# NOTE ON CLASS NUMBER PARITY OF AN ABELIAN FIELD OF PRIME CONDUCTOR, II 

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#### Abstract

For a fixed integer $n \geq 1$, let $p=2 n \ell+1$ be a prime number with an odd prime number $\ell$, and let $F=F_{p, \ell}$ be the real abelian field of conductor $p$ and degree $\ell$. We show that the class number $h_{F}$ of $F$ is odd when 2 remains prime in the real $\ell$ th cyclotomic field $\mathbf{Q}\left(\zeta_{\ell}\right)^{+}$and $\ell$ is sufficiently large.


## 1. Introduction

For an odd prime number $p$, let $h_{p}^{-}$be the relative class number of the $p$ th cyclotomic field $\mathbf{Q}\left(\zeta_{p}\right)$ and $h_{p}^{+}$the class number of the maximal real subfield $\mathbf{Q}\left(\zeta_{p}\right)^{+}$. For a while, let $p=2 \ell+1$ with an odd prime number $\ell$. Then it is conjectured that $h_{p}^{-}$is always odd by Davis [3]. The conjecture implies that $h_{p}^{+}$ is also odd by a theorem of Kummer (Washington [26, Theorem 10.2]). There are several results on the conjecture. First Davis [3] showed that $h_{p}^{-}$is odd when the prime 2 remains prime in $\mathbf{Q}\left(\zeta_{\ell}\right)$, namely when 2 is a primitive root modulo $\ell$. After that Estes [4] showed that $h_{p}^{-}$is odd when 2 remains prime in the maximal real subfield $\mathbf{Q}\left(\zeta_{\ell}\right)^{+}$of $\mathbf{Q}\left(\zeta_{\ell}\right)$. The condition on $\ell$ is equivalent to saying (a) that 2 is a primitive root modulo $\ell$ or (b) that $\ell \equiv 3 \bmod 4$ and the order of the class $2 \bmod \ell$ in the multiplicative $\operatorname{group}(\mathbf{Z} / \ell \mathbf{Z})^{\times}$equals $(\ell-1) / 2$. Two alternative proofs are given by Stevenhagen [24] and Metsänkylä [20]. This result implies that $h_{p}^{+}$is also odd under the same assumption. At present, this is the best result on the conjecture so far obtained.

The primary purpose of this paper is to give a generalization of the result of Estes, Stevenhagen and Metsänkylä on the real class number $h_{p}^{+}$mentioned above. We fix an integer $n \geq 1$, and deal with prime numbers $p$ of the form $p=$ $2 n \ell+1$ with an odd prime number $\ell$. Let $F=F_{p, \ell}$ be the real abelian field of conductor $p$ and degree $\ell$. We have $F=\mathbf{Q}\left(\zeta_{p}\right)^{+}$for the case $n=1$. We denote by $h_{N}$ the class number of a number field $N$ in the usual sense. For $n=1$ (resp. 2), it is known that $h_{F}$ is odd when 2 is a primitive root modulo $\ell$ by [3] (resp.

[^0]Metsänkylä [21, Corollary 2]). Recently, we obtain the following more general result in [15, Theorem 2(II)].

Theorem 1 ([15]). Under the above notation, $h_{F}$ is odd if the following two conditions are satisfied.
(i) 2 is a primitive root modulo $\ell$.
(ii) $p=2 n \ell+1>(2 n-1)^{\phi(2 n)}$. Here, $\phi(*)$ denotes the Euler function.

Using (a somewhat refined version of) Theorem 1, we showed in $[5,6]$ with the help of computer that for $n \leq 30, h_{F}$ is odd whenever 2 is a primitive root modulo $\ell$ except for the case where $(n, \ell)=(27,3)$ and $p=163$ and that $h_{F}$ is even for the exceptional case. We shall strengthen this theorem and give the following generalization of the result of Estes, Stevenhagen and Metsänkylä on $h_{p}^{+}$.

Theorem 2. Under the above notation, $h_{F}$ is odd if the following two conditions are satisfied.
(i) 2 remains prime in the real cyclotomic field $\mathbf{Q}\left(\zeta_{\ell}\right)^{+}$.
(ii) $p=2 n \ell+1>(2 n-1)^{\phi(2 n)}$.

Tables of real abelian fields of prime conductor $p<10000$ with even class number are given in Cornacchia [2] and Koyama and Yoshino [19]. Using these tables, we see that for each integer $n$ with $n \leq 5$, there is no prime number $p=$ $2 n \ell+1<(2 n-1)^{\phi(2 n)}$ for which $h_{F}$ is even. Therefore, we obtain the following assertion from Theorem 2.

Theorem 3. Under the above notation, let $n \leq 5$. Then the class number $h_{F}$ is odd whenever 2 remains prime in the real cyclotomic field $\mathbf{Q}\left(\zeta_{\ell}\right)^{+}$.

Remark 1. There are several results on indivisibility of $h_{F}$ by an odd prime number $r$. Some general results similar to Theorem 1 are obtained for an odd prime number $r$ in Jakubec, Pasteka and Schinzel [17] and [15] when $r$ is a primitive root modulo $\ell$ (a condition corresponding to condition (i) in Theorem 1). In the special case $n=1$, it is shown in Jakubec and Trojovsky [18, 25] that for each prime number $r$ with $r<10^{4}, h_{F}$ is not divisible by $r$ when $r$ remains prime in $\mathbf{Q}\left(\zeta_{\ell}\right)^{+}$, which is a generalization of the result of Estes, Stevenhagen and Metsänkylä on $h_{p}^{+}$. Thus Theorems 2 and 3 are generalization of the classical result in another direction. One more type of generalization is given in [14, Proposition 1] where prime numbers of the form $p=2 \ell^{f}+1$ are dealt with.

