# NOTE ON CLASS NUMBER PARITY OF AN ABELIAN FIELD OF PRIME CONDUCTOR, II

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## Abstract

For a fixed integer  $n \ge 1$ , let  $p = 2n\ell + 1$  be a prime number with an odd prime number  $\ell$ , and let  $F = F_{p,\ell}$  be the real abelian field of conductor p and degree  $\ell$ . We show that the class number  $h_F$  of F is odd when 2 remains prime in the real  $\ell$ th cyclotomic field  $\mathbf{Q}(\zeta_{\ell})^+$  and  $\ell$  is sufficiently large.

# 1. Introduction

For an odd prime number p, let  $h_p^-$  be the relative class number of the pth cyclotomic field  $\mathbf{Q}(\zeta_p)$  and  $h_p^+$  the class number of the maximal real subfield  $\mathbf{Q}(\zeta_p)^+$ . For a while, let  $p = 2\ell + 1$  with an odd prime number  $\ell$ . Then it is conjectured that  $h_p^-$  is always odd by Davis [3]. The conjecture implies that  $h_p^+$  is also odd by a theorem of Kummer (Washington [26, Theorem 10.2]). There are several results on the conjecture. First Davis [3] showed that  $h_p^-$  is odd when the prime 2 remains prime in  $\mathbf{Q}(\zeta_\ell)$ , namely when 2 is a primitive root modulo  $\ell$ . After that Estes [4] showed that  $h_p^-$  is odd when 2 remains prime in the maximal real subfield  $\mathbf{Q}(\zeta_\ell)^+$  of  $\mathbf{Q}(\zeta_\ell)$ . The condition on  $\ell$  is equivalent to saying (a) that 2 is a primitive root modulo  $\ell$  or (b) that  $\ell \equiv 3 \mod 4$  and the order of the class 2 mod  $\ell$  in the multiplicative group  $(\mathbf{Z}/\ell \mathbf{Z})^{\times}$  equals  $(\ell - 1)/2$ . Two alternative proofs are given by Stevenhagen [24] and Metsänkylä [20]. This result implies that  $h_p^+$  is also odd under the same assumption. At present, this is the best result on the conjecture so far obtained.

The primary purpose of this paper is to give a generalization of the result of Estes, Stevenhagen and Metsänkylä on the real class number  $h_p^+$  mentioned above. We fix an integer  $n \ge 1$ , and deal with prime numbers p of the form  $p = 2n\ell + 1$  with an odd prime number  $\ell$ . Let  $F = F_{p,\ell}$  be the real abelian field of conductor p and degree  $\ell$ . We have  $F = \mathbf{Q}(\zeta_p)^+$  for the case n = 1. We denote by  $h_N$  the class number of a number field N in the usual sense. For n = 1 (resp. 2), it is known that  $h_F$  is odd when 2 is a primitive root modulo  $\ell$  by [3] (resp.

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Metsänkylä [21, Corollary 2]). Recently, we obtain the following more general result in [15, Theorem 2(II)].

THEOREM 1 ([15]). Under the above notation,  $h_F$  is odd if the following two conditions are satisfied.

(i) 2 is a primitive root modulo  $\ell$ . (ii)  $p = 2n\ell + 1 > (2n - 1)^{\phi(2n)}$ .

Here,  $\phi(*)$  denotes the Euler function.

Using (a somewhat refined version of) Theorem 1, we showed in [5, 6] with the help of computer that for  $n \leq 30$ ,  $h_F$  is odd whenever 2 is a primitive root modulo  $\ell$  except for the case where  $(n, \ell) = (27, 3)$  and p = 163 and that  $h_F$ is even for the exceptional case. We shall strengthen this theorem and give the following generalization of the result of Estes, Stevenhagen and Metsänkylä on  $h_p^+$ .

THEOREM 2. Under the above notation,  $h_F$  is odd if the following two conditions are satisfied.

(i) 2 remains prime in the real cyclotomic field  $\mathbf{Q}(\zeta_{\ell})^+$ . (ii)  $p = 2n\ell + 1 > (2n-1)^{\phi(2n)}$ .

Tables of real abelian fields of prime conductor p < 10000 with even class number are given in Cornacchia [2] and Koyama and Yoshino [19]. Using these tables, we see that for each integer n with  $n \le 5$ , there is no prime number  $p = 2n\ell + 1 < (2n-1)^{\phi(2n)}$  for which  $h_F$  is even. Therefore, we obtain the following assertion from Theorem 2.

THEOREM 3. Under the above notation, let  $n \leq 5$ . Then the class number  $h_F$  is odd whenever 2 remains prime in the real cyclotomic field  $\mathbf{Q}(\zeta_{\ell})^+$ .

