

## A NOTE ON ENDOMORPHISM RINGS OF ABELIAN VARIETIES OVER FINITE FIELDS

BY TETSUO NAKAMURA

Let  $p$  be a prime and let  $A$  be a simple abelian variety over a finite field  $k$  with  $p^a$  elements. In this note we ask some sufficient conditions that the endomorphism ring of  $A$  over  $k$  is maximal at  $p$ . Our result includes the first part of theorem 5.3 in Waterhouse [5]. The related facts should be referred to [5].

§1. Let  $\text{End}_k(A)$  be the ring of  $k$ -endomorphisms of a simple abelian variety  $A$  over a finite field  $k$  with  $p^a$  elements. We shall always assume that  $\text{End}_k(A)$  is commutative. Then there exist a CM field  $E$  and an isomorphism  $i_A: E \rightarrow \text{End}_k(A) \otimes \mathbf{Q}$ . Let  $R = \iota_A^{-1}(\text{End}_k(A))$  and let  $K$  be the totally real subfield of index 2 in  $E$ . Let  $f_A$  be the Frobenius endomorphism of  $A$  over  $k$  and put  $\pi = \iota_A^{-1}(f_A)$ . Then  $\pi$  is a Weil  $p^a$ -number, i. e. an algebraic integer such that  $|\pi|^2 = p^a$  in all embeddings of  $E = \mathbf{Q}(\pi)$  into  $\mathbf{C}$ . Let  $w$  be a place of  $K$  above  $p$  and  $v$  be a place of  $E$  with  $v|w$ . Then we have the following three cases;

- (1)  $v(\pi) = 0$  or  $v(\pi) = v(p^a)$ .
- (2)  $v(\pi) = v(p^a \pi^{-1})$ .
- (3)  $v(\pi) \neq v(p^a \pi^{-1})$  and  $0 < v(\pi) < v(p^a)$ .

We call that  $w$  is of type (1) (resp., (2), (3)) if  $v$  satisfies (1) (resp., (2), (3)). This is independent of the choice of  $v$  with  $v|w$ . Let  $K_w$  be the completion of  $K$  at  $w$  and let

$$\begin{aligned} G_w &= (G_{1,0})^{[K_w:q_p]}, \text{ if } w \text{ is of type (1),} \\ &= (G_{1,1})^{[K_w:q_p]}, \text{ if } w \text{ is of type (2),} \\ &= G_{s,t} + G_{t,s}, \text{ if } w \text{ is of type (3),} \end{aligned}$$

where  $s = s(w) = [K_w : \mathbf{Q}_p] v(\pi) / v(p^a)$  and  $t = t(w) = [K_w : \mathbf{Q}_p] v'(\pi) / v'(p^a)$  with the other place  $v'$  of  $E$  above  $w$ . Then the formal group  $\hat{A}$  of  $A$  is isogenous to  $\sum_{w|p} G_w$  (over the algebraic closure of  $k$ ). (cf. Manin [1], Chap. IV).

Now let  $T_p A$  be the Dieudonné module of  $\hat{A}$ . Let  $W = W(k)$  be the ring of Witt vectors over  $k$  and  $\sigma$  the automorphism of  $W$  induced by the Frobenius

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automorphism  $x \rightarrow x^p$  of  $k$ . Let  $\mathcal{A} = W[F, V]$  be the (non-commutative) ring defined by the relations  $FV = VF = p$ ,  $F\lambda = \lambda^\sigma F$  and  $\lambda V = V\lambda^\sigma$  for  $\lambda \in W$ . Then  $T_p A$  is a left  $\mathcal{A}$ -module,  $W$ -free of rank  $2 \dim(A)$ . It is a well known result of Tate that

$$\text{End}_k(A) \otimes \mathbf{Z}_p \cong \text{End}_{\mathcal{A}} T_p A.$$

Assume further that

(\*)  $R$  contains the maximal order  $O_K$  of  $K$ .

Then as  $T_p A$  is a module over  $O_K \otimes \mathbf{Z}_p = \bigoplus_{w|p} O_{K_w}$ , we have the corresponding decomposition  $T_p A = \bigoplus_{w|p} T_w$ , where  $O_{K_w}$  is the ring of integers of  $K_w$ . We see that  $T_w$  is a Dieudonné module whose corresponding formal group is isogenous to  $G_w$ .