## 2. Iwasawa module

For a real abelian field $F$ and a prime number $r$, let $F_{\infty} / F$ be the cyclotomic $\mathbf{Z}_{r}$-extension, and let $M_{\infty} / F_{\infty}$ be the maximal pro- $r$ abelian extension unra-
mified outside $r$. We denote by $\mathscr{G}_{F}=\operatorname{Gal}\left(M_{\infty} / F_{\infty}\right)$ its Galois group. To show Theorem 2, it is convenient to study the group $\mathscr{G}_{F}$ for the case $r=2$. In this section, we sharpen a result on this group obtained in the previous paper [15]. We work for a general prime number $r$ in this section.

Let $p, n, \ell, F=F_{p, \ell}$ be as in Section 1. We fix a prime number $r$ with $r \neq p, \ell$. For a number field $N$, we denote by $h_{N}, C l_{N}$ and $A_{N}$ the class number, the ideal class group of $N$ in the usual sense, and the $r$-part of $C l_{N}$, respectively. Let $\mathbf{Z}_{r}, \mathbf{Q}_{r}$ and $\overline{\mathbf{Q}}_{r}$ be the ring of $r$-adic integers, the field of $r$-adic rationals and a fixed algebraic closure of $\mathbf{Q}_{r}$, respectively. We put $\Delta=\operatorname{Gal}(F / \mathbf{Q})$, which is a cyclic group of order $\ell$. For a $\overline{\mathbf{Q}}_{r}$-valued character $\psi$ of $\Delta$, let $\mathbf{Q}_{r}(\psi)$ be the subfield of $\overline{\mathbf{Q}}_{r}$ generated by the values of $\psi$ over $\mathbf{Q}_{r}$ and let $\mathcal{O}_{\psi}=\mathbf{Z}_{r}[\psi]$ be the ring of integers of $\mathbf{Q}_{r}(\psi)$. For a $\overline{\mathbf{Q}}_{r}$-valued character $\psi$ of $\Delta$, we denote by

$$
e_{\psi}=\frac{1}{|\Delta|} \sum_{\delta \in \Delta} \operatorname{Tr}_{\mathbf{Q}_{r}(\psi) / \mathbf{Q}_{r}}\left(\psi\left(\delta^{-1}\right)\right) \delta \in \mathbf{Z}_{r}[\Delta]
$$

the idempotent of $\mathbf{Z}_{r}[\Delta]$ corresponding to $\psi$, where $\operatorname{Tr}$ is the trace map. For a $\mathbf{Z}_{r}[\Delta]$-module $M$ (such as $\mathscr{G}_{F}, A_{F}$ ), let $M(\psi)=M^{e_{\psi}}\left(\right.$ or $\left.e_{\psi} M\right)$ be its $\psi$-part, which we naturally regard as an $\mathcal{O}_{\psi}$-module. Let $\Phi_{F}$ be a fixed complete set of representatives of the $\mathbf{Q}_{r}$-conjugacy classes of the non-trivial $\overline{\mathbf{Q}}_{r}$-valued characters of $\Delta$. Then we have

$$
\begin{equation*}
\sum_{\chi \in \Phi_{F}} e_{\chi}+e_{\chi_{0}}=1_{\Delta} \tag{1}
\end{equation*}
$$

where $\chi_{0}$ is the trivial character of $\Delta$ and $1_{\Delta}$ is the identity element of $\Delta$. It follows from (1) that

$$
\begin{equation*}
A_{F}=\bigoplus_{\chi \in \Phi_{F}} A_{F}(\chi) \tag{2}
\end{equation*}
$$

since $A_{F}\left(\chi_{0}\right)=A_{\mathbf{Q}}$ is trivial. It is known that $\mathscr{G}_{F}\left(\chi_{0}\right)=\mathscr{G}_{\mathbf{Q}}$ is also trivial ([15, Lemma 1(II)]). Hence, it follows from (1) that

$$
\begin{equation*}
\mathscr{G}_{F}=\bigoplus_{\chi \in \Phi_{F}} \mathscr{G}_{F}(\chi) \tag{3}
\end{equation*}
$$

In this section, we prove the following theorem by slightly modifying the proof of [15, Theorem 1].

Theorem 4. Under the above setting, assume that

$$
p>\max \left((r n-2)^{\phi(2 n)}, 2^{n-1} n(r-1)\right) \quad \text { or } \quad p>(2 n-1)^{\phi(2 n)}
$$

according as $r \geq 3$ or $r=2$. Then there exists some $\chi \in \Phi_{F}$ such that $\mathscr{G}_{F}(\chi)$ is trivial.

The following corollary is a main result in [15].

Corollary 1 ([15, Theorem 1]). Under the setting and assumption of Theorem 4, assume further that $r$ is a primitive root modulo $\ell$. Then $\mathscr{G}_{F}=\{0\}$.