*Remark* 1. There are several results on indivisibility of  $h_F$  by an odd prime number r. Some general results similar to Theorem 1 are obtained for an odd prime number r in Jakubec, Pasteka and Schinzel [17] and [15] when r is a primitive root modulo  $\ell$  (a condition corresponding to condition (i) in Theorem 1). In the special case n = 1, it is shown in Jakubec and Trojovsky [18, 25] that for each prime number r with  $r < 10^4$ ,  $h_F$  is not divisible by r when r remains prime in  $\mathbf{Q}(\zeta_{\ell})^+$ , which is a generalization of the result of Estes, Stevenhagen and Metsänkylä on  $h_p^+$ . Thus Theorems 2 and 3 are generalization of the classical result in another direction. One more type of generalization is given in [14, Proposition 1] where prime numbers of the form  $p = 2\ell^f + 1$  are dealt with.

# 2. Iwasawa module

For a real abelian field F and a prime number r, let  $F_{\infty}/F$  be the cyclotomic  $\mathbb{Z}_r$ -extension, and let  $M_{\infty}/F_{\infty}$  be the maximal pro-r abelian extension unra-

mified outside r. We denote by  $\mathscr{G}_F = \operatorname{Gal}(M_{\infty}/F_{\infty})$  its Galois group. To show Theorem 2, it is convenient to study the group  $\mathscr{G}_F$  for the case r = 2. In this section, we sharpen a result on this group obtained in the previous paper [15]. We work for a general prime number r in this section.

Let  $p, n, \ell, F = F_{p,\ell}$  be as in Section 1. We fix a prime number r with  $r \neq p, \ell$ . For a number field N, we denote by  $h_N$ ,  $Cl_N$  and  $A_N$  the class number, the ideal class group of N in the usual sense, and the r-part of  $Cl_N$ , respectively. Let  $\mathbf{Z}_r$ ,  $\mathbf{Q}_r$  and  $\overline{\mathbf{Q}}_r$  be the ring of r-adic integers, the field of r-adic rationals and a fixed algebraic closure of  $\mathbf{Q}_r$ , respectively. We put  $\Delta = \text{Gal}(F/\mathbf{Q})$ , which is a cyclic group of order  $\ell$ . For a  $\overline{\mathbf{Q}}_r$ -valued character  $\psi$  of  $\Delta$ , let  $\mathbf{Q}_r(\psi)$  be the subfield of  $\overline{\mathbf{Q}}_r$  generated by the values of  $\psi$  over  $\mathbf{Q}_r$  and let  $\mathcal{O}_{\psi} = \mathbf{Z}_r[\psi]$  be the ring of integers of  $\mathbf{Q}_r(\psi)$ . For a  $\overline{\mathbf{Q}}_r$ -valued character  $\psi$  of  $\Delta$ , we denote by

$$e_{\psi} = \frac{1}{|\Delta|} \sum_{\delta \in \Delta} \operatorname{Tr}_{\mathbf{Q}_r(\psi)/\mathbf{Q}_r}(\psi(\delta^{-1}))\delta \in \mathbf{Z}_r[\Delta]$$

the idempotent of  $\mathbf{Z}_r[\Delta]$  corresponding to  $\psi$ , where Tr is the trace map. For a  $\mathbf{Z}_r[\Delta]$ -module M (such as  $\mathscr{G}_F$ ,  $A_F$ ), let  $M(\psi) = M^{e_{\psi}}$  (or  $e_{\psi}M$ ) be its  $\psi$ -part, which we naturally regard as an  $\mathcal{O}_{\psi}$ -module. Let  $\Phi_F$  be a fixed complete set of representatives of the  $\mathbf{Q}_r$ -conjugacy classes of the non-trivial  $\overline{\mathbf{Q}}_r$ -valued characters of  $\Delta$ . Then we have

(1) 
$$\sum_{\chi \in \Phi_F} e_{\chi} + e_{\chi_0} = 1_{\Delta}$$

where  $\chi_0$  is the trivial character of  $\Delta$  and  $1_{\Delta}$  is the identity element of  $\Delta$ . It follows from (1) that

(2) 
$$A_F = \bigoplus_{\chi \in \Phi_F} A_F(\chi)$$

since  $A_F(\chi_0) = A_{\mathbf{Q}}$  is trivial. It is known that  $\mathscr{G}_F(\chi_0) = \mathscr{G}_{\mathbf{Q}}$  is also trivial ([15, Lemma 1(II)]). Hence, it follows from (1) that

(3) 
$$\mathscr{G}_F = \bigoplus_{\chi \in \Phi_F} \mathscr{G}_F(\chi).$$

In this section, we prove the following theorem by slightly modifying the proof of [15, Theorem 1].

THEOREM 4. Under the above setting, assume that

$$p > \max((rn-2)^{\phi(2n)}, 2^{n-1}n(r-1))$$
 or  $p > (2n-1)^{\phi(2n)}$ 

according as  $r \ge 3$  or r = 2. Then there exists some  $\chi \in \Phi_F$  such that  $\mathscr{G}_F(\chi)$  is trivial.

The following corollary is a main result in [15].

