# TANGENT SCROLLS IN PRIME FANO THREEFOLDS

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#### Abstract

In this paper we prove that any smooth prime Fano threefold, different from the Mukai-Umemura threefold  $X_{22}'$ , contains a 1-dimensional family of intersecting lines. Combined with a result in [Sch] this implies that any morphism from a smooth Fano threefold of index 2 to a smooth Fano threefold of index 1 must be constant, which gives an answer in dimension 3 to a question stated by Peternell.

#### §1. Introduction

1.1. A smooth projective variety X is called a Fano variety if the anticanonical bundle  $-K_X$  is ample. Then the index of X is the largest positive integer r = r(X) such that  $-K_X = rH$  for some line bundle H on X.

The smooth Fano threefold  $X = X_d \subset \mathbf{P}^{g+1}$   $(d = \deg X)$  is called *prime* if  $\rho(X) = rank \operatorname{Pic}(X) = 1$ , r(X) = 1, and  $-K_X$  is the hyperplane bundle on X. By the classification of Fano threefolds smooth prime Fano threefolds exist *iff*  $3 \le g \le 12$   $(g \ne 11)$ , and then d = 2g - 2 (see [I1]).

- **1.2.** (see §4.2, §4.4 in [IP], or §1 in [I2]). Let l be a line on the smooth prime Fano threefold X, and let  $N_{l/X}$  be the normal bundle of  $l \subset X$ . Then
  - (1). either (a).  $N_{l/X} = \mathcal{O} \oplus \mathcal{O}(-1)$ ; or (b).  $N_{l/X} = \mathcal{O}(1) \oplus \mathcal{O}(-2)$ .
- (2). The Hilbert scheme  $\mathscr{H}_X$  of lines on X is non-empty, any irreducible component  $\mathscr{H}_o$  of  $\mathscr{H}_X$  is one-dimensional, and either  $\mathscr{H}_o$  is non-exotic, i.e.  $N_{l/X}$  is of type (1)(a) for the general  $l \in \mathscr{H}_o$ ; or  $\mathscr{H}_o$  is exotic, i.e.  $N_{l/X}$  is of type (1)(b) for any  $l \in \mathscr{H}_o$ .
- (3). The component  $\mathcal{H}_o$  is exotic if *either* the elements  $l \in \mathcal{H}_o$  sweep out the tangent scroll  $R_o \subset X$  to an irreducible curve  $C \subset X$ ; or g = 3 (i.e.  $X = X_4$  is a quartic threefold), and then the lines  $l \in \mathcal{H}_o$  sweep out a hyperplane section  $R_o \subset X_4$  which is a cone over a plane quartic curve, centered at some point  $x \in X_4$ .
- **1.3.** For example, the scheme  $\mathcal{H}_X$  of the Fermat quartic  $X = X_4 = (x_0^4 + \cdots + x_4^4 = 0)$ , which is a prime Fano threefold of g = 3, is a union of 40 double components each of which is of type (1.2)(1)(b) (see Remark 3.5(ii) in [11]).

The only known example of a prime Fano threefold X of  $g \ge 4$  such that  $\mathcal{H}_X$  has an exotic component  $\mathcal{H}_o$ , is the Mukai-Umemura threefold  $X'_{22}$ . The scheme  $\mathcal{H}_{X'_{22}} = 2\mathcal{H}_o$  and the surface  $R_o$  is the hyperplane section of  $X'_{22}$  swept out by the tangent lines to a rational normal curve  $C_{12} \subset X'_{22}$  of degree 12 (see [MU]).

**1.4.** By a theorem of Kobayashi and Ochiai the index r = r(Y) of a smooth Fano *n*-fold Y can't be greater than n+1; and the only smooth Fano *n*-folds of  $r \ge n$  are  $P^n$  for which r = n+1 and the *n*-dimensional quadric  $Q_2^n$  for which r = n (see e.g. [Pe], p. 106). In particular, except  $P^3$  and  $Q_2^3$ , any smooth Fano 3-fold must have index  $r \le 2$ .

It is shown by Remmert and Van de Ven (for n=2) and later by Lazarsfeld (for any n) that the projective space  $P^n$  does not admit surjective morphisms to a smooth projective n-fold  $X \neq P^n$  (see [RV], [L]). The same is true for morphisms  $f: Q_2^n \to X \neq P^n$ ,  $Q_2^n$  (see [PS]). In particular,  $P^3$  and  $Q_2^3$  do not admit surjective morphisms to smooth Fano threefolds X of *smaller* index r(X).

Let  $f: Y \to X$  be a non-constant morphism between smooth Fano 3-folds of  $\rho = 1$ . By Kor. 1.5 in [RV],  $\rho(Y) = 1$  implies that f must be surjective, and by the preceding r(Y) can't be  $\geq 3$ . Therefore r(Y) = 2, r(X) = 1. This gives rise to the following question stated originally by Peternell (see (2.12)(2) in [Pe]).

QUESTION (Pe). Are there non-constant (hence surjective) morphisms  $f: Y \to X$  from a smooth Fano 3-fold Y of  $\rho(Y) = 1$  and r(Y) = 2 to a smooth Fano 3-fold X of  $\rho(X) = 1$  and r(X) = 1?

In this paper we give the expected negative answer to (Pe).

Let  $f: Y \to X$  be as above, and assume that f is non-constant. Then f must be surjective and finite since  $\rho(Y) = 1$  (see Kor. 1.5 in [RV]). Therefore  $f^*: H^3(X, \mathbb{C}) \to H^3(Y, \mathbb{C})$  will be an embedding, in particular  $h^3(X) \le h^3(Y)$  (see also [Sch]). For any Fano threefold  $h^{3,0} = 0$  and  $h^3 = 2h^{2,1}$  since the anticanonical class is ample. Therefore  $h^{2,1}(X) \le h^{2,1}(Y)$ . Since  $h^{2,1}(Y) \le 21$  for any Fano 3-fold Y of r = 2 (see [I1]), then the existence of a non-constant morphism  $f: X \to Y$  as in (Pe) implies that  $h^{2,1}(X) \le 21$ . This gives a negative answer to (Pe) whenever  $h^{2,1}(X) > 21$ .

The only smooth non-prime Fano threefolds of  $\rho=1$  and r=1 are the sextic double solid  $X_2'$  and the double quadric  $X_4'$  for which the answer to (Pe) is negative since  $h^{2,1}(X_2')=52>21$  and  $h^{2,1}(X_4')=30>21$ . By the same argument the answer to (Pe) is negative also for the quartic threefold  $X_4$  since  $h^{2,1}(X_4)=30>21$ . Any other smooth prime Fano threefold  $X=X_{2g-2}\subset {\bf P}^{g+1}$ ,  $4\leq g\leq 12,\ g\neq 11$  has  $h^{2,1}(X)\leq 20$  (see [II]).

In [Sch] is given a negative answer to (Pe) provided X contains a conic of rank 2 (a pair of intersecting lines). The only known Fano threefold X of  $\rho(X)=1$  and r(X)=1 without intersecting lines is the Mukai-Umemura threefold  $X=X_{22}'$ , and a negative answer to (Pe) in case  $X=X_{22}'$  is given by E. Amerik (see [Sch]).

Therefore, in order to give a negative answer to (Pe), it is enough to prove the following

PROPOSITION (B). Any smooth prime Fano threefold  $X_{2g-2} \subset \mathbf{P}^{g+1}$ ,  $4 \le g \le 12$ ,  $g \ne 11$ , different from the Mukai-Umemura threefold  $X'_{22}$ , contains a 1-dimensional family of conics of rank 2.

In Section 2 we prove Proposition (B) for  $4 \le g \le 9$  on the base of the following technical

LEMMA (A). A smooth prime Fano threefold  $X = X_{2g-2} \subset \mathbf{P}^{g+1}$ ,  $3 \le g \le 9$  can't contain the tangent scroll  $S_{2g-2}$  to a rational normal curve  $C_g$  of degree g.

By a result of Yu. Prokhorov, the only smooth prime  $X=X_{2g-2}, g=10,12$  such that the scheme of lines  $\mathscr{H}_X$  has an exotic component is the Mukai-Umemura threefold  $X_{22}'$  (see [Pr]). This implies Proposition (B) for g=10,12. Indeed, let  $X=X_{2g-2}$  be a smooth prime Fano threefold such that the scheme of lines  $\mathscr{H}_X$  on X has a non-exotic component  $\mathscr{H}_o$ . Then, by Lemma 3.7 in [I1], the general element of  $\mathscr{H}_o$  will represent a line  $l \subset X$  which intersects at least one other line on X.

This completes the proof of Proposition (B), which yields a negative answer to (Pe).

In Section 3 we prove Lemma (A) for any particular value of g,  $3 \le g \le 9$ . For the prime Fano threefolds  $X_{2g-2} \subset P^{g+1}$  (g=3,4,5,6,8) we prove Lemma (A) by using the Mukai's representation (see (3.1)) of the smooth  $X_{2g-2}$ ,  $3 \le g \le 10$  as a complete intersection in a homogeneous or almost-homogeneous variety  $\Sigma(g)$ . More concretely we see that if the threefold  $X \subset \Sigma(g)$  (g=3,4,5,6,8) is a complete intersection in  $\Sigma(g)$  of the same type as the smooth prime  $X_{2g-2}$ , and if X contains the tangent scroll  $S_{2g-2}$  to the rational normal curve  $C_g$  of degree g, then X must be singular—see (3.4), (3.5), (3.6), (3.13), (3.15), (3.19).

For g=7 we use the properties of the projection from a special line  $l \subset X_{2g-2}$ ,  $g \ge 7$  to reduce the proof of Lemma (A) for g=7 to the already proved Lemma (A) for g=5—see (3.20)–(3.24). To prove Lemma (A) in case g=9 we can use the same approach as for g=7. But a more elegant proof, based on the description of the double projection from a line, had been suggested by the Referee—see (3.25).

# §2. Lemma (A) $\Rightarrow$ Proposition (B) for $4 \le g \le 9$ .

**2.0.** Assume that the smooth prime Fano threefold  $X = X_{2g-2}$   $(4 \le g \le 9)$  does not contain a 1-dimensional family of conics of rank 2.

LEMMA 2.1. Under the assumption (2.0):

(i). The Hilbert scheme  $\mathcal{H}_X$  of lines on X has a unique irreducible component  $\mathcal{H}_o$ ;

(ii).  $\mathcal{H}_o = \mathcal{H}_X$  is exotic, and the lines  $l \in \mathcal{H}_o$  sweep out a tangent scroll  $R_o \in |\mathcal{O}_X(d)|, d \geq 2$ .

Proof of Lemma 2.1.

- (i). Let  $\mathcal{H}_o$  and  $\mathcal{H}_\infty$  be two different irreducible components of  $\mathcal{H}_X$ , and let  $R_o$  and  $R_\infty$  be the surfaces swept out by the lines  $l \in \mathcal{H}_o$  and  $l \in \mathcal{H}_\infty$ . Since  $\operatorname{Pic}(X) = \mathbf{Z}.H$ , where H is the hyperplane section, any effective divisor on X must be ample. In particular the general line  $l \in \mathcal{H}_o$  intersects the surface  $R_\infty \subset X$ . If moreover  $l \subset R_\infty$  for the general  $l \in \mathcal{H}_o$ , then  $R_o = R_\infty$  and this surface contains two 1-dimensional families of lines. Therefore  $R_o = R_\infty$  is a quadric surface on X, which contradicts  $\operatorname{Pic}(X) = \mathbf{Z}.H$ . Therefore the general  $l \in \mathcal{H}_o$  intersects  $R_\infty$  and does not lie in  $R_\infty$ ; and since  $R_\infty$  is swept out by lines then there exists a line  $l' \subset R_\infty$  which intersects l. Since  $l \in \mathcal{H}_o$  is general this produces a 1-dimensional family of intersecting lines l + l' (= conics of rank 2 on l)—contradiction.
- (ii). If  $\mathcal{H}_X = \mathcal{H}_o$  is non-exotic then, by Lemma 3.7 of [11], the general element of  $\mathcal{H}_o$  will represent a line  $l \subset X$  which will intersect at least one other line  $m \subset X$ , i.e. X will contain a 1-dimensional family of intersecting lines (= conics of rank 2). Therefore  $\mathcal{H}_o$  is exotic.

