

THE VALUE DISTRIBUTION OF ENTIRE FUNCTIONS OF FINITE ORDER

BY JIAN-YONG QIAO

1. Introduction.

In [8] Tsuzuki proved the following

THEOREM A. *Let $f(z)$ be an entire function of order less than one and let $\{w_n\}$ be an unbounded sequence. Assume that there exists a real number β such that $0 < \beta < \pi/2$ and all the roots of equations*

$$(1) \quad f(z) = w_n \quad (n=1, 2, \dots)$$

belong to the sector $\{z; |\arg z - \pi| \leq \beta\}$. Then $f(z)$ is a linear function.

In [4], [5], [1] Kimura, Kobayashi, Baker and Liverpool improved the above result respectively. In this paper we generalize Theorem A to the following

THEOREM 1. *Let $f(z)$ be an entire function and let $\{w_n\}$ be an unbounded sequence. Suppose that for some positive integer m .*

$$(2) \quad \lim_{r \rightarrow \infty} \frac{T(r, f)}{r^m} = 0.$$

Assume that there exists some $\varepsilon > 0$ such that all the roots of equations (1) belong to the following set

$$(3) \quad \bigcup_{k=0}^{m-1} \left\{ z; \frac{2k}{m} \pi + \varepsilon < \arg z < \frac{2k+1}{m} \pi - \varepsilon \right\}.$$

Then $f(z)$ is a polynomial.

The direction $\arg z = \theta$ is said to be a limiting direction of the complex set E , if θ is a cluster point of the set $\{\arg z; z \in E\}$. As the corollary of Theorem 1 we have

COROLLARY 1. *Let $f(z)$ be an entire function of finite order and let $\{w_n\}$*

Received September 7, 1988; Revised March 22, 1989.

be an unbounded sequence. Assume that $\bigcup_{n=1}^{\infty} \{z; f(z)=w_n\}$ has only $k (< \infty)$ distinct limiting directions, then $f(z)$ is a polynomial of degree at most k .

In [7] Ozawa proposed the following conjecture:

Let $f(z)$ be an entire function, $\{w_n\}$ be an unbounded sequence and L_1, L_2, \dots, L_p be p distinct straightlines any two of which are not parallel with each other. Assume that all the roots of equations (1) lie on L_1, L_2, \dots, L_p . Then $f(z)$ is a polynomial of degree at most $2p$.

By Corollary 1 we deduce the following

COROLLARY 2. *Ozawa's conjecture is true.*

A meromorphic function $F(z)$ is said to have a factorization with left factor f and right factor g , if it is expressible in the form $f(g(z))$, where f is meromorphic and g is entire (g may be meromorphic when f is rational). $F(z)$ is said to be pseudoprime if every factorization of the above form implies that either f is rational or g is a polynomial. If $F(z)$ is pseudoprime when only entire factors are considered in the factorization of the above form, it is called E -pseudoprime. In this paper we prove the following

THEOREM 2. *Let $F(z)$ be a meromorphic function of order less than m (a positive integer). Assume that there exist two complex number A_1, A_2 (finite or infinite) such that all the roots of equation $F(z)=A_j$ ($j=1, 2$) belong to the following set*

$$(4) \quad T_j = \bigcup_{k=0}^{m-1} \left\{ z; \frac{2k}{m} \pi + \varepsilon < \arg z - \alpha_j < \frac{2k+1}{m} \pi - \varepsilon \right\}$$

for some $\varepsilon > 0$ and two real numbers α_j ($j=1, 2$). Then $F(z)$ is pseudoprime.

In [2] Baker proved the following

THEOREM B. *Let $F(z)$ be an entire function of finite order and let there exist a complex number A such that the set of the roots of $F(z)=A$ has only one limiting direction. Then $F(z)$ is E -pseudoprime.*

A a corollary of Theorem 2 we improve Theorem B to the following

COROLLARY 3. *Let $F(z)$ be a meromorphic function of finite order and let there exist two distinct complex numbers A_1, A_2 (finite or infinite) such that the set of the roots of $F(z)=A_j$ ($j=1, 2$) has only finitely many limiting directions. Then $F(z)$ is pseudoprime.*

Let $f(z)$ be an entire function and $f_1(z)=f(z)$, $f_2(z)=f(f(z))$, \dots , $f_n(z)$, \dots be its sequence of iterates. Regarding the Fatou set $F(f)$ of those points of

the complex plane where $\{f_n(z)\}$ does not form a normal family, Baker proved in [2] the following

THEOREM C. *Let $f(z)$ be a transcendental entire function and let the set*

$$F(f) - \{z; |\arg z| < \delta\}$$

be $\bar{\Gamma}$ -bounded for every $\delta > 0$, then $f(z)$ is of infinite order.

