|M_b|$ . Also g is one-to-one.

Now for each  $i < \kappa$ ,  $c \in G_i^{\mathfrak{A}}$ ,  $x \in P_i^{M_a} = G_i^{\mathfrak{A}}$ 

$$g(F_c^{M_a}(x)) = g(c+x) = c+x+d_i = c+g(x) = F_c^{M_b}(g(x))$$

Lastly for i < j,  $x \in P_i^{M_a} = G_i^{\mathfrak{A}}$ 

$$y(H_{i,j}^{Ma}(x)) = g(h_{i,j}(x) + a_{i,j}) = h_{i,j}(x) + a_{i,j} + d_i = h_{i,j}(x) + h_{i,j}(d_j) + b_{i,j} = h_{i,j}(x+d_j) + b_{i,j} = H_{i,j}^{Mb}(x+d_j) = H_{i,j}^{Mb}(g(x))$$
 (the third equality is as  $b - a = fact(d)$  and  $fact(d)$ 's definition.

For the other direction suppose g is an isomorphism from  $M_a$  onto  $M_b$ . We let

 $d_i = g(x) - x$  for any (some)  $x \in P_i^{M_a}$  and  $d = \langle d_i : i < \kappa \rangle$ , and can check that b - a = fact(d).

3.13 Fact For any  $M_a$ ,  $M_b(a, b \in Gs(\mathfrak{A}))$  player II wins the game of 2.1(b).

*Proof:* We let (using the notation from the proof of Fact 3.12)

$$\mathfrak{P}_{\alpha} = \{ g_d : d \in \prod_{i \in \alpha} G_i^{\mathfrak{A}}, \ a \mid \alpha - b \mid \alpha = fact(d) \} .$$

By 3.12 and the hypothesis,  $\mathfrak{P}_{\alpha} \neq \emptyset$ , and by the proof of 3.12,  $\mathfrak{P}_{\alpha}$  is a set of isomorphisms from  $M_a \upharpoonright \bigcup_{i < \alpha} G_i^{\mathfrak{A}}$  onto  $M_b \upharpoonright \bigcup_{i < \alpha} G_i^{\mathfrak{A}}$ . The strategy of player II is to use partial isomorphisms from  $\bigcup_{\alpha < \kappa} \mathfrak{P}_{\alpha+1}$ . The only missing point is: for successor  $\alpha < \beta < \kappa$ ,  $g \in \mathfrak{P}_{\alpha}$ , there is  $g' \in \mathfrak{P}_{\beta}$ ,  $g \subseteq g'$ ; equivalently, for  $d_0 \in \prod_{i < \alpha} G_i^{\mathfrak{A}}$ , satisfying  $a \upharpoonright \alpha - b \upharpoonright \alpha = fact(d_0)$  there is  $d \in \prod_{i < \beta} G_i$ ,  $d_0 = d \upharpoonright \alpha$ , and

 $a \mid \beta - b \mid \beta = fact(d)$ . We know that for some  $d_1, d_2 \in \prod_{i < \beta} G_i^{\mathfrak{A}}$ ,  $a \mid \beta = fact(d_1)$ ,  $b \mid \beta = fact(d_2)$ .

Let 
$$d_0 = \langle d_i^0 : i < \alpha \rangle$$
,  $d_1 = \langle d_i^1 : i < \beta \rangle$ ,  $d_2 = \langle d_i^2 : i < \beta \rangle$ .

As  $a \mid \alpha = fact(d_1 \mid \alpha)$ ,  $b \mid \alpha = fact(d_2 \mid \alpha)$  and  $a \mid \alpha - b \mid \alpha = fact(d_0)$  clearly for every  $i < j < \alpha$ 

$$(d_i^1 - h_{i,j}(d_j^1)) - (d_i^2 - h_{i,j}(d_j^2)) = d_i^0 - h_{i,j}(d_j^0)$$
;

hence,

(a) 
$$d_i^1 - d_i^2 - d_i^0 = h_{i,j}(d_j^1 - d_j^2 - d_j^0)$$
.

As  $h_{\beta-1,\alpha-1}$  is from  $G_{\beta-1}^{\mathfrak{A}}$  onto  $G_{\alpha-1}^{\mathfrak{A}}$  (remember  $\alpha,\beta$  are successor ordinals) for some  $x \in G_{\beta-1}^{\mathfrak{A}}$ :

(b) 
$$h_{\alpha-1,\beta-1}(x) = d_{\alpha-1}^1 - d_{\alpha-1}^2 - d_{\alpha-1}^0$$
.

By (a) for every  $i < \alpha$ :

(c) 
$$h_{i,\beta-1}(x) = d_i^1 - d_i^2 - d_i^0$$

Now define for i,  $i < \beta$ .

(d) 
$$d_i = d_i^1 - d_i^2 - h_{i,\beta-1}(x)$$
.

By (c) for  $i < \beta$ :

(e) 
$$d_i = d_i^0$$
.

Let  $d = \langle d_i : i < \beta \rangle$ , so  $d \upharpoonright \alpha = d_0$ . We shall show that  $a \upharpoonright \beta - b \upharpoonright \beta = fact(d)$  thus finishing the proof of 3.13. For  $i < j < \beta$ 

$$a_{i,j} - b_{i,j} = (d_i^1 - h_{i,j}(d_j^1)) - (d_i^2 - h_{i,j}(d_j^2))$$

$$= (d_i^1 - d_i^2) - h_{i,j}(d_j^1 - d_j^2)$$

$$= (d_i + h_{i,\beta-1}(x)) - h_{i,j}(d_j + h_{j,\beta-1}(x))$$

$$= (d_i - h_{i,j}(d_i)) + (h_{i,\beta-1}(x) - h_{i,j} \circ h_{i,\beta-1}(x)) = d_i - h_{i,j}(d_i)$$

So d is as required and we finish.