COROLLARY 1 ([15, Theorem 1]). Under the setting and assumption of Theorem 4, assume further that r is a primitive root modulo  $\ell$ . Then  $\mathscr{G}_F = \{0\}$ .

*Proof.* The assertion follows from Theorem 4 and (3) because  $\Phi_F$  consists of just one character when r is a primitive root modulo  $\ell$ .

In [15, Theorem 1], we assumed one more condition for the case r = 2 that 2 does not split in *F*. However, this assumption is not necessary because of the following lemma:

LEMMA 1. The prime number 2 does not split in F if  $p > (2n-1)^{\phi(2n)}$ .

*Proof.* Assume that 2 splits in *F*. Then it follows that  $2^{2n} \equiv 1 \mod p$ , and hence that *p* divides  $2^n + 1$  or  $2^n - 1$ . In particular, we obtain  $p < 2^n$  because the case  $p = 2^n + 1$  does not happen as  $p = 2n\ell + 1$ . It follows that n > 1. We see from  $p < 2^n$  and the assumption of the lemma that

(4) 
$$2 > (2n-1)^{m_n}$$
 with  $m_n = \phi(2n)/n$ .

First we deal with the case where n (> 1) is odd. Let  $p_1, \ldots, p_t$  be the (odd) prime numbers dividing n. We can easily show that

$$m_n = \prod_{i=1}^{t} \left(1 - \frac{1}{p_i}\right) \ge \prod_{i=1}^{t} \left(1 - \frac{1}{2i+1}\right) \ge \frac{2}{3t}.$$

Then we observe that

$$(2n-1)^{m_n} > (p_1 \cdots p_t)^{m_n} \ge (3^t)^{2/3t} = \sqrt[3]{9} > 2$$

and that the inequality (4) does not hold. When n is even, it is shown similarly.

To prove Theorem 4, we first recall some notation and results in [15]. Let  $\chi$  be a character in  $\Phi_F$ , which is often regarded as a primitive Dirichlet character. It is known that the  $\mathcal{O}_{\chi}$ -module  $\mathscr{G}_F(\chi)$  is finitely generated and free over  $\mathcal{O}_{\chi}$ . For this, see [15, Lemma 1(I)] for instance (and Remark 2 at the end of this section). Iwasawa constructed a power series  $g_{\chi}(T) \in \mathcal{O}_{\chi}[[T]]$  related to the Kubota-Leopoldt *r*-adic *L*-function  $L_r(s, \chi)$  with

$$g_{\chi}((1+\tilde{r}p)^s-1)=\frac{1}{2}L_r(s,\chi)$$

for  $s \in \mathbb{Z}_r$  (see [26, Theorem 7.10]). Here,  $\tilde{r} = r$  or 4 according as  $r \ge 3$  or r = 2. It is known that the power series  $g_{\chi}(T)$  is not divisible by r, which follows from Theorems 7.13–7.15 of [26]. We denote by  $\lambda_{\chi}^*$  the lambda invariant of the power series  $g_{\chi}(T)$ . We have  $\mathscr{G}_F(\chi) \cong \mathcal{O}_{\chi}^{\oplus \lambda_{\chi}^*}$  by virtue of the Iwasawa main conjecture. For the Iwasawa main conjecture and several of its equivalent forms, see Gillard

[7, §6], Greither [9]. Thus we obtain the equivalence

(5) 
$$\mathscr{G}_F(\chi) = \{0\} \Leftrightarrow \lambda_{\chi}^* = 0$$

for each  $\chi \in \Phi_F$ .

For a number field N, let  $\hat{N} = \prod_{\wp} N_{\wp}$  be the product of the completions of N at the prime ideals  $\wp$  of N over r, and put  $\hat{\mathcal{O}}_N = \prod_{\wp} \mathcal{O}_{\wp}$  where  $\mathcal{O}_{\wp}$  is the ring of integers of  $N_{\wp}$ . Denote by  $\mathscr{U}_N$  the subgroup of the multiplicative group  $\hat{\mathcal{O}}_N^{\times}$  consisting of elements  $(x_{\wp})_{\wp}$  with  $x_{\wp} \equiv 1 \mod m_{\wp}$  for all  $\wp$  where  $m_{\wp}$  is the maximal ideal of  $\mathcal{O}_{\wp}$ . Namely,  $\mathscr{U}_N$  is the group of semi-local principal units of N at r. We regard N as embedded in  $\hat{N}$  diagonally. In the following, we abbreviate  $\mathscr{U}_F$  simply to  $\mathscr{U}$ . Let  $C_F$  be the group of cyclotomic units of F in the sense of Sinnott (the one denoted by  $C_1$  in [23, page 209]), and let  $\mathscr{C}$  be the topological closure of  $C_F \cap \mathscr{U}$  in  $\mathscr{U}$ . In [15, Lemma 2], we showed that the equivalence

(6) 
$$\lambda_{\chi}^* \ge 1 \Leftrightarrow \mathscr{C}(\chi) \subseteq \mathscr{U}(\chi)^*$$

holds for each  $\chi \in \Phi_F$  when  $r \ge 3$  or when r = 2 and 2 does not split in F by using some results in [7].