Since  $\mathcal{H}_o$  is exotic and  $g \ge 4$  then  $R_o$  is the tangent scroll to a curve  $C \subset X$  (see (1.2)(3)), and since  $\operatorname{Pic}(X) = Z.H$  then  $R_o \in |\mathcal{O}_X(d)|$  for some ineteger  $d \ge 1$ . If  $R_o$  is a hyperplane section of X (i.e. d = 1) then, by Lemma 6 in [Pr],  $C = C_g$  must be a rational normal curve of degree g. However the last is impossible since then Lemma (A) will imply that X is singular. Therefore  $d \ge 2$ . q.e.d.

We shall show that nevertheless X contains a 1-dimensional family of conics l+m of rank 2 where  $l, m \in \mathcal{H}_o$ .

*Remark.* Let  $C_s$  be the (possibly empty) set of singular points of C. For any  $x \in C - C_s$  denote by  $l_x$  the tangent line to C at x. For a point  $x = x(0) \in C_s$  define a tangent line to C at x to be any limit  $\lim_{x(t)\to x(0)} l_{x(t)}$  of tangent lines  $l_{x(t)}$  to points  $x(t) \in C - C_s$  (see Chapter 2 §4 in [GH]). Clearly, C can have only a finite number of tangent lines to  $x(0) \in C_s$  (see also Chapter 2 §1.5 in [Sh]).

**2.2.** By the initial assumption (2.0), X does not contain a 1-dimensional family of conics of rank 2. In particular, X does not contain a 1-dimensional family of pairs of intersecting tangent lines to C.

Since the surface  $R_o \in |\mathcal{O}_X(d)|$ ,  $d \ge 2$ , and  $Span X = \mathbf{P}^{g+1}$  then  $Span R_o = \mathbf{P}^{g+1}$ ,  $g \ge 4$  (see also Lemma 6 in [Pr]). Since  $R_o$  is swept out by the tangent lines to C then  $Span C = Span R_o = \mathbf{P}^{g+1}$ . In particular C does not lie on a plane. Since  $R_o$  is the tangent scroll to the non-plane curve C then  $S_C$  is singular along the curve C.

Let  $L \neq C$  be (if exists) an irreducible curve on  $R_o$  such that  $R_o$  is singular along L. If L is not a tangent line to C, then the general point of L will be an intersection point of two or more tangent lines to C (see §4 in [P2]). The last is

impossible since, by assumption, on X can lie at most a finite number of pairs of intersecting lines.

Therefore any irreducible curve  $L \neq C$  such that  $L \subset Sing R_o$  must be a tangent line to C. In addition, the tangent scroll  $R_o$  to C still can be singular along a tangent line L to C—for example if L is a common tangent line to two or more branches of C at x, or if C has a branch with a cusp at x, or if  $x \in C - C_s$  but x is an inflexion point of C and then  $R_o$  has a cusp along  $l_x$ , etc. (see §2, §4 in [P2]).

Let  $\Delta \subset R_o$  be the union of all the irreducible curves L on  $R_o$  such that  $L \neq C$  and  $R_o$  is singular along L. By the above argument, either  $\Delta = \emptyset$  or  $\Delta$  is a union of a finite number of tangent lines to C.

Let  $v: R_n \to R_o$  be the normalization of  $R_o$ . Fix a desingularization  $\tau: \tilde{R}_n \to R_n$ , and let  $\sigma = \tau \circ v: \tilde{R}_n \to R_o$ . Let  $E_1, \ldots, E_k$   $(k \ge 0)$  be all the irreducible contractable curves on  $\tilde{R}_n$ , i.e. all the irreducible curves  $E_i \subset \tilde{R}_n$  such that  $\sigma(E_i) \in R_o$  is a point.

Denote by  $\sim$  the linear equivalence of divisors on the smooth surface  $\tilde{R}_n$ , and let E be a divisor on  $\tilde{R}_n$ . Call E a zero divisor on  $\tilde{R}_n$  if  $E \sim 0$ ; call the non-zero divisor E contractable if  $E \sim a_1E_1 + \cdots + a_kE_k$  for some  $a_1, \ldots, a_k \in \mathbb{Z}$ . Let  $C' \subset \tilde{R}_n$  be the proper  $\sigma$ -preimage of C on  $\tilde{R}_n$ . Since  $R_o$  is the tangent scroll to the irreducible curve C then the curve C' is irreducible and  $\sigma|_{C'}: C' \to C$  is an isomorphism over a dense open subset of C (see also Lemma 2.3 below).

Let  $C'_1, \ldots, C'_r$  be all the irreducible curves on  $\tilde{R}_n$  such that  $\sigma(C'_i)$  is an irreducible component of  $\Delta$ . Therefore

$$K_{\tilde{R}_n} \sim \sigma^* K_{R_o} - mC' - \Sigma_{i=1,\dots,r} p_i C'_i + E$$

for some positive integers  $m, p_1, \ldots, p_r$ , and a contractable (or zero) divisor E on  $\tilde{R}_n$ .

LEMMA 2.3. Let X fulfills (2.0). Then the tangent scroll  $R_o \subset X$  to C has a cusp of type  $v^2 = u^3 + \cdots$  along C, at a neighbourhood of the general point  $x \in C \subset R_o$ ; in particular  $m = \text{mult}_C R_o = 2$  (see also §5 in [P2] and §4 in [P1]).

Proof of Lemma 2.3.

(1). We shall see first that  $R_o$  is irreducible at any neighbourhood of the general point  $x \in C$ , i.e.  $R_o$  has one local branch at x.

Assume the contrary, and let  $x \in C$  be general. Let  $U \subset X$  be a complexanalytical neighbourhood of x such that  $R_U = R_o \cap U$  is reducible. Since  $R_o$  is swept out by the tangent lines to C, the last imlies that for the general point  $y \in C_U = C \cap U$  (hence for the general  $y \in C$ ) there exists (possibly non-unique)  $z \in C$ ,  $z \neq y$  such that y lies on a tangent line to C at z. Since the set  $C_s =$  $\{x_1, \ldots, x_s\}$  of singular points of C is finite (or empty), and any such  $x_t$  has at most a finite number of tangent lines, then the general  $y \in C$  doesn't lie on a tangent line to  $z \in C_s$ . Therefore the general  $y \in C$  lies on the tangent line  $l_z$  to C at some (possibly non-unique)  $z \in C - C_s$ .

If moreover  $l_v \neq l_z$  (where  $l_v$  is the tangent line to C at y) then all such  $l_v + l_z$ 

will produce a 1-dimensional family of conics of rank 2 on X, which contradicts the initial assumption (2.0) about X.

If  $l_y = l_z$  then this will imply that the tangent line  $l_y$  to C at the general  $y \in C$  is tangent to C at two or more points. But then the projection  $\overline{C}$  of  $C \subset P^{g+1}$  from the general subspace  $P^{g-2} \subset P^{g+1} = Span X$  will be a plane curve with a 1-dimensional family of lines tangent to C at two or more points, which is impossible.

(2). It rests to see that the unique local branch of  $R_o$  at the general  $x \in C$  has a cusp of type  $v^2 = u^3 + \cdots$  along C at a neighbourhood of x.

Since  $R_o \in |\mathcal{O}_X(d)|$  and  $d \ge 2$ , then  $Span C = Span R_o = \mathbf{P}^{g+1}$ ,  $g \ge 4$  (see above).

Let x be a general point of C. In order to prove that the tangent scroll  $R_o \subset X$  to C has a cusp of type  $v^2 = u^3 + \cdots$  at a neighbourhood of x it is enough to see that the projection of  $R_o$  from a general  $P^{g-3} \subset P^{g+1}$  has a cusp at x. This reduces the check to the case when  $R_o$  is the tangent scroll to a curve  $C \subset P^3$ .

Since x is a general point of  $C \subset \mathbb{P}^3$  then, after a possible linear change of coordinates in  $\mathbb{P}^3$ , the curve C has (at x = (1:0:0:0)) a local parameterization, or a normal form (see §2 in [P2], or Chapter 2 §4 in [GH]):

$$C_U: (x_o(z): \dots : x_n(z)) = (1: z + o(z^2): z^2 + o(z^3): z^3 + o(z^4)), \quad |z| < 1,$$

where  $o(z^k) = \sum_{j \ge k} a_j z^j$ . Since the coefficient at  $z^k$  in  $x_k(z) = z^k + o(z^{k+1})$  is  $1 \ne 0$  (k = 2, 3) then, after a possible linear change of  $(x_1, x_2, x_3)$ , the local parameterization of C at x = (1 : 0 : 0 : 0) can be written as

$$C_U: (x_o(z): x_1(z): x_2(z): x_3(z))$$

$$= (1: z + o(z^4): z^2 + o(z^4): z^3 + o(z^4)), \quad |z| < 1,$$

i.e.  $C_U$  approximates, upto  $o(z^4)$ , the twisted cubic  $C_3 = \{(1:z:z^2:z^3)\}$ . Therefore, at a neighbourhood of x = (1:0:0:0), the unique local branch (see (1)) of the tangent scroll  $R_o$  to C is parameterized by

$$R_U : (x_o(z,t) : x_1(z,t) : x_2(z,t) : x_3(z,t))$$

$$= (1 : z + t + o(z^4) + o(z^3)t : z^2 + 2zt + o(z^4)$$

$$+ o(z^3)t : z^3 + 3z^2t + o(z^4) + o(z^3)t).$$

In affine coordinates  $(x_1, x_2, x_3)$  the tangent line to C at x = (0, 0, 0) is spanned by the vector  $n_x = (1, 0, 0)$ , and the normal space  $C_o^2 \subset C^3(x_1, x_2, x_3)$  to  $n_x$  at x is defined by  $x_1 = 0$ . In order to prove that  $R_U$  has a cusp along C at a neighbourhood of x we shall see that the curve  $D_U = R_U \cap (x_1 = 0) \subset C_o^2$  has a cusp at x.

On  $D_U = R_U \cap (x_1 = 0)$ , one has:  $0 = x_1 = z + t + o(z^4) + o(z^3)t$ , i.e.  $t = -z + o(z^4)$ . Let  $u = -x_2$ ,  $v = -x_3/2$ . Therefore, on  $D_U \subset \mathbb{C}_o^2$ 

$$u = -(z^2 + 2zt + o(z^4) + o(z^3)t) = z^2 + o(z^4)$$
  
$$v = -1/2(z^3 + 3z^2t + o(z^4) + o(z^3)t) = z^3 + o(z^4),$$

i.e.  $u^2 = z^4 + o(z^6)$ ,  $uv = z^5 + o(z^6)$ ,  $v^2 = z^6 + o(z^7)$ ,  $u^3 = z^6 + o(z^8)$ ,  $u^2v = z^7 + o(z^8)$ ,...