**3.14 Fact** If in the game for  $(M_a, M)$  player II wins then  $(\exists b)[M \cong M_b \land b - a \in Gs(\mathfrak{A})]$ .

*Proof:* We can use a weaker hypothesis:

(\*) For every  $\alpha$ ,  $M 
ightharpoonup \bigcup_{i \le \alpha} P_i^M$  is isomorphic to  $M_a 
ightharpoonup \bigcup_{i \le \alpha} G_i^{\mathfrak{A}}$  and let the isomorphism be  $g_{\alpha}^{-1}$  and prove  $M \cong M_b$  for some  $b \in Gr(\mathfrak{A})$ ;

by 3.12 (applied to the various  $\mathfrak{A} \upharpoonright \delta$ ), **b** will be as required.

For any  $i < j < \kappa$ ,  $(g_i^{-1}g_j) \mid P_i^{M_a}$  is necessarily an automorphism of  $M_a \mid P_i^{M_{Ra}}$ . So using the functions  $F_c(c \in G_i^{\mathfrak{A}})$  clearly for some  $d_{i,j} \in G_i^{\mathfrak{A}} g_i^{-1}g_j(x) = x + d_{i,j}$  for every  $x \in G_i^{\mathfrak{A}}$ ).

Using the functions  $H_{i,j}^{M\alpha}$  we can check that  $d_{\alpha,\gamma} = d_{\alpha,\beta} + h_{\alpha,\beta}(d_{\beta,\gamma})$  for  $\alpha < \beta < \gamma < \kappa$  hence  $d = \langle d_{\alpha,\beta} : \alpha < \beta < \kappa \rangle \in Gr(\mathfrak{A})$ . It is also easy to check that  $M_{d+a} \cong M$  (the isomorphism takes  $x \in G_i$  to  $g_i(x)$ ), so we finish.

\* \* \*

What about finite  $\mu$ ? The proof is O.K. for powers of 2. Similarly we can use Abelian group of order p to get power of p, and then sum of models gives us any product.

Alternatively use 1.8.

- 3.15 Claim For every  $\vartheta$ , there is a  $\kappa$ -system  $\mathfrak{A}$ ,  $\|\mathfrak{A}\| = \kappa + \vartheta$ ,  $\vartheta \leq |E^{\circ}(GA)|$ . *Proof:* Just like the proof of 3.10.
- 3.16 Fact For every  $\kappa$ -system  $\mathfrak{A}$ ,  $|E^{\circ}(\mathfrak{A})| \leq |E(\mathfrak{A})| \leq |\mathfrak{A}|^{\kappa}$ . Proof: As  $|Gr(\mathfrak{A})| \leq |\mathfrak{A}|^{\kappa}$ .
- **3.17 Conclusion** If  $\aleph_0 < \kappa = cf \ \lambda < \lambda \ \text{and} \ \vartheta \le \lambda \ \text{then} \ \vartheta^{\kappa} \in RSP^{\lambda}_{\lambda,\lambda}$ .

*Proof:* First assume  $\vartheta < \lambda$ . Let  $\mathfrak{A}$  be a system as provided by 3.15. So by 3.16  $\vartheta \leq |E^{\circ}(\mathfrak{A})| \leq \vartheta^{\kappa}$ . By 3.11 there is a model M of power  $\|\mathfrak{A}\| = \kappa + \vartheta \leq \lambda$ , satisfying the conditions of 2.1(b), 2.10 for  $\vartheta = |E^{\circ}(\mathfrak{A})|$ . So by 2.1, 2.10  $|E^{\circ}(\mathfrak{A})| \in RSP^{\lambda}_{\lambda,\lambda}$ ; hence, by 1.7,  $|E^{\circ}(\mathfrak{A})|^{\kappa} \in RSP^{\lambda}_{\lambda,\lambda}$ . However,  $\vartheta^{\kappa} \leq |E^{\circ}(\mathfrak{A})|^{\kappa} \leq \vartheta^{\kappa}$ . So

$$\vartheta^{\kappa} \in RSP^{\lambda}_{\lambda,\lambda}$$
.

We are left with the case  $\vartheta = \lambda$ . Let  $\lambda = \sum_{i < \kappa} \lambda_i$ ,  $\lambda_i < \lambda$ . By what we have already proved  $\lambda_i^{\kappa} \in RSP_{\lambda,\kappa}^{\lambda}$  for each  $i < \kappa$ . By 1.7  $\prod_{i < \kappa} \lambda_i^{\kappa} \in RSP_{\lambda,\kappa}^{\lambda}$  but by easy cardinal arithmetic  $\vartheta^{\kappa} = \lambda^{\kappa} = \prod_{i < \kappa} \lambda_i^{\kappa}$ .

**3.18 Conclusion** If  $\aleph_0 < \kappa = cf \ \lambda < \lambda$ ,  $\vartheta^{\kappa} \le \lambda$ , then  $\vartheta \in RSP_{\lambda,\lambda}^{\lambda}$ .

*Proof:* Like the proof of 3.17, using 3.10 instead of 3.15.

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