THE NUMBER OF FUNCTIONS DEFINING INTERPOLATING VARIETIES

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Abstract

In this paper, we prove that if a disjoint union of a countable number of complex affine subspaces is interpolating for the Hörmander algebra, then it can be written as the common zero set of $\alpha + 1$ functions in the Hörmander algebra, where α is the maximum number of codimensions of the complex affine subspaces. Finally, we prove with an example in one complex variable that the number $\alpha + 1$ is lowest.

1. Introduction

Let X_{ν} ($\nu \in N$, the set of positive integers) be k_{ν} -codimensional complex affine subspaces of \mathbb{C}^{n} ($1 \leq k_{\nu} \leq n$), and put $\alpha = \max_{\nu \in N} k_{\nu}$. Assume that $X_{\nu} \cap X_{\nu'} = \emptyset$ for $\nu \neq \nu'$. Let N_{ν} be the orthogonal linear subspaces of X_{ν} , where we use the canonical inner product $\langle z, w \rangle = \sum_{l=1}^{n} z_{l} \overline{w}_{l}$ on \mathbb{C}^{n} . Set $S_{\nu} = N_{\nu} \cap S^{2n-1}$, where $S^{2n-1} = \{u \in \mathbb{C}^{n} : |u| = 1\}$. Then Oh'uchi [O] proved the following result:

THEOREM A. Let $X = \bigcup_{v \in N} X_v$ be an analytic subset of \mathbb{C}^n consisting of disjoint complex affine subspaces X_v . Let p be a weight function on \mathbb{C}^n . Then X is interpolating for $A_p(\mathbb{C}^n)$ if and only if there exist $f_1, \ldots, f_m \in A_p(\mathbb{C}^n)$ $(m \ge \alpha)$ and constants $\varepsilon, \mathbb{C} > 0$ such that

(1.1)
$$X \subset Z(f_1, \dots, f_m) (:= \{ z \in \mathbf{C}^n : f_1(z) = \dots = f_m(z) = 0 \})$$

and

(1.2)
$$\sum_{j=1}^{m} |D_u f_j(\zeta)| \ge \varepsilon \exp(-Cp(\zeta))$$

for all $u \in S_v$, $\zeta \in X_v$ and $v \in N$.

Here the directional derivative $D_u f$ with a vector $u = (u_1, \ldots, u_n) \in S^{2n-1}$ is defined by

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$$D_u f = \sum_{l=1}^n \frac{\partial f}{\partial z_l} \cdot u_l.$$

For the terminologies, see §2. It extends the result of Berenstein and Li [BL1, Theorem 2.5], which deals with the case of $k_v = n$ for all $v \in N$.

Here we would like to discuss how many functions in $A_p(\mathbb{C}^n)$ we need to have an equality in (1.1). The main result of this paper is as follows:

MAIN THEOREM. Let $X = \bigcup_{v \in N} X_v$ be an analytic subset of \mathbb{C}^n consisting of disjoint complex affine subspaces X_v . Let p be a weight function on \mathbb{C}^n . Then X is interpolating for $A_p(\mathbb{C}^n)$ if and only if there exist $f_1, \ldots, f_{\alpha+1} \in A_p(\mathbb{C}^n)$ and constants $\varepsilon, \mathbb{C} > 0$ such that

(1.3)
$$X = Z(f_1, \dots, f_{\alpha+1})$$

and

(1.4)
$$\sum_{j=1}^{\alpha+1} |D_u f_j(\zeta)| \ge \varepsilon \exp(-Cp(\zeta))$$

for all $u \in S_v$, $\zeta \in X_v$ and $v \in N$.

In §4, we prove that the number $\alpha + 1$ is lowest by an example in one complex variable.

