

REMARKS ON ABSOLUTELY SUMMING TRANSLATION
INVARIANT OPERATORS FROM THE DISC ALGEBRA AND ITS
DUAL INTO A HILBERT SPACE

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In this note among other results we prove the following

THEOREM 1. *Let $f_j \in L^1$ for $j = 1, 2, \dots$. Assume that*

$$(1) \quad \sum_{j=1}^{\infty} \left| \int_0^{2\pi} f_j(t) h(t) dt \right| < +\infty \quad \text{for every } h \in H^\infty.$$

Then for every scalar sequence (m_k) with $\sum_{k=0}^{\infty} |m_k|^2 < +\infty$,

$$(2) \quad \sum_{j=1}^{\infty} \sqrt{\sum_{k=0}^{\infty} |m_k \hat{f}_j(-k)|^2} < +\infty,$$

where $\hat{f}(k) = \frac{1}{2\pi} \int_0^{2\pi} f(t) e^{-ikt} dt$ for $k = 0, \pm 1, \pm 2, \dots$.

By L^p ($0 < p \leq \infty$) we denote the space of equivalence classes of p -absolutely integrable with respect to the Lebesgue measure complex-valued measurable functions on $[0, 2\pi]$, and by $C_{2\pi}$ the space of 2π -periodic continuous complex-valued functions on $[0, 2\pi]$. For $f \in L^p$ we put $\|f\|_p = \left(\frac{1}{2\pi} \int_0^{2\pi} |f(t)|^p dt \right)^{1/p}$ for $p \geq 1$ and $\|f\|_p = \frac{1}{2\pi} \int_0^{2\pi} |f(t)|^p dt$ for $0 < p < 1$. The Hardy spaces H^p ($1 \leq p \leq \infty$) and the Disc Algebra A are defined by

$$H^p = \{f \in L^p : \hat{f}(k) = 0 \text{ for } k < 0\}, \quad A = \{f \in C_{2\pi} : \hat{f}(k) = 0 \text{ for } k < 0\}.$$

In the language of absolutely summing operators Theorem 1 means that the adjoint of every translation invariant operator from H^2 into A is 1-absolutely summing. It is an open question whether every bounded linear operator from H^2 into A has 1-absolutely summing adjoint.

Our proof of Theorem 1 is indirect. Our argument uses the duality between nuclear and bounded operators and Theorem 2 below which asserts that a translation invariant operator $M : A \rightarrow H^2$ is nuclear if and only if it is p -absolutely summing for some p with $1 > p > 0$.

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PRELIMINARIES

Let $T : X \rightarrow Y$ be a linear operator (X, Y , Banach spaces). Recall that T is nuclear if and only if it has a nuclear representation, say $\sum_j x_j^* \otimes y_j$; i.e., there are sequences $(x_j^*) \subset X^*$ and $(y_j) \subset Y$ such that $\sum \|x_j^*\| \|y_j\| < +\infty$ and $T(x) = \sum_j x_j^*(x) y_j$ for every $x \in X$. We put $n(T) = \inf \sum_j \|x_j^*\| \|y_j\|$ where the infimum is extended over all the nuclear representations of T . T is L^1 -factorable if there is an L^1 -factorization of T , say (U, V) , i.e. there are an $L^1(\mu)$ space and operators $U : X \rightarrow L^1(\mu), V : L^1(\mu) \rightarrow Y^{**}$ with $VU = \kappa T$ where $\kappa : Y \rightarrow Y^{**}$ is the canonical embedding. We put $\gamma_1(T) = \inf \|U\| \|V\|$ where the infimum is extended over all the L^1 -factorizations of T . Let $0 < p < \infty$. An operator T is p -absolutely summing if there is a constant $C > 0$ such that

$$(3) \quad \sum_{x \in F} \|T(x)\|^p \leq C^p \sup_{\|x^*\| \leq 1} \sum_{x \in F} |x^*(x)|^p \quad \text{for every finite } F \subset X.$$

We put $\pi_p(T) = \inf \{C : C \text{ satisfies (3)}\}$. It can be easily seen that if $T : X \rightarrow Y$ is p -absolutely summing then

$$(4) \quad \int_{\Omega} \|T(\phi(\omega))\|^p m(d\omega) \leq [\pi_p(T)]^p \sup_{\|x^*\| \leq 1} \int_{\Omega} |x^*(\phi(\omega))|^p m(d\omega)$$

for every probability space (m, Σ, Ω) and every weakly measurable function $\phi : \Omega \rightarrow X$.