Let  $C|_U = (f_U(u,v) = 0)$  be the local equation of  $D_U \subset C_o^2(u,v)$  at x = (0,0). Therefore, upto a constant non-zero factor,  $f_U(u,v) = v^2 - u^3 + c_{2,1}u^2v + c_{1,2}uv^2 + \cdots$ , i.e.  $C|_U$  has a double cusp-singularity of type  $v^2 = u^3 + \cdots$  at x = (0,0) (see §5 in [P1], §4 in [P2], Chapter 5 Examples 3.9.5, 3.9.1 and Chapter 1 Exercise 5.14 in [H]).

Therefore  $R = R_o$  has a pinch of type  $v^2 = u^3 + \cdots$  along C at a neighbourhood of the general point  $x \in C$ , which proves Lemma 2.3.

**2.4.** By the definition of  $C'_1,\ldots,C'_r$  any irreducible component of  $\Delta$  can be represented (possibly non-uniquely) as the image  $\sigma(C'_i)$  of some  $C'_i$ ,  $i=1,\ldots,r$ . Since  $\sigma|_{C'}:C'\to C$  is an isomorphism over an open dense subset of C then the general point  $x\in C$  has a unique  $\sigma$ -preimage x' on C', and the proper preimage  $l'_x\subset \tilde{R}_n$  of the tangent line  $l_x$  to C at x intersects C' transversally at x'. Since, by assumption, on X doesn't lie a 1-dimensional family of pairs of intersecting lines then the tangent line  $l_x$  to C at the general point  $x\in C$  does not intersect any other tangent line to C.

Therefore the non-singular surface  $\tilde{R}_n$  has a structure of a possibly non-minimal ruled surface with a general fiber L' := the proper  $\sigma$ -preimage of the general tangent line  $l_x$  to C. In particular  $K_{\tilde{R}_n}.L' = -2$ , and since the curve C' is a section of  $\tilde{R}_n$  then C'.L' = 1.

By the definition of  $C_i'$  the curves  $\sigma(C_i') \subset R_o$  are irreducible components of  $\Delta$ ; and since by (2.2) the components of  $\Delta$  can be only tangent lines to C then  $\sigma(C_i')$  is a tangent line to C. Therefore any component of  $\sigma^{-1}(\sigma(C_i'))$ , in particular  $C_i'$ , will not intersect the general fiber L' of  $\tilde{R}_n$ , i.e.  $C_i'.L' = 0$ .

Moreover a contractable curve  $E_j$  can't intersect the general fiber of  $\tilde{R}_n$  since otherwise the point  $\sigma(E_j) \in R_o$  will be a common point of a 1-dimensional family of tangent lines to C. The last is impossible since  $g \ge 4$  and the smooth  $X = X_{2g-2}$  can't contain cones—see (1.2). Therefore  $E_j.L' = 0$  for any  $j = 1, \ldots, k$ ; and since E is a sum of such  $E_j$  then E.L' = 0.

Since  $K_X \sim -H$  and  $R_o \sim dH$  on X then, by adjunction,  $K_{R_o} \sim (d-1)H|_{R_o}$ . Since the hyperplane section H intersects the general tangent line l to C at one point then  $\sigma^*(H|_{R_o})$  is also a section of  $\tilde{R}_n$ , i.e.  $\sigma^*(H|_{R_o})$ . L' = 1. Therefore

$$\begin{split} -2 &= K_{\tilde{R}_o}.L' = (\sigma^*K_{R_o} - mC' - \Sigma_{i=1,\dots,r}p_iC_i' + E).L' \\ &= (d-1)\sigma^*(H|_{R_o}).L' - mC'.L' - \Sigma_{i=1,\dots,r}p_iC_i'.L' + E.L' \\ &= (d-1) - m, \text{ i.e. } d = m-1. \end{split}$$

Since  $X = X_{2g-2}$  is smooth and  $g \ge 4$  then, by Lemma (A), d > 1. Therefore m = d + 1 > 2, which is impossible since m = 2 by Lemma 2.3.

This contradicts the initial assumption (2.0) that the smooth  $X = X_{2g-2}$ ,  $4 \le g \le 9$  does not contain a 1-dimensional family of conics of rank 2. q.e.d.

## §3. Proof of Lemma (A)

**3.1.** By [M1], [M2] any *smooth* prime Fano threefold  $X_{2g-2} \subset P^{g+1}$ ,  $3 \le g \le 10$  is a complete intersection of hypersurfaces  $F_1, F_2, \ldots, F_N$  of degrees  $d_1, d_2, \ldots, d_N$  in a homogeneous (for g = 6—an almost homogeneous) space  $\Sigma(g)$ , and:

```
if g = 3 then \Sigma(3) = \mathbf{P}^4, N = 1, d_1 = 4;
if g = 4 then \Sigma(4) = \mathbf{P}^5, N = 2, d_1 = 2, d_2 = 3;
if g = 5 then \Sigma(5) = \mathbf{P}^6, N = 3, d_1 = d_2 = d_3 = 2;
```

if g = 6 then  $\Sigma(6) = K.G(2,5) \subset \mathbf{P}^{10}$  is a cone over the grassmannian  $G(2,5) \subset \mathbf{P}^{9}$ , N = 4,  $d_1 = d_2 = d_3 = 1$ ,  $d_4 = 2$ ;

 $\subset P^9$ , N=4,  $d_1=d_2=d_3=1$ ,  $d_4=2$ ; if  $7 \le g \le 10$  then  $X_{2g-2}=\Sigma(g) \cap P^{g+1}$ , where  $\Sigma(7) \subset P^{15}$  is the spinor 10-fold,  $\Sigma(8)=G(2,6) \subset P^{14}$ ,  $\Sigma(9) \subset P^{13}$  is the sympletic grassmann 6-fold, and  $\Sigma(10) \subset P^{13}$  is the  $G_2$ -fivefold.

**3.2.** To prove Lemma (A), it is enough to see that if  $X = X_{2g-2} \subset \Sigma(g)$  is a 3-fold complete intersection as in (3.1) (assuming implicitly that such X may have singularities) then  $X_{2g-2}$  can't be smooth. We shall prove this separately for any value of g,  $3 \le g \le 9$ .

For g = 3, 4, 5, 6, 8 we use that  $\Sigma(g)$  is either a projective space or a (cone over) grassmannian, which makes it possible to compute directly that the general such  $X_{2g-2} \supset S_{2g-2}$  must have 12 - g singular points on the curve  $C_g$ .

For g = 7,9 we assume that  $X = X_{2g-2} \subset S_{2g-2}$  is smooth, and then project X from a tangent line to  $C_g$  to derive a contradiction on the base of the already known Lemma (A) for g = 5.

**3.3.** NOTATION. Let  $n \ge 1$ ,  $m \ge 0$  be integers, let  $P^{n+m}(z:w) = P^{n+m}(z_0:\dots:z_n:w_{n+1}:\dots:w_{n+m})$  be the complex projective (n+m)-space, and let  $F(z:w) = F(z_0:\dots:z_n:w_{n+1}:\dots:w_{n+m})$  be a homogeneous form. Denote by  $\nabla_z F = (\partial F/\partial z_0,\dots,\partial F/\partial z_n)$  the gradient vector of F with respect to  $(z) = (z_0:\dots:z_n)$ .

Let  $F_1(z:w),\ldots,F_k(z:w)$   $(k \ge 1)$  be homogeneous forms. Denote by:  $(F_1,\ldots,F_k) \subset C[z:w] = C[z_0:\cdots:z_n:w_{n+1}:\cdots:w_{n+m}]$ —the homogeneous ideal generated by  $F_1,\ldots,F_k$ ;

 $V(F_1,\ldots,F_k)$ —the projective variety defined by  $F_1,\ldots,F_k$ ;

 $J_z|_{(a:b)} = J_z(F_1, \dots, F_k)|_{(a:b)} = [\nabla_z F_1; \dots; \nabla_z F_k]|_{(a:b)}$ —the Jacobian matrix  $J_z$  of partial derivatives of  $F_1, \dots, F_k$  with respect to  $(z) = (z_0, \dots, z_n)$ , computed at the point  $(a:b) \in \mathbf{P}^{n+m}(z:w)$ , (where  $\nabla_z F_i$  are regarded as *rows* of  $J_z$ ).

Let e.g. m = 0, let  $X = V(F_1, ..., F_k) \subset P^n(z_0 : ... : z_n)$ , and let dim X = d. Then dim  $T_x X \ge d$  for any  $x \in X$ , where  $T_x X$  is the tangent space to X at x; and the point  $x \in X$  is singular if dim  $T_x X > d$  (see e.g. Chapter 2, §1.4 in [Sh]). Equivalently  $x \in X$  is singular if rank  $J_z|_x < n - d$ . The subset Sing  $X = \{x \in X : \text{rank } J_z|_x < n - d\} \subset X$  of all the singular points of X is a proper closed subset of the projective algebraic variety X, defined on X by vanishing of all the  $(n - d) \times (n - d)$  minors of  $J_z$ .

**3.4.** Proof of Lemma (A) for g = 3. The tangent scroll to the twisted cubic  $C_3: (x_0: x_1: x_2: x_3) = \vec{s} := (s_0^3: s_0^2s_1: s_0s_1^2: s_1^3)$  is the quartic surface  $S_4 = V(f) \subset P^3(x)$  where  $f(x) = 3x_1^2x_2^2 + 6x_0x_1x_2x_3 - 4x_1^3x_3 - x_0^2x_3^2 - 4x_0^2x_2^3$ . The surface  $S_4$  is singular along  $C_3$  since the gradient vector  $\nabla_x f|_{\vec{s}} = 0$  for any  $\vec{s} \in C_3$ .

Let the quartic threefold  $X_4 \subset P^4(x:u)$  be such that  $S_4 = X_4 \cap P^3(x)$ , and let  $X_4 = V(F) \subset P^4(x:u)$  where  $F(x:u) = \sum_{0 \le k \le 4} f_i(x) u^{4-i}$ . Therefore  $f_4 \in (f)$ , i.e.  $f_4 = cf$  for some constant  $c \in C$ .

Let  $x \in X_4$ . Then  $x \in Sing X_4$  iff  $\nabla_{x,u} F_x = 0$ . Let  $s = (s_0 : s_1) \in P^1$ . Then  $(\vec{s}:0) \in Sing X_4$  iff  $0 = \nabla_{x,u} F|_{(\vec{s}:0)} = (\nabla_x F, \partial F/\partial u)|_{(\vec{s}:0)} = (\nabla_x f|_{\vec{s}}, f_3(\vec{s})) = (0, f_3(\vec{s}))$ .

Therefore either  $f_3(\vec{s}) \equiv 0$  (i.e.  $f_3(\vec{s}) = 0$  for any  $s = (s_0 : s_1)$ ), and then  $X_4$  is singular along  $C_4$ , or  $f_s(\vec{s}) \not\equiv 0$ , and then  $(\vec{s}:0) \in Sing\ X_4$  iff  $s = (s_0 : s_1)$  is a zero of the (non-vanishing) homogeneous form  $F_9(s) = f_3(\vec{s}) = f_3(s_0^3 : s_0^2 s_1 : s_0 s_1^2 : s_1^3)$  of degree 9.

In addition, for the general  $f_3(x)$  all the zeros of  $F_9(s) = f_3(\vec{s})$  are simple, i.e. different from each other. Therefore the general  $X_4 \supset S_4$  has  $9 = 12 - g(X_4)$  singular points on  $C_3$ . In coordinates as above, these singular points of  $X_4$  are the images of the 9 zeros of  $F_9(s)$  under the Veronese map  $v_3: \mathbf{P}^1 \to C_3 \subset X_4$ ,  $v_3: s = (s_0: s_1) \mapsto (\vec{s}: 0)$ .