2. Preliminaries

We fix the notation. A plurisubharmonic function $p : \mathbb{C}^n \to [0, \infty)$ is called a *weight function* if it satisfies

(2.1)
$$\log(1+|z|^2) = O(p(z))$$

and there exist constants $C_1, C_2 > 0$ such that for all z, z' with $|z - z'| \le 1$

(2.2)
$$p(z') \le C_1 p(z) + C_2.$$

DEFINITION 2.1. Let $\mathcal{O}(\mathbf{C}^n)$ be the ring of all entire functions on \mathbf{C}^n and let p be a weight function on \mathbf{C}^n . Set

$$A_p(\mathbb{C}^n) = \{ f \in \mathcal{O}(\mathbb{C}^n) \colon \text{There exist constants } A, B > 0 \text{ such that} \\ |f(z)| \le A \exp(Bp(z)) \text{ for all } z \in \mathbb{C}^n \}.$$

Then $A_p(\mathbb{C}^n)$ is a subring of $\mathcal{O}(\mathbb{C}^n)$. $A_p(\mathbb{C}^n)$ is often called *the Hörmander* algebra. The following lemma is easily deduced from (2.1) and (2.2):

LEMMA 2.2. Let p be a weight function on \mathbb{C}^n . Then the followings hold: (1) $\mathbb{C}[z_1, \ldots, z_n] \subset A_p(\mathbb{C}^n)$.

SHIGEKI OH'UCHI

(2) If $f \in A_p(\mathbb{C}^n)$, then $\partial f / \partial z_j \in A_p(\mathbb{C}^n)$ for j = 1, ..., n.

(3) $f \in \mathcal{O}(\mathbf{C}^n)$ belongs to $A_p(\mathbf{C}^n)$ if and only if there exists a constant K > 0 such that

$$\int_{C^n} \left|f\right|^2 \exp(-Kp) \ d\lambda < \infty,$$

where $d\lambda$ denotes the Lebesgue measure on C^n .

For the proof, see e.g. [H].

Example 2.3. (1) If $p(z) = \log(1 + |z|^2)$, then $A_p(\mathbb{C}^n) = \mathbb{C}[z_1, \ldots, z_n]$. (2) If $p(z) = |z|^a$ (a > 0), then $A_p(\mathbb{C}^n)$ is a space of entire functions which are of order = a and of finite type, or which are of order < a.

are of order = a and of finite type, or which are of order < a. (3) If $p(z) = |\text{Im } z| + \log(1 + |z|^2)$, then $A_p(\mathbf{C}^n) = \hat{\mathscr{E}}'(\mathbf{R}^n)$, that is, the space of Fourier transforms of distributions with compact support on \mathbf{R}^n (see e.g. [E]).

(4) When $p(z) = \exp|z|^a$ (a > 0), p is a weight function if and only if $a \le 1$. In the rest of this paper, p will always represent a weight function.

DEFINITION 2.4. Let X be an analytic subset of C^n , and let $\mathcal{O}(X)$ be the space of analytic functions on X. Then we define

$$A_p(X) = \{ f \in \mathcal{O}(X) : \text{ There exist constants } A, B > 0 \text{ such that} \\ |f(z)| \le A \exp(Bp(z)) \text{ for all } z \in X \}.$$

DEFINITION 2.5. An analytic subset X in \mathbb{C}^n is said to be *interpolating for* $A_p(\mathbb{C}^n)$ if the restriction map $R_X : A_p(\mathbb{C}^n) \to A_p(X)$ defined by $R_X(f) = f|_X$ is surjective.

The semilocal interpolating theorem by [BT] is useful to show an analytic subset to be interpolating. Let X be given by

$$X = Z(f_1, \dots, f_N) = \{ z \in \mathbf{C}^n : f_1(z) = \dots = f_N(z) = 0 \}$$

with $f_1, \ldots, f_N \in A_p(\mathbb{C}^n)$. Then for $\varepsilon, \mathbb{C} > 0$, we define

$$S_p(f;\varepsilon,C) = \left\{ z \in \mathbf{C}^n : |f(z)| = \left(\sum_{j=1}^N |f_j(z)|^2 \right)^{1/2} < \varepsilon \exp(-Cp(z)) \right\},\$$

which is an open neighborhood of X. We recall the semilocal interpolation theorem of [BT].

SEMILOCAL INTERPOLATION THEOREM. Let h be a holomorphic function in $S_p(f;\varepsilon,C)$ such that

$$|h(z)| \le A_1 \exp(B_1 p(z))$$

for all $z \in S_p(f; \varepsilon, C)$, where $\varepsilon, C > 0$. Then there exist an entire function

 $H \in A_p(\mathbb{C}^n)$, constants $\varepsilon_0, C_0, A, B > 0$ and holomorphic functions g_1, \ldots, g_N in $S_p(f; \varepsilon_0, C_0)$ such that

$$H(z) - h(z) = \sum_{j=1}^{N} g_j(z) f_j(z)$$

and

$$|g_j(z)| \le A \exp(Bp(z))$$

for all $z \in S_p(f; \varepsilon_0, C_0)$ and j = 1, ..., N. In particular, H = h on the variety $X = Z(f_1, ..., f_N)$.