Proof. The assertion follows from Theorem 4 and (3) because $\Phi_{F}$ consists of just one character when $r$ is a primitive root modulo $\ell$.

In [15, Theorem 1], we assumed one more condition for the case $r=2$ that 2 does not split in $F$. However, this assumption is not necessary because of the following lemma:

Lemma 1. The prime number 2 does not split in $F$ if $p>(2 n-1)^{\phi(2 n)}$.
Proof. Assume that 2 splits in $F$. Then it follows that $2^{2 n} \equiv 1 \bmod p$, and hence that $p$ divides $2^{n}+1$ or $2^{n}-1$. In particular, we obtain $p<2^{n}$ because the case $p=2^{n}+1$ does not happen as $p=2 n \ell+1$. It follows that $n>1$. We see from $p<2^{n}$ and the assumption of the lemma that

$$
\begin{equation*}
2>(2 n-1)^{m_{n}} \quad \text { with } m_{n}=\phi(2 n) / n . \tag{4}
\end{equation*}
$$

First we deal with the case where $n(>1)$ is odd. Let $p_{1}, \ldots, p_{t}$ be the (odd) prime numbers dividing $n$. We can easily show that

$$
m_{n}=\prod_{i=1}^{t}\left(1-\frac{1}{p_{i}}\right) \geq \prod_{i=1}^{t}\left(1-\frac{1}{2 i+1}\right) \geq \frac{2}{3 t} .
$$

Then we observe that

$$
(2 n-1)^{m_{n}}>\left(p_{1} \cdots p_{t}\right)^{m_{n}} \geq\left(3^{t}\right)^{2 / 3 t}=\sqrt[3]{9}>2
$$

and that the inequality (4) does not hold. When $n$ is even, it is shown similarly.

To prove Theorem 4, we first recall some notation and results in [15]. Let $\chi$ be a character in $\Phi_{F}$, which is often regarded as a primitive Dirichlet character. It is known that the $\mathcal{O}_{\chi}$-module $\mathscr{G}_{F}(\chi)$ is finitely generated and free over $\mathcal{O}_{\chi}$. For this, see [15, Lemma $1(\mathrm{I})]$ for instance (and Remark 2 at the end of this section). Iwasawa constructed a power series $g_{\chi}(T) \in \mathcal{O}_{\chi}[[T]]$ related to the KubotaLeopoldt $r$-adic $L$-function $L_{r}(s, \chi)$ with

$$
g_{\chi}\left((1+\tilde{r} p)^{s}-1\right)=\frac{1}{2} L_{r}(s, \chi)
$$

for $s \in \mathbf{Z}_{r}$ (see [26, Theorem 7.10]). Here, $\tilde{r}=r$ or 4 according as $r \geq 3$ or $r=2$. It is known that the power series $g_{\chi}(T)$ is not divisible by $r$, which follows from Theorems 7.13-7.15 of [26]. We denote by $\lambda_{\chi}^{*}$ the lambda invariant of the power series $g_{\chi}(T)$. We have $\mathscr{G}_{F}(\chi) \cong \mathcal{O}_{\chi}^{\oplus \lambda_{\chi}^{*}}$ by virtue of the Iwasawa main conjecture. For the Iwasawa main conjecture and several of its equivalent forms, see Gillard
[7, §6], Greither [9]. Thus we obtain the equivalence

$$
\begin{equation*}
\mathscr{G}_{F}(\chi)=\{0\} \Leftrightarrow \lambda_{\chi}^{*}=0 \tag{5}
\end{equation*}
$$

for each $\chi \in \Phi_{F}$.
For a number field $N$, let $\hat{N}=\prod_{\wp} N_{\wp}$ be the product of the completions of $N$ at the prime ideals $\wp$ of $N$ over $r$, and put $\hat{\mathcal{O}}_{N}=\prod_{\wp} \mathcal{O}_{\wp}$ where $\mathcal{O}_{\wp}$ is the ring of integers of $N_{\wp}$. Denote by $\mathscr{U}_{N}$ the subgroup of the multiplicative group $\hat{\mathcal{O}}_{N}^{\times}$consisting of elements $\left(x_{\wp}\right)_{\wp}$ with $x_{\wp} \equiv 1 \bmod \boldsymbol{m}_{\wp}$ for all $\wp$ where $\boldsymbol{m}_{\wp}$ is the maximal ideal of $\mathcal{O}_{\wp}$. Namely, $\mathscr{U}_{N}$ is the group of semi-local principal units of $N$ at $r$. We regard $N$ as embedded in $\hat{N}$ diagonally. In the following, we abbreviate $\mathscr{U}_{F}$ simply to $\mathscr{U}$. Let $C_{F}$ be the group of cyclotomic units of $F$ in the sense of Sinnott (the one denoted by $C_{1}$ in [23, page 209]), and let $\mathscr{C}$ be the topological closure of $C_{F} \cap \mathscr{U}$ in $\mathscr{U}$. In [15, Lemma 2], we showed that the equivalence

$$
\begin{equation*}
\lambda_{\chi}^{*} \geq 1 \Leftrightarrow \mathscr{C}(\chi) \subseteq \mathscr{U}(\chi)^{r} \tag{6}
\end{equation*}
$$

holds for each $\chi \in \Phi_{F}$ when $r \geq 3$ or when $r=2$ and 2 does not split in $F$ by using some results in [7].