Let  $L = \mathbf{Q}(\zeta_p)$ , and let  $\mathcal{O}_L$  be the ring of integers of L. We choose and fix a primitive root g modulo p, and we put

$$\xi = \xi_n = \prod_{a=0}^{n-1} (\zeta_p^{g^{\ell a}} + 1)$$

which is a cyclotomic unit of L.

As  $L/\mathbf{Q}$  is unramified at  $r \ (\neq p)$ , we can define the Frobenius automorphism  $\mathfrak{f} = \mathfrak{f}_r$  of L at the prime r. By definition, it satisfies  $\alpha^{\mathfrak{f}} \equiv \alpha^r \mod r\mathcal{O}_L$  for every  $\alpha \in \mathcal{O}_L$ . The following lemma is shown in [15, Lemma 4].

LEMMA 2. Let  $\alpha \in \mathcal{O}_L$  be such that  $\alpha \in (\hat{L}^{\times})^r$ . Then  $\alpha^{\dagger} \equiv \alpha^r \mod r^2 \mathcal{O}_L$ .

LEMMA 3. Assume that  $r \ge 3$  or that r = 2 and 2 does not split in F. Assume further that the  $\chi$ -part  $\mathscr{G}_F(\chi)$  is non-trivial for all  $\chi \in \Phi_F$ . Then the cyclotomic unit  $\xi = \xi_n$  satisfies the congruence

$$\xi^{\dagger} \equiv \begin{cases} \xi^r \mod r^2 \mathcal{O}_L, & \text{when } r \ge 3, \\ \pm \xi^2 \mod 4 \mathcal{O}_L, & \text{when } r = 2. \end{cases}$$

*Proof.* As  $g^{\ell n} \equiv -1 \mod p$ , we observe that

$$Nr_{L/F}(\zeta_p + 1) = \prod_{a=0}^{2n-1} (\zeta_p^{g^{\prime a}} + 1) = \prod_{a=0}^{n-1} (\zeta_p^{g^{\prime a}} + 1)(\zeta_p^{g^{\prime (a+n)}} + 1)$$
$$= \prod_{a=0}^{n-1} (\zeta_p^{g^{\prime a}} + 1)(\zeta_p^{-g^{\prime a}} + 1) = \zeta_p^{2x} \xi^2$$

for some integer  $x \in \mathbb{Z}$ . Here, Nr denotes the norm map. Hence we see that  $\xi' = \zeta_p^x \xi \in C = C_F$  from the definition of Sinnott's  $C_1$ . As  $r \neq p$ , it follows that  $\xi$  (resp.  $-\xi$ ) is an *r*th power in  $\hat{L}$  if and only if so is  $\xi'$  (resp.  $-\xi'$ ).

Assume that  $\mathscr{G}_F(\chi)$  is non-trivial for all  $\chi \in \Phi_F$ . Then, by (5) and (6), we observe that  $\mathscr{C}(\chi) \subseteq \mathscr{U}^r$  for all  $\chi \in \Phi_F$ . On the other hand, we see from (1) that

$$\ell \cdot 1_\Delta - \mathrm{Tr}_\Delta = \ell \sum_{\chi \in \Phi_F} e_{\chi} \quad \mathrm{with} \; \mathrm{Tr}_\Delta = \sum_{\delta \in \Delta} \delta.$$

It follows that  $\xi'^{\ell}$  or  $-\xi'^{\ell}$  is contained in  $\bigoplus_{\chi} \mathscr{C}(\chi)$  and hence in  $\mathscr{U}^r$  according as  $\xi'^{\mathrm{Tr}_{\Lambda}} = \mathrm{Nr}_{F/\mathbb{Q}}(\xi') = 1$  or -1. As  $r \neq \ell$ , this implies that  $\xi'$  or  $-\xi'$  is an *r*th power in  $\mathscr{U}$  and hence in  $\hat{L}$ . Noting that  $-1 = (-1)^r$  for  $r \geq 3$ , we observe that  $\xi$  is an *r*th power in  $\hat{L}$  for  $r \geq 3$  and that  $\xi$  or  $-\xi$  is a square in  $\hat{L}$  for r = 2. Now the assertion follows from Lemma 2.

*Proof of Theorem* 4. We already proved that the congruence in Lemma 3 does not hold under the assumption of Theorem 4 in [15, §4]. (See Proofs of Theorems 2 and 3 for the case n = 1 and Proofs of Theorems 2 and 3 for the case n > 1 in [15, §4].) Hence, we obtain Theorem 4 from Lemma 3 noting that when r = 2, 2 does not split in F because of Lemma 1.