3. The proof of the main theorem

The sufficiency is included in Theorem A. Then we show the necessity.

Let $X = \bigcup_{v \in \mathbb{N}} X_v$ be an analytic subset of \mathbb{C}^n consisting of disjoint complex affine subspaces X_v of codimension k_v . Put $\alpha = \sup_{v \in \mathbb{N}} k_v$. Then we define $f_1, \ldots, f_{\alpha} \in A_p(\mathbb{C}^n)$ by the following lemma, which follows from the proof of the necessity part of the main theorem in [O, pp. 377–384].

LEMMA 3.1. If X is interpolating for $A_p(\mathbf{C}^n)$, then there exist α entire functions $f_1, \ldots, f_{\alpha} \in A_p(\mathbf{C}^n)$ and constants $\varepsilon, C > 0$ such that

$$(3.1) X \subset Z(f_1, \dots, f_\alpha)$$

and

(3.2)
$$\sum_{j=1}^{\alpha} |D_u f_j(\zeta)| \ge \varepsilon \exp(-Cp(\zeta))$$

for all $u \in S_v$, $\zeta \in X_v$ and $v \in N$.

Next we shall give $f_{\alpha+1}$. To do it, we need the following lemma:

LEMMA 3.2. Let $f_1, \ldots, f_{\alpha} \in A_p(\mathbb{C}^n)$ be in Lemma 3.1. Let $\{X_v\} \cup \{Y_\mu\}$ be the set of all connected components of $Z(f_1, \ldots, f_{\alpha})$. Then there exist constants $\varepsilon_0, C_0 > 0$ such that

$$\sharp\{v \in N : X_v \cap W \neq \emptyset\} \le 1$$

for every connected component W of $S_p(f; \varepsilon_0, C_0)$. Moreover, letting W_v be the connected component of $S_p(f; \varepsilon_0, C_0)$ including X_v , we have $W_v \cap Y_\mu = \emptyset$ for every μ .

Proof. Fix $v \in N$ and $\zeta \in X_v$. For $j \in \{1, ..., \alpha\}$ and $u \in S_v$, consider the entire function

SHIGEKI OH'UCHI

$$f_{j,u,\zeta}(w) = f_j(wu+\zeta), \quad w \in \mathbf{C}.$$

Setting

$$f_{j,u,\zeta}'(0) = \frac{d}{dw}\bigg|_{w=0} f_{j,u,\zeta},$$

we have

$$\sum_{j=1}^{\alpha} |f_{j,u,\zeta}'(0)| \ge \varepsilon \exp(-Cp(\zeta))$$

by (3.2). Hence, for all $u \in S_v$ there exists $j_u \in \{1, ..., \alpha\}$ such that

(3.3)
$$|f'_{j_u,u,\zeta}(0)| \ge \frac{\varepsilon}{\alpha} \exp(-Cp(\zeta)).$$

Put

$$V_{u,\zeta} = \{ w \in C : f_{j_u, u,\zeta}(w) = 0 \}$$

and

$$d_{u,\zeta} = \begin{cases} \min\{1, \operatorname{dist}(0, V_{u,\zeta} \setminus \{0\})\}, & \text{if } V_{u,\zeta} \setminus \{0\} \neq \emptyset, \\ 1, & \text{otherwise.} \end{cases}$$

Since $f_{j_u} \in A_p(\mathbb{C}^n)$, we have

$$|f_{j_u}(zu+\zeta)| \le A_1 \exp(B_1 p(zu+\zeta))$$

for some constants $A_1, B_1 > 0$ independent of ζ, u and v. Thus (2.2) implies that for $|w| \leq 1$