We shall deal with translation invariant function spaces on the circle group which is represented as the interval $[0, 2\pi]$ with addition mod 2π as the group operation. An operator M acting between those spaces is translation invariant if and only if it commutes with all the translations T_α for $0 \leq \alpha < 2\pi$ (where $(T_\alpha f)(t) = f(t + \alpha)$ for $t \in [0, 2\pi]$). If M is a translation invariant operator, then $M(e^{int}) = m_n e^{int}$ whenever the exponent e^{int} belongs to the domain of M ; we put $\hat{M} = \{m_n : e^{int} \in \text{domain of } M\}$.

We end this section with the following well known fact:

LEMMA 1. *Let $0 < p < 1$. Then there is an absolute constant K_p such that, for every complex Borel measure ν on $[0, 2\pi]$ with $\nu(\{0\}) = \nu(\{2\pi\})$,*

$$\frac{1}{2\pi} \int_0^{2\pi} \left| \sum_{j=0}^n \hat{\nu}(j) e^{ijt} \right|^p dt \leq K_p^p \|\nu\|^p \quad \text{for } n = 1, 2, \dots$$

Here $\|\nu\|$ denotes the total variation of ν and

$$\hat{\nu}(j) = \int_0^{2\pi} e^{-ijt} \nu(dt) \quad \text{for } j = 0, \pm 1, \pm 2, \dots$$

Proof: The unit ball of L^1 is dense in the unit ball of the dual $(C_{2\pi})^*$ in the $\sigma((C_{2\pi})^*, C_{2\pi})$ - topology. Hence, given a measure ν as above, a positive integer n and an $\varepsilon > 0$, there exists an $h \in L^1$ such that $\|h\|_1 = \|\nu\|$ and

$$|\hat{h}(j) - \hat{\nu}(j)| < \frac{\varepsilon}{n+1} \quad \text{for } j = 0, 1, \dots, n.$$

Let $(Rh)(t) = \lim_{r \uparrow 1} \sum_{j=0}^{\infty} \hat{h}(j) e^{ijt} r^j$. By the Kolmogorov Theorem (cf. [1]), the limit exists t -almost everywhere and the function Rh belongs to L^p for every p with $0 < p < 1$. Moreover there is an absolute constant $K_p > 0$ such that

$$\|Rh\|_p = \frac{1}{2\pi} \int_0^{2\pi} |(Rh)(t)|^p dt \leq \frac{K_p^p}{2} \|h\|_1^p.$$

Since $\sum_{j=0}^n \hat{h}(j) e^{ijt} = (Rh) - R(he^{-i(n+1)t})$, we get

$$\begin{aligned} \frac{1}{2\pi} \int_0^{2\pi} \left| \sum_{j=0}^n \hat{h}(j) e^{ijt} \right|^p dt &\leq \|Rh\|_p + \|R(he^{-i(n+1)t})\|_p \\ &\leq K_p^p \|h\|_1^p = K_p^p \|\nu\|^p \end{aligned}$$

Thus

$$\begin{aligned} \frac{1}{2\pi} \int_0^{2\pi} \left| \sum_{j=0}^n \hat{\nu}(j) e^{ijt} \right|^p dt &\leq \frac{1}{2\pi} \int_0^{2\pi} \left| \sum_{j=0}^n \hat{h}(j) e^{ijt} \right|^p dt + \varepsilon \\ &\leq K_p^p \|\nu\|^p + \varepsilon. \end{aligned}$$

Letting ε tend to 0 we get the desired conclusion.

RESULTS AND PROOFS

We begin with

THEOREM 2. *Let $M : A \rightarrow H^2$ be a translation invariant operator with $\hat{M} = (m_j)_{0 \leq j < \infty}$. Then the following conditions are equivalent:*

- (i) M is nuclear,
- (ii) M is L^1 -factorable,
- (iii) M is p -absolutely summing for every $p > 0$,
- (iv) M is p -absolutely summing for some p with $0 < p < 1$,
- (v) $\hat{M} \in \ell^2$.