Let $L=\mathbf{Q}\left(\zeta_{p}\right)$, and let $\mathcal{O}_{L}$ be the ring of integers of $L$. We choose and fix a primitive root $g$ modulo $p$, and we put

$$
\xi=\xi_{n}=\prod_{a=0}^{n-1}\left(\zeta_{p}^{g^{\prime a}}+1\right)
$$

which is a cyclotomic unit of $L$.
As $L / \mathbf{Q}$ is unramified at $r(\neq p)$, we can define the Frobenius automorphism $\mathfrak{f}=\mathfrak{f}_{r}$ of $L$ at the prime $r$. By definition, it satisfies $\alpha^{\mathfrak{f}} \equiv \alpha^{r} \bmod r \mathcal{O}_{L}$ for every $\alpha \in \mathcal{O}_{L}$. The following lemma is shown in [15, Lemma 4].

Lemma 2. Let $\alpha \in \mathcal{O}_{L}$ be such that $\alpha \in\left(\hat{L}^{\times}\right)^{r}$. Then $\alpha^{\dagger} \equiv \alpha^{r} \bmod r^{2} \mathcal{O}_{L}$.
Lemma 3. Assume that $r \geq 3$ or that $r=2$ and 2 does not split in $F$. Assume further that the $\chi$-part $\mathscr{G}_{F}(\chi)$ is non-trivial for all $\chi \in \Phi_{F}$. Then the cyclotomic unit $\xi=\xi_{n}$ satisfies the congruence

$$
\xi^{\dot{\top}} \equiv \begin{cases}\xi^{r} \bmod r^{2} \mathcal{O}_{L}, & \text { when } r \geq 3 \\ \pm \xi^{2} \bmod 4 \mathcal{O}_{L}, & \text { when } r=2\end{cases}
$$

Proof. As $g^{\ell n} \equiv-1 \bmod p$, we observe that

$$
\begin{aligned}
\mathrm{Nr}_{L / F}\left(\zeta_{p}+1\right) & =\prod_{a=0}^{2 n-1}\left(\zeta_{p}^{g^{\prime a}}+1\right)=\prod_{a=0}^{n-1}\left(\zeta_{p}^{g^{\prime a}}+1\right)\left(\zeta_{p}^{g^{\prime(a+n)}}+1\right) \\
& =\prod_{a=0}^{n-1}\left(\zeta_{p}^{g^{\prime a}}+1\right)\left(\zeta_{p}^{-g^{\prime a}}+1\right)=\zeta_{p}^{2 x} \xi^{2}
\end{aligned}
$$

for some integer $x \in \mathbf{Z}$. Here, Nr denotes the norm map. Hence we see that $\xi^{\prime}=\zeta_{p}^{x} \xi \in C=C_{F}$ from the definition of Sinnott's $C_{1}$. As $r \neq p$, it follows that $\xi$ (resp. $-\xi$ ) is an $r$ th power in $\hat{L}$ if and only if so is $\xi^{\prime}$ (resp. $-\xi^{\prime}$ ).

Assume that $\mathscr{G}_{F}(\chi)$ is non-trivial for all $\chi \in \Phi_{F}$. Then, by (5) and (6), we observe that $\mathscr{C}(\chi) \subseteq \mathscr{U}^{r}$ for all $\chi \in \Phi_{F}$. On the other hand, we see from (1) that

$$
\ell \cdot 1_{\Delta}-\operatorname{Tr}_{\Delta}=\ell \sum_{\chi \in \Phi_{F}} e_{\chi} \text { with } \operatorname{Tr}_{\Delta}=\sum_{\delta \in \Delta} \delta
$$

It follows that $\xi^{\prime \ell}$ or $-\xi^{\prime \ell}$ is contained in $\oplus_{\gamma^{\mathscr{C}}}^{\mathscr{C}}(\chi)$ and hence in $\mathscr{U}^{r}$ according as $\xi^{\prime \mathrm{Tr}_{\Delta}}=\mathrm{Nr}_{F / \mathbf{Q}}\left(\xi^{\prime}\right)=1$ or -1 . As $r \neq \ell$, this implies that $\xi^{\prime}$ or $-\xi^{\prime}$ is an $r$ th power in $\mathscr{U}$ and hence in $\hat{L}$. Noting that $-1=(-1)^{r}$ for $r \geq 3$, we observe that $\xi$ is an $r$ th power in $\hat{L}$ for $r \geq 3$ and that $\xi$ or $-\xi$ is a square in $\hat{L}$ for $r=2$. Now the assertion follows from Lemma 2.

Proof of Theorem 4. We already proved that the congruence in Lemma 3 does not hold under the assumption of Theorem 4 in [15, §4]. (See Proofs of Theorems 2 and 3 for the case $n=1$ and Proofs of Theorems 2 and 3 for the case $n>1$ in $[15, \S 4]$.) Hence, we obtain Theorem 4 from Lemma 3 noting that when $r=2,2$ does not split in $F$ because of Lemma 1.

Remark 2. The group $\mathscr{G}_{F}$ is naturally regarded as a module over the completed group ring $\Lambda=\mathbf{Z}_{r}\left[\left[\operatorname{Gal}\left(F_{\infty} / F\right)\right]\right]$. In the proof of [15, Lemma 1(I)], we have used the fact that the $\Lambda$-module $\mathscr{G}_{F}$ has no non-trivial finite $\Lambda$-submodule. For this fact, we should have referred to Greenberg [8, Theorem] not only to Iwasawa [16, Theorem 18].

