# A CRITERION FOR HOLOMORPHIC EXTENSION OF PRINCIPAL BUNDLES 

Indranil Biswas


#### Abstract

Let $G$ be a complex affine algebraic group, and let $E_{G}$ be a holomorphic principal $G$-bundle on the complement $M \backslash S$, where $S$ is a closed complex analytic subset, of complex codimension at least two, of a connected complex manifold $M$. We give a criterion for $E_{G}$ to extend to $M$ as a holomorphic principal $G$-bundle. Two applications of this criterion are given.


## 1. Introduction

Let $M$ be a connected complex manifold. Let $S \subset M$ be a closed complex analytic subset such that the complex codimension of $S$ is at least two. Define $U:=M \backslash S \subset M$.

We prove the following theorem (see Theorem 3.1):
Theorem 1.1. Let $G$ be a complex affine algebraic group and $E_{G} \rightarrow U$ a holomorphic principal $G$-bundle. If $E_{G}$ admits a holomorphic connection, then it extends uniquely to $M$ as a holomorphic principal $G$-bundle.

For vector bundles, Theorem 1.1 was proved by Buchdahl and Harris [3].
Now let $G$ be a complex reductive affine algebraic group. Fix a connected maximal compact subgroup $K \subset G$.

If $E_{G} \rightarrow U$ is a holomorphic principal $G$-bundle, and $E_{K} \subset E_{G}$ is a $C^{\infty}$ reduction of structure group to $K$, then there is a unique $C^{\infty}$ connection $\nabla^{G}$ on $E_{G}$ which preserves $E_{K}$ and is compatible with the holomorphic structure of $E_{G}$. The curvature $\mathscr{K}\left(\nabla^{G}\right)$ of $\nabla^{G}$ is a smooth section of $\Omega_{U}^{1,1} \otimes \operatorname{ad}\left(E_{K}\right)$, where $\operatorname{ad}\left(E_{K}\right)$ is the adjoint vector bundle of $E_{K}$.

Fix a $K$-invariant inner product on $\operatorname{Lie}(G)$. Fix a Hermitian structure on $M$. These choices enable us to define $L^{p}$-norms on the smooth sections of $\Omega_{U}^{1,1} \otimes \operatorname{ad}\left(E_{K}\right)$.

We prove the following (see Theorem 3.2):

[^0]Theorem 1.2. Let $E_{G}$ be a holomorphic principal $G$-bundle over $U$ and

$$
E_{K} \subset E_{G}
$$

a $C^{\infty}$ reduction of structure group of $E_{G}$ to the subgroup $K \subset G$. If the curvature of the natural connection $\nabla^{G}$ on $E_{G}$ has finite $L^{n}$-norm, where $n$ is the complex dimension of $M$, then $E_{G}$ extends uniquely to a holomorphic principal $G$-bundle over $M$.

For vector bundles, Theorem 1.2 was proved by Harris and Tonegawa [4]. In Proposition 2.3 we prove a criterion for a holomorphic principal $G$-bundle on $U$ to extend to $M$ as a holomorphic principal $G$-bundle. Both Theorem 1.1 and Theorem 1.2 are proved using this criterion.

## 2. Criterion for extension

Let $G$ be a complex affine algebraic group. A holomorphic principal $G$ bundle over a complex manifold $Y$ is a holomorphic fiber bundle $\phi: E_{G} \rightarrow Y$ equipped with a holomorphic right action of $G$

$$
\psi: E_{G} \times G \rightarrow E_{G}
$$

such that

- $\phi \circ \psi=\phi \circ p_{1}$, where $p_{1}$ is the natural projection of $E_{G} \times G$ to $E_{G}$, and
- the map to the fiber product $p_{1} \times \psi: E_{G} \times G \rightarrow E_{G} \times{ }_{Y} E_{G}$ is a holomorphic isomorphism.
(We recall that $E_{G} \times{ }_{Y} E_{G}$ is the submanifold of $E_{G} \times E_{G}$ consisting of all points $\left(z_{1}, z_{2}\right)$ such that $\phi\left(z_{1}\right)=\phi\left(z_{2}\right)$.)

