

# ON THE MARX CONJECTURE FOR A CLASS OF CLOSE-TO-CONVEX FUNCTIONS

J. A. Pfaltzgraff

We let  $G(z) \prec H(z)$  ( $|z| < R$ ) mean that  $G(z)$  is subordinate to  $H(z)$  in  $|z| < R$  in the sense that  $G(z)$  and  $H(z)$  are regular in the disk  $|z| < R$ , and for each fixed  $r < R$ , the image of the disk  $|z| \leq r$  under  $G(z)$  is contained in its image under  $H(z)$ . Let  $S_\alpha^*$  ( $0 \leq \alpha < 1$ ) denote the class of functions that are starlike of order  $\alpha$  in the open unit disk  $E = \{z: |z| < 1\}$ ; that is,  $f(z)$  belongs to  $S_\alpha^*$  if and only if  $f(z)$  is regular in  $E$ ,  $f(0) = 0$ ,  $f'(0) = 1$ , and

$$\Re \{zf'(z)/f(z)\} \geq \alpha$$

for every  $z$  in  $E$ .

In 1932, A. Marx [3] conjectured that for every  $f(z) \in S_0^*$ , the function  $f'(z)$  is subordinate to  $k'(z)$  in  $E$ , where  $k(z) = z/(1 - z)^2$  is the Koebe function. B. Pinchuk [5] and R. McLaughlin [4] have studied the corresponding conjecture for the classes  $S_\alpha^*$ , namely that  $f'(z) \prec k'_\alpha(z)$  in  $E$  for every  $f(z) \in S_\alpha^*$ , where

$$k_\alpha(z) = z/(1 - z)^{2-2\alpha}.$$

For each  $\alpha \in [0, 1)$ , McLaughlin [4] has determined a number  $r_\alpha$  ( $0 < r_\alpha < 1$ ) such that the Marx conjecture for  $S_\alpha^*$  holds in the disk  $|z| \leq r_\alpha$ . The constant  $r_0 = 0.736 \dots$  in [4] had been discovered earlier by P. L. Duren [1]. For  $\alpha = 1/2$ , it was shown that  $r_{1/2} = 0.81046 \dots$  [4]. In this note, we consider a class of close-to-convex functions that contains  $S_{1/2}^*$  as a proper subclass, and we show that for every  $f(z)$  in this class, the relation  $f'(z) \prec k'_{1/2}(z)$  holds in the entire disk  $E$ .

For  $0 \leq \alpha < 1$  and  $0 \leq \beta < 1$ , we say that  $f(z) \in \mathcal{K}(\alpha, \beta)$  if and only if

- (i)  $f(z)$  is regular in  $E$ ,  $f(0) = 0$ ,  $f'(0) = 1$ , and
- (ii) for some  $g(z) \in S_\beta^*$ ,

$$(1) \quad \Re \{zf'(z)/g(z)\} \geq \alpha$$

for every  $z$  in  $E$ . We note that  $\mathcal{K}(\alpha, \beta)$  is a subclass of the class of close-to-convex functions of order  $\alpha$  and type  $\beta$  introduced by R. J. Libera [2]. Instead of condition (ii), Libera required that (1) hold for some  $g(z)$  such that  $ag(z) \in S_\beta^*$  for some complex number  $a$  of modulus 1 [2, Definition (1.2)]. The class  $S_\alpha^*$  is the subset of functions  $f(z) \in \mathcal{K}(\alpha, \alpha)$  such that  $g(z) = f(z)$  in (1).

We shall need the Herglotz representations for the classes  $S_\beta^*$  and  $\mathcal{K}(\alpha, \beta)$ . Let  $I$  denote the class of nondecreasing functions with total variation 1 on the interval  $[0, 2\pi]$ . It is well known that  $g \in S_\beta^*$  if and only if

Received August 14, 1970.

This research was supported by Army Research Office Grant No. DA-ARO-D-31-124-G1151.

Michigan Math. J. 18 (1971).

$$(2) \quad g(z) = z \exp \left\{ -2(1 - \beta) \int_0^{2\pi} \log(1 - ze^{-it}) dp(t) \right\}$$

for some  $p(t) \in I$  (see, for example, [5]). If  $f \in \mathcal{K}(\alpha, \beta)$ , then

$$(3) \quad zf'(z)/g(z) = \alpha + (1 - \alpha) P(z),$$

where  $g \in S_\beta^*$  and  $P(z) = 1 + c_1 z + \dots$  is regular and has positive real part in  $E$ . By the Herglotz theorem, there exists a function  $m(t) \in I$  such that

$$(4) \quad P(z) = \int_0^{2\pi} \frac{1 + ze^{-it}}{1 - ze^{-it}} dm(t) = -1 + \int_0^{2\pi} \frac{2}{1 - ze^{-it}} dm(t).$$

We shall simplify the appearance of our formulas by using the notation

$$\ell(z, t) = \frac{1}{1 - ze^{-it}} \quad \text{and} \quad L(z, t) = \log \ell(z, t) = \log \frac{1}{1 - ze^{-it}}.$$

By (2), (3), and (4), we have that  $f \in \mathcal{K}(\alpha, \beta)$  if and only if there exist functions  $m(t)$  and  $p(t)$  in  $I$  such that

$$(5) \quad \begin{aligned} f'(z) &= \left\{ 2\alpha - 1 + 2(1 - \alpha) \int_0^{2\pi} \ell(z, t) dm(t) \right\} \exp \left\{ 2(1 - \beta) \int_0^{2\pi} L(z, t) dp(t) \right\} \\ &= (2\alpha - 1) \frac{g(z)}{z} + 2(1 - \alpha) \frac{g(z)}{z} \int_0^{2\pi} \ell(z, t) dm(t). \end{aligned}$$