(3.4)
$$|f_{j_u,u,\zeta}(w)| \le A_2 \exp(B_2 p(\zeta)),$$

where $A_2 = A_1 \exp(B_1 C_2)$ and $B_2 = B_1 C_1$. Set

$$g_{u,\zeta}(w) = \frac{f_{j_u,u,\zeta}(w)}{w}.$$

Since $f_{j_u,u,\zeta}$ has a zero at w = 0 of order one, $g_{u,\zeta}$ is an entire function on C and (3.5) $g_{u,\zeta}(0) = f'_{j_u,u,\zeta}(0) \neq 0.$

By (3.4), on |w| = 1 we have

$$|g_{u,\zeta}(w)| = \frac{|f_{j_u,u,\zeta}(w)|}{|w|} = |f_{j_u,u,\zeta}(w)| \le A_2 \exp(B_2 p(\zeta)).$$

It follows from the Maximum Modulus Theorem that for $|w| \leq 1$

$$(3.6) |g_{u,\zeta}(w)| \le A_2 \exp(B_2 p(\zeta)).$$

Then the entire function

70

$$G_{u,\zeta}(w) = \frac{g_{u,\zeta}(w) - g_{u,\zeta}(0)}{3A_2 \exp(B_2 p(\zeta))}$$

satisfies that $G_{u,\zeta}(0) = 0$ and $|G_{u,\zeta}(w)| < 1$ for $|w| \le 1$. The Schwarz Lemma implies that $|G_{u,\zeta}(w)| \le |w|$ for $|w| \le 1$. In particular, for $w_0 \in V_{u,\zeta} \setminus \{0\}$ with $|w_0| \le 1$, we obtain from (3.3) and (3.5)

$$|w_{0}| \ge |G_{u,\zeta}(w_{0})| = \left|\frac{g_{u,\zeta}(0)}{3A_{2} \exp(B_{2}p(\zeta))}\right| = \left|\frac{f_{j_{u},u,\zeta}'(0)}{3A_{2} \exp(B_{2}p(\zeta))}\right| \\\ge \varepsilon_{3} \exp(-C_{3}p(\zeta)),$$

where $\varepsilon_3 = \varepsilon/3A_2\alpha$ and $C_3 = C + B_2$. Hence,

(3.7)
$$d_{u,\zeta} \ge \varepsilon_3 \exp(-C_3 p(\zeta)).$$

Now we need the following Borel-Caratheodory inequality, (cf., e.g., [BG]).

Borel-Carathèodory inequality. Let *h* be a function which is holomorphic in a neighborhood of $|w| \le R$ and has no zero in |w| < R. If h(0) = 1 and $0 \le |w| \le r < R$, then the following estimate follows:

$$\log|h(w)| \ge -\frac{2r}{R-r} \log \max_{|\omega|=R} |h(\omega)|.$$

Since $g_{u,\zeta}(0) \neq 0$, we apply this inequality to $h(w) = g_{u,\zeta}(w)/g_{u,\zeta}(0)$, $R = d_{u,\zeta}$ and $r = d_{u,\zeta}/2$, to obtain

$$\log \left| \frac{g_{u,\zeta}(w)}{g_{u,\zeta}(0)} \right| \ge -\frac{2 \cdot (d_{u,\zeta}/2)}{d_{u,\zeta} - d_{u,\zeta}/2} \log \max_{|\omega| = d_{u,\zeta}} \left| \frac{g_{u,\zeta}(\omega)}{g_{u,\zeta}(0)} \right|$$
$$= -2 \log \max_{|\omega| = d_{u,\zeta}} \left| \frac{g_{u,\zeta}(\omega)}{g_{u,\zeta}(0)} \right|$$

for $|w| \le d_{u,\zeta}/2$. Then it follows from (3.3), (3.5) and (3.6) that

$$(3.8) |g_{u,\zeta}(w)| \ge |g_{u,\zeta}(0)| \left(\max_{|\omega|=d_{u,\zeta}} \left|\frac{g_{u,\zeta}(\omega)}{g_{u,\zeta}(0)}\right|\right)^{-2} \\ = |g_{u,\zeta}(0)|^3 \left(\max_{|\omega|=d_{u,\zeta}} |g_{u,\zeta}(\omega)|\right)^{-2} \\ \ge \varepsilon_4 \exp(-C_4 p(\zeta)),$$

where $\varepsilon_4 = \varepsilon^3 / \alpha^3 A_2^2$ and $C_4 = 3C + 2B_2$. Let

$$d_{\zeta} = \varepsilon_3 \exp(-C_3 p(\zeta)),$$

where ε_3 and C_3 are the same as in (3.7). Since $\hat{d}_{\zeta} \leq d_{u,\zeta}$ by (3.7), it follows from (3.8) that for $|w| = \hat{d}_{\zeta}/2$