Proof: The implications (i) \Rightarrow (ii) and (iii) \Rightarrow (iv) are trivial; (ii) \Rightarrow (iii) follows from a result of Maurey [6, Théorème 94] which says that every bounded operator from an L^1 -space into a Hilbert space is p -absolutely summing for every $p > 0$.

(iv) \Rightarrow (v). Let us fix a positive integer n and put

$$f_\alpha(t) = \sum_{j=0}^n e^{ijt} \cdot e^{ij\alpha}, \quad 0 \leq t \leq 2\pi, \quad 0 \leq \alpha \leq 2\pi.$$

Consider the map $\alpha \rightarrow f_\alpha$ from $[0, 2\pi]$ into A . It follows from (iv) and (4)

$$(5) \quad \frac{1}{2\pi} \int_0^{2\pi} \|M(f_\alpha)\|_2^p d\alpha \leq [\pi_p(M)]^p \sup_{\|x^*\| \leq 1} \frac{1}{2\pi} \int_0^{2\pi} |x^*(f_\alpha)|^p d\alpha.$$

Clearly, for $0 \leq \alpha < 2\pi$,

$$\|M(f_\alpha)\|_2 = \left(\frac{1}{2\pi} \int_0^{2\pi} |M(f_\alpha)(t)|^2 dt \right)^{1/2} = \left(\sum_{j=0}^n |m_j e^{ij\alpha}|^2 \right)^{1/2} = \left(\sum_{j=0}^n |m_j|^2 \right)^{1/2}.$$

Hence

$$(6) \quad \frac{1}{2\pi} \int_0^{2\pi} \|M(f_\alpha)\|_2^p d\alpha = \left(\sum_{j=0}^n |m_j|^2 \right)^{p/2}.$$

Now fix an $x^* \in A^*$. By the Hahn-Banach and the Riesz Representation Theorems, there exists a complex Borel measure $\nu_{x^*} \in (C_{2\pi})^*$ such that $\|\nu_{x^*}\| = \|x^*\|$ and

$$\int_0^{2\pi} g(-t) \nu_{x^*}(dt) = x^*(g) \text{ for } g \in A. \text{ In particular we have}$$

$$x^*(f_\alpha) = \sum_{j=0}^n \hat{\nu}_{x^*}(j) e^{ij\alpha} \quad \text{for } 0 \leq \alpha < 2\pi.$$

Thus, by Lemma 1,

$$(7) \quad \frac{1}{2\pi} \int_0^{2\pi} |x^*(f_\alpha)|^p d\alpha \leq K_p^p \|\nu_{x^*}\|^p = K_p^p \|x^*\|^p.$$

Combining (5), (6) and (7) we get $\left(\sum_{j=0}^n |m_j|^2 \right)^{1/2} \leq K_p \pi_p(M)$. This completes the proof of the implication (iv) \Rightarrow (v).

(v) \Rightarrow (i). Consider the commutative diagram

$$\begin{array}{ccccc} & & I_2 & & I_{2,1} \\ & & \longrightarrow & & \longrightarrow \\ C_{2\pi} & \xrightarrow{\quad} & L^2 & \xrightarrow{\quad} & L^1 \\ \uparrow J & & & & \downarrow \tilde{M} \\ A & \xrightarrow{\quad M \quad} & & & H^2 \end{array}$$

where $J : A \hookrightarrow C_{2\pi}$ is the isometric inclusion, $I_2 : C_{2\pi} \rightarrow L^2$ and $I_{2,1} : L^2 \rightarrow L^1$ are natural injections, $(I_2(f))$ (resp. $I_{2,1}(f)$) is the equivalence class of f regarded as

the element of L^2 (resp. of L^1), $\tilde{M}(f)(s) = \frac{1}{2\pi} \int_0^{2\pi} f(t) g(s-t) dt$ where

$$g = \sum_{j=0}^{\infty} m_j e^{ijt}.$$

Clearly, by (v), $g \in H^2$. Hence $\tilde{M}(f) \in H^2$ for $f \in L^1$ and

$$\|\tilde{M}\| \leq \|g\|_2 = \left(\sum_{j=0}^{\infty} |m_j|^2 \right)^{1/2} = \|\hat{M}\|_{\mathcal{L}^2}$$