Fix an algebraic embedding

$$
\begin{equation*}
\rho: G \hookrightarrow \mathrm{GL}(V), \tag{2.1}
\end{equation*}
$$

where $V$ is a finite dimensional complex vector space (since $G$ is an affine algebraic group, a faithful $G$-module exists).

A theorem of Chevalley says that there is a finite dimensional complex vector space $W$, a complex line

$$
\ell \subset W
$$

and an algebraic homomorphism

$$
\begin{equation*}
\eta: \mathrm{GL}(V) \rightarrow \mathrm{GL}(W) \tag{2.2}
\end{equation*}
$$

such that

$$
\begin{equation*}
\rho(G)=\{T \in \operatorname{GL}(V) \mid \eta(T)(\ell)=\ell\}, \tag{2.3}
\end{equation*}
$$

where $\rho$ is the homomorphism in (2.1). (See [5, p. 80, Theorem 11.2].) Fix such a triple $(W, \ell, \eta)$.

Let $M$ be a connected complex manifold and

$$
\begin{equation*}
U \subset M \tag{2.4}
\end{equation*}
$$

a dense open subset. Let

$$
\begin{equation*}
\phi: E_{G} \rightarrow U \tag{2.5}
\end{equation*}
$$

be a holomorphic principal $G$-bundle. Let

$$
\begin{equation*}
E_{V}:=E_{G} \times^{\rho} V \quad\left(\text { respectively, } E_{W}:=E_{G} \times{ }^{\eta \circ \rho} W\right) \tag{2.6}
\end{equation*}
$$

be the holomorphic vector bundle over $U$ associated to $E_{G}$ for the $G$-module $V$ (respectively, $W$ ) (see (2.1) and (2.2)). Therefore, by definition, $E_{V}$ (respectively, $\left.E_{W}\right)$ is the quotient of $E_{G} \times V$ (respectively, $E_{G} \times W$ ) where two points ( $z_{1}, v_{1}$ ) and $\left(z_{2}, v_{2}\right)$ of $E_{G} \times V$ (respectively, $\left.E_{G} \times W\right)$ are identified if and only if there is an element $g \in G$ such that $\left(z_{2}, v_{2}\right)=\left(z_{1} g, \rho\left(g^{-1}\right)\left(v_{1}\right)\right)$ (respectively, $\left(z_{2}, v_{2}\right)=$ $\left.\left(z_{1} g,(\eta \circ \rho)\left(g^{-1}\right)\left(v_{1}\right)\right)\right)$.

Let

$$
\begin{equation*}
E_{\ell}:=E_{G} \times{ }^{\eta \circ \rho} \ell \subset E_{W} \tag{2.7}
\end{equation*}
$$

be the holomorphic line subbundle associated to $E_{G}$ for the $G$-module $\ell$ in (2.3).
Let

$$
\begin{equation*}
E_{\mathrm{GL}(V)} \rightarrow U \tag{2.8}
\end{equation*}
$$

be the holomorphic principal $\mathrm{GL}(V)$-bundle defined by the holomorphic vector bundle $E_{V}$ in (2.6). So, $E_{\mathrm{GL}(V)}$ parametrizes all linear isomorphisms from $V$ to the fibers of $E_{V}$. Note that $E_{\mathrm{GL}(V)}$ is a quotient of $E_{G} \times \mathrm{GL}(V)$; the quotient map sends any $(g, A) \in\left(E_{G}\right)_{x} \times \mathrm{GL}(V)$ to the isomorphism $V \rightarrow\left(E_{V}\right)_{x}$ that maps any $v$ to the equivalence class of $(g, A(v))$. This also shows that we have a holomorphic embedding

$$
\begin{equation*}
\imath: E_{G} \rightarrow E_{\mathrm{GL}(V)} \tag{2.9}
\end{equation*}
$$

that sends any $z \in E_{G}$ to the equivalence class of $\left(z, \operatorname{Id}_{V}\right)$.
The homomorphism $\eta$ in (2.2) makes $W$ a $\mathrm{GL}(V)$-module. Let