## 3. Proof of Theorem 2

We begin with the following corollary of Theorem 4 for a general prime number $r$.

Corollary 2. Under the setting and assumption of Theorem $4, A_{F}(\chi)$ is trivial for some $\chi \in \Phi_{F}$.

Proof. This follows immediately from Theorem 4 because the cyclotomic $\mathbf{Z}_{r}$-extension $F_{\infty} / F$ is totally ramified at $r$.

In the case $r=2$, we can derive from Theorem 4 the following stronger consequence. Let $k$ be the imaginary subfield of $L=\mathbf{Q}\left(\zeta_{p}\right)$ of degree a power of 2 , and put $K=k \cdot F$. We denote by $A_{K}^{-}$the kernel of the norm map $A_{K} \rightarrow A_{K^{+}}$, which we naturally regard as a module over $\Delta$. Here, $K^{+}$is the maximal real subfield of $K$.

Remark 3. In other literatures such as [9], minus class group of an imaginary abelian field $K$ is defined to be the kernel $A_{K}^{*}$ of the map $1+J: A_{K} \rightarrow A_{K}$
where $J$ denotes the complex conjugation. Clearly $A_{K}^{-} \subseteq A_{K}^{*}$. In general, these two class groups do not necessarily coincide. However, in our setting where $K=$ $k \cdot F$ is a subfield of $\mathbf{Q}\left(\zeta_{p}\right)$, we have $A_{K}^{-}=A_{K}^{*}$. This is because the natural map $A_{K^{+}} \rightarrow A_{K}$ is injective in the setting for instance by [10, Lemma 2] together with [26, Theorem 10.4(b)].

Proposition 1. Let $r=2$. (I) Let $\chi$ be a character in $\Phi_{F}$, and assume that $\mathscr{G}_{F}(\chi)$ is trivial. Then both of $A_{F}(\chi)$ and $A_{F}\left(\chi^{-1}\right)$ are trivial, and $A_{K}^{-}\left(\chi^{-1}\right)$ is trivial.
(II) In particular, under the assumption of Theorem 4 , both of $A_{F}(\chi)$ and $A_{F}\left(\chi^{-1}\right)$ are trivial for some $\chi \in \Phi_{F}$.

Proof of Theorem 2. We see that condition (i) of Theorem 2 implies that $\Phi_{F}=\{\chi\}$ or $\left\{\chi, \chi^{-1}\right\}$ for some $\chi$. Hence, Theorem 2 follows from Proposition 1(II) and (2).

To show Proposition 1, we need some preliminaries. Let $\Omega / F$ be the maximal abelian extension over $F$ of exponent 2, and let $G=\operatorname{Gal}(\Omega / F)$. Let $V=$ $F^{\times} /\left(F^{\times}\right)^{2}$. We denote by $[v]$ the class in $V$ containing an element $v \in F^{\times}$. The groups $G$ and $V$ are naturally regarded as modules over $\Delta=\operatorname{Gal}(F / \mathbf{Q})$. The Kummer pairing

$$
G \times V \rightarrow\{ \pm 1\} ; \quad(g,[v]) \rightarrow\langle g, v\rangle=(\sqrt{v})^{g-1}
$$

is nondegenerate and satisfies $\left\langle g^{\delta}, v^{\delta}\right\rangle=\langle g, v\rangle$ for $g \in G,[v] \in V$ and $\delta \in \Delta$. It follows that the subpairing

$$
\begin{equation*}
G(\chi) \times V\left(\chi^{-1}\right) \rightarrow\{ \pm 1\} \tag{7}
\end{equation*}
$$

is also nondegenerate for each $\chi \in \Phi_{F}$. Let $\Omega(\chi)$ be the subextension of $\Omega / F$ corresponding to $\prod_{\chi^{\prime}} G\left(\chi^{\prime}\right) \times G\left(\chi_{0}\right)$ by Galois theory where $\chi^{\prime}$ runs over the characters in $\Phi_{F}$ with $\chi^{\prime} \neq \chi$. Then $\operatorname{Gal}(\Omega(\chi) / F)$ is naturally isomorphic to $G(\chi)$. The pairing (7) implies that

$$
\begin{equation*}
\Omega(\chi)=F\left(\sqrt{v} \mid[v] \in V\left(\chi^{-1}\right)\right) . \tag{8}
\end{equation*}
$$

We see that $\Omega(\chi) \cap F_{\infty}=F$ since $\chi$ is non-trivial. In particular, $F_{\infty}(\sqrt{v}) / F_{\infty}$ is a quadratic extension for $[v] \in V\left(\chi^{-1}\right)$ with $v \notin\left(F^{\times}\right)^{2}$. Similary to $\Omega(\chi)$, we define $M_{\infty}(\chi)$ to be the subextension of $M_{\infty} / F_{\infty}$ corresponding to $\prod_{\chi^{\prime}} \mathscr{G}_{F}\left(\chi^{\prime}\right) \times \mathscr{G}_{F}\left(\chi_{0}\right)$ by Galois theory so that $\operatorname{Gal}\left(M_{\infty}(\chi) / F_{\infty}\right)=\mathscr{G}_{F}(\chi)$.