**3.5.** Proof of Lemma (A) for g=4. The tangent scroll to the rational normal quartic  $C_4: (x_0: x_1: \dots: x_4) = \vec{s}:= (s_0^4: s_0^3s_1: \dots: s_1^4)$  is a complete intersection  $S_6 = V(q, f) \subset P^4(x)$  where  $q(x) = 3x_2^2 - 4x_1x_3 + x_0x_4$  and  $f(x) = x_2^3 - 2x_0x_3^2 - 2x_1^2x_4 + 3x_0x_2x_4$ . The surface  $S_6$  is singular along  $C_4$  since the gradients of q and f are linearly dependent along  $C_4$ ; more precisely  $\nabla_x f|_{\vec{s}} = s_0^2 s_1^2 \nabla_x q|_{\vec{s}}$  for any  $\vec{s} \in C_4$ .

Let  $X_6 = V(Q, F) \subset P^5(x : u)$  be a complete intersection of the quadric  $Q(x : z) = \sum_{0 \le k \le 2} q_k(x) u^{2-k} = 0$  and the cubic  $F(x : z) = \sum_{0 \le l \le 3} q_l(x) u^{3-l} = 0$ , and let  $S_6 = X_6 \cap P^4(x)$ . In particular,  $(q_2, f_3) \subset (q, f)$  as homogeneous ideals in  $C[x] = C[x_0 : \cdots : x_4]$ .

For the fixed  $X_6 = V(Q, F)$  the generators Q, F of the homogeneous ideal (Q, F) can be replaced by c'Q and c''F + L(x:u)Q, for any pair of nonzero constants c' and c'', and for any linear form L(x:u). Now,  $(q_2, f_3) \subset (q, f)$  yields that one can choose Q and F such that  $q_2 = \varepsilon'q$  and  $f_3 = \varepsilon''f$ , where  $\varepsilon', \varepsilon''$  are either 0 or 1.

Consider the general case  $\varepsilon' = \varepsilon'' = 1$ ; the study in the degenerate case  $\varepsilon'.\varepsilon'' = 0$  is similar. The subscheme  $Sing\ X_6 = Sing\ V(Q,F)$  is defined by  $rank[\nabla_{x,u}Q; \nabla_{x,u}F] \le 1$ . By the choice of Q and F,  $\nabla_{x,u}Q|_{(\vec{s}:0)} = (\nabla_x q_2|_{\vec{s}}, q_1(\vec{s}))$  and  $\nabla_{x,u}F|_{(\vec{s}:0)} = (\nabla_x f_3|_{\vec{s}}, f_2(\vec{s}))$ , where  $1.q_2 = q$  and  $1.f_3 = f$ . Just as in case g = 3, the last

together with the identity  $\nabla_x f|_{\vec{s}} - s_0^2 s_1^2 \nabla_x q|_{\vec{s}} \equiv 0$  imply that  $(\vec{s}:0) \in Sing X_6$  iff

 $F_8(s) := f_2(\vec{s}) - s_0^2 s_1^2 \cdot q_1(\vec{s}) = 0$ , where  $\vec{s} = (s_0^4 : s_0^3 s_1 : \cdots : s_1^4)$ . The Veronese map  $v_4 : \mathbf{P}^1 \to C_4 \subset X_6$ ,  $v_4 : s = (s_0 : s_1) \mapsto (\vec{s} : 0)$  states an isomorphism between  $\mathbf{P}^1$  and  $C_4$ . Therefore either  $F_8(s) \equiv 0$ , and then  $X_6$ is singular along  $C_4$ , or  $F_8(s) \neq 0$ , and then the singular points of  $X_6$  on  $C_4$  are the  $v_4$ -images of the zeros of the homogeneous form  $F_8(s)$  of degree 8. As in case g = 3, for the general  $f_2(x)$ ,  $g_1(x)$  the form  $F_8(s)$  has only simple zeros. Therefore the general  $X_6 \supset S_6$  has  $8 = 12 - g(X_6)$  singular points on  $C_4$ .

3.6. Proof of Lemma (A) for g = 5. The tangent scroll to the rational normal quintic  $C_5: x_i = s_0^{5-i} s_1^i$ ,  $0 \le i \le 5$  is a complete intersection  $S_8 = V(q', q'', q''') \subset \mathbf{P}^5(x)$  where  $q'(x) = 4x_1x_3 - 3x_2^2 - x_0x_4$ ,  $q''(x) = 3x_1x_4 - 2x_2x_3 - x_0x_4$  $x_0x_5$  and  $q'''(x) = x_1x_5 - 4x_2x_4 + 3x_3^2$ . The surface  $S_8$  is singular along  $C_4$  since the gradients of q', q'' and q''' are linearly dependent along  $C_5$ . More precisely

$$(*) s_1^2 \nabla_x q'|_{\vec{s}} - s_0 s_1 \nabla_x q''|_{\vec{s}} - s_0^2 \nabla_x q'''|_{\vec{s}} = 0 for any \vec{s} \in C_5.$$

Let  $X_8 = V(Q', Q'', Q''') \subset P^6(x : u)$  be a complete intersection of the quadrics  $Q^{i}(x:z) = \sum_{0 \le k \le 2} q_{k}^{i}(x)u^{2-k}$  (i=','','''), and such that  $S_{8} = X_{8} \cap P^{5}(x)$ . In particular  $(q'_{1}, q''_{1}, q'''_{1}) \subset (q', q'', q''')$  as homogeneous ideals in  $C[x] = C[x_{0}: \cdots: x_{4}]$ . Therefore  $q_1', q_1''$  and  $q_1'''$  are linear combinations of q', q'' and q'''. Since the ideal (Q', Q'', Q'') of  $X_8$  is generated also by any GL(3)-transform of the triple (Q', Q'', Q'''), we may assume that  $q_2' = \varepsilon' q'$ ,  $q_2'' = \varepsilon'' q''$  and  $q_2''' = \varepsilon''' q'''$ , where  $\varepsilon', \varepsilon''$  and  $\varepsilon'''$  are 0 or 1. The subscheme  $(Sing X_8)|_{C_5} \subset \mathbf{P}^1$  is defined by

$$(**) \begin{array}{c} 2 \geq \operatorname{rank}[\nabla_{x,u}Q';\nabla_{x,u}Q'';\nabla_{x,u}Q''']|_{(\vec{s}:0)} \\ = \operatorname{rank}[(\nabla_{x}\,\varepsilon'q'|_{\vec{s}},q_{1}'(\vec{s}));(\nabla_{x}\,\varepsilon''q''|_{\vec{s}},q_{1}''(\vec{s}));(\nabla_{x}\,\varepsilon'''q'''|_{\vec{s}},q_{1}'''(\vec{s}))]. \end{array}$$

Let 
$$F_7(s) = \varepsilon' s_1^2 q_1'(\vec{s}) - \varepsilon'' s_0 s_1 q_1''(\vec{s}) - \varepsilon''' s_0^2 q_1'''(\vec{s}).$$

Let  $F_7(s) = \varepsilon' s_1^2 q_1'(\vec{s}) - \varepsilon'' s_0 s_1 q_1''(\vec{s}) - \varepsilon''' s_0^2 q_1'''(\vec{s})$ . The Veronese map  $v_5 : \mathbf{P}^1 \to C_5 \subset X_8$ ,  $v_5 : s = (s_0 : s_1) \mapsto (\vec{s} : 0)$  states an isomorphism between  $\mathbf{P}^1$  and  $C_5$ . Just as in (3.5), (\*) and (\*\*) imply that either  $F_7(s) \equiv 0$ , and then  $X_8$  is singular along  $C_5$ , or  $F_7(s) \not\equiv 0$ , and then the singular points of  $X_6$  on  $C_5$  are the  $v_5$ -images of the zeros of the homogeneous form  $F_7(s)$ of degree 7. Moreover, for the general linear forms  $q'_1(x), q''_1(x), q'''_1(x)$  the form  $F_7(s)$  has only simple zeros. Therefore the general  $X_8 \supset S_8$  has  $7 = 12 - g(X_8)$ singular points on  $C_5$ .

## **Lemma** (A) for q = 6, 8.

Lemma 3.7. Let  $n \ge 3$ , and let  $G = G(1:n) = G(2, n+1) \subset \mathbf{P}^{n(n+1)/2-1}$  be the grassmannian of lines in  $\mathbf{P}^n = \mathbf{P}(\mathbf{C}^{n+1})$ . Let  $C \subset G \subset \mathbf{P}^{n(n+1)/2-1}$  be a smooth irreducible curve such that dim  $Span(C) \geq 3$ . Let the surface  $Gr(C) \subset \mathbf{P}^n$  be the union of lines  $l \subset \mathbf{P}^n$  such that  $l \in C \subset G = G(1:n)$ , and let  $S_C \subset Span(C) \subset G$  $\mathbf{P}^{n(n+1)/2-1}$  be the surface swept out by the tangent lines to C (or the tangent scroll to C—see above).

Then the tangent scroll  $S_C$  to C lies on G = G(1:n) iff either all the lines  $l \in C$  have a common point, i.e. Gr(C) is a cone, or there exists an irreducible curve  $Z \subset \mathbf{P}^n$  such that all the lines  $l \in C$  are tangent lines to Z, i.e. Gr(C) is the tangent scroll to Z.

*Proof.* For n = 3 this result can be found in [AS]. For n > 3 one can apply induction, using the fact that projection from a point in  $P^n$  induces a projection from G(2, n + 1) onto G(2, n).

- LEMMA 3.8. Let  $C_g \subset G(2, g/2 + 2) = G(1 : \mathbf{P}^{g/2+1})$  (g = 6, 8) be a rational normal curve such that the tangent scroll  $S_{2g-2}$  to  $C_g$  is contained in G(2, g/2 + 2). Then:
- (i). If g = 6 then the lines  $l \subset \mathbf{P}^4$ ,  $l \in C_6$  sweep out the tangent scroll to a rational normal curve  $C_4 \subset \mathbf{P}^4$ .
- (ii). If g=8, and if there exists a 3-fold linear section  $X_{14}=G(2,6)\cap P^9$  such that  $X_{14}\supset S_{14}$ , then the lines  $\{l\subset P^5: l\in C_8\}$  sweep out the tangent scroll to a rational normal curve  $C_5\subset P^5$ .
- *Proof.* Let g=6,8, let the lines  $l\in C_g$  sweep out a cone  $\mathrm{Gr}(C_g)\subset \mathbf{P}^{g/2+1}$ —see Lemma 3.7, and let x be the vertex of  $\mathrm{Gr}(C_g)$ . Then  $C_g$  is contained in the Schubert g/2-space  $\mathbf{P}_x^{g/2}=\sigma_{g/2,0}(x)=\{l\subset \mathbf{P}^{g/2+1}:x\in l\}$ . Since  $C_g$  is projectively normal it must span a g-space. Therefore  $g\leq g/2+1$  which contradicts g=6,8. Therefore, by Lemma 3.7, the lines  $l\in C_g$  must sweep out the tangent scroll to a rational curve  $C_d\subset \mathbf{P}^{g/2+1}$ .

scroll to a rational curve  $C_d \subset P^{g/2+1}$ . Let g=6, and let  $C_d \subset P^3 \subset P^4$ . Then  $C_6 \subset G(1:P^3) = \sigma_{11}(P^3) \subset G(1:P^4)$ . Therefore  $6 = \dim(Span C_6) \leq \dim(Span G(1:P^3)) = 5$ —contradiction. Therefore  $d \geq 4$  since  $C_d$  must span  $P^4$ , and now it is easy to see that the rational normal curve  $C_6$  is the curve of tangent lines to  $C_d$  iff d=4.

normal curve  $C_6$  is the curve of tangent lines to  $C_d$  iff d=4. Let g=8, and let  $C_d \subset P^4 \subset P^5$ . Then  $C_8 \subset G(1:P^4) = \sigma_{11}(P^4) \subset G(1:P^5)$ . Let  $P_o^9 = \operatorname{Span} G(1:P^4)$ . Then  $P_o^8 = \operatorname{Span} C_8 \subset P_o^9$ . By condition  $C_8 \subset X_{14} = G(1:P^5) \cap P^9$ . Therefore  $P_o^8 \subset P_o^9 \cap P_o^9$  and  $X_{14} \supset Z_o := G(1:P^4) \cap P^8$ . Since  $P_o^8 \subset P_o^9 = \operatorname{Span} G(1:P^4)$ ,  $Z_o$  is at least a hyperplane section of the 6-dimensional grassmannian  $G(1:P^4)$ . This contradicts  $X_{14} \supset Z_o$  and dim  $X_{14} = 3$ . Therefore  $d \ge 5$  since  $\operatorname{Span} C_d = P^5$ , and now it is easy to see that the rational normal curve  $C_8$  is the curve of tangent lines to  $C_d$  iff d=5. q.e.d.