SHIGEKI OH'UCHI

$$|f_{j_{u},u,\zeta}(w)| = |wg_{u,\zeta}(w)| \ge \varepsilon_5 \exp(-C_5 p(\zeta)),$$

where $\varepsilon_5 = \varepsilon_3 \varepsilon_4/2$ and $C_5 = C_3 + C_4$. Thus we have proved that for every $u \in S_{\nu}$, there exists $j_u \in \{1, \dots, \alpha\}$ such that

$$|f_{j_u}(wu+\zeta)| \ge \varepsilon_5 \exp(-C_5 p(\zeta))$$

for $|w| = \hat{d}_{\zeta}/2$. Hence we have

(3.9)
$$|f(wu+\zeta)| = \left(\sum_{j=1}^{\alpha} |f_j(wu+\zeta)|^2\right)^{1/2}$$
$$\geq |f_{j_u}(wu+\zeta)| \geq \varepsilon_5 \exp(-C_5 p(\zeta)).$$

Note that the constants ε_5 and C_5 are independent of u, ζ and v.

For arbitrary $v \in N$ and $\zeta \in X_v$, we consider a neighborhood

$$U_{\nu,\zeta} = \left\{ z = wu + \zeta \in \{\zeta\} + N_{\nu} : |w| \le \frac{\hat{d}_{\zeta}}{2}, u \in S_{\nu} \right\}$$

of ζ in $\{\zeta\} + N_{\nu}$. For all $z \in \partial U_{\nu,\zeta}$, there exists $u_{z-\zeta} \in S_{\nu}$ such that

$$z=\frac{d_{\zeta}}{2}u_{z-\zeta}+\zeta.$$

Then it follows from (3.9) that

(3.10)
$$|f(z)| = \left| f\left(\frac{\hat{d}_{\zeta}}{2}u_{z-\zeta} + \zeta\right) \right| \ge \varepsilon_5 \exp(-C_5 p(\zeta)).$$

Let \hat{V}_{ν} be the component of $S_p(f; \varepsilon_5, C_5)$ containing X_{ν} . Then it is clear that

$$\hat{V}_v \subset \bigcup_{\zeta \in X_v} U_{v,\zeta}$$

by (3.10). Now we claim that for $\zeta' \in X_{\nu'}$ and $\nu' \neq \nu$,

$$\zeta' \notin \bigcup_{\zeta \in X_{v}} U_{v,\zeta}$$

In fact, by ζ we denote the orthogonal projection of ζ' to X_{ν} , so that $\zeta' \in \{\zeta\} + N_{\nu}$. Then there exists $u_{\zeta'-\zeta} \in S_{\nu}$ such that

$$\zeta' = |\zeta' - \zeta| u_{\zeta' - \zeta} + \zeta.$$

(3.7) implies that

$$|\zeta'-\zeta| \ge d_{u_{\zeta'-\zeta}} \ge \varepsilon_3 \exp(-C_3 p(\zeta)) = \hat{d}_{\zeta}.$$

Hence $\zeta' \notin U_{\nu,\zeta}$. For $\tilde{\zeta} \in X_{\nu} \setminus \{\zeta\}$, it is clear that $\zeta' \notin U_{\nu,\tilde{\zeta}}$. This proves the lamma for $\varepsilon_0 = \varepsilon_5$ and $C_0 = C_5$.