Let $E=E_{F}$ be the group of units of $F$, and let $E_{+}$be the subgroup of $E$ consisting of totally positive units. Clearly, we have $E^{2} \subseteq E_{+}$. It is known that $\left(E / E^{2}\right)(\chi) \cong \mathcal{O} / 2 \mathcal{O}$ for each $\chi \in \Phi_{F}$ by a theorem on units of a Galois extension (Narkiewicz [22, Theorem 3.26a]). Therefore, from the exact sequence

$$
0 \rightarrow E_{+} / E^{2} \rightarrow E / E^{2} \rightarrow E / E_{+} \rightarrow 0
$$

we obtain the following:

Lemma 4. For each $\chi \in \Phi_{F}$, either $\left(E / E_{+}\right)(\chi) \cong \mathcal{O} / 2 \mathcal{O}$ or $\left(E_{+} / E^{2}\right)(\chi) \cong$ $0 / 20$ holds.

Let $\tilde{A}_{F}$ be the 2-part of the class group of $F$ in the narrow sense, and let $F_{>0}^{\times}$ be the subgroup of $F^{\times}$consisting of totally positive elements. Then we have the following exact sequence compatible with the action of $\Delta$.

$$
\begin{equation*}
0 \rightarrow F^{\times} / E F_{>0}^{\times} \rightarrow \tilde{A}_{F} \rightarrow A_{F} \rightarrow 0 \tag{9}
\end{equation*}
$$

Proof of Proposition 1. It suffices to show the assertion (I) by virtue of Theorem 4. Let $\chi \in \Phi_{F}$, and assume that $\mathscr{G}_{F}(\chi)$ is trivial. Then we see that $A_{F}(\chi)$ is trivial since the extension $F_{\infty} / F$ is totally ramified at $r=2$.

Let us first show that

$$
\begin{equation*}
\left(E / E_{+}\right)\left(\chi^{-1}\right) \cong \mathcal{O} / 20 . \tag{10}
\end{equation*}
$$

In view of Lemma 4, assume to the contrary that $\left(E_{+} / E^{2}\right)\left(\chi^{-1}\right) \cong \mathcal{O} / 2 \mathcal{O}$. Then there exists a unit $\varepsilon$ such that $[\varepsilon] \in\left(E_{+} / E^{2}\right)\left(\chi^{-1}\right)$ and $\varepsilon \notin\left(F^{\times}\right)^{2}$. We observe that the quadratic extension $F(\sqrt{\varepsilon}) / F$ is unramified outside 2 as $\varepsilon$ is a totally positive unit and that $F(\sqrt{\varepsilon}) \subseteq \Omega(\chi)$ by (8). It follows that $F_{\infty}(\sqrt{\varepsilon}) / F_{\infty}$ is a quadratic extension and contained in $M_{\infty}(\chi)$. However, this is impossible as $\mathscr{G}_{F}(\chi)=\operatorname{Gal}\left(M_{\infty}(\chi) / F_{\infty}\right)$ is trivial.

To show that $A_{F}\left(\chi^{-1}\right)$ is trivial, let us assume to the contrary that $A_{F}\left(\chi^{-1}\right)$ is non-trivial. Then there exists an ideal $\mathfrak{H}$ of $F$ such that the ideal class $c=[\mathfrak{U}]$ is contained in $A_{F}\left(\chi^{-1}\right)$ and the order of $c$ is 2 . We have $\mathfrak{G}^{2}=a \mathcal{O}_{F}$ for some $a \in F^{\times}$. We may as well assume that $[a] \in V\left(\chi^{-1}\right)$. Further, because of (10), we may as well assume that $a$ is totally positive by replacing $a$ with $\eta a$ for some unit $\eta$ with $[\eta] \in\left(E / E_{+}\right)\left(\chi^{-1}\right)=\left(E / E^{2}\right)\left(\chi^{-1}\right)$. Then we see that $F(\sqrt{a}) / F$ is a quadratic extension because the order of the ideal class $c$ is 2 , and that it is unramified outside 2 and $F(\sqrt{a}) \subseteq \Omega(\chi)$ by (8). Hence, $F_{\infty}(\sqrt{a}) / F_{\infty}$ is a quadratic extension with $F_{\infty}(\sqrt{a}) \subseteq M_{\infty}(\chi)$. This is impossible as $\mathscr{G}_{F}(\chi)$ is trivial. Thus we have shown that $A_{F}\left(\chi^{-1}\right)=\{0\}$.

Finally, let us show that $A_{K}^{-}\left(\chi^{-1}\right)$ is trivial. To show this, it suffices to show that $\tilde{A}_{F}\left(\chi^{-1}\right)$ is trivial by [11, Theorem 2]. We already know that $A_{F}\left(\chi^{-1}\right)$ is trivial. Further we see that $\left(F^{\times} / E F_{>0}^{\times}\right)\left(\chi_{\tilde{A}_{F}}^{-1}\right)$ is trivial by (10). Therefore, it follows from the exact sequence (9) that $\tilde{A}_{F}\left(\chi^{-1}\right)$ is trivial.