## **Proof of Lemma (A) for** g=6**.**

- **3.9.** By (3.1) any smooth prime  $X_{10}$  is a complete intersection of three hyperplanes and a quadric in the cone  $K.G(2,5) \subset P^{10}$ . Let o be the vertex of the cone  $K.G(2,5) \subset P^{10}$ , and let  $X \subset K.G(2,5)$ , be as in (3.1). There are two kinds of such threefolds  $X_{10}$  (see [II], [Gu]):
- (i). g = 6—first kind:  $o \notin Span(X)$ , and then the projection  $p_o$  from o sends X isomorphically to  $X_{10} = G(2,5) \cap P^7 \cap Q$ , where  $G(2,5) \subset P^9$  by the Plücker embedding,  $P^7 \subset P^9$  and Q is a quadric.

(ii). g=6—second kind:  $o\in Span(X)$ , and then  $\pi=p_o|_X:X=X_{10}'\to Y_5$  is a double covering of a threefold  $Y_5=G(2,5)\cap {\textbf P}^6$ . In particular, if  $X_{10}'$  is smooth then the intersection  $Y_5=G(2,5)\cap {\textbf P}^6$  is smooth.

$$g = 6$$
 (first kind)

**3.10.** Let  $X_{10} = G(2,5) \cap P^7 \cap Q$  be a (possibly singular) complete intersection as in (3.9)(i), and assume that  $X_{10}$  contains the tangent scroll  $S_{10}$  to a rational normal curve  $C_6$  of degree 6. By Lemma 3.8(i) the points of  $C_6$  are the Plücker coordinates  $x_{ij}(s)$  of the tangent lines to a rational normal quartic  $C_4 = x_i = s^i$ ,  $0 \le i \le 4$ , i.e.

$$(x_{ij}(s)) = \begin{pmatrix} 0 & 1 & 2s & 3s^2 & 4s^3 \\ \cdots & 0 & s^2 & 2s^3 & 3s^4 \\ \cdots & \cdots & 0 & s^4 & 2s^5 \\ \cdots & \cdots & \cdots & 0 & s^6 \\ \cdots & \cdots & \cdots & \cdots & 0 \end{pmatrix}$$

Therefore the subspace  $P^6 = Span(C_6) \subset P^9$  is defined by  $H_0 = H_1 = H_2 = 0$  where  $H_0 = x_{03} - 3x_{12}$ ,  $H_1 = x_{04} - 2x_{13}$  and  $H_2 = x_{14} - 3x_{23}$ .

**3.11.** Introduce in  $P^6$  the coordinates  $(v) = (v_0 : \cdots : v_6) = (x_{01} : x_{02} : x_{12} : x_{13} : x_{23} : x_{24} : x_{34})$ , and let  $I_{S_{10}} \subset C[v] := C[v_0, \dots, v_6]$  be the homogeneous ideal of the tangent scroll  $S_{10} \subset P^6$  to  $C_6$ . Let  $Pf_k = x_{ab}x_{cd} - x_{ac}x_{bd} + x_{ad}x_{bc}$ ,  $0 \le a < b < c < d \le 4 : a, b, c, d \ne k$   $(k \in \{0, 1, 2, 3, 4\})$  be the 5 Plücker quadrics in the coordinates  $x_{jk}$ . In coordinates (v) of  $P^6 = P^6(v)$  the restrictions  $q_k$  of  $Pf_k$  to  $P^6$  are

$$q_0(v) = v_2 v_6 - v_3 v_5 + 3v_4^2, \quad q_1(v) = v_1 v_6 - 3v_2 v_5 + 2v_3 v_4,$$

$$q_2(v) = v_0 v_6 - 9v_2 v_4 + 2v_3^2, \quad q_3(v) = v_0 v_5 - 3v_1 v_4 + 2v_2 v_3,$$

$$q_4(v) = v_0 v_4 - v_1 v_3 + 3v_2^2.$$

In the open subset  $U_0 = \{v_0 = 1\} \subset \mathbf{P}^6(v)$  the curve  $C_6$  is parameterized by  $C_6 = \{(v) = \vec{s} := (1, 2s, s^2, 2s^3, s^4, 2s^5, s^6)\}.$ 

Now, it is easy to see that the quadric  $q(v) = 5v_2v_4 - 2v_1v_5 + 3v_0v_6$  vanishes at the points of the tangent scroll  $S_{10}$  to  $C_6$ , and the homogeneous ideal  $I_{S_{10}} = (q_0, \ldots, q_4, q) \subset C[v]$ .

Let  $J_v = J_v(q_0, \ldots, q_4, q)$  be the Jacobian matrix of  $(q_0, \ldots, q_4, q)$  with respect to  $v = (v_1, \ldots, v_6)$ . The surface  $S_{10} \subset V_5$  is singular along  $C_6$  since rank  $J_v|_{\vec{s}} < 4 = \operatorname{codim}_{P^6} S_{10}$  for any  $\vec{s} \in C_6$ . For the special choice (v) of the coordinates this can be verified directly:

$$s^{-2}\nabla_{v}q_{0}|_{\vec{s}} = (0, s^{4}, -2s^{3}, 6s^{2}, -2s, 1), \quad s^{-1}\nabla_{v}q_{1}|_{\vec{s}} = (s^{5}, -6s^{4}, 2s^{3}, 4s^{2}, -3s, 2),$$

$$\nabla_{v}q_{2}|_{\vec{s}} = (0, -9s^{4}, 8s^{3}, -9s^{2}, 0, 1), \quad s\nabla_{v}q_{3}|_{\vec{s}} = (-3s^{5}, 4s^{4}, 2s^{3}, -6s^{2}, s, 0),$$

$$s^{2}\nabla_{v}q_{4}|_{\vec{s}} = (-2s^{3}, 6s^{4}, -2s^{3}, s^{2}, 0, 0), \quad \text{and} \quad \nabla_{v}q|_{\vec{s}} = (-4s^{5}, 5s^{4}, 0, 5s^{2}, -4s, 3).$$

(\*). Therefore  $rank J_v|_{\vec{s}} = 4$  for any  $\vec{s} \in C_6$ , and the linear 4-space of linear equations between the gradients  $\nabla_v q_0|_{\vec{s}}, \dots, \nabla_v q_4|_{\vec{s}}$  and  $\nabla_v q|_{\vec{s}}$  is spanned on the Pfaff-equation  $s^{-2}\nabla_v q_0|_{\vec{s}} + s^{-1}\nabla_v q_1|_{\vec{s}} + \nabla_v q_2|_{\vec{s}} + s\nabla_v q_3|_{\vec{s}} + s^2\nabla_v q_4|_{\vec{s}} = 0$  and the 3space of equations  $\nabla_v q|_{\vec{s}} = a_0.s^{-2}\nabla_v q_0|_{\vec{s}} + a_1.s^{-1}\nabla_v q_1|_{\vec{s}} + a_2.\nabla_v q_2|_{\vec{s}} + a_3.s\nabla_v q_3|_{\vec{s}} +$  $|a_4.s^2\nabla_{v_1}q_4|_{\vec{s}} = 0$  where:

(\*\*). 
$$a_0 + 4a_3 + 3a_4 = 8$$
,  $a_1 - 3a_3 - 2a_4 = -4$ ,  $a_2 + 2a_3 + a_4 = 3$ .

**3.12.** In the dual space  $\check{P}^9$ , let  $\check{G} = \check{G}(2,5) \subset \check{P}^9$  be the grassmannian of hyperplane equations represented by the skew-symmetric  $5 \times 5$  matrices of rank 2. It is easy to see that the plane  $\Pi = \langle H_0, H_1, H_2 \rangle \subset \check{P}^9$  of hyperplane equations of  $P^6 = Span C_6$  does not intersect  $\check{G}$ .

Let  $\hat{\Lambda} \subset \Pi$  be any line in  $\Pi$ . In  $P^9$ , the line  $\Lambda$  defines, by duality, the subspace  $P^7(\Lambda) \supset P^6 = Span C_6$ . It is easy to see that the fourfold  $W(\Lambda) =$  $G \cap \mathbf{P}^{7}(\Lambda)$ , where G = G(2,5), is smooth. In fact,  $W(\Lambda)$  will be smooth iff the line  $\Lambda$  does not intersect  $\check{G}$ . The last is true since  $\Lambda \subset \Pi$  and  $\Pi \cap \check{G} = \emptyset$ . Therefore any  $X_{10} \supset S_{10}$  is a quadratic section of the smooth 4-fold  $W = W(\Lambda)$ .

**3.13.** Let  $X_{10} = G(2,5) \cap P^7 \cap Q \supset S_{10}$ , where Q is a quadric. We shall show that the singularities of  $X_{10}$  on  $C_6$  are the zeros of a homogeneous form of degree 6 on  $C_6 \cong P^1$ . To simplify the notation, we shall show this for one special choice of the line  $\Lambda \subset \Pi$  (see (3.12)); the check for any other  $\Lambda \subset \Pi$  is

Let  $\Lambda = \{H_2 = 0\} \subset \Pi$ . Then, in coordinates (v) and  $u = x_{14} - 3x_{23}$  in  $P^7(\Lambda)$ , the subspace  $P^6(x) = (u = 0)$ . Therefore any quadric  $Q \subset P^7(\Lambda)$ , such that  $Q \cap X_{10} = S_{10}$ , can be written in the form  $Q = Q(v, u) = c\widetilde{u^2} + L(v)u + q(v)$ where  $c \in C$  and L is a linear form of (v).