$$
\begin{equation*}
E_{W}^{\prime}:=E_{\mathrm{GL}(V)} \times{ }^{\mathrm{GL}(V)} W \rightarrow U \tag{2.10}
\end{equation*}
$$

be the holomorphic vector bundle associated to the principal $\mathrm{GL}(V)$-bundle $E_{\mathrm{GL}(V)}$ in (2.8) for the $\mathrm{GL}(V)$-module $W$. So, by definition, $E_{W}^{\prime}$ is the quotient of $E_{\mathrm{GL}(V)} \times W$ where two points $\left(z_{1}, w_{1}\right)$ and $\left(z_{2}, w_{2}\right)$ are identified if there is an element $A \in \mathrm{GL}(V)$ such that $\left(z_{2}, w_{2}\right)=\left(z_{1} g, \eta\left(A^{-1}\right)\left(w_{1}\right)\right)$.

Since $E_{V}$ and $E_{W}$ are associated to the principal $G$-bundle $E_{G}$ for the $G$ modules $V$ and $W$ respectively, we conclude that there is a natural holomorphic isomorphism

$$
\begin{equation*}
E_{W}^{\prime} \xrightarrow{\sim} E_{W} \tag{2.11}
\end{equation*}
$$

where $E_{W}^{\prime}$ and $E_{W}$ are constructed in (2.10) and (2.6) respectively. The isomorphism in (2.11) sends the equivalence class of $(z, A, w) \in E_{G} \times \mathrm{GL}(V) \times W$
to the equivalence class of $(z, \eta(A)(w))$ (recall that $E_{\mathrm{GL}(V)}$ is a quotient of $E_{G} \times \mathrm{GL}(V)$, while $E_{W}^{\prime}$ and $E_{W}$ are quotients of $E_{\mathrm{GL}(V)} \times W$ and $E_{G} \times W$ respectively).

Assumption 2.1. Assume that the following two conditions hold:
(1) the holomorphic vector bundles $E_{V}$ and $E_{W}$ in (2.6) extend as holomorphic vector bundles $\bar{E}_{V}$ and $\bar{E}_{W}$ respectively to $M$, and
(2) the holomorphic line subbundle $E_{\ell}$ in (2.7) extends to $M$ as a holomorphic line subbundle of $\bar{E}_{W}$.

Let $\bar{E}_{\mathrm{GL}(V)} \rightarrow M$ be the holomorphic principal $\mathrm{GL}(V)$-bundle defined by the holomorphic vector bundle $\bar{E}_{V}$ in Assumption 2.1(1). So, $\bar{E}_{\mathrm{GL}(V)}$ parametrizes all linear isomorphisms from $V$ to the fibers of $\bar{E}_{V}$. Let

$$
\begin{equation*}
\bar{E}_{W}^{\prime}:=\bar{E}_{\mathrm{GL}(V)} \times{ }^{\mathrm{GL}(V)} W \rightarrow M \tag{2.12}
\end{equation*}
$$

be the holomorphic vector bundle associated to the principal $\mathrm{GL}(V)$-bundle $\bar{E}_{\mathrm{GL}(V)}$ for the $\mathrm{GL}(V)$-module $W$ in (2.2).

Assumption 2.2. Assume that the isomorphism in (2.11) on $U$ extends to a holomorphic isomorphism of vector bundles over $M$

$$
\begin{equation*}
\theta: \bar{E}_{W}^{\prime} \xrightarrow{\sim} \bar{E}_{W}, \tag{2.13}
\end{equation*}
$$

where $\bar{E}_{W}^{\prime}$ is constructed in (2.12), and $\bar{E}_{W}$ is the extension in Assumption 2.1(1).
Proposition 2.3. The holomorphic principal $G$-bundle $E_{G} \rightarrow U$ extends to a holomorphic principal $G$-bundle over $M$.