Proof of the main theorem. Define a holomorphic function h in $S_p(f; \varepsilon_0, C_0)$ by

$$h(z) = \begin{cases} 0, & \text{if } z \in W_{\nu}, \\ 1, & \text{if } z \in S_p(f; \varepsilon_0, C_0) \backslash \bigcup_{\nu \in N} W_{\nu} \end{cases}$$

Then we have

$$|h(z)| \le 1 \cdot \exp(1 \cdot p(z))$$

for every $z \in S_p(f; \varepsilon_0, C_0)$. Hence it follows from the semilocal interpolation theorem that there exists an entire function $H \in A_p(\mathbb{C}^n)$ such that $H|_{Z(f_1,...,f_n)} \equiv h|_{Z(f_1,...,f_n)}$, that is,

$$H(z) = \begin{cases} 0, & \text{if } z \in X_{\nu}, \\ 1, & \text{if } z \in Z(f_1, \dots, f_{\alpha}) \backslash \bigcup_{\nu \in N} X_{\nu} \end{cases}$$

It is clear that $\alpha + 1$ functions f_1, \ldots, f_{α} , $H \in A_p(\mathbb{C}^n)$ satisfy (1.3) and (1.4).

4. The sharpness of $\alpha + 1$

Finally, we remark that the number ' $\alpha + 1$ ' in the main theorem is lowest. We prove this remark by giving an example of an interpolating variety for $A_p(C)$ which can not write as the zero set of a function in $A_p(C)$, where p(z) = |z|. Let $X = \{\zeta_v\}_{v \in N}$ be a discrete variety in C. Then Nevanlinna's counting function is defined as follows: $n(r, X) = \sharp\{v \in N : |\zeta_v| \le r\}$ and

$$N(r, X) = \int_0^r \frac{n(t, X) - n(0, X)}{t} dt + n(0, X) \log r.$$

For $k \in N$ and r > 0, we define

$$B(r;k:X) = \frac{1}{k} \sum_{0 < |\zeta_{\nu}| \le r} \left(\frac{1}{\zeta_{\nu}}\right)^{k}$$

and for $r_1, r_2 > 0$

$$B(r_1, r_2; k: X) = B(r_1; k: X) - B(r_2; k: X).$$

Then the following proposition which gives the relationship between an entire function in $A_p(C)$ and its zero set is deduced by the Fourier series method by Rubel and Taylor [RT]:

PROPOSITION 4.1 (cf. [RT, Theorem 5.2]). Let p be a radical weight of finite order on C, that is, it satisfies p(z) = p(|z|) and

$$\overline{\lim_{r \to \infty}} \, \frac{\log p(r)}{\log r} < \infty$$

Then there exists $f \in A_p(\mathbf{C})$ such that X = Z(f) if and only if

(1) X has finite p-density, that is, we have a constant A > 0 satisfying

 $N(r, X) \le Ap(r)$

for every r > 0. (2) X is p-balanced, that is, there exists a constant A > 0 such that

$$|B(r_1, r_2; k: X)| \le \frac{Ap(r_1)}{r_1^k} + \frac{Ap(r_2)}{r_2^k}$$

for all $r_1, r_2 > 0$ and $k \in N$.

Example 4.2. Put $X = \{v\}_{v \in N} \subset C$. Applying Theorem A (or [BL2, Corollary 3.5]) to $f(z) = \sin \pi z \in A_{|\cdot|}(C)$, we know that X is interpolating for $A_{|\cdot|}(C)$. Hence it follows from the main theorem that X can be written as the common zero set of two entire functions in $A_{|\cdot|}(C)$. We shall prove that X can be written as the zero set of no entire function in $A_{|\cdot|}(C)$.

Put k = 1 and $r_2 = 1/2$. Then calculating |B(r, 1/2; 1:X)|, we have

$$\left| B\left(r,\frac{1}{2};1:X\right) \right| = \sum_{\nu=1}^{[r]} \frac{1}{\nu},$$

where [r] is the greatest integer not greater than r. Hence there does not exist a constant A > 0 such that

$$\left| B\left(r, \frac{1}{2}; 1: X\right) \right| \le \frac{A|r|}{r} + \frac{A/2}{1/2} = 2A$$

for any r > 0. Thus X does not satisfy the condition (2) in Proposition 4.1, so that X can be written as a zero set of no entire function in $A_{|\cdot|}(C)$.

Finally, the main theorem and this example lead to the following conjecture:

CONJECTURE. Let X be an interpolating variety for $A_p(\mathbb{C}^n)$ and let α be the maximum number of codimentions of all irreducible components of X. Then X can be written as the common zero set of $\alpha + 1$ entire functions in $A_p(\mathbb{C}^n)$.

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