## 4. Alternative proof for the case $n=1,3$

In this section, we give an alternative proof of Theorem 3 for the case $n=1$ or 3. We start with a general setting, and we show an assertion on the minus class group analogous to Corollary 2. Let $n \geq 1$ be a fixed odd integer, and let $p=2 n \ell+1$ be a prime number with an odd prime number $\ell$. As $p \equiv 3 \bmod 4$, $k=\mathbf{Q}(\sqrt{-p}) \subseteq \mathbf{Q}\left(\zeta_{p}\right)$. Let $F=F_{p, \ell}$ be as in the previous sections, and put $K=F k$. We naturally identify $\Delta=\operatorname{Gal}(F / \mathbf{Q})$ with $\operatorname{Gal}(K / k)$. Let $r$ be a prime
number with $r \neq p, \ell$, and let $A_{K}^{-}$be the kernel of the norm map $A_{K} \rightarrow A_{K^{+}}$. We can naturally regard $A_{K}^{-}$as a module over $\mathbf{Z}_{r}[\Delta]$. The following assertion sharpens [13, Theorem 2].

Proposition 2. Under the above setting, assume that $r \geq n-1$. Then $A_{K}^{-}(\chi)$ is trivial for some $\chi \in \Phi_{F}$.

Alternative proof of Theorem 3 for the case $n=1$ and 3. Let $r=2$. It is shown in Cornacchia [1, Theorem 1] that both of $A_{F}(\chi)$ and $A_{F}\left(\chi^{-1}\right)$ are trivial if and only if at least one of $A_{K}^{-}(\chi)$ and $A_{K}^{-}\left(\chi^{-1}\right)$ is trivial. (An alternative proof is given in [12, Theorem 4].) Assume that 2 remains prime in $\mathbf{Q}\left(\zeta_{\ell}\right)^{+}$, namely that condition (i) in Theorem 2 is satisfied. Then we have $\Phi_{F}=\{\chi\}$ or $\left\{\chi, \chi^{-1}\right\}$ for some $\chi$. We can apply Proposition 2 to the case $r=2$ as $n=1$ or 3 , and we see that $A_{K}^{-}(\chi)$ or $A_{K}^{-}\left(\chi^{-1}\right)$ is trivial for the above $\chi$. Hence the assertion follows from [1, Theorem 1] mentioned above.

Proof of Proposition 2. For each $\chi \in \Phi_{F}$, we put

$$
\beta_{\chi}=\frac{1}{2} B_{1, \delta \chi}=\frac{1}{2 p} \sum_{a=1}^{p-1} a \delta(a) \chi(a) \in \mathbf{Q}_{r}\left(\zeta_{\ell}\right)
$$

where $\delta$ is the quadratic character associated to $k=\mathbf{Q}(\sqrt{-p})$. We have

$$
\begin{equation*}
\left|A_{K}^{-}(\chi)\right|=\left|\mathcal{O}_{\chi} / \beta_{\chi^{-1}} \mathcal{O}_{\chi}\right| \tag{11}
\end{equation*}
$$

by virtue of the Iwasawa main conjecture ( $[9$, Theorem A]).
First let us deal with the case where $n=1$ (and $p=2 \ell+1$ ). Let $g$ be an arbitrary primitive root modulo $p$. For an integer $x \in \mathbf{Z}, s_{p}(x) \in \mathbf{Z}$ denotes the unique integer such that $s_{p}(x) \equiv x \bmod p$ and $0 \leq s_{p}(x) \leq p-1$. As $n=1$, we easily see that

$$
\{a \mid 1 \leq a \leq p-1\}=\left\{s_{p}\left(g^{2 u+\ell v}\right) \mid 0 \leq u \leq \ell-1, v=0,1\right\} .
$$

Then, noting that $g^{\ell} \equiv-1 \bmod p$ and that $\delta$ is odd, we observe that

$$
\begin{aligned}
\beta_{\chi} & =\frac{1}{2 p} \sum_{u=0}^{\ell-1} \sum_{v=0}^{1} s_{p}\left(g^{2 u+\ell v}\right) \delta\left(g^{\ell v}\right) \chi\left(g^{2 u}\right) \\
& =\frac{1}{2 p} \sum_{u=0}^{\ell-1}\left(s_{p}\left(g^{2 u}\right)-s_{p}\left(-g^{2 u}\right)\right) \chi\left(g^{2 u}\right) \\
& =\frac{1}{p} \sum_{u=0}^{\ell-1} s_{p}\left(g^{2 u}\right) \chi\left(g^{2}\right)^{u} \in \mathbf{Q}_{r}\left(\zeta_{\ell}\right) .
\end{aligned}
$$

Here, the third equality holds because $s_{p}(-x)=p-s_{p}(x)$ for an integer $x$ with $p \nmid x$. Since $p=2 \ell+1$, we can choose $g=2$ or -2 according as $p \equiv 3$ or
$7 \bmod 8$. Therefore, putting

$$
\begin{equation*}
G(T)=\sum_{u=0}^{\ell-1} s_{p}\left(4^{u}\right) T^{u} \tag{12}
\end{equation*}
$$

we obtain from the above that

$$
\begin{equation*}
\beta_{\chi}=\frac{1}{p} G\left(\zeta_{\ell}\right) \quad \text { with } \zeta_{\ell}=\chi(4) \tag{13}
\end{equation*}
$$

On the coefficients $s_{p}\left(4^{u}\right)$ of the polynomial $G(T)$, let us show that

$$
\begin{equation*}
\operatorname{gcd}\left(s_{p}\left(4^{u}\right)-1 \mid 1 \leq u \leq \ell-1\right)=1 \tag{14}
\end{equation*}
$$