Let  $Q_k(v,u)$   $(k=0,1,\ldots,4)$  be the restriction of the Pfaff quadric  $Pf_k$ on  $P^7(v,u)$ , and let  $J_{v,u} = [\nabla_{v,u}Q_0; \dots; \nabla_{v,u}Q_4; \nabla_{v,u}Q]$  be the Jacobian matrix of

The singularities of  $X_{10}$  on  $C_6$  are the points  $(\vec{s}:0) \in \mathbf{P}^7$  for which  $rank J_{v,u}|_{(\vec{s}:0)} < 4 = \operatorname{codim}_{\mathbf{P}^7} X_{10}.$ 

Let  $l_i(\vec{s}) = \partial Q_i/\partial u|_{\vec{s}}$ ,  $i = 0, \dots, 4$ . The rows of  $J_{v,u}|_{(\vec{s},0)}$  are  $\nabla_{u,v}Q_i|_{(\vec{s},0)} =$  $(\nabla_u q_i|_{\vec{s}}, l_i(\vec{s})), i = 0, \dots, 4$  and  $\nabla_{u,v}Q|_{(\vec{s}:0)} = (\nabla_u q|_{\vec{s}}, L(\vec{s})).$  For the special choice of the line  $\Lambda \subset \Pi$ , the linear forms  $l_i = l_i(\vec{s})$  are  $(l_0, l_1, l_2, l_3, l_4) = (s^4, 0, -3s^2, 1)$ -2s, 0).

Therefore, in view of (\*),  $X_{10}$  will have a singularity at  $(\vec{s}, 0) \in C_6$  if there exist constants  $a_0, \ldots, a_4$  satisfying (\*\*) and such that  $L(\vec{s}) = (a_0 - 3a_2 - 2a_3)s^2$ (here  $\vec{s} = (1, 2s, s^2, 2s^3, s^4, 2s^5, s^6)$ —see above).

By (\*\*)  $a_0 - 3a_2 - 2a_3 = -1$ . Therefore, in homogeneous coordinates  $(s_0:s_1), \ s=s_1/s_0, \ \text{the variety} \ X_{10} \ \text{will be singular at the point} \ ((\vec{s}):0) \in C_6 \ \textit{iff}$   $F_6(s_0:s_1):=L(s_0^6:2s_0^5s_1:s_0^4s_1^2:2s_0^3s_1^3:s_0^2s_1^4:2s_0s_1^5:s_1^6)+s_0^4s_1^2=0.$ The Veronese map  $v_6: \mathbf{P}^1 \to C_6 \subset X_{10}, \ v_6:(s_0:s_1) \mapsto (\vec{s}:0)=(s_0^6:2s_0^5s_1:s_0^4s_1^2:2s_0^3s_1^3:s_0^2s_1^4:2s_0s_1^5:s_1^6:0), \ \text{states} \ \text{an isomorphism between} \ \mathbf{P}^1 \ \text{and} \ C_6.$ 

Therefore either  $F_6(s_0:s_1) \equiv 0$ , and then  $X_{10}$  is singular along  $C_6$ , or  $F_6(s_0:s_1) \not\equiv 0$ , and then the singular points of  $X_{10}$  on  $C_6$  are the  $v_6$ -images of the zeros of the homogeneous form  $F_6(s_0:s_1)$  of degree 6.

The choice of an arbitrary line  $\Lambda \subset \Pi$  will only change the homogeneous sextic forms defined by  $l_i(\vec{s}), i = 0, ..., 4$ .

## g = 6 (second kind)

- **3.14.** Let  $\pi: X = X_{10}' \to Y_5$ ,  $X \subset P^7$ , be a double covering of the Del Pezzo threefold  $Y_5 = G(2,5) \cap P^6$  branched along the quadratic section  $B \subset Y_5$ . Below we shall identify the branch locus  $B \subset Y_5$  and the ramification divisor  $R \subset X_{10}'$ ,  $R \cong B$ .
- **3.15.** Assume that X contains the tangent scroll  $S = S_{10}$  to the rational normal sextic  $C = C_6$ , and let  $l \subset S$  be a general tangent line to C. Then  $N_{l/X} \cong \mathcal{O}(1) \oplus \mathcal{O}(-2)$  (see (1.2)), and  $\pi(l) \subset Y_5$  also is a line. If  $N_{\pi(l)/Y_5} \cong \mathcal{O} \oplus \mathcal{O}$  then  $d\pi: N_{l/X} \to N_{\pi(l)/Y_5}$  has one-dimensional kernel along l. In this case l is contained in the ramification divisor R of  $\pi$ . The last is possible only for a finite number of l's. Therefore, at least for the general tangent line  $l \subset S$  to C, the line  $\pi(l) \subset \pi(S)$  is a (-1,1)-line on  $Y_5$ , i.e.  $N_{\pi(l)/Y_5} \cong \mathcal{O}(-1) \oplus \mathcal{O}(1)$ . Therefore  $\pi(S) \subset Y_5$  coincides with the surface  $S_{-1,1} \subset Y_5$  swept-out by the (-1,1)-lines on  $Y_5$ , which in turn is a tangent scroll to a rational normal sextic (see [FN]). Clearly  $\pi: S \to S_{-1,1}$  is an isomorphism and  $S_{-1,1}$  is the tangent scroll to  $\pi(C_6)$ .

Assume first that S=R. Then  $S_{-1,1}=\pi(S)=B\cong R$ . Therefore X is singular along  $C_6$  since the branch locus  $B=S_{-1,1}$  of  $\pi$  is singular along  $C_6$ . In order to prove Lemma (A) for g=6 (second kind) it rests to see the general  $X'_{10}\supset S_{10}$  such that  $S\neq R$  is singular. This will imply that any  $X'_{10}\subset S_{10}$  must be singular—since the property  $X'_{10}\subset S_{10}$  to have a singularity is a closed condition.

Since  $\pi: X'_{10} \to Y_5$  is a 2-sheeted covering with a ramification divisor R, then  $H^0(X'_{10}, O(1)) = \pi^* H^0(Y_5, O(1)) + C.R$  where R is the hyperplane equation of  $R \subset X'_{10}$ . Therefore, since  $S \cong \pi(S)$  and  $S \neq R$ , then  $\pi(S)$  is tangent to the branch locus B of  $\pi$  along a (possibly singular) canonical curve  $C_{10}^6 = B \cap H = \pi(S) \cap H$  for some hyperplane  $H \subset P^6$ . Since  $\pi(S) = S_{-1,1}$  then the general  $X'_{10} \supset S = S_{10}$ ,  $S \neq R$  comes from a branch locus B totally tangent to  $S_{-1,1}$  along a general hyperplane section  $H \cap S_{-1,1}$ . Since H is general then H intersects the rational normal sextic  $\pi(C_6)$  at 6 points  $p_1, \ldots, p_6$  such that  $p_i \neq p_j$  for  $i \neq j$ . By  $p_i \in B$  and the identification B = R, we may cosider  $p_i$  as points on  $R \subset X'_{10}$ . We shall see that

(\*) LEMMA.  $p_i \in Sing X'_{10}, i = 1, ..., 6.$ 

*Proof of* (\*). Let  $U_i \subset Y_5$  be a sufficiently small neighborhood of the point  $p_i$ . Since  $Y_5$  is smooth, we can identify  $U_i$  with a disk in  $\mathbb{C}^3$ , and let (u, v, w) be

local coordinates in  $U_i$  s.t.  $p_i=(0,0,0)$ . Let f(u,v,w)=0 be the local equation of  $S_{10}$  in  $U_i$ . Since  $S_{10}$  is the tangent scroll to the smooth rational curve  $C_6$ , and  $p_i \in C_6$ , one can choose the coordinates u,v,w such that  $f=u^3-v^2$  (since the scroll  $S_{10}$  has a double cusp-singularity along  $C_6$ —see Lemma 2.3). Let q=0 and h=0 be the local equations of B and H in  $U_i$ . Since B and  $S_{10}$  are singular along  $C_{10}^6=B\cap H=S_{10}\cap H$ ,  $h^2,f$  and q are linearly dependent, i.e.  $\alpha h^2+\beta f+\gamma q=0$  for some constants  $\alpha,\beta,\gamma$ . Moreover  $\alpha\neq 0$  and  $\gamma\neq 0$  since  $\pi(S)\cong S$  and  $\pi(S)\neq B$ , and one may assume that  $\beta\neq 0$  (otherwise B=2H and  $X_{10}'$  will be singular along the surface  $R_{\rm red}\cong B_{\rm red}=H$ ). Since  $p_i\in H$ , h(0,0,0)=0 and h=au+bv+cw+o(2), where o(k) denotes a sum of terms of degree  $\geq k$ . Therefore the surface B is singular at  $p_i$  since  $B\cap U_i=(q=0)$ , and the series expansion

$$q(u,v,w) = -\beta/\gamma f - \alpha/\gamma h^2 = \beta/\gamma (v^2 - u^3) - \alpha/\gamma (au + bv + cw + o(2))^2$$

has no linear term. Since B is the branch locus of  $\pi: X'_{10} \to Y_5$ , the threefold  $X'_{10}$  will be also singular at  $p_i \in R \cong B$ ,  $i = 1, \ldots, 6$ . In addition, the  $6 = 12 - g(X'_{10})$  singular points  $p_1, \ldots, p_6$  of  $X'_{10}$  lie on the rational normal curve  $C_6 \subset X'_{10}$ .

# **Proof of Lemma (A) for** g=8**.**

**3.16.** The Da Palatini construction (see [Pu]). Let  $P^5 = P(V)$  where  $V = C^6$ , and let  $\hat{V} = \operatorname{Hom}_C(V, C)$  be the dual space of V. The points  $H \in \wedge^2 \hat{V} = \operatorname{Hom}_C(\wedge^2 V, C)$  can be regarded as skew-symmetric linear maps  $H: V \to \hat{V}$ , and the hyperplanes  $(H = 0) \subset P^{14} = P(\wedge^2 V)$  can be regarded as points of  $\hat{P}^{14} = P(\wedge^2 \hat{V})$ .

Let  $Pf = \{H \in \wedge^2 \hat{V}\} : rank(H) \le 4\}/C^*$ . Then Pf is the Pfaff cubic hypersurface in  $\hat{P}^{14}$  defined, in coordinates, by vanishing of the cubic Pfaffian of the skew-symmetric  $(6 \times 6)$ -matrix H. Let  $U_{10} \subset \wedge^2 V$  be a 10-dimensional subspace, and let

$$\hat{U}_5 = U_{10}^{\perp} = \{ H \in \wedge^2 \hat{V} = \operatorname{Hom}_{\mathbf{C}}(\wedge^2 V, \mathbf{C}) : H|_{U_{10}} = 0 \}.$$

Let moreover  $U_{10} \subset \wedge^2 V$  be such that  $rank(H) \geq 4$  for any  $H \in U_{10}^{\perp}$ , and let  $X_{14} = G(2,6) \cap P(U_{10})$  and  $B_3 = Pf \cap P(\hat{U}_5)$ .

The construction "Da Palatini" of G. Fano shows that any hyperplane  $P^4 \subset P(V)$  defines a birational isomorphism  $\xi: X_{14} \to B_3$  which can be described as follows (see [Pu]):

Identify the point  $b \in B_3$  and (the projective equivalence class of) the skew-symmetric  $6 \times 6$  matrix corresponding to b. Since  $rank \ b = 4$  for any  $b \in B_3$  the projective kernel  $n_b$  of b will be a line in  $P^5$ . Let  $W := \bigcup_{b \in B_3} \{n_b = P(\operatorname{Ker} b)\}$ 

The fourfold W can be described by an alternative way. Identify the point  $l \in G(1:5)$  and the line  $l \subset P^5$ , and let  $W' := \bigcup \{l \subset P^5 \mid l \in X_{14}\} \subset P^5$ . Then (see [Pu, p. 83]):

- (a). for the general  $v \in W'$  there exists a unique  $l \in X_{14}$  such that  $v \in l$ ;
- (b). for the general  $w \in W$  there exists a unique  $b \in B$  such that  $w \in n_b$ ;
- (c). W' = W.