**§ 2. THEOREM 1.** *Let the notations be as in § 1. We assume (\*) and the followings, for each  $w$  of type (2),  $K_w$  is an unramified extension over  $\mathbf{Q}_p$  of odd degree and  $FT_w = VT_w$ , and for each  $w$  of type (3),  $F^{t(w)} T_w \subset V^{s(w)} T_w$  (say  $s(w) < t(w)$ ). Then  $R$  is maximal at  $p$ , i.e.  $R \otimes \mathbf{Z}_p$  is the maximal order of  $E \otimes \mathbf{Q}_p$ .*

*Proof.* Let  $L$  be the quotient field of  $W = W(k)$ , i.e.  $L$  is the unramified extension over  $\mathbf{Q}_p$ , of degree  $a$ . Put  $\mathcal{B} = L \otimes_w \mathcal{A} = L[F, V] = L[F, F^{-1}]$ . Let  $\bigoplus_{v|p} E_v$  be the decomposition of  $E_p = E \otimes \mathbf{Q}_p$  into fields. On  $L \otimes_{\mathbf{Q}_p} E_p = \bigoplus_{v|p} (L \otimes_{\mathbf{Q}_p} E_v)$  we have  $L$  acting by left multiplication and  $E_p$  by right multiplication. Let  $f_v$  be the residue degree of  $E_v/\mathbf{Q}_p$ . Put  $g_v = (f_v, a)$ . Then  $LE_v$  has degree  $a/g_v$  over  $E_v$  and  $L \otimes E_v$  is a sum of  $g_v$  copies of the composite extension:

$$\begin{aligned} L \otimes E_v &\cong LE_v \oplus \cdots \oplus LE_v. \\ \omega \otimes \beta &\longrightarrow \langle \omega \beta, \omega^\sigma \beta, \cdots, \omega^{\sigma^{g_v-1}} \beta \rangle. \end{aligned}$$

We define the action of  $\sigma$  on  $L \otimes E_v$  by acting on the  $L$ -factor. Then for  $\langle x_1, \cdots, x_{g_v} \rangle \in \bigoplus LE_v$ , we have  $\sigma \langle x_1, \cdots, x_{g_v} \rangle = \langle x_2, \cdots, x_{g_v}, \tau(x_1) \rangle$ , where  $\tau = \sigma^{g_v}$  is the Frobenius automorphism of  $LE_v/E_v$ . Now we can choose  $u \in L \otimes E_v$  with  $N_{L \otimes E_v/E_v}(u) = \pi$ , where  $N$  is the norm map. Define  $F = u\sigma$ . Then  $F\lambda = \lambda^\sigma F$  for all  $\lambda \in L$ , and  $F^a = \pi$ . Thus we have constructed an operation of  $\mathcal{B}$  on  $L \otimes E_v$  and hence on  $L \otimes E_p$ . Then as a  $\mathcal{B}$ -module

$$V_p A = T_p A \otimes_w L \cong L \otimes E_p.$$

(For details of the above facts, see Chap. 5, [5].) As  $T_p A$  is an  $\mathcal{A}$ -invariant lattice in  $V_p A$ , we may suppose that  $T_p A$  is an  $\mathcal{A}$ -invariant lattice in  $L \otimes E_p$ . Then  $T_w$  is a lattice in  $L \otimes_{\mathbf{Q}_p} E_w \subset L \otimes E_p$ , where  $E_w = E \otimes_K K_w$ . Let  $R_w = \text{End}_{\mathcal{A}}(T_w)$ , then we clearly have

$$R \otimes \mathbf{Z}_p = \bigoplus_{w|p} R_w.$$

Now we claim that each  $R_w$  is the maximal order of  $E_w$ .

(i) The case that  $w$  is of type (1). Then  $w$  splits in  $E/K$ . Since  $\pi - p^a \pi^{-1}$

is a unit, we see that  $O_{K_w}[\pi]$  is maximal. As  $R_w \supset O_{K_w}[\pi]$ ,  $R_w$  is maximal.