(by the Young inequality). Thus $M = \tilde{M}I_{2,1}I_2J$. Clearly $\pi_2(I_2J) \leq \pi_2(I_2) \leq 1$ and $\pi_2(\tilde{M}I_{2,1}) \leq \|\tilde{M}\|$ because $\tilde{M}I_{2,1}$ is a Hilbert Schmidt operator with the Hilbert Schmidt norm less than or equal to $\|\tilde{M}\|$ (cf. [2]). Thus, by a result of [8], $M = \tilde{M}I_{2,1}I_2J$ is nuclear and $n(M) \leq \pi_2(\tilde{M}I_{2,1})\pi_2(I_2J) \leq \|\tilde{M}\| \leq \|\hat{M}\|_{\mathcal{L}^2}$. This completes the proof.

Remark 1. Theorem 2 can be restated as follows:

For every translation invariant operator $M : A \rightarrow H^2$ and for $0 < p < 1$ we have the following chain of inequalities

$$(8) \quad \|\hat{M}\|_{\mathcal{L}^2} \geq n(M) \geq \gamma_1(M) \geq C_p \pi_p(M) \geq C_p K_p^{-1} \|\hat{M}\|_{\mathcal{L}^2},$$

where C_p is the constant appearing in the Maurey Theorem [6] quoted above and K_p is the constant appearing in Lemma 1.

Remark 2. It is interesting to compare Theorem 2 to what is known about the spaces $\Pi_p^{inv}(A, H^2)$ of all the p -absolutely summing translation invariant operators from A into H^2 for $p \geq 1$. We have (folklore):

There is a natural isometric isomorphism between the space $\Pi_p^{inv}(A, H^2)$ with the norm $\pi_p(\cdot)$ and the space $B^{inv}(H^p, H^2)$ of all the bounded translation invariant operators from H^p into H^2 ($p \geq 1$).

Proof: If $I_p : A \rightarrow H^p$ is the natural injection and if $\tilde{M} \in B^{inv}(H^p, H^2)$, then $M = \tilde{M}I_p \in \Pi_p^{inv}(A, H^2)$ and $\pi_p(M) \leq \pi_p(I_p)\|\tilde{M}\| = \|\tilde{M}\|$. Conversely, by the Grothendieck-Pietsch Theorem (for $p \geq 1$) (cf. [5], [7], [8]), given $M \in \Pi_p^{inv}(A, H^2)$ there is a finite positive Borel measure on $[0, 2\pi]$, say μ , which p -dominates

M ; i.e., $\|M(f)\|_2^p \leq \int_0^{2\pi} |f(t)|^p \mu(dt)$ for $f \in A$. Now using the standard averaging

technique and taking into account that M is translation invariant we infer that M is p -dominated by a multiple of the Haar measure (the normalized Lebesgue measure on $[0, 2\pi]$). Thus $M = \tilde{M}I_p$ for some $\tilde{M} \in B^{inv}(H^2, H^p)$. Moreover it is not difficult to see that $\pi_p(M) = \|\tilde{M}\|$. This completes the proof.

Let $\mathcal{L}^{q,\infty} = \left\{ (m_j)_{j \geq 0} : \sup_{k \geq 1} \left(\sum_{2^{k-1} \leq j+1 < 2^k} |m_j|^q \right)^{1/q} < +\infty \right\}$. It is known (cf. [1], [4]) that $M \in B^{inv}(H^1, H^2)$ if and only if $\hat{M} \in \mathcal{L}^{2,\infty}$, and if $\hat{M} \in \mathcal{L}^{2p(2-p),\infty}$ then $M \in B^{inv}(H^p, H^2)$ for $1 < p < 2$; if $p \geq 2$ then $M \in B^{inv}(H^p, H^2)$ if and only if $\hat{M} \in \mathcal{L}^\infty$ (trivial).

Our next result is in fact equivalent to Theorem 1 stated in the introduction.