Let  $H \subset \mathbf{P}^5$  be a general hyperplane. Then, by (a), (b) and (c), the maps  $\phi: X_{14} \to H \cap V$ ,  $\phi(l) = H \cap l$  and  $\psi: B_3 \to H \cap V$ ,  $\psi(b) = H \cap n_b$  are birational isomorphisms. The composition  $\xi = \xi_H = \psi^{-1} \circ \phi: X_{14} \to B_3$  is a birational isomorphism, depending on the choice of the hyperplane  $H \subset \mathbf{P}^5$  (see [Pu, p. 85]).

**3.17.** The dual cubic fourfold of  $S_{14}$ . By Lemma 3.8 (ii) the curve  $C_8$  is the Plücker image of the tangent scroll to a rational normal quintic  $C_5: (x_0: \cdots: x_5) = \vec{s} = (1:s:s^2: \cdots: s^5)$  in  $P^5(x)$ . The points of the curve  $C_8$  are the Plücker coordinates  $x_{ij}(s)$  of the point  $\vec{s} \in C_5$ :

$$(x_{ij}(s)) = \begin{pmatrix} 0 & 1 & 2s & 3s^2 & 4s^3 & 5s^4 \\ \cdots & 0 & s^2 & 2s^3 & 3s^4 & 4s^5 \\ \cdots & \cdots & 0 & s^4 & 2s^5 & 3s^6 \\ \cdots & \cdots & \cdots & 0 & s^6 & 2s^7 \\ \cdots & \cdots & \cdots & \cdots & 0 & s^8 \\ \cdots & \cdots & \cdots & \cdots & \cdots & 0 \end{pmatrix}$$

Therefore  $S_{14} = G(2,6) \cap P^8$  where  $P^8 = (H_0 = \cdots = H_5 = 0) \subset P^{14}$  and:

$$H_0 = x_{03} - 3x_{12}$$
,  $H_1 = x_{04} - 2x_{13}$ ,  $H_2 = 3x_{05} - 5x_{14}$ ,  $H_3 = x_{14} - 3x_{23}$ ,  $H_4 = x_{15} - 2x_{24}$ ,  $H_5 = x_{25} - 3x_{34}$ .

Let  $\Pi^5 := \langle H_0, \dots, H_5 \rangle \subset \hat{\boldsymbol{P}}^{14}$  be the projective linear span of  $\{H_0, \dots, H_5\}$ , and let  $\boldsymbol{B}_3 = Pf \cap \langle H_0, \dots, H_5 \rangle$ . Introduce projective coordinates  $(t_0 : \dots : t_5)$  in  $\Pi^5$  such that the point  $(t_0, \dots, t_5)$  represents the vector  $t_0H_0 + \dots + t_5H_5$ . Then the cubic 4-fold  $\boldsymbol{B}_3 = Pf \cap \boldsymbol{P}^5(t_0 : \dots : t_5)$  is defined by  $\boldsymbol{B}_3 : F = 32t_0t_2t_5 - t_0t_3t_5 - 2t_1^2t_5 - 2t_0t_4^2 + 3t_1t_3t_4 - 12t_1t_2t_4 - 45t_2^2t_3 - 9t_2t_3^2 = 0$ .

The 6-vector (0, ..., 0) is the only value of  $(t_0, ..., t_5)$  where the 15 Pfaffians  $Pf_{ij}(t_0, ..., t_5)$  of the matrix  $H(t_0, ..., t_5) = t_0H_0 + \cdots + t_5H_5$  vanish. Therefore  $rank \ b = 4$  for any  $b \in \mathbf{B}_3$ .

The fourfold  $\mathbf{B}_3 = (F = 0) \subset \Pi^5$  is singular, and it is not hard to check that  $Sing \mathbf{B}_3 = (\nabla_{(t_0: \dots : t_5)} F = (0, \dots, 0)) \subset \Pi^5$  is the rational normal quartic curve  $C_4 = \{[r] = (1: 2r: r^2/3: 8r^2/3: 2r^3: r^4) \mid r \in \mathbf{C}\};$  for simplicity we let  $t_0 = 1$ .

**3.18.** Now we are ready to prove Lemma (A) for g = 8.

Let  $X_{14} = G(2,6) \cap \mathbf{P}^9 = \mathbf{P}(U_{10})$  be such that  $X_{14} \supset S_{14}$ , and let  $B_3 = \mathbf{P}f \cap \mathbf{P}(U_{10}^{\perp})$ . Then  $S_{14} \subset X_{14} = G(2,V) \cap \mathbf{P}(U_{10}) \Leftrightarrow \mathbf{P}^8 = Span S_{14} \subset \mathbf{P}(U_{10}) = Span X_{14} \Leftrightarrow \mathbf{P}(U_{10}^{\perp}) \subset \Pi^5 \Leftrightarrow B_3 \subset \mathbf{B}_3$ . Since  $B_3 \subset \mathbf{B}_3$  then rank(H) = 4 for any  $H \in B_3$  (see (3.17)), hence the Da Palatini birationalities  $\xi : X_{14} \to B_3$  (see (3.16)) are well-defined.

Assume that  $X_{14}$  is smooth. Then  $B_3$  must be smooth (see above or [Pu, p. 83]). But  $B_3$  must be singular at any of the intersection points of the hyper-

plane  $P(U_{10}^{\perp}) \subset \Pi^5$  and the rational quartic curve  $C_4 = Sing \mathcal{B}_3$ —contradiction (see the end of (3.17)). Therefore any  $X_{14} \supset S_{14}$  must be singular. q.e.d.

**3.19.** Remark. If  $P(U_{10}^{\perp}) = Span(C_4)$  then  $B_3$  is singular along  $C_4$ , and it can be seen that then  $X_{14}$  is singular along  $C_8$ . Let  $P(U_{10}^{\perp}) \neq Span(C_4)$ . Then the hyperplane  $P(U_{10}^{\perp}) \subset \Pi^5$  intersects the rational normal quartic  $C_4 = Sing B_3$  in 4 possibly coincident points  $b_1, b_2, b_3, b_4$ . Let, for simplicity  $b_i$  be different from each other. Then one can show that the general Da Palatini birationality  $B_3 \leftrightarrow X_{14}$  sends  $\{b_1, b_2, b_3, b_4\}$  to  $4 = 12 - g(X_{14})$  singular points of  $X_{14}$  which lie on  $C_8$ . Let  $H \subset P^5$  be a hyperplane, and let  $\xi_H^{-1}: B_3 \to X_{14}$  be the Da Palatini birationality defined by H. We shall see that for the general H the rational map  $\xi_H^{-1} = \psi_H$  is regular at a neighborhood of any  $b_i$ , and  $\xi_H^{-1}(b_i) \in C_8$ . For this, by the definition of the maps  $\phi$  and  $\psi$ , it is necessary to see that the kernel-map  $\ker: B_3 \to G(1:5)$ ,  $b \mapsto n_b$  sends the quartic  $C_4$  isomorphically to  $C_8$ .

Let  $b = (b_{ij}) \in B_3$ . Then the Plücker coordinates of the line  $n_b = \ker(b)$  are  $(-1)^{t+j}Pf_{ij}(b)$ , where  $Pf_{ij}(b)$  are the 15 quadratic Pfaffians of the skew-symmetric matrix  $\hat{b}$ ; note that rank(b) = 4 for any  $b \in B_3 \subset B_3$ . Now, it rests only to replace b by the general point  $b(t) = H_0 + tH_1 + t^2/12H_2 + 2t^2/3H_3 + t^3/4H_4 + t^4/16H_5 \in C_4$ , and to see that the Plücker coordinates of b(t) parameterize the general point  $x_{ij}(\vec{s})$  of  $C_8$  (where s = 2/t)—see (3.17).

## **Proof of Lemma (A) for** g = 7

**3.20.** In the proof of Lemma (A) for g=7 we shall need the known by [I2] description of the projection from a line l on a smooth prime Fano threefold  $X_{2g-2}$  such that  $N_{l/X}=\mathcal{O}(1)\oplus\mathcal{O}(-2)$ .

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LEMMA (see §1 Proposition 3 in [I2]). Let l be a line on the smooth prime Fano threefold  $X=X_{2g-2}\subset \mathbf{P}^{g+1}$  such that  $N_{l/X}=\mathcal{O}_l(-2)\oplus\mathcal{O}_l(1)$ , and let  $\sigma:X'\to X$  be the blow up of l. Let  $Z'=\sigma^{-1}(l)$ , let  $H'\sim\sigma^*H-Z'$  be the proper preimage of the hyperplane section H of X, and let  $\pi:X\to X''\subset \mathbf{P}^{g-1}$  be the projection from l. Then:

- (i). If  $g \ge 5$  then the composition  $\phi = \pi \circ \sigma : X' \to \mathbf{P}^{g-1}$  is a birational morphism (given by the linear system |H'|), to a threefold  $X'' \subset \mathbf{P}^{g-1}$ .
- (ii). The restriction to  $Z' = \mathbf{P}(N_{l/V}) = \mathbf{F}_3$  of the linear system |H'| is the complete linear system |s' + 3f'|, where s' and f' are the classes of the exceptional section and the fiber of the rational ruled surface Z'.
- (iii). The restriction  $\phi|_{Z'}$  of  $\phi$  to Z' maps Z' to a cone Z'' over a twisted cubic curve, contracting the exceptional section s' of Z' to the vertex of Z''.
- (iv). If  $g \ge 7$  then there are only a finite number of lines  $l_i \subset X$  (i = 1, ..., N) which intersect l. Let  $l'_i \subset X'$  of  $l_i$  (i = 1, ..., N) be the proper preimages  $l'_i \subset X'$  of  $l_i$  (i = 1, ..., N). Then the morphism  $\phi: X' \to X''$  is an isomorphism outside  $l'_1 \cup \cdots \cup l'_N \cup s'$ , and  $\phi$  contracts s' and any of  $l'_i$  to isolated double points of X''.
- $l'_1 \cup \cdots \cup l'_N \cup s'$ , and  $\phi$  contracts s' and any of  $l'_i$  to isolated double points of X''. (v). Let  $H'' = \phi(H')$  be the hyperplane section of X''. Then if  $g \geq 7$  then  $-K_{X''} \sim H''$ , i.e. the variety  $X'' = X''_{2(g-2)-2} \subset \mathbf{P}^{g-1}$  is an anticanonically embedded Fano threefold with isolated singularities as in (iv).

**3.22.** Suppose that there exists a smooth prime  $X = X_{12} \subset \mathbf{P}^8$  which contains the tangent scroll  $S_{12}$  to the rational normal curve  $C_7$ . Therefore the general such X is smooth, and we may suppose that  $X \supset S_{12}$  is general.

Let  $l \subset S_{12}$  be any of the tangent lines to  $C_7$  and let  $\pi: X \to X''$  be the projection from l. By [I2, §1] (see also (1.2))  $N_{l/X} = \mathcal{O}(1) \oplus \mathcal{O}(-2)$ , therefore Lemma 3.21 (i)–(v) take place.

By Lemma 3.21 (v) the threefold  $X'' = X_8'' \subset P^6$  is an anticanonically embedded Fano threefold of genus 5. Let  $S'' \subset X''$  be the proper image of  $S_{12}$ , and let  $C'' \subset S'' \subset X''$  be the proper image of  $C_7$ . It is easy to see that  $C'' = C_5''$  is a rational normal quintic, and  $S'' = S_8'' \subset X''$  is the tangent scroll to C''.