(ii) The case that  $w$  is of type (3). Then  $w$  also splits in  $E/K$  into  $v$  and  $v'$ ;  $L \otimes_{\mathfrak{q}_p} E_w = (L \otimes_{\mathfrak{q}_p} E_v) \oplus (L \otimes_{\mathfrak{q}_p} E_{v'})$ . Take  $\alpha, \alpha' \in LE_v$  such that  $N_{LE_v}(\alpha) = \pi$  and  $N_{LE_v}(\alpha') = p^a \pi^{-1}$ . We can put  $F = \langle 1, \dots, 1, \alpha \rangle + \langle 1, \dots, 1, \alpha' \rangle \sigma$  on  $(L \otimes E_v) \oplus (L \otimes E_{v'})$ . Say  $v(\pi) < v'(\pi) = v(p^a \pi^{-1})$ , then  $s = [K_w : \mathfrak{Q}_p] v(\pi) / v(p^a)$  and  $t = [K_w : \mathfrak{Q}_p] v'(\pi) / v(p^a)$ . Since  $T_w$  is a  $W \otimes O_{K_w}$ -module, we have a decomposition  $T_w = \bigoplus_{i=1}^g T_i$ , corresponding to the decomposition  $W \otimes_{\mathfrak{z}_p} O_{K_w} = \bigoplus W O_{K_w} (g = g_v)$ .

As  $T_w \otimes_{\mathfrak{z}_p} \mathfrak{Q}_p = L \otimes_{\mathfrak{q}_p} E_w$ , we have  $T_i \otimes_{\mathfrak{z}_p} \mathfrak{Q}_p \cong LK_w \otimes_k E (\cong LE_v \oplus LE_{v'})$ . Thus  $T_i$  is a  $W O_{K_w}$ -free module of rank 2 and  $W[F^g, V^g]$ -invariant. As a  $W O_{K_w}$ -module it has a basis of the form  $(\lambda^{n_i}, 0), (\mu_i, \lambda^{m_i})$  with  $\mu_i = 0$  or  $v(\mu_i) < n_i$ , where  $\lambda$  is a prime element of  $O_{K_w}$ . From the assumption we have that  $V^{-s} F^s T_w = p^{-s} F^{s+t} T_w \subset T_w$ ; hence for each  $i$ ,  $p^{-s} F^{s+t} T_i \subset T_i$ . Now  $p^{-s} F^{s+t}$  operates on  $T_i$  by  $(\delta, \delta') \tau^h$ , where  $\delta = \alpha \cdot \alpha^{-1} \dots \alpha^{-h-1} / p^s$ ,  $\delta' = \alpha' \cdot \alpha'^{-1} \dots \alpha'^{-h-1} / p^s$  and  $h = (s+t)/g$ . Then  $p^{-s} F^{s+t} (\mu_i, \lambda^{m_i}) = (\delta \tau^h(\mu_i), \delta' \lambda^{m_i}) = \xi(\lambda^{n_i}, 0) + \eta(\mu_i, \lambda^{m_i})$  for some  $\xi, \eta \in W O_{K_w}$ ; hence  $\xi \lambda^{n_i} = \delta \tau^h(\mu_i) - \delta' \lambda^{m_i}$ . Now  $v(\alpha) = v(\pi) / (a/g) = (gsv(p)) / (s+t)$ , hence  $v(\delta) = 0$  and  $v(\delta') > 0$ ; this implies  $\mu_i = 0$ . Thus each  $T_i$  has a basis of the form  $(\lambda^{n_i}, 0), (0, \lambda^{m_i})$  over  $W O_{K_w}$ . This shows that  $R_w$  is maximal.