Proof. Let $\bar{E}_{\mathrm{GL}(W)} \rightarrow M$ be the holomorphic principal $\mathrm{GL}(W)$-bundle defined by the holomorphic vector bundle $\bar{E}_{W}$. So, $\bar{E}_{\mathrm{GL}(W)}$ parametrizes all linear isomorphisms from $W$ to the fibers of $\bar{E}_{W}$. Using the isomorphism $\theta$ in (2.13), a holomorphic map of fiber bundles

$$
\begin{equation*}
\varphi: \bar{E}_{\mathrm{GL}(V)} \rightarrow \bar{E}_{\mathrm{GL}(W)} \tag{2.14}
\end{equation*}
$$

can be constructed as follows. Recall that for any $x \in M$, an element of the fiber $\left(\bar{E}_{\mathrm{GL}(V)}\right)_{x}$ is a linear isomorphism $V \rightarrow\left(\bar{E}_{V}\right)_{x}$. Given any linear isomorphism

$$
\alpha: V \rightarrow\left(\bar{E}_{V}\right)_{x},
$$

we have an isomorphism $\tilde{\alpha}: W \rightarrow\left(\bar{E}_{W}^{\prime}\right)_{x}$ that sends any $w$ to the equivalence class of $(\alpha, w)$. The map $\varphi$ in (2.14) sends $\alpha$ to the element of $\left(\bar{E}_{\mathrm{GL}(W)}\right)_{x}$ corresponding to the isomorphism

$$
\theta(x) \circ \tilde{\alpha}: W \rightarrow\left(\bar{E}_{W}\right)_{x} .
$$

For any $z \in \bar{E}_{\mathrm{GL}(V)}$ and $A \in \mathrm{GL}(V)$, clearly, $\varphi(z A)=\varphi(z) \eta(A)$, where $\eta$ is the homomorphism in (2.2).

Let

$$
\begin{equation*}
P:=\{A \in \mathrm{GL}(W) \mid A(\ell)=\ell\} \subset \mathrm{GL}(W) \tag{2.15}
\end{equation*}
$$

be the maximal parabolic subgroup, where $\ell$ is the line in (2.3). Let

$$
\bar{E}_{\ell} \subset \bar{E}_{\mathrm{GL}(W)}
$$

be the holomorphic line subbundle over $M$ obtained by extending the holomorphic line subbundle $E_{\ell}$ in (2.7) (see Assumption 2.1(2)). This line subbundle $\bar{E}_{\ell}$ gives a reduction of structure group of the holomorphic principal GL( $W$ )-bundle $\bar{E}_{\mathrm{GL}(W)}$

$$
\begin{equation*}
\bar{E}_{P} \subset \bar{E}_{\mathrm{GL}(W)} \tag{2.16}
\end{equation*}
$$

to the subgroup $P$ defined in (2.15). This reduction is uniquely determined by the condition that for any point $x \in M$, the submanifold

$$
\left(\bar{E}_{P}\right)_{x} \subset\left(\bar{E}_{\mathrm{GL}(W)}\right)_{x}
$$

is the space of all linear isomorphisms $A: W \rightarrow\left(\bar{E}_{W}\right)_{x}$ such that $A(\ell)=\left(\bar{E}_{\ell}\right)_{x}$.
Finally, define

$$
\begin{equation*}
\bar{E}_{G}:=\left\{z \in \bar{E}_{\mathrm{GL}(V)} \mid \varphi(z) \in \bar{E}_{P}\right\} \tag{2.17}
\end{equation*}
$$

where $\varphi$ and $\bar{E}_{P}$ are constructed in (2.14) and (2.16) respectively. Let

$$
\gamma: \bar{E}_{G} \rightarrow M
$$

be the restriction of the natural projection of $\bar{E}_{\mathrm{GL}(V)}$ to $M$. For any $x \in U$, it is straight forward to check that $\gamma^{-1}(x)$ is identified with the fiber $\left(E_{G}\right)_{x} \subset$ $\left(E_{\mathrm{GL}(V)}\right)_{x}$; the fiber $\left(E_{G}\right)_{x}$ is identified as a submanifold of $\left(E_{\mathrm{GL}(V)}\right)_{x}$ using $l$ in (2.9). For the action of the group $\mathrm{GL}(V)$ on $\bar{E}_{\mathrm{GL}(V)}$, the subgroup $G$ clearly preserves $\bar{E}_{G} \subset \bar{E}_{\mathrm{GL}(V)}$, and

$$
\gamma: \bar{E}_{G} \rightarrow M
$$

is a holomorphic principal $G$-bundle. This completes the proof of the proposition.