THEOREM 3. *Every bounded translation invariant operator $M : H^2 \rightarrow A$ has 1-absolutely summing adjoint. Equivalently, there is an absolute constant K independent of M such that*

$$(9) \quad \pi_1(M^*) \leq K \|M\|.$$

Proof: Recall that (cf. [3], [7]).

(a) an operator $T : X \rightarrow Y$ has 1-absolutely summing adjoint if and only if UT is nuclear for every bounded linear operator $U : Y \rightarrow \ell^1$; moreover

$$\pi_1(T^*) = \sup \{n(UT) : U : Y \rightarrow \ell^1, \|U\| = 1\}.$$

(b) Let \mathcal{H} be a Hilbert space and Y a Banach space. An operator $S : \mathcal{H} \rightarrow Y$ is nuclear if and only if for every finite dimensional $V : Y \rightarrow \mathcal{H}$, VS is nuclear; moreover $n(S) = \sup \{|\text{tr } VS| : V : Y \rightarrow \mathcal{H}, \|V\| = 1, \dim V(Y) < +\infty\}$, where $\text{tr } T$ denotes the trace of a nuclear operator $T : \mathcal{H} \rightarrow \mathcal{H}$.

By (a) and (b), it is enough to show that there exists an absolute constant $K > 0$ such that

$$(10) \quad \sup |\text{tr}(VUM)| \leq K \|M\|,$$

where the supremum extends over all operators $U : A \rightarrow \ell^1$ with $\|U\| = 1$ and $V : \ell^1 \rightarrow H^2$ with $\|V\| = 1$ and $\dim V(\ell^1) < \infty$.

Fix U and V as above. The translation invariantness of M and the well known property of the trace yield

$$(11) \quad \text{tr}(VUM) = \text{tr}(T_\alpha VUMT_\alpha^{-1}) = \text{tr}(T_\alpha VUT_\alpha^{-1}M)$$

for every translation T_α ($0 \leq \alpha < 2\pi$). Clearly, for every $f \in A$, the function $\alpha \rightarrow (T_\alpha VUT_\alpha^{-1})(f)$ is continuous and therefore the integral

$$\frac{1}{2\pi} \int_0^{2\pi} (T_\alpha VUT_\alpha^{-1})(f) \, d\alpha$$

exists. Define $B : A \rightarrow H^2$ by $B(f) = \frac{1}{2\pi} \int_0^{2\pi} (T_\alpha VUT_\alpha^{-1})(f) \, d\alpha$ for $f \in A$. Clearly B is a bounded linear operator with the following property

$$(12) \quad \text{there is a sequence } (B_m) \text{ of finite convex combinations of the operators } T_\alpha VUT_\alpha^{-1} \text{ such that } \lim_m \|B_m(f) - B(f)\| = 0 \text{ for every } f \in A.$$

(As the B_m 's one may take the Riemann sums of the function $\alpha \rightarrow T_\alpha VUT_\alpha^{-1}$.)

Since V is finite dimensional, $n(V) < \infty$. Thus, by (12),

$$(13) \quad n(B_m) \leq \sup_{0 \leq \alpha < 2\pi} n(T_\alpha VUT_\alpha^{-1}) \leq n(V) \quad \text{for } n = 1, 2, \dots$$

Next recall that the space $N(A, H^2)$ of all the nuclear operators from A into H^2 can be identified with the dual of the space $K(H^2, A)$ of all the compact operators from H^2 into A , and the duality is given by the trace (cf. [3], [7]). Therefore the ball $\{T \in N(A, H^2) : n(T) \leq n(V)\}$ is compact in the $\sigma(N(A, H^2), K(H^2, A))$ -topology. Thus it follows from (12) and (13) that the sequence (B_m) converges to B in the $\sigma(N(A, H^2), K(H^2, A))$ -topology and

$$n(B) \leq \overline{\lim}_m n(B_m).$$

Thus $\text{tr}(BM) = \lim_m \text{tr}(B_m M) = \text{tr}(UVM)$, because, by (11),

$$\text{tr } B_m M = \text{tr}(UVM) \quad \text{for every } m.$$

Hence

$$(14) \quad |\text{tr}(VUM)| \leq n(B)\|M\| \leq \overline{\lim}_m n(B_m).$$

Obviously $\gamma_1(T_\alpha VUT_\alpha^{-1}) \leq 1$ for $0 \leq \alpha < 2\pi$. Thus $\gamma_1(B_m) \leq 1$ for every m because the B_m 's are finite convex combinations of the operators $T_\alpha VUT_\alpha^{-1}$. Hence, by (8), $n(B_m) \leq K = \inf_{0 < p < 1} \frac{K_p}{C_p}$ for every m which combined with (14) yields $|\text{tr}(VUM)| \leq K\|M\|$. This implies (10) and therefore (9), and completes the proof.