(iii) The case that  $w$  is of type (2). As  $K_w / \mathfrak{Q}_p$  is an unramified extension of odd degree and  $\text{End}_k(A)$  is commutative,  $w$  does not split in  $E/K$ . Let  $v$  be the place of  $E$  above  $w$ . Suppose first that  $E_v$  is unramified. As  $2v(\pi) = a$ ,  $a$  is even and hence  $g_v$  is also even. Now  $FT_w = VT_w$  implies that  $V^{-1}FT_w = p^{-1}F^2T_w = T_w$  and so  $p^{-(a/2)}F^aT_w = T_w$ . This shows that  $R_w \ni p^{-(a/2)}\pi$ . Since  $p^{-(a/2)}\pi$  is a unit in  $R_w$ , there exists a unit  $u_1$  in  $W \otimes R_w$  with  $N_{W \otimes R_w / R_w}(u_1) = p^{-(a/2)}\pi$  (cf. Prop. 7.3 and the proof of theorem 7.4 in [5], p. 554.). Put  $u_2 = \langle 1, p, 1, p, \dots, 1, p \rangle \in L \otimes E_v$ . Then  $u_2 \sigma(u_2) = p$  and  $N_{L \otimes E_v / E_v}(u_1 u_2) = \pi$ . Now we can put  $F = (u_1 u_2) \sigma$ . Since  $T_w$  is  $W \otimes R_w$ -invariant, we have  $u_1 T_w = T_w$ . As  $W \otimes R_w$  is invariant under  $\sigma$ , we also have that  $\sigma^j(u_1) T_w = T_w (j = 1, 2, \dots)$ . As  $g' = g/2$  is odd, we have

$$p^{-(g'-1)/2} F^{g'} T_w = F(p^{-1} F^2)^{(g'-1)/2} T_w = FT_w \subset T_w.$$

It follows, by the definition of  $u_1, u_2$  and  $F$ , that  $u_2 \sigma^{g'}(T_w) \subset T_w$ . As in case (ii) we have a decomposition  $T_w = \bigoplus_i T_i$ , corresponding to  $W \otimes O_{K_w} = \bigoplus W O_{K_w}$ . Here  $T_i$  is invariant under  $F^{g'}$ ; hence  $u_2 \sigma^{g'}(T_i) \subset T_i$ . As a  $W O_{K_w}$ -module  $T_i$  has a basis of the form  $(p^{n_i}, 0), (\mu_i, p^{m_i})$  with  $\mu_i = 0$  or  $v(\mu_i) < n_i$ .  $u_2 \sigma^{g'}$  operates on  $T_i$  by

$$u_2 \sigma^{g'}(x_1, x_{g'+1}) = (x_{g'+1}, p\tau(x_1)), \quad \text{for } (x_1, x_{g'+1}) \in T_i.$$

Then applying the same argument as in the proof of theorem 5.3 in [5], p. 548, we see that  $\mu_i = 0$ ; hence  $T_w = \bigoplus_i T_i$  is invariant under the maximal order of  $E_v$ .

Suppose next  $E_v$  is ramified over  $K_w$ . Choose an  $\alpha \in LE_v$  with  $N_{LE_v/E_v}(\alpha) = \pi$ , then we can put  $F = \langle 1, \dots, 1, \alpha \rangle \sigma$ . We extend  $v$  to  $LE_v$  naturally. As  $g = g_v$  is odd, we have from the assumption

$$p^{-(g-1)/2} F^g T_w = F(p^{-1} F^2)^{(g-1)/2} T_w = FT_w \subset T_w.$$

As  $F^g = \langle \alpha, \dots, \alpha \rangle \sigma^g$  and  $v(\alpha) = g$ , we see that  $p^{-(g-1)/2} F^g = \langle \lambda, \dots, \lambda \rangle \sigma^g$ , where  $\lambda = p^{-(g-1)/2} \alpha$  and  $v(\lambda) = 1$ . Now decompose  $T_w$  into  $\bigoplus T_i$ , corresponding to  $W \otimes O_{K_w} = \bigoplus W O_{K_w}$ .  $T_i$  is invariant under  $F^g$  and has a basis of the form  $p^{n_i}, \mu_i + p^{m_i} c$  with  $\mu_i \in W O_{K_w}$ ,  $\mu_i = 0$  or  $w(\mu_i) < n_i$ , where  $c$  is a prime element of  $E_v$ . Then we can also apply the argument in the proof of theorem 5.3 in [5] and we see that  $T_w$  is invariant under the maximal order of  $E_v$ . Therefore  $R \otimes \mathbf{Z}_p = \bigoplus R_w$  is maximal and the proof is completed.

*REMARK.* If  $R_w = \text{End}_A(T_w)$  is maximal, we can write out the condition of a base of  $T_w$  (cf. p. 545 in [5]). Hence if  $R_w$  is maximal for a place  $w$  of  $K$ , of type (3), it is easy to show, by a direct calculation, that  $F^{t(w)} T_w \subset V^{s(w)} T_w$ .