We have $p=7,11,23,47, \ldots$ as $p=2 \ell+1$. As $h_{p}^{-}=1$ for $p=7$ or 11 , we may as well assume that $p \geq 23$. Then, since $s_{p}\left(4^{u}\right)=4$ and 16 for $u=1$ and 2 respectively, we see that the gcd equals 1 or 3 . If the gcd equals 3 , then we see that for $1 \leq u \leq \ell-1, s_{p}\left(4^{u}\right)=1+3 c_{u}$ with some integer $c_{u}$. We see that $c_{u} \neq c_{u^{\prime}}$ if $u \neq u^{\prime}$ because the order of the class $4 \bmod p$ in the multiplicative group $(\mathbf{Z} / p \mathbf{Z})^{\times}$is $\ell$. Further, the integer $c_{u}$ necessarily satisfies $1 \leq c_{u} \leq$ $(p-1) / 3$ for each $1 \leq u \leq \ell-1$. However, this is impossible because $(p-1) / 3$ $<\ell-1$. Thus (14) is shown.

Now assume that $A_{K}^{-}(\chi)$ is non-trivial for all $\chi \in \Phi_{F}$. Then it follows from (11) and (13) that $G(\chi(4)) \equiv 0 \bmod r \mathbf{Z}_{r}\left[\zeta_{\ell}\right]$ for all $\chi \in \Phi_{F}$. This implies that $G(T)$ is a multiple of the $\ell$ th cyclotomic polynomial $\Phi_{\ell}(T)$ in $\mathbf{F}_{r}[T]$ where $\mathbf{F}_{r}=\mathbf{Z} / r \mathbf{Z}$. Therefore, it follows from (12) that $s_{p}\left(4^{u}\right) \equiv 1 \bmod r$ for all $1 \leq u \leq$ $\ell-1$. However, this is impossible by (14). Thus we have shown that $A_{K}^{-}(\chi)$ is trivial for some $\chi$.

Next let $n \geq 3$. Formulas corresponding to (12)-(14) are already obtained in [13]. Let us recall them to deal with the case $n \geq 3$. We write $n=q \ell^{s}$ for some integer $q$ with $\ell \nsucc q$ and some $s \geq 0$, so that $p=2 q \ell^{s+1}+1$. Let $g$ be an arbitrary primitive root modulo $p$, and set $\varepsilon=g^{2 q}$ and $\eta=g^{2 \ell^{s+1}}$. For each $0 \leq u \leq \ell-1$, we put

$$
e_{u}=\frac{1}{p} \sum_{b=0}^{q-1} \sum_{v=0}^{\ell s-1} s_{p}\left(\eta^{b} \varepsilon^{\ell v+u}\right) .
$$

We see that $e_{u} \in \mathbf{Z}$ because $n=q \ell^{s} \geq 3$ and the elements $\eta^{b} \varepsilon^{\ell v} \bmod p$ in the sum with $0 \leq b \leq q-1$ and $0 \leq v \leq \ell^{s}-1$ are the $n$th roots of unity in the multiplicative group $(\mathbf{Z} / p \mathbf{Z})^{\times}$. Further we have

$$
\begin{equation*}
1 \leq e_{u} \leq n-1 \tag{15}
\end{equation*}
$$

by [13, eq (8)]. We put

$$
\begin{equation*}
H(T)=\sum_{u=0}^{\ell-1} e_{u} T^{u} \in \mathbf{Z}[T] . \tag{16}
\end{equation*}
$$

Similarly to (13), we have

$$
\begin{equation*}
\beta_{\chi}=H\left(\zeta_{\ell}\right) \quad \text { with } \zeta_{\ell}=\chi(\varepsilon) \tag{17}
\end{equation*}
$$

by [13, eq (6)]. Here note that $\chi(\varepsilon)$ is actually a primitive $\ell$ th root of unity because the order of $\chi$ is $\ell$ and the order of $\varepsilon=g^{2 q} \bmod p$ in the multiplicative group $(\mathbf{Z} / p \mathbf{Z})^{\times}$is $\ell^{s+1}=(p-1) / 2 q$.

Now assume that $r \geq n-1$ and that $A_{K}^{-}(\chi)$ is non-trivial for all $\chi \in \Phi_{F}$. Then, by (11) and (17), we have $H(\chi(\varepsilon)) \equiv 0 \bmod r \mathbf{Z}_{r}\left[\zeta_{\ell}\right]$ for all $\chi \in \Phi_{F}$. This implies that $H(T)$ is a multiple of $\Phi_{\ell}(T)$ in $\mathbf{F}_{r}[T]$. It follows from (16) that $e_{u} \equiv e_{0} \bmod r$ for all $1 \leq u \leq \ell-1$. This congruence implies the equality $e_{u}=e_{0}$ for all $1 \leq u \leq \ell-1$ because of the inequality (15) and $r \geq n-1$. Now it follows from (16) and (17) that $\beta_{\chi}=0$. However, this is impossible because it is well known that $\beta_{\chi} \neq 0$ (see [26, page 38]).

Remark 4. Now we have five (!) different proofs for the classical theorem of Estes [4] on $h_{p}^{+}$for prime numbers of the form $p=2 \ell+1$; three proofs due to Estes himself, Stevenhagen and Metsänkylä, respectively, and two ones given in this paper.

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