**THEOREM.** Let  $f \in \mathcal{K}(\alpha, \beta)$ , and let  $g$  be a function in  $S_\beta^*$  such that

$$\Re \{ zf'(z)/g(z) \} \geq \alpha \quad (z \in E).$$

Then

$$(6) \quad \frac{f'(z) + (1 - 2\alpha)g(z)/z}{2(1 - \alpha)} \prec \frac{1}{(1 - z)^{3-2\beta}} \quad \text{in } E.$$

*Proof.* We rearrange terms in (5) and take the logarithm to obtain

$$(7) \quad \begin{aligned} \log \left[ \frac{f'(z) + (1 - 2\alpha)g(z)/z}{2(1 - \alpha)} \right] \\ = (3 - 2\beta) \left[ \frac{1}{3 - 2\beta} \log \left\{ \int_0^{2\pi} \ell(z, t) dm(t) \right\} + \frac{2(1 - \beta)}{3 - 2\beta} \int_0^{2\pi} L(z, t) dp(t) \right]. \end{aligned}$$

For each  $z$  in the disk  $|z| \leq r$  and any  $m(t) \in I$ , the integral  $\int_0^{2\pi} \ell(z, t) dm(t)$  is a complex number in the closed disk bounded by the circle

$$C_r = \{ \ell(r, t): 0 \leq t \leq 2\pi \}.$$

Hence  $\log \left\{ \int_0^{2\pi} \ell(z, t) dm(t) \right\}$  is in  $\Omega_r$ , the closed convex set bounded by the curve  $\gamma_r = \{L(r, t): 0 \leq t \leq 2\pi\}$  ( $\gamma_r$  is convex, since  $\log(1 - z)$  is a convex function on  $E$ ). Similarly, the convexity of  $\gamma_r$  implies that

$$\int_0^{2\pi} L(z, t) dp(t) \in \Omega_r$$

for every  $z$  in  $|z| \leq r$  and every  $p(t) \in I$ . Therefore, for all  $z$  in  $|z| \leq r$  and  $p(t), m(t) \in I$ , the quantity in brackets on the right in (7) is a point in  $\Omega_r$ , since it is a convex combination of two points in the convex set  $\Omega_r$ . Hence, by (7), we have that

$$(8) \quad \log \left[ \frac{f'(z) + (1 - 2\alpha)g(z)/z}{2(1 - \alpha)} \right] < (3 - 2\beta) \log \frac{1}{1 - z}$$

in  $E$ . Exponentiation of both sides in (8) preserves the subordination relation and yields the desired conclusion (6).

COROLLARY 1. *If  $f \in \mathcal{K}(1/2, 1/2)$ , then*

$$f'(z) < (1 - z)^{-2} = k'_{1/2}(z) \quad \text{in } E.$$

Since  $S_{1/2}^*$  is a proper subset of  $\mathcal{K}(1/2, 1/2)$ , the result of Corollary 1 includes the Marx conjecture for  $S_{1/2}^*$ . The validity of the Marx conjecture for  $S_{1/2}^*$  also follows from the inequality

$$\Re \sqrt{f'(z)} > 1/2 \quad (f \in S_{1/2}^*, z \in E)$$

proved by Marx [3, p. 62].

COROLLARY 2. *If  $f \in S_\alpha^*$  and  $\lambda = 1/(2 - 2\alpha)$ , then*

$$(9) \quad \lambda f'(z) + (1 - \lambda) \frac{f(z)}{z} < \frac{1}{(1 - z)^{3-2\alpha}} = \lambda k'_\alpha(z) + (1 - \lambda) \frac{k_\alpha(z)}{z}$$

in  $E$ .

*Remarks.* Since the representation formula (2) implies that  $f(z)/z$  is subordinate to  $k_\alpha(z)/z$  ( $f \in S_\alpha^*$ ), it would seem that (9) should be helpful in settling the Marx conjecture for  $S_\alpha^*$ ; but we have made no progress with this line of reasoning for  $\alpha \neq 1/2$ . Although (9) may not lead to a proof of the Marx conjecture, it may be possible to improve the results of McLaughlin [4] for  $\alpha$  near  $1/2$  ( $\lambda$  near 1) with the aid of (9). We also note that the two sides of (9) are convex combinations ( $0 \leq \lambda \leq 1$ ) of the functions involved only for  $0 \leq \alpha \leq 1/2$ . For starlike functions, (9) yields the interesting relation

$$f'(z) + f(z)/z < k'(z) + k(z)/z \quad (f \in S_0^*, z \in E).$$

Finally, we mention that replacement of  $\mathcal{K}(\alpha, \beta)$  in our theorem by Libera's more general class of close-to-convex functions of order  $\alpha$  and type  $\beta$  yields a theorem, where (6) is replaced by the conclusion

$$\frac{f'(z) + (e^{i\phi} - 2\alpha)g(z)/z}{2(\cos \phi - \alpha)e^{i\phi}} < \frac{1}{(1 - z)^{3-2\beta}} \quad \text{in } E.$$

## REFERENCES

1. P. L. Duren, *On the Marx conjecture for starlike functions*. Trans. Amer. Math. Soc. 118 (1965), 331-337.
2. R. J. Libera, *Some radius of convexity problems*. Duke Math. J. 31 (1964), 143-158.
3. A. Marx, *Untersuchungen über schlichte Abbildungen*. Math. Ann. 107 (1932), 40-67.
4. R. McLaughlin, *On the Marx conjecture for starlike functions of order  $\alpha$* . Trans. Amer. Math. Soc. 142 (1969), 249-256.
5. B. Pinchuk, *On starlike and convex functions of order  $\alpha$* . Duke Math. J. 35 (1968), 721-734.

University of North Carolina at Chapel Hill  
Chapel Hill, North Carolina 27514