*COROLLARY.* Let  $\alpha_p = \text{Spec } k[x]/(x^p)$  be as in [2], I.2-11. Assume that  $\hat{A}$  is isogenous to  $(G_{1,0})^m + (G_{1,1})^n$  for some  $m, n$  and  $a(A) (= \dim_k \text{Hom}(\alpha_p, A)) = n$ . Assume further (\*) and that for each place  $w$  of  $K$  of type (2),  $K_w$  is an unramified extension of odd degree over  $\mathbf{Q}_p$ . Then  $R$  is maximal at  $p$ . (For the property of  $a(A)$ , cf. [2], [3], [4].)

*Proof.* Put  $T = \sum T_w$ , where the sum is taken over all  $w$  of type (2). Since  $a(A) = \dim_k T/(F, V)T$  and  $n = \dim_k T/FT = \dim_k T/VT$ , we have that  $(F, V)T = FT = VT$ . Hence our conclusion is obvious by theorem 1.

*REMARK.* This corollary is a result which includes the first part of theorem 5.3 in [5], p. 548 (a result due to Shimura); assume that  $R (= \text{End}_k(A))$  is commutative and contains the maximal order of  $K$ . Assume also that  $p$  splits completely in  $K$ . Then  $R$  is maximal at  $p$ .

For, in this case, it is easy to see that  $\hat{A} \sim (G_{1,0})^m + (G_{1,1})^n$  for some  $m, n$ , and, for each  $w$  of type (2),  $T_w = G_{1,1}$ ; hence  $a(T_w) = 1$  and therefore  $a(A) = n$ .

**§ 3. LEMMA.** Let  $M$  be a finite extension of  $\mathbf{Q}_p$  and  $N$  be a quadratic extension of  $M$ . Let  $O_M$  and  $O_N$  be the maximal orders in  $M$  and  $N$ , respectively, and  $\lambda$  be a prime element of  $O_M$ . Let  $R$  be an order in  $O_N$  containing  $O_M$ . Then there exists a non-negative integer  $n$  such that  $R = O_M + \lambda^n O_N$ .

*Proof.* Let  $c$  be an element in  $O_N$  such that  $O_N = O_M[c]$ . Then  $R \cap c O_M = c \lambda^n O_M$  for some  $n \geq 0$ . We see that

$$R = O_M + c \lambda^n O_M = O_M + \lambda^n O_N.$$

Let  $\pi$  be a Weil  $p^2$ -number such that its corresponding abelian varieties have commutative endomorphism rings and an isogeny type  $(G_{1,0})^m + (G_{1,1})^n$ , ( $n > 0$ ) for their formal groups. Put  $E = \mathbf{Q}(\pi)$  and let  $K$  be the totally real subfield of  $E$  of index 2. We assume that, for each place  $w$  of  $K$  of type (2),  $K_w/\mathbf{Q}_p$  is unramified of odd degree. (cf. the corollary of theorem 1.)

**THEOREM 2.** Let  $\pi$  be as above. Assume, for each place  $w$  of type (2),  $w$  is ramified in  $E$ . Put  $f_w = [K_w : \mathbf{Q}_p]$  and  $g_w = (a, f_w)$ . Let  $R$  be an order in  $O_E$

containing  $O_K[\pi]$ . Then  $R$  is an endomorphism ring of an abelian variety corresponding to  $\pi$  if and only if, for each  $w$  of type (2),  $R_w$  contains  $O_{K_w} + p^r_w O_{E_v}$ , where  $v$  is the place of  $E$  with  $v|w$  and  $r_w = (g_w - 1)/2$ .