*Remark* 2. The group  $\mathscr{G}_F$  is naturally regarded as a module over the completed group ring  $\Lambda = \mathbb{Z}_r[[\operatorname{Gal}(F_{\infty}/F)]]$ . In the proof of [15, Lemma 1(I)], we have used the fact that the  $\Lambda$ -module  $\mathscr{G}_F$  has no non-trivial finite  $\Lambda$ -submodule. For this fact, we should have referred to Greenberg [8, Theorem] not only to Iwasawa [16, Theorem 18].

## 3. Proof of Theorem 2

We begin with the following corollary of Theorem 4 for a general prime number r.

COROLLARY 2. Under the setting and assumption of Theorem 4,  $A_F(\chi)$  is trivial for some  $\chi \in \Phi_F$ .

*Proof.* This follows immediately from Theorem 4 because the cyclotomic  $\mathbb{Z}_r$ -extension  $F_{\infty}/F$  is totally ramified at r.

In the case r = 2, we can derive from Theorem 4 the following stronger consequence. Let k be the imaginary subfield of  $L = \mathbf{Q}(\zeta_p)$  of degree a power of 2, and put  $K = k \cdot F$ . We denote by  $A_K^-$  the kernel of the norm map  $A_K \to A_{K^+}$ , which we naturally regard as a module over  $\Delta$ . Here,  $K^+$  is the maximal real subfield of K.

*Remark* 3. In other literatures such as [9], minus class group of an imaginary abelian field K is defined to be the kernel  $A_K^*$  of the map  $1 + J : A_K \to A_K$ 

where J denotes the complex conjugation. Clearly  $A_K^- \subseteq A_K^*$ . In general, these two class groups do not necessarily coincide. However, in our setting where  $K = k \cdot F$  is a subfield of  $\mathbf{Q}(\zeta_p)$ , we have  $A_K^- = A_K^*$ . This is because the natural map  $A_{K^+} \to A_K$  is injective in the setting for instance by [10, Lemma 2] together with [26, Theorem 10.4(b)].

**PROPOSITION** 1. Let r = 2. (I) Let  $\chi$  be a character in  $\Phi_F$ , and assume that  $\mathscr{G}_F(\chi)$  is trivial. Then both of  $A_F(\chi)$  and  $A_F(\chi^{-1})$  are trivial, and  $A_K^-(\chi^{-1})$  is trivial.

(II) In particular, under the assumption of Theorem 4, both of  $A_F(\chi)$  and  $A_F(\chi^{-1})$  are trivial for some  $\chi \in \Phi_F$ .

*Proof of Theorem* 2. We see that condition (i) of Theorem 2 implies that  $\Phi_F = \{\chi\}$  or  $\{\chi, \chi^{-1}\}$  for some  $\chi$ . Hence, Theorem 2 follows from Proposition 1(II) and (2).

To show Proposition 1, we need some preliminaries. Let  $\Omega/F$  be the maximal abelian extension over F of exponent 2, and let  $G = \operatorname{Gal}(\Omega/F)$ . Let  $V = F^{\times}/(F^{\times})^2$ . We denote by [v] the class in V containing an element  $v \in F^{\times}$ . The groups G and V are naturally regarded as modules over  $\Delta = \operatorname{Gal}(F/\mathbb{Q})$ . The Kummer pairing

$$G \times V \to \{\pm 1\}; \quad (g, [v]) \to \langle g, v \rangle = (\sqrt{v})^{g-1}$$

is nondegenerate and satisfies  $\langle g^{\delta}, v^{\delta} \rangle = \langle g, v \rangle$  for  $g \in G$ ,  $[v] \in V$  and  $\delta \in \Delta$ . It follows that the subpairing

(7) 
$$G(\chi) \times V(\chi^{-1}) \to \{\pm 1\}$$

is also nondegenerate for each  $\chi \in \Phi_F$ . Let  $\Omega(\chi)$  be the subextension of  $\Omega/F$  corresponding to  $\prod_{\chi'} G(\chi') \times G(\chi_0)$  by Galois theory where  $\chi'$  runs over the characters in  $\Phi_F$  with  $\chi' \neq \chi$ . Then  $\operatorname{Gal}(\Omega(\chi)/F)$  is naturally isomorphic to  $G(\chi)$ . The pairing (7) implies that

(8) 
$$\Omega(\chi) = F(\sqrt{v} \mid [v] \in V(\chi^{-1})).$$

We see that  $\Omega(\chi) \cap F_{\infty} = F$  since  $\chi$  is non-trivial. In particular,  $F_{\infty}(\sqrt{v})/F_{\infty}$  is a quadratic extension for  $[v] \in V(\chi^{-1})$  with  $v \notin (F^{\times})^2$ . Similarly to  $\Omega(\chi)$ , we define  $M_{\infty}(\chi)$  to be the subextension of  $M_{\infty}/F_{\infty}$  corresponding to  $\prod_{\chi'} \mathscr{G}_F(\chi') \times \mathscr{G}_F(\chi_0)$  by Galois theory so that  $\operatorname{Gal}(M_{\infty}(\chi)/F_{\infty}) = \mathscr{G}_F(\chi)$ .