In this paper we improve Theorem C to the following

THEOREM 3. *Let $f(z)$ be a transcendental entire function and let θ_j , ($j=1, 2, \dots, m$) be m real numbers. Assume that the set*

$$F(f) - \bigcup_{j=1}^m \{z; |\arg z - \theta_j| < \delta\}$$

is bounded for every $\delta > 0$, then $f(z)$ is of infinite order.

By Theorem 3 we easily obtain the following

COROLLARY 4. *Let $f(z)$ be a transcendental entire function of finite order, then $F(f)$ cannot be contained in any finitely many strip regions.*

2. Some lemmas.

To prove our theorems, we need the following lemmas.

LEMMA 1. *Let $f(z)$ be an entire function with the zeros $\{z_j\}$ and $0 < |z_1| \leq |z_2| \leq \dots \leq |z_j| \leq \dots$. Then for any positive integer n we have*

$$(5) \quad \left(\frac{f'(z)}{f(z)}\right)^{(n-1)} = (n-1)! \left[- \sum_{|z_j| \leq r} \frac{1}{(z_j - z)^n} + O\left(\frac{T(er, f)}{r^n}\right) \right] \quad (r \rightarrow \infty).$$

Proof. Let $|z| < r$, by Poisson-Jensen formula we have

$$\frac{f'(z)}{f(z)} = \frac{1}{2\pi} \int_0^{2\pi} \log |f(re^{i\theta})| \frac{2re^{i\theta}}{(re^{i\theta} - z)^2} d\theta + \sum_{|z_j| \leq r} \left\{ \frac{1}{z - z_j} + \frac{\bar{z}_j}{r^2 - \bar{z}_j z} \right\}.$$

Differentiating this $n-1$ times we obtain

$$(6) \quad \begin{aligned} \left(\frac{f'(z)}{f(z)}\right)^{(n-1)} &= \frac{n!}{2\pi} \int_0^{2\pi} \log |f(re^{i\theta})| \frac{2re^{i\theta}}{(re^{i\theta} - z)^{n+1}} d\theta \\ &\quad + (n-1)! \sum_{|z_j| \leq r} \left\{ \frac{(-1)^{n+1}}{(z - z_j)^n} + \frac{\bar{z}_j^n}{(r^2 - \bar{z}_j z)^n} \right\}. \end{aligned}$$

We also have

$$(7) \quad \left| \frac{1}{2\pi} \int_0^{2\pi} \log |f(re^{i\theta})| \frac{2re^{i\theta}}{(re^{i\theta} - z)^{n+1}} d\theta \right| \leq O\left(\frac{T(er, f)}{r^n}\right) \quad (r \rightarrow \infty),$$

$$(8) \quad \left| \sum_{|z_j| \leq r} \frac{\bar{z}_j^n}{(r^2 - \bar{z}_j z)^n} \right| \leq O\left(\frac{n(r, f=0)}{r^n}\right) \leq O\left(\frac{T(er, f)}{r^n}\right) \quad (r \rightarrow \infty).$$

By (6), (7) and (8) we deduce (5), Lemma 1 is thus proved.

LEMMA 2. Let $\theta_j \in [0, 2\pi)$ ($j=1, 2, \dots, p$) be p distinct real numbers. then for any constant $M > 0$ there exists some integer $m > M$ such that $\cos m\theta_j > \sqrt{3}/2$ ($j=1, 2, \dots, p$).

This lemma is Lemma 1.1 of paper [6].

LEMMA 3. If the conditions of Ozawa's conjecture are satisfied, then the order of $f(z)$ is finite.

This lemma is a special case of Theorem 2 of paper [3].