*Proof.* By Porism 4.3 in [5] we only need to consider the situation at  $p$ . We make  $V = L \otimes_{\mathfrak{O}_p} E_p$  a  $\mathcal{B}$ -module as in the proof of theorem 1. The condition of  $R$  being an endomorphism ring is that there exists an  $\mathcal{A}$ -invariant  $W$ -lattice  $T$  in  $V$  such that  $\text{End}_{\mathcal{A}} T = R \otimes \mathcal{Z}_p$ . Let  $T$  be an  $\mathcal{A}$ -invariant  $W$ -lattice in  $V$  such that  $\text{End}_{\mathcal{A}} T \supset O_K$ . Then  $T$  can be decomposed as  $T = \bigoplus_{w|p} T_w$ . (cf. §1) By the proof of theorem 1,  $\text{End}_{\mathcal{A}}(T_w)$  is maximal at each place  $w$  of type (1). Next let  $w$  be of type (2). Let  $c$  be a prime element in  $E_v$ . Then  $O_{E_v} = O_{K_w}[c]$ . Let  $\alpha$  be an element in  $LE_v$  such that  $N_{LE_v/E_v}(\alpha) = \pi$ . Write  $\alpha = d + bc$  with  $b, d \in WO_{K_w}$ . We see that  $v(\alpha) = g_w = v(b) + 1$  and  $v(b) < v(d)$ . Put  $g = g_w$  and  $r = r_w$ . Then  $v(b) = 2r$ .

Put  $F = \langle 1, \dots, 1, \alpha \rangle \sigma$  on  $L \otimes_{\mathfrak{O}_p} E_w$  with  $E_w = K_w \otimes_K E = E_v$ . We have a decomposition  $T_w = \bigoplus_{i=1}^g T_i$ , corresponding to the decomposition  $W \otimes O_{K_w} = \bigoplus WO_{K_w}$ . (cf. the proof of theorem 1)  $T_i$  are  $F^s$ -invariant  $WO_{K_w}$ -lattice in  $LE_v$ . Then, for  $x \in O_{E_v}$

$$x \in \text{End}_{\mathcal{A}}(T_w) \Leftrightarrow xT_i \subset T_i, \quad \text{for all } i.$$

Now write  $\mathcal{E}(T_i) = \{x \in O_{E_v} | xT_i \subset T_i\}$ . We may assume that  $T_i$  has a basis  $\{1, \mu + p^m c\}$ , where  $\mu = 0$  or  $v(\mu) < 0$  ( $\mu \in LK_w$ ). Write  $c^2 = h_1 c + h_2$  with  $h_1, h_2 \in O_{K_w}$ . Then  $v(h_1) \geq v(h_2) = 2$ .

We have

$$\begin{aligned} F^s(\mu + p^m c) &= (d + bc)(\mu^r + p^m c) \\ &= (d\mu^r + b p^m h_2) + (d p^m + b \mu^r + b p^m h_1)c \\ &= (\delta \mu + \eta) + \delta p^m c, \quad (\tau = \sigma^s). \end{aligned}$$

for some  $\delta, \eta \in WO_{K_w}$ . Hence  $\delta = d + b\mu^r p^{-m} + bh_1$  and  $\delta \mu + \eta = d\mu^r + b p^m h_2$ . If  $\mu \neq 0$  and  $v(\mu) \leq 2m$ , then  $v(\delta) = v(b) - 2m + v(\mu) \leq v(b)$ . Hence  $v(\delta \mu) < \min\{v(d\mu^r), v(b p^m h_2)\}$ . This shows that  $\delta \mu$  is integral. Therefore we have  $v(b) \geq 2m - 2v(\mu)$ . If  $v(\mu) > 2m$ , then  $v(\delta) \geq v(b) + 2$  and  $v(b p^m h_2) < \min\{v(\delta \mu), v(d\mu^r)\}$ . Therefore we have  $v(b) \geq -2(m+1)$ . If  $\mu = 0$ , we also have  $v(b) \geq -2(m+1)$ . On the other hand, we have the following; if  $v(\mu) \leq 2m$ ,  $\mathcal{E}(T_i) = O_{K_w} + p^{m-v(\mu)} O_{E_v}$  and if  $v(\mu) > 2m$  or  $\mu = 0$ , then  $\mathcal{E}(T_i) = O_{K_w} + p^{-m-1} O_{E_v}$ . As this will be proved by direct computation with almost the same argument as above, we omit its proof. Consequently, we have  $\mathcal{E}(T_i) \supset O_{K_w} + p^r O_{E_v}$ . Hence  $\text{End}_{\mathcal{A}}(T_w) = \bigcap_i \mathcal{E}(T_i) \supset O_{K_w} + p^r O_{E_v}$ .