## 3. Some applications

3.1. Holomorphic connections and extensions. Let $M$ be a connected complex manifold. Let

$$
\begin{equation*}
S \subset M \tag{3.1}
\end{equation*}
$$

be a closed complex analytic subset such that the complex codimension of $S$ is at least two. Define

$$
\begin{equation*}
U:=M \backslash S . \tag{3.2}
\end{equation*}
$$

Let $G$ be as before. See [2] for holomorphic connections on holomorphic principal $G$-bundles.

Theorem 3.1. Let $E_{G} \rightarrow U$ be a holomorphic principal $G$-bundle equipped with a holomorphic connection $\nabla$. Then $E_{G}$ extends uniquely to a holomorphic principal $G$-bundle over $M$.

Proof. Fix $\rho$ and $\eta$ as in (2.1) and (2.2) respectively. Define the vector bundles $E_{V}$ and $E_{W}$ as in (2.6). The holomorphic connection $\nabla$ induces a holomorphic connection on any fiber bundle associated to $E_{G}$. Let $\nabla^{V}$ and $\nabla^{W}$ be the holomorphic connections on $E_{V}$ and $E_{W}$ respectively induced by $\nabla$. The vector bundles $E_{V}$ and $E_{W}$ extends uniquely to holomorphic vector bundles on $M$ (see [3, p. 38, Corollary 2.4]). Let $\bar{E}_{V}$ (respectively, $\bar{E}_{W}$ ) be the holomorphic vector bundle on $M$ obtained by extending $E_{V}$ (respectively, $E_{W}$ ).

Consider the holomorphic line subbundle $E_{\ell} \subset E_{W}$ in (2.7). Since $\ell$ is a submodule of the $G$-module $W$, the induced holomorphic connection $\nabla^{W}$ on $E_{W}$ preserves the line subbundle $E_{\ell}$. Consequently, $E_{\ell}$ extends uniquely to a holomorphic line subbundle $\bar{E}_{\ell}$ of $\bar{E}_{W}$. Therefore, Assumption (2.1) is satisfied.

From the uniqueness of the extensions $\bar{E}_{V}$ and $\bar{E}_{W}$ it follows that the isomorphism in (2.11) extends as in (2.13) to a holomorphic isomorphism of vector bundles over $M$. Hence, Assumption (2.2) is also satisfied.

Therefore, from Proposition 2.3 we conclude that $E_{G}$ extends to a holomorphic principal $G$-bundle over $M$. That this extension is unique follows from the uniqueness of the extensions of $E_{V}, E_{W}$ and $E_{\ell}$.
3.2. Bound on curvature. Let $G$ be a reductive linear algebraic group defined over C. Fix a maximal compact connected subgroup

$$
\begin{equation*}
K \subset G . \tag{3.3}
\end{equation*}
$$

Let $\mathfrak{g}$ be the Lie algebra of $G$. The group $G$ has the adjoint action on $\mathfrak{g}$. Fix a positive Hermitian form $h$ on $\mathfrak{g}$ fixed by the adjoint action of $K$.

Let $E_{G} \rightarrow Y$ be a holomorphic principal $G$-bundle over a complex manifold $Y$. Let

$$
E_{K} \subset E_{G}
$$

be a $C^{\infty}$ reduction of structure group of $E_{G}$ to the subgroup $K$ in (3.3). Then there is a unique connection $\nabla^{K}$ of the principal $K$-bundle $E_{K}$ such that the connection $\nabla^{G}$ on $E_{G}$ induced by $\nabla^{K}$ is compatible with the holomorphic structure of $E_{G}$; see [1, p. 220, Definition 3.1].