In order to use the proof of Lemma (A) for g = 5 we have to see whether  $X_8'' \subset \mathbf{P}^6$  is, in fact, a complete intersection of three quadrics. If X'' were nonsingular then the classification of the smooth Fano threefolds will imply that X'' will be a complete intersection of three quadrics. But X'' is singular—see Lemma 3.21 (iv),(v).

However, especially in this case,  $X'' = X_8'' \subset P^6$  is *still* a complete intersection of three quadrics (see Theorem 6.1 (vii) in [I1]).

Denote by  $Sing(X) \cap C$  the set of singular points of  $X = X_{12}$  on C, and let  $Sing(X'') \cap C''$  be the set of singular points of X'' on C''.

**3.23.** By the proof of Lemma (A) for g = 5, the elements of  $Sing(X'') \cap C$  are in a (1:1) correspondence with the different zeros of a homogeneous form  $F_7(s_o:s_1)$  of degree 7 (see (3.6)). Clearly, the vertex o of Z'' lies on C''. Moreover, by (3.21)(iv), o is a double singularity of X''. Since  $l \cap Sing X = \emptyset$ , and since the tangent lines  $l' \neq l$  to  $C = C_7$  do not intersect l, (3.21)(iv) yield that, set-theoretically:  $Sing(X) \cap C \cong Sing(X'') \cap C'' - \{o\}$ .

Let  $F_7(s_0:s_1)=0$  be as above. Since dim  $Sing\ X''=0$ , the form  $F_7$  does not vanish on  $C_7$ ; and we can assume that o=(1:0) and  $F_7(0:1)\neq 0$ . Therefore if  $s=s_1/s_0$  and  $f_7(s)=F(1:s)$  then deg  $f_7(s)=7$ . By the previous the elements of  $Sing(X)\cap C$  correspond to the different zeros of the polynomial  $s^{-m}$ .  $f_7(s)=0$ , where  $m=\operatorname{mult}_o f_7(s)$ .

By the local definition of m, the integer  $m = m(o) = \text{mult}_o f_7(s)$  does not depend on the genus  $g \ge 7$  of  $X_{2g-2}$  as well on the choice of the general tangent line l to  $C_g$ . It can be seen that m = 2, but for the proof it is enough to know that  $m \le 2$ .

### (\*) Lemma. $m \leq 2$ .

Proof of (\*). By construction  $X'' \supset S'' \cup Z''$  where  $S'' = S_8''$  is the tangent scroll to the rational normal quintic  $C'' = C_5'$  such that  $o \in C'', Z''$  is a cone over a twisted cubic, and o is the vertex of Z. Moreover Z'' is triple tangent to S'' at the tangent line F to C'' at o. Indeed S'' is a hyperplane section of Z'' which passes through the vertex o of Z. Therefore  $S''.Z = f_1 + f_2 + f_3$  is a sum of 3 rulings of Z''. Since  $f_i$  are rulings of Z,  $o \in f_i$  for i = 1, 2, 3. Therefore any  $f_i$  is a line on the tangent scroll S'' to C'' which passes through  $o \in C''$ . Therefore  $f_i = F$  must be a tangent line to C'' at o, i.e. S''.Z'' = 3F.

By Theorem 9.9 in [I3], the general complete intersection  $X_8 \subset P^6$  of three quadrics, containing a cone  $Z_3$  over a twisted cubic, is a projection of  $X_{12}$  from a line l such that  $N_{l/X_{12}} = \mathcal{O}(1) \oplus \mathcal{O}(-2)$ . The inverse of the projection  $\pi_l$  is defined by the linear system  $|H + Z_3|$ , where H is the hyperplane section of  $X_8$ .

Let  $X_8 \supset Z_3 \cup S_8$  be as above. Then  $X_{12}$  will contain a tangent scroll  $S_{12}$  to a rational normal curve  $C_7$ , and l will be a tangent line to  $C_7$ . Therefore any  $X_8 \supset Z_3 \cup S_8$  will be a deformation of a projection of  $X_{12} \subset S_{12}$  from a tangent line to  $C_7$ .

It rests to see that  $m(X_8) = \operatorname{mult}_o f_7 \le 2$  for  $f_7$  corresponding, as above, to some particular such  $X_8$ .

Example. Let  $P^5(x) = P^5(x_0 : \dots : x_5)$ , and let  $q_0 = -x_0x_4 + 4x_1x_3 - 3x_2^2$ ,  $q_1 = -x_0x_5 + 3x_1x_4 - 2x_2x_3$ ,  $q_2 = -x_1x_5 + 4x_2x_4 - 3x_3^2$ . Then  $S_8 = (q_0 = q_1 = q_2 = 0) \subset P^5(x)$  will be the tangent scroll to the rational normal quintic  $C_5 : x_1 = s_0^{5-i}s_1^i$   $(0 \le i \le 5)$ .

 $x_i = s_0^{5-i} s_1^i \ (0 \le i \le 5).$ Let  $X_8 = (Q_0 = Q_1 = Q_2 = 0) \subset \mathbf{P}^6(x : u) = \mathbf{P}^6(x_0 : x_1 : x_2 : x_3 : x_4 : x_5 : u),$  where

$$Q_0 = q_0 + L_o(x_4 : x_5)u,$$

$$Q_1 = q_1 + (12x_1 + L_1(x_4 : x + 5))u,$$

$$Q_2 = q_2 + (27/2x_2 + L_2(x_4 : x_5))u,$$

 $L_0, L_1$  and  $L_2$  being linear forms of  $(x_4:x_5)$ . Evidently  $X_8 \cap (u=0) = S_8$ . Let  $\mathbf{P}^4 = \mathbf{P}^4(x_0:x_1:x_2:x_3:u) \subset \mathbf{P}^6$ , and let  $Z_3 = X_8 \cap \mathbf{P}^4$ . Then  $Z_3 = (P_0 = P_1 = P_2 = 0) \subset \mathbf{P}^4$ , where  $P_0 = x_1x_3/3 - x_2^2/4$ ,  $P_1 = x_1u - x_2x_3/6$ ,  $P_2 = x_2u - x_3^2/9$ .

Therefore  $Z_3$  is a cone with center  $o=(1:0:\cdots:0)\in C_5$  over the twisted cubic curve  $C_3=Z_3\cap (x_0=0),\ C_3:(x_1:x_2:x_3:u)=(t_0^3:2t_0^2t_1:3t_0t_1^2:t_1^3)$ . Let  $s=s_1/s_0$ , and we may suppose that the point  $(0:\cdots:0:1)\in C_5$  is not a singular point of  $X_8$ . Then, by (3.6), the equation of  $(Sing\ X_8)|_{C_5}$  is

$$f_7(s) = s^2 \partial Q_0 / \partial u (1:s:\dots:s^5) - s \partial Q_1 / \partial u (1:s:\dots:s^5) + \partial Q_2 / \partial u (1:s:\dots:s^5)$$
  
=  $s^2 L_0(s^4, s^5) - s(12s + L_1(s^4, s^5)) + (27/2s^2 + L_2(s^4, s^4)) = 3/2s^2 + o(s^3),$ 

where  $o(s^3)$  is a sum of terms of degree  $\geq 3$ . Therefore  $m(X_8) = \text{mult}_o f_7(s) = 2$ . q.e.d.

**3.24.** Let  $X = X_{12} \supset S_{12}$  be general. Since  $m \le 2$  then  $\deg s^{-m} f_7(s) \ge 7 - 2 = 5 = 12 - g(X_{12}) > 0$ . In particular  $g(s) := s^{-m} f_7(s)$  is not a constant. Since  $g(0) \ne 0$ , and since the elements of  $Sing(X) \cap C$  are in a (1:1) correspondence with the different zeros of  $g(s) = s^{-m} f_7(s)$  (see above), then  $X_{12}$  must be singular, which contradicts the initial assumption. This proves Lemma (A) for g = 7.

# **Proof of Lemma (A) for** g=9**.**

**3.25.** Let  $X_{16} \subset P^{10}$  contains the tangent scroll  $S = S_{16}$  to the rational normal curve  $C = C_9$ , and suppose that nevertheless  $X_{16}$  is smooth.

Let  $L \subset X_{16}$  be a tangent line to C, and consider the double projection  $\pi = \pi_{2L}$  of X from the line L, i.e.  $\pi$  is the rational map on X defined by the non-complete linear system  $|\mathcal{O}_X(1-2L)|$ . Since  $X = X_{16}$  is assumed to be smooth then, by §2 in [I2]:

- (\*).  $\pi = \pi_{2L}$  sends X birationally to  $\mathbf{P}^3$ . Moreover, on  $\mathbf{P}^3$  there exists a smooth irreducible curve  $C = C_7^3$  of genus 3 and degree 7, which lies on a unique cubic surface  $S_3 \subset \mathbf{P}^3$ , and such that the inverse to  $\pi$  birational map  $\phi : \mathbf{P}^3 \to X$  is given by the non-complete linear system  $|\mathcal{O}_{\mathbf{P}^3}(7-2C)|$ .
- By (\*), the proper image  $\pi(H)$  of any hyperplane section  $H \subset X$  is an irreducible component of an effective divisor  $S_7 \in |\mathcal{O}_{\mathbf{P}^3}(7-2C)|$ . If moreover H contains the line L but  $H \notin |\mathcal{O}_X(1-2L)|$  (for example if  $H = S_{16}$ ) then  $\pi(H) \subset \mathbf{P}^3$  will be a quartic surface containing the curve  $C = C_7^3$  (see the proof of the Main Theorem in §2 of [I2]), and in this case  $S_7 = \pi(H) + S_3 \in |\mathcal{O}_{\mathbf{P}^3}(7-2C)|$ .

Therefore  $S_4 := \pi(S_{16})$  is a quartic surface in  $\mathbf{P}^3$  containing the curve  $C = C_7^3$ . Moreover, the double projection  $\pi$  sends the general tangent line L' to  $C_9$  to a tangent line  $\pi(L')$  to the proper image  $\pi(C_9)$ ; and since  $C_9 \cong \mathbf{P}^1$  then  $\pi(C_9)$  is rational. Therefore the quartic surface  $S_4 = \pi(S_{16})$  is the tangent scroll to the rational curve  $\pi(C_9) \subset \mathbf{P}^3$ . The last is only possible if  $\pi(C_9) = C_3$  is a twisted cubic and  $S_4$  is the tangent scroll to  $C_3$ , and we shall see that this is impossible. The surface  $S_4 \subset \mathbf{P}^3$  is the tangent scroll to the twisted cubic  $C_3$ . Then, by

The surface  $S_4 \subset P^3$  is the tangent scroll to the twisted cubic  $C_3$ . Then, by Lemma 1.6 and p. 498 in [MU], the normalization of  $S_4$  is the quadric  $P^1 \times P^1$ , and the map  $v: P^1 \times P^1 \to S_4$  is given by a linear system of bidegree (1,2). Let  $\Gamma \subset P^1 \times P^1$  be the proper transform of  $C_7^3$ , and let (a,b) be the bidegree

Let  $\Gamma \subset \mathbf{P}^1 \times \mathbf{P}^1$  be the proper transform of  $C_7^3$ , and let (a,b) be the bidegree of  $\Gamma$ . Therefore  $7 = \deg(C_7^3) = 2a + b$ , and  $3 = g(C_7^3) = g(\Gamma) = (a-1)(b-1)$ . Obviously, these two equations for the integers a and b have no integral solutions—contradiction.

Therefore  $X_{16} \supset S_{16}$  can't be smooth, which proves Lemma (A) in case g = 9.

This completes the proof of Lemma (A).

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