Now let  $S = O_{K_w} + p^t O_{E_v}$  ( $t \leq r$ ) be an order in  $O_{E_v}$  containing  $O_{K_w} + p^r O_{E_v}$ . Then  $WS = WO_{K_w} + p^t WO_{E_v}$  in  $LE_v$ . Put  $T_{r+1-s} = WO_{K_w} + p^{t-s} WO_{E_v}$  and  $T_{r+1+s} = p^s T_{r+1-s}$  for  $0 \leq s \leq r$ . Here we consider that  $T_{r+1-s} = WO_{E_v}$  if  $t \leq s$ . Let  $T = \bigoplus_{i=1}^g T_i$  in

$L \otimes E_w = \bigoplus L E_v$ . For  $\langle x_1, x_2, \dots, x_g \rangle \in T$  with  $x_i \in T_i (i=1, \dots, g)$ , we have

$$F \langle x_1, x_2, \dots, x_g \rangle = \langle x_2, x_3, \dots, x_g, \alpha x_1^{\tau} \rangle$$

and

$$V \langle x_1, x_2, \dots, x_g \rangle = \langle p(\alpha^{-1} x_g)^{\tau^{-1}}, p x_1, \dots, p x_{g-1} \rangle.$$

Now we have the following relations;

$$\begin{aligned} T_1 \supset T_2 \supset \dots \supset T_{g-1} \supset T_g \supset \alpha T_1, \quad p T_1 \subset T_2, \quad p T_2 \subset T_3, \dots, \\ p T_g \subset \alpha T_1, \quad T_1 = W O_{E_v} \quad \text{and} \quad T_i^{\tau} = T_i \quad \text{for all } i. \end{aligned}$$

It is easy to see that  $T$  is  $\mathcal{A}$ -invariant and  $\text{End}_{\mathcal{A}} T = S$ . Our assertion now follows immediately from these facts.

PROPOSITION 1. *Let  $\pi$  be as stated just before theorem 2. Let  $A$  be an abelian variety corresponding to  $\pi$  such that  $R = \text{End}_k(A)$  contains  $O_K$ . Let  $w$  be of type (2) such that  $w$  is unramified in  $E$ . Then the localization  $R_w$  of  $R$  at  $w$  contains  $O_{K_w} + p^{g-1} O_{E_v}$ , where  $g = ([K_w : \mathbb{Q}_p], a)$ .*

*Proof.* Let  $\langle \rho \rangle = \text{Gal}(E_v/K_w)$  and  $T = T_p A$ . Let  $T_w, T_i, \alpha, \mathcal{E}(T_i)$  be as in the proof of theorem 2. Then  $R_w = \text{End}_{\mathcal{A}} T_w$ .  $T_i$  are  $W[F^g, V^g]$ -invariant,  $W O_{K_w}$ -lattice in  $L K_w \otimes_K E$ . Let  $(p^n, 0), (\mu, p^m)$  be a  $W O_{K_w}$ -basis of  $T_i$ , where  $\mu=0$  or  $v(\mu) < n$ .  $\mu=0$  implies that  $\mathcal{E}(T_i)$  is maximal. Suppose  $\mu \neq 0$ . We have

$$\begin{aligned} F^g(\mu, p^m) &= (1, \alpha) \tau(\mu, p^m) = (p^m, \mu^{\tau} \alpha) \\ &= \delta(p^n, 0) + \eta(\mu, p^m) = (\delta p^n + \eta \mu, \eta^{\tau} p^m) \end{aligned}$$

for some  $\delta, \eta \in W O_{K_w}$ . ( $\tau = \sigma^g$ ) Therefore  $p^m = \delta p^n + p^{-m} \alpha^{\tau^{-1}} \mu^2$ . If  $n > m$ , then  $m = -m + 2v(\mu) + v(\alpha)$ . As  $v(\alpha) = g$  is odd, we must have  $n \leq m$ . Then  $p^{m-n} = \delta + p^{-m-n} \alpha^{\tau^{-1}} \mu^2$  shows that  $v(\alpha) \geq m + n - 2v(\mu) > n - v(\mu)$ . On the other hand, for  $x \in O_{E_v}$

$$x T_i \subset T_i \Leftrightarrow (x \mu, x p^m) = (\delta p^n + \eta \mu, \eta^{\tau} p^m)$$

for some  $\delta, \eta \in W O_{K_w}$ .

$$\Leftrightarrow v(x - x^{\rho}) \geq n - v(\mu)$$

$$\Leftrightarrow x \in O_{K_w} + p^{n-v(\mu)} O_{E_v}.$$

Therefore  $\mathcal{E}(T_i) = O_{K_w} + p^{n-v(\mu)} O_{E_v} \supset O_{K_w} + p^{g-1} O_{E_v}$ ; as  $R_w = \bigcap_i \mathcal{E}(T_i)$ , this completes our proof.