3. Proof of the theorems.

Proof of Theorem 1. Let ω be an m -th root of unity. Set

$$B_{m-j}(z) = (-1)^j \sum_{1 \leq k_1 < \dots < k_j \leq m} f(\omega^{k_1} z) f(\omega^{k_2} z) \dots f(\omega^{k_j} z),$$

$A_{m-j}(z) = B_{m-j}(z^{1/m})$ is obviously an entire function and it is easily seen that

$$f^m(z) + B_{m-1}(z) f^{m-1}(z) + \dots + B_1(z) f(z) + B_0(z) = 0.$$

Thus the entire algebroid function $g(z) = f(z^{1/m})$ satisfies the following equation

$$g^m + A_{m-1}(z) g^{m-1} + \dots + A_1(z) g + A_0(z) = 0.$$

Set

$$(9) \quad \varphi_n(z) = w_n^m + w_n^{m-1} A_{m-1}(z) + \dots + w_n A_1(z) + A_0(z).$$

By (3) it is obvious that the zeros $\{a_{n,j}\}$ of $\varphi_n(z)$ (which are the zeros of $g(z) - w_n$) all lie in the half plane $\text{Im } z > 0$.

Because $\{w_n\}$ is unbounded, without loss of generality we may assume that $w_n \rightarrow \infty$ as $n \rightarrow \infty$ (otherwise consider its some suitable subsequence). Let n be sufficiently large. It follows from (9) that

$$\begin{aligned} \log \varphi_n(z) &= m \log w_n + \log \left(1 + \frac{A_{m-1}(z)}{w_n} + \dots + \frac{A_0(z)}{w_n^m} \right) \\ &= m \log w_n + \sum_{j=1}^{\infty} \frac{(-1)^{j-1}}{j} \left(\frac{A_{m-1}(z)}{w_n} + \dots + \frac{A_0(z)}{w_n^m} \right)^j \end{aligned}$$

$$=m \log w_n + \frac{A_{m-1}(z)}{w_n} + O\left(\frac{1}{w_n^2}\right) \quad (n \rightarrow \infty).$$

By this we obtain that

$$(10) \quad \lim_{n \rightarrow \infty} w_n [\log \varphi_n(z)]^{(q)} = A_{m-1}^{(q)}(z) \quad (q=1, 2, \dots).$$

From (2) and (9) it follows that

$$(11) \quad \varliminf_{r \rightarrow \infty} \frac{T(r, \varphi_n)}{r} = 0.$$

By Lemma 1 and (11) we obtain that there exists a sequence $r_k \rightarrow \infty$ such that

$$(12) \quad (\log \varphi_n(z))' = -\lim_{k \rightarrow \infty} \sum_{|a_{nj}| \leq r_k} \frac{1}{a_{nj} - z}.$$

Taking $z_0 \in \{z; \text{Im } z < 0\}$ such that $\varphi_n(z_0) \neq 0$, by (12) we deduce that

$$\lim_{k \rightarrow \infty} \sum_{|a_{nj}| \leq r_k} \frac{\text{Im}(a_{nj} - z_0)}{|a_{nj} - z_0|^2}$$

is a finite number. Since $a_{nj} \in \{z; \text{Im}(z) > 0\}$, we have $\text{Im}(a_{nj} - z_0) > |\text{Im}(z_0)| > 0$. From this we know that the following series is convergent

$$\sum_{j=1}^{\infty} \frac{1}{|a_{nj} - z_0|^2}.$$

It tells us that the order of $N(r, g(z+z_0)=w_n)$ is not larger than 2 for every w_n . By the second fundamental theorem of algebroid functions we obtain that the order of $g(z)$ is not larger than 2. This implies that the order of $\varphi_n(z)$ is not larger than 2. By Lemma 1 we have

$$(13) \quad (\log \varphi_n(z))^{(q)} = -(q-1)! \sum_{j=1}^{\infty} \frac{1}{(a_{nj} - z)^q} \quad (q \geq 3).$$

By (10), (12) and (13) we have

$$(14) \quad A'_{m-1}(z_0) = -\lim_{n \rightarrow \infty} w_n \left(\lim_{k \rightarrow \infty} \sum_{|a_{nj}| \leq r_k} \frac{1}{a_{nj} - z_0} \right),$$

$$(15) \quad A_m^{(q)}(z_0) = -(q-1)! \lim_{n \rightarrow \infty} w_n \sum_{j=1}^{\infty} \frac{1}{(a_{nj} - z_0)^q} \quad (q \geq 3).$$