Let $\operatorname{ad}\left(E_{G}\right):=E_{G} \times{ }^{G} \mathfrak{g}$ be the adjoint vector bundle of $E_{G}$, in other words, $\operatorname{ad}\left(E_{G}\right)$ is the holomorphic vector bundle over $Y$ associated to $E_{G}$ for the adjoint action of $G$ on $\mathfrak{g}$. Let

$$
\operatorname{ad}\left(E_{K}\right):=E_{K} \times{ }^{K} \operatorname{Lie}(K) \subset \operatorname{ad}\left(E_{G}\right)
$$

be the adjoint vector bundle of $E_{K}$. The $K$-invariant Hermitian form $h$ on $\mathfrak{g}$ defines a Hermitian structure on $\operatorname{ad}\left(E_{G}\right)$. The curvature $\mathscr{K}\left(\nabla^{G}\right)$ of the connection $\nabla^{G}$ is a $C^{\infty}$ section of $\Omega_{Y}^{1,1} \otimes \operatorname{ad}\left(E_{K}\right)$ over $U$. If we fix a Hermitian structure on $Y$, then combining it together with the above Hermitian structure on $\operatorname{ad}\left(E_{G}\right)$ we can define $p$-norm, $p>0$, on the smooth sections of $\Omega_{Y}^{1,1} \otimes \operatorname{ad}\left(E_{G}\right)$ (see [4, p. 30]).

Let $M$ be a connected complex manifold of complex dimension $n$. As in (3.1), let $S$ be a closed complex analytic subset of complex codimension at least two. Define $U$ as in (3.2).

Theorem 3.2. Let $E_{G}$ be a holomorphic principal $G$-bundle over $U$ and

$$
E_{K} \subset E_{G}
$$

a $C^{\infty}$ reduction of structure group of $E_{G}$ to the subgroup $K \subset G$. Assume that the curvature of the natural connection $\nabla^{G}$ has finite $L^{n}$-norm. Then $E_{G}$ extends uniquely to a holomorphic principal $G$-bundle over $M$.

Proof. Consider the $G$-modules $V$ and $W$ in (2.1) and (2.2) respectively. Fix $K$-invariant Hermitian structures $h_{V}$ and $h_{W}$ on $V$ and $W$ respectively. Since $h_{V}$ (respectively, $h_{W}$ ) is $K$-invariant, it induces a Hermitian structure on the associated vector bundle $E_{V}$ (respectively, $E_{W}$ ) in (2.6).

Consider the unique connection $\nabla^{G}$ on $E_{G}$ associated to the reduction $E_{K} \subset E_{G}$. Let $\nabla^{V}$ and $\nabla^{W}$ be the holomorphic connections on $E_{V}$ and $E_{W}$ respectively induced by $\nabla^{G}$. Note that $\nabla^{V}$ (respectively, $\nabla^{W}$ ) coincides with the unique Hermitian connection on the holomorphic vector bundle $E_{V}$ (respectively, $\left.E_{W}\right)$.

Since the curvature of the connection $\nabla^{G}$ has a finite $L^{n}$-norm, it follows immediately that the curvatures of the induced connections $\nabla^{V}$ and $\nabla^{W}$ are also of finite $L^{n}$-norm. Therefore, $E_{V}$ (respectively, $E_{W}$ ) extends uniquely to a holomorphic vector bundle $\bar{E}_{V}$ (respectively, $\bar{E}_{W}$ ) over $M$ (see [4, p. 29, Theorem 1]).

The line subbundle $E_{\ell} \subset E_{W}$ in (2.7) is preserved by the connection $\nabla^{W}$. Therefore, $E_{\ell}$ extends uniquely to a holomorphic line subbundle of $\bar{E}_{W}$. Hence the theorem follows from Proposition 2.3.

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Indranil Biswas
School of Mathematics
Tata Institute of Fundamental Research
Homi Bhabha Road
Bombay 400005
India
E-mail: indranil@math.tifr.res.in


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