Let  $E = E_F$  be the group of units of F, and let  $E_+$  be the subgroup of E consisting of totally positive units. Clearly, we have  $E^2 \subseteq E_+$ . It is known that  $(E/E^2)(\chi) \cong \mathcal{O}/2\mathcal{O}$  for each  $\chi \in \Phi_F$  by a theorem on units of a Galois extension (Narkiewicz [22, Theorem 3.26a]). Therefore, from the exact sequence

$$0 \to E_+/E^2 \to E/E^2 \to E/E_+ \to 0,$$

we obtain the following:

LEMMA 4. For each  $\chi \in \Phi_F$ , either  $(E/E_+)(\chi) \cong \mathcal{O}/2\mathcal{O}$  or  $(E_+/E^2)(\chi) \cong \mathcal{O}/2\mathcal{O}$  holds.

Let  $\tilde{A}_F$  be the 2-part of the class group of F in the narrow sense, and let  $F_{>0}^{\times}$  be the subgroup of  $F^{\times}$  consisting of totally positive elements. Then we have the following exact sequence compatible with the action of  $\Delta$ .

(9) 
$$0 \to F^{\times}/EF_{>0}^{\times} \to A_F \to A_F \to 0$$

*Proof of Proposition* 1. It suffices to show the assertion (I) by virtue of Theorem 4. Let  $\chi \in \Phi_F$ , and assume that  $\mathscr{G}_F(\chi)$  is trivial. Then we see that  $A_F(\chi)$  is trivial since the extension  $F_{\infty}/F$  is totally ramified at r = 2.

Let us first show that

(10) 
$$(E/E_+)(\chi^{-1}) \cong \mathcal{O}/2\mathcal{O}.$$

In view of Lemma 4, assume to the contrary that  $(E_+/E^2)(\chi^{-1}) \cong \mathcal{O}/2\mathcal{O}$ . Then there exists a unit  $\varepsilon$  such that  $[\varepsilon] \in (E_+/E^2)(\chi^{-1})$  and  $\varepsilon \notin (F^{\times})^2$ . We observe that the quadratic extension  $F(\sqrt{\varepsilon})/F$  is unramified outside 2 as  $\varepsilon$  is a totally positive unit and that  $F(\sqrt{\varepsilon}) \subseteq \Omega(\chi)$  by (8). It follows that  $F_{\infty}(\sqrt{\varepsilon})/F_{\infty}$  is a quadratic extension and contained in  $M_{\infty}(\chi)$ . However, this is impossible as  $\mathscr{G}_F(\chi) = \operatorname{Gal}(M_{\infty}(\chi)/F_{\infty})$  is trivial.

To show that  $A_F(\chi^{-1})$  is trivial, let us assume to the contrary that  $A_F(\chi^{-1})$  is non-trivial. Then there exists an ideal  $\mathfrak{A}$  of F such that the ideal class  $c = [\mathfrak{A}]$  is contained in  $A_F(\chi^{-1})$  and the order of c is 2. We have  $\mathfrak{A}^2 = a\mathcal{O}_F$  for some  $a \in F^{\times}$ . We may as well assume that  $[a] \in V(\chi^{-1})$ . Further, because of (10), we may as well assume that a is totally positive by replacing a with  $\eta a$  for some unit  $\eta$  with  $[\eta] \in (E/E_+)(\chi^{-1}) = (E/E^2)(\chi^{-1})$ . Then we see that  $F(\sqrt{a})/F$  is a quadratic extension because the order of the ideal class c is 2, and that it is unramified outside 2 and  $F(\sqrt{a}) \subseteq \Omega(\chi)$  by (8). Hence,  $F_{\infty}(\sqrt{a})/F_{\infty}$  is a quadratic extension with  $F_{\infty}(\sqrt{a}) \subseteq M_{\infty}(\chi)$ . This is impossible as  $\mathscr{G}_F(\chi)$  is trivial. Thus we have shown that  $A_F(\chi^{-1}) = \{0\}$ .

Finally, let us show that  $A_F(\chi^{-1}) = \{0\}$ . Finally, let us show that  $A_K(\chi^{-1})$  is trivial. To show this, it suffices to show that  $\tilde{A}_F(\chi^{-1})$  is trivial by [11, Theorem 2]. We already know that  $A_F(\chi^{-1})$  is trivial. Further we see that  $(F^{\times}/EF_{>0}^{\times})(\chi^{-1})$  is trivial by (10). Therefore, it follows from the exact sequence (9) that  $\tilde{A}_F(\chi^{-1})$  is trivial.