By (14) we obtain that

$$(16) \quad \lim_{n \rightarrow \infty} |w_n| \sum_{j=1}^{\infty} \frac{1}{|a_{nj} - z_0|^2} \leq \frac{|A'_{m-1}(z_0)|}{|\text{Im } z_0|}.$$

Without loss of generality we may assume that

$$0 < |a_{n1} - z_0| \leq |a_{n2} - z_0| \leq \dots \leq |a_{nj} - z_0| \leq \dots.$$

By (14), (15) and (16) we deduce that for $q > 2$

$$\begin{aligned}
 (17) \quad |A_{m-1}^{(q)}(z_0)| &\leq (q-1)! \lim_{n \rightarrow \infty} |w_n| \sum_{j=1}^{\infty} \frac{1}{|a_{nj}-z_0|^q} \\
 &\leq (q-1)! \lim_{n \rightarrow \infty} \frac{|w_n|}{|a_{n1}-z_0|^{q-2}} \sum_{j=1}^{\infty} \frac{1}{|a_{nj}-z_0|^2} \\
 &\leq \frac{(q-1)! |A'_{m-1}(z_0)|}{|\operatorname{Im}(z_0)|} \lim_{n \rightarrow \infty} \frac{1}{|a_{nj}-z_0|^{q-2}}.
 \end{aligned}$$

Since $f(a_{n1}^{1/m})=w_n$ and $w_n \rightarrow \infty$ as $n \rightarrow \infty$, we have $a_{n1} \rightarrow \infty$ as $n \rightarrow \infty$. By (17) we deduce that

$$A_{m-1}^{(q)}(z_0)=0 \quad (q \geq 3).$$

This proves that $A_{m-1}(z)$ is a polynomial of degree at most two. Thus $B_{m-1}(z)$ is a polynomial of degree at most $2m$. Since

$$-B_{m-1}(z)=f(\omega z)+f(\omega^2 z)+\dots+f(\omega^m z).$$

We easily obtain that $f^{(3m)}(0)=0$.

For any complex number c , set $f_1(z)=f(z+c)$. Since all the roots of equations $f(z)=w_n$ ($n=1, 2, \dots$) belong to the set (3), we can easily see that there exists a positive integer N such that all the roots of equations $f_1(z)=w_n$ ($n=1, 2, \dots$) belong to the following set

$$\bigcup_{k=0}^{m-1} \left\{ z; \frac{2k}{m} \pi + \frac{\varepsilon}{2} < \arg z < \frac{2k+1}{m} \pi - \frac{\varepsilon}{2} \right\}$$

for any $n > N$. Since $f_1(z)$ satisfies all the conditions of $f(z)$, by the above discussion we have $f_1^{(3m)}(0)=0$. Hence $f^{(3m)}(c)=0$ for any complex number c . This proves that $f(z)$ is a polynomial. The proof of Theorem 1 is now complete.

Proof of Corollary 1. Set $\arg z = \theta_j$, ($j=1, 2, \dots, k$) are the limiting directions of $\bigcup_{n=1}^{\infty} \{z; f(z)=w_n\}$. By Lemma 2 there exists a positive integer $m > \rho_f$ (the order of $f(z)$) such that $\cos m\theta_j > \sqrt{3}/2$ ($j=1, 2, \dots, k$). Hence all $e^{i(\theta_j + \pi/2m)}$ ($j=1, 2, \dots, k$) belong to

$$(18) \quad \bigcup_{k=0}^{m-1} \left\{ z; \frac{2k}{m} \pi + \frac{\pi}{2m} < \arg z < \frac{2k+1}{m} \pi - \frac{\pi}{2m} \right\}.$$

It is easily seen that $\arg z = \theta_j - \pi/2m$ ($j=1, 2, \dots, k$) are the limiting directions of $\bigcup_{n=1}^{\infty} \{z; f(e^{-i(\pi/2m)}z)=w_n\}$. From this we know that there exists a positive integer N such that all the roots of equations $f(e^{-i(\pi/2m)}z)=w_n$ belong to the set (18) for any $n > N$. By Theorem 1 we deduce that $f(z)$ is a polynomial. Let $f(z)=a_q z^q + \dots + a_0$. Then the roots of $f(z)=w_n$ should be distributed asymptotically as q roots of $a_q z^q = w_n$ for sufficiently large n . Hence $q \leq k$.

The proof of Corollary 1 is now complete.