COROLLARY. *Every translation invariant operator $M : L^1/H_0^1 \rightarrow H_-^2$ is absolutely summing. Here*

$$H_0^1 = \{f \in H^1 : \hat{f}(0) = 0\} \quad \text{and} \quad H_-^2 = \{f \in L^2 : \hat{f}(n) = 0 \text{ for } n > 0\}.$$

Proof: An operator $M : L^1/H_0^1 \rightarrow H_-^2$ is translation invariant if and only if $Mq : L^1 \rightarrow H_-^2$ is translation invariant ($q : L^1 \rightarrow L^1/H_0^1$ is the quotient map); equivalently there is a sequence $(m_k)_{k \geq 0}$ with $\sum_{k=0}^\infty |m_k|^2 < +\infty$ such that

$$M(\{e^{-ikt} + H_0^1\}) = m_k e^{-ikt} \quad \text{for } k = 0, 1, \dots$$

Define $M_* : H^2 \rightarrow A$ by $M_*(e^{ikt}) = m_k e^{ikt}$ for $k = 0, 1, \dots$. Clearly M_* is bounded, in fact $\|M_*\| = \left(\sum_{k=0}^\infty |m_k|^2\right)^{1/2}$. Furthermore M is the restriction of the adjoint of M_* to L^1/H_0^1 (we identify L^1/H_0^1 with a subspace of A^* using the fact that, by the F and M Riesz Theorem, H_0^1 coincides with the annihilator of A in $(C_{2\pi})^*$). The desired conclusion follows now from Theorem 3; in fact

$$(15) \quad \pi_1(M) \leq K\|M\| = K \left(\sum_{k=0}^\infty |m_k|^2\right)^{1/2}$$

Proof of Theorem 1. Since the dual of L^1/H_0^1 can be identified with H^∞ (cf. [1]), the condition (1) simply means that the cosets $\{f_j + H_0^1\} \in L^1/H_0^1$ form a weakly unconditionally summable sequence, i.e.

$$\sum_{j=1}^{\infty} |x^*(f_j)| < +\infty \quad \text{for every } x^* \in (L^1/H_0^1)^*.$$

Now the standard Baire category technique yields the existence of a constant $c = c((f_j))$ such that $\sum_{j=1}^{\infty} |x^*(f_j)| \leq c \|x^*\|$ for every $x^* \in (L^1/H_0^1)^*$. Thus for every 1-absolutely summing operator $M : L^1/H_0^1 \rightarrow H_-^2$,

$$(16) \quad \sum_{j=1}^{\infty} \|M(\{f_j + H_0^1\})\|_2 \leq c \pi_1(M).$$

Finally suppose that $M : L^1/H_0^1 \rightarrow H_-^2$ is translation invariant and let

$$M(\{e^{-ikt} + H_0^1\}) = m_k e^{-ikt} \quad \text{for } k = 0, 1, 2, \dots$$

Then, by Corollary to Theorem 3 (cf. formula (15)), the inequality (16) gives

$$(17) \quad \sum_{j=1}^{\infty} \|M(\{f_j + H_0^1\})\|_2 \leq cK \left(\sum_{k=0}^{\infty} |m_k|^2 \right)^{1/2}.$$

On the other hand $M(\{f_j + H_0^1\}) = \sum_{k=0}^{\infty} m_k \hat{f}_j(-k) e^{-ikt}$. Thus

$$(18) \quad \sum_{j=1}^{\infty} \|M(\{f_j + H_0^1\})\|_2 = \sum_{j=1}^{\infty} \left(\sum_{k=0}^{\infty} |m_k \hat{f}_j(-k)|^2 \right)^{1/2}.$$

Obviously (17) and (18) implies (2). This completes the proof.

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