# 4. Alternative proof for the case n = 1, 3

In this section, we give an alternative proof of Theorem 3 for the case n = 1 or 3. We start with a general setting, and we show an assertion on the minus class group analogous to Corollary 2. Let  $n \ge 1$  be a fixed *odd* integer, and let  $p = 2n\ell + 1$  be a prime number with an odd prime number  $\ell$ . As  $p \equiv 3 \mod 4$ ,  $k = \mathbf{Q}(\sqrt{-p}) \subseteq \mathbf{Q}(\zeta_p)$ . Let  $F = F_{p,\ell}$  be as in the previous sections, and put K = Fk. We naturally identify  $\Delta = \operatorname{Gal}(F/\mathbf{Q})$  with  $\operatorname{Gal}(K/k)$ . Let r be a prime

number with  $r \neq p, \ell$ , and let  $A_{\overline{K}}^-$  be the kernel of the norm map  $A_{\overline{K}} \to A_{\overline{K}^+}$ . We can naturally regard  $A_{\overline{K}}^-$  as a module over  $\mathbb{Z}_r[\Delta]$ . The following assertion sharpens [13, Theorem 2].

**PROPOSITION 2.** Under the above setting, assume that  $r \ge n - 1$ . Then  $A_K^-(\chi)$  is trivial for some  $\chi \in \Phi_F$ .

Alternative proof of Theorem 3 for the case n = 1 and 3. Let r = 2. It is shown in Cornacchia [1, Theorem 1] that both of  $A_F(\chi)$  and  $A_F(\chi^{-1})$  are trivial if and only if at least one of  $A_{\overline{K}}(\chi)$  and  $A_{\overline{K}}(\chi^{-1})$  is trivial. (An alternative proof is given in [12, Theorem 4].) Assume that 2 remains prime in  $\mathbf{Q}(\zeta_\ell)^+$ , namely that condition (i) in Theorem 2 is satisfied. Then we have  $\Phi_F = \{\chi\}$  or  $\{\chi, \chi^{-1}\}$ for some  $\chi$ . We can apply Proposition 2 to the case r = 2 as n = 1 or 3, and we see that  $A_{\overline{K}}(\chi)$  or  $A_{\overline{K}}(\chi^{-1})$  is trivial for the above  $\chi$ . Hence the assertion follows from [1, Theorem 1] mentioned above.

*Proof of Proposition* 2. For each  $\chi \in \Phi_F$ , we put

$$\beta_{\chi} = \frac{1}{2} B_{1,\delta\chi} = \frac{1}{2p} \sum_{a=1}^{p-1} a\delta(a)\chi(a) \in \mathbf{Q}_r(\zeta_{\ell})$$

where  $\delta$  is the quadratic character associated to  $k = \mathbf{Q}(\sqrt{-p})$ . We have

(11) 
$$|A_K^-(\chi)| = |\mathcal{O}_{\chi}/\beta_{\chi^{-1}}\mathcal{O}_{\chi}|$$

by virtue of the Iwasawa main conjecture ([9, Theorem A]).

First let us deal with the case where n = 1 (and  $p = 2\ell + 1$ ). Let g be an arbitrary primitive root modulo p. For an integer  $x \in \mathbb{Z}$ ,  $s_p(x) \in \mathbb{Z}$  denotes the unique integer such that  $s_p(x) \equiv x \mod p$  and  $0 \le s_p(x) \le p - 1$ . As n = 1, we easily see that

$$\{a \mid 1 \le a \le p - 1\} = \{s_p(g^{2u + \ell v}) \mid 0 \le u \le \ell - 1, v = 0, 1\}.$$

Then, noting that  $g^{\ell} \equiv -1 \mod p$  and that  $\delta$  is odd, we observe that

$$\begin{split} \beta_{\chi} &= \frac{1}{2p} \sum_{u=0}^{\ell-1} \sum_{v=0}^{1} s_p(g^{2u+\ell v}) \delta(g^{\ell v}) \chi(g^{2u}) \\ &= \frac{1}{2p} \sum_{u=0}^{\ell-1} (s_p(g^{2u}) - s_p(-g^{2u})) \chi(g^{2u}) \\ &= \frac{1}{p} \sum_{u=0}^{\ell-1} s_p(g^{2u}) \chi(g^2)^u \in \mathbf{Q}_r(\zeta_\ell). \end{split}$$

Here, the third equality holds because  $s_p(-x) = p - s_p(x)$  for an integer x with  $p \nmid x$ . Since  $p = 2\ell + 1$ , we can choose g = 2 or -2 according as  $p \equiv 3$  or

7 mod 8. Therefore, putting

(12) 
$$G(T) = \sum_{u=0}^{\ell-1} s_p(4^u) T^u,$$

we obtain from the above that

(13) 
$$\beta_{\chi} = \frac{1}{p} G(\zeta_{\ell}) \quad \text{with } \zeta_{\ell} = \chi(4).$$