Proof of Corollary 2. By Lemma 3 we see that $f(z)$ is of finite order. We easily know that the limiting directions of $\bigcup_{n=1}^{\infty} \{z; f(z)=w_n\}$ only may be $\arg z = \theta_1, \theta_2, \dots, \theta_{2p}$ which are parallel with L_1, L_2, \dots, L_p respectively. By Corollary 1 we thus complete the proof of Corollary 2.

Proof of Theorem 2. Suppose that $F(z)=f(g(z))$, where f is a transcendental meromorphic function and g is a transcendental entire function. If $f(w)-A_1$ has infinitely many zeros $\{w_n\}$, then all the roots of $g(z)=w_n$ ($n=1, 2, \dots$) belong to the set T_1 . Because the order of $F(z)$ is less than m , we obviously have

$$\lim_{r \rightarrow \infty} \frac{T(r, g)}{r^m} = 0.$$

By Theorem 1, $g(z)$ is a polynomial. This is a contradiction. Hence $f(w)-A_1$ has only finitely many zeros and so does $f(w)-A_2$. Thus

$$\frac{f(w)-A_1}{f(w)-A_2} = R(w)e^{h(w)},$$

where $R(w)$ is rational, $h(w)$ is entire and nonconstant. It gives us the following equality

$$(19) \quad \frac{F(z)-A_1}{F(z)-A_2} = R(g(z))e^{h(g(z))}$$

By Pólya's theorem we deduce from (19) that $F(z)$ is of infinite order. This is a contradiction. Hence $F(z)$ is pseudoprime. The proof of Theorem 2 is complete.

Proof of Corollary 3. By the same discussion as in the proof of Corollary 1, we can obtain that $F(z)$ satisfies all the conditions of Theorem 2 for some positive integer m . By Theorem 2 we complete the proof of Corollary 3.

Proof of Theorem 3. Suppose that $f(z)$ is of finite order. We choose a sequence $\{w_n\} \in F(f)$ such that $w_n \rightarrow \infty$ as $n \rightarrow \infty$. Since $F(f) - \bigcup_{j=1}^m \{z; |\arg z - \theta_j| < \delta\}$ is bounded for any $\delta > 0$, and $\bigcup_{n=1}^{\infty} \{z; f(z)=w_n\} \subset F(f)$, we know that the number of elements of $\bigcup_{n=1}^{\infty} \{z; f(z)=w_n\}$ which are outside $\bigcup_{j=1}^m \{z; |\arg z - \theta_j| < \delta\}$ is at most finite. This implies that the limiting directions of the set $\bigcup_{n=1}^{\infty} \{z; f(z) = w_n\}$ only may be $\arg z = \theta_1, \theta_2, \dots, \theta_m$. By Corollary 1 we deduce that $f(z)$ is a polynomial. This is a contradiction, Theorem 3 is now proved.

Corollary 4 is obtained by Theorem 3.

REFERENCES

- [1] I. N. BAKER AND L. S. O. LIVERPOOL, The value distribution of entire functions of order at most one, *Acta Sci. Math.* **41** (1979), 3-14.
- [2] I. N. BAKER, The value distribution of composite entire function, *Acta. Sci. Math. (Szeged)* **32** (1971), 87-90.
- [3] A. EDREI AND W. H. J. FUCHS, On meromorphic functions with regions free of poles and zeros, *Acta Math.* **108** (1962), 113-145.
- [4] S. KIMURA, On the value distribution of entire functions of order less than one, *Kodai Math. Sem. Rep.* **28** (1976), 28-32.
- [5] T. KOBAYASHI, Distribution of values of entire functions of lower order less than one, *Kodai Math. Sem. Rep.* **28** (1976), 33-37.
- [6] J. MILES, On entire function of infinite order with radially distributed zeros. *Pacific J. Math.* **81** (1979), 131-156.
- [7] M. OZAWA, On the solution of the functional equation $f \circ g = F(z)$, V , *Kodai Math. Sem. Rep.* **20** (1968), 305-313.
- [8] M. TSUZUKI, On the value distribution of entire functions of order less than one, *J. College of Liberal Arts. Saitama Univ.*, **9** (1974), 1-3.

DEPARTMENT OF MATHEMATICS
HUAIBEI TEACHERS COLLEGE
(supported by coal industry)
HUAIBEI, ANHUI PROVINCE
P. R. CHINA