COROLLARY. *Let  $\pi$  be as above. If, for each  $w$  of type (2),  $a$  and  $[K_w : \mathbb{Q}_p]$  are relatively prime, then  $R = \text{End}_k(A)$  containing  $O_K$  is maximal at  $p$ .*

This follows at once from theorem 2 and proposition 1.

*REMARK.* This corollary also contains theorem 5.3 in [5]. For, in that case,  $[K_w : \mathbf{Q}_p]=1$  for all  $w$ .

*EXAMPLE.* Let  $\beta$  be a root of  $f(x)=4x^4+13x^3-20x-8=0$ .  $f(x)$  has four real roots in the interval  $(-2\sqrt{2}, 2\sqrt{2})$ .  $4^3f(x)=(4x)^4+13(4x)^3-20 \times 4^2(4x)-8 \times 4^3$  shows that  $f(x)$  has a root  $\xi/4$  in  $\mathbf{Q}_2$  with a unit  $\xi$  in  $\mathbf{Q}_2$ . Put  $g(x)=f(x)/(4x-\xi)$ . Then  $g(x) \in \mathbf{Z}_2[x]$  and  $(1/2^3)g(2x) \equiv x^3+x+1 \pmod{2}$ . This shows that  $g(x)$  is irreducible over  $\mathbf{Q}_2$  and has a root in the cubic unramified extension of  $\mathbf{Q}_2$ . Since  $f(x) \equiv 0 \pmod{7}$  has no root in  $\mathbf{Z}/7\mathbf{Z}$ , we see that  $f(x)$  is irreducible over  $\mathbf{Q}$ . Therefore there are two places  $w_1, w_2$  above 2 in  $K=\mathbf{Q}(\beta)$  giving  $w_1(\beta)=-2$  and  $w_2(\beta)=1$ . We have  $K_{w_1}=\mathbf{Q}_2$  and  $K_{w_2}$  is the cubic unramified extension of  $\mathbf{Q}_2$ . Let  $\pi$  be a root of  $x^2-4\beta x+2^5=0$ .  $\pi$  is a Weil  $2^5$ -number.  $w_1$  splits in  $E=\mathbf{Q}(\pi)$  and, since  $(x/4)^2-\beta(x/4)+2$  is Eisenstein in  $K_{w_2}$ ,  $w_2$  is ramified in  $E$ .  $\pi$  has a formal structure  $G_{1,0}+(G_{1,1})^3$  and a commutative endomorphism algebra. So  $\pi$  satisfies the condition of the above corollary. Therefore an endomorphism ring containing  $O_K$  is maximal at  $p$ .

For a supersingular abelian variety  $A$  over  $k$  (i. e.  $\hat{A} \sim (G_{1,1})^m$  with  $m=\dim(A)$ ), cf. [4]), we have the following:

*PROPOSITION 2.* Let  $a$  be even and put  $a'=a/2$ . Let  $A$  be a simple supersingular abelian variety over  $k$  such that  $R(\cong \text{End}_k(A))$  is commutative. Assume that  $F^{a'}T_pA=V^{a'}T_pA$ . Then  $R$  is maximal at  $p$ .

*Proof.* Let  $\pi$  be the Weil number of  $A$  over  $k$ . Then  $\pi=p^{a'}\zeta$ , where  $\zeta$  is a  $n$ -th root of 1 for some  $n$ . Since  $V^{-a'}F^{a'}=p^{-a'}F^a=p^{-a'}\pi=\zeta$ , we have  $\zeta T_pA=T_pA$ . In  $E \otimes \mathbf{Q}_p, \zeta \in E=Q(\pi)$  generates the maximal order over  $\mathbf{Z}_p$ . Therefore  $R$  is maximal at  $p$ .

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DEPARTMENT OF MATHEMATICS,  
 COLLEGE OF GENERAL EDUCATION,  
 TÔHOKU UNIVERSITY