On the coefficients  $s_p(4^u)$  of the polynomial G(T), let us show that

(14) 
$$\gcd(s_p(4^u) - 1 \mid 1 \le u \le \ell - 1) = 1.$$

We have p = 7, 11, 23, 47, ... as  $p = 2\ell + 1$ . As  $h_p^- = 1$  for p = 7 or 11, we may as well assume that  $p \ge 23$ . Then, since  $s_p(4^u) = 4$  and 16 for u = 1 and 2 respectively, we see that the gcd equals 1 or 3. If the gcd equals 3, then we see that for  $1 \le u \le \ell - 1$ ,  $s_p(4^u) = 1 + 3c_u$  with some integer  $c_u$ . We see that  $c_u \ne c_{u'}$  if  $u \ne u'$  because the order of the class 4 mod p in the multiplicative group  $(\mathbb{Z}/p\mathbb{Z})^{\times}$  is  $\ell$ . Further, the integer  $c_u$  necessarily satisfies  $1 \le c_u \le$ (p-1)/3 for each  $1 \le u \le \ell - 1$ . However, this is impossible because  $(p-1)/3 < \ell - 1$ . Thus (14) is shown.

Now assume that  $A_{\overline{K}}(\chi)$  is non-trivial for all  $\chi \in \Phi_F$ . Then it follows from (11) and (13) that  $G(\chi(4)) \equiv 0 \mod r \mathbb{Z}_r[\zeta_\ell]$  for all  $\chi \in \Phi_F$ . This implies that G(T) is a multiple of the  $\ell$ th cyclotomic polynomial  $\Phi_\ell(T)$  in  $\mathbb{F}_r[T]$  where  $\mathbb{F}_r = \mathbb{Z}/r\mathbb{Z}$ . Therefore, it follows from (12) that  $s_p(4^u) \equiv 1 \mod r$  for all  $1 \le u \le \ell - 1$ . However, this is impossible by (14). Thus we have shown that  $A_{\overline{K}}(\chi)$  is trivial for some  $\chi$ .

Next let  $n \ge 3$ . Formulas corresponding to (12)–(14) are already obtained in [13]. Let us recall them to deal with the case  $n \ge 3$ . We write  $n = q\ell^s$  for some integer q with  $\ell \not\mid q$  and some  $s \ge 0$ , so that  $p = 2q\ell^{s+1} + 1$ . Let g be an arbitrary primitive root modulo p, and set  $\varepsilon = g^{2q}$  and  $\eta = g^{2\ell^{s+1}}$ . For each  $0 \le u \le \ell - 1$ , we put

$$e_{u} = \frac{1}{p} \sum_{b=0}^{q-1} \sum_{v=0}^{\ell^{s}-1} s_{p}(\eta^{b} \varepsilon^{\ell v+u}).$$

We see that  $e_u \in \mathbb{Z}$  because  $n = q\ell^s \ge 3$  and the elements  $\eta^b \varepsilon^{\ell v} \mod p$  in the sum with  $0 \le b \le q - 1$  and  $0 \le v \le \ell^s - 1$  are the *n*th roots of unity in the multiplicative group  $(\mathbb{Z}/p\mathbb{Z})^{\times}$ . Further we have

$$(15) 1 \le e_u \le n-1$$

by [13, eq (8)]. We put

(16) 
$$H(T) = \sum_{u=0}^{\ell-1} e_u T^u \in \mathbf{Z}[T].$$

Similarly to (13), we have

(17) 
$$\beta_{\chi} = H(\zeta_{\ell}) \text{ with } \zeta_{\ell} = \chi(\varepsilon)$$

by [13, eq (6)]. Here note that  $\chi(\varepsilon)$  is actually a primitive  $\ell$ th root of unity because the order of  $\chi$  is  $\ell$  and the order of  $\varepsilon = g^{2q} \mod p$  in the multiplicative group  $(\mathbf{Z}/p\mathbf{Z})^{\times}$  is  $\ell^{s+1} = (p-1)/2q$ .

Now assume that  $r \ge n-1$  and that  $A_{\overline{K}}(\chi)$  is non-trivial for all  $\chi \in \Phi_F$ . Then, by (11) and (17), we have  $H(\chi(\varepsilon)) \equiv 0 \mod r \mathbb{Z}_r[\zeta_\ell]$  for all  $\chi \in \Phi_F$ . This implies that H(T) is a multiple of  $\Phi_\ell(T)$  in  $\mathbb{F}_r[T]$ . It follows from (16) that  $e_u \equiv e_0 \mod r$  for all  $1 \le u \le \ell - 1$ . This congruence implies the equality  $e_u = e_0$  for all  $1 \le u \le \ell - 1$  because of the inequality (15) and  $r \ge n - 1$ . Now it follows from (16) and (17) that  $\beta_{\chi} = 0$ . However, this is impossible because it is well known that  $\beta_{\chi} \ne 0$  (see [26, page 38]).

*Remark* 4. Now we have five (!) different proofs for the classical theorem of Estes [4] on  $h_p^+$  for prime numbers of the form  $p = 2\ell + 1$ ; three proofs due to Estes himself, Stevenhagen and Metsänkylä, respectively, and two ones given in this paper.

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