

A remark on Gaussian sums and algebraic groups

By

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1. Let $f(X)$ be a non-constant polynomial in $\mathbf{Z}[X]$, $X=(X_1, \dots, X_n)$. Denote by $f_p(X)$ the polynomial in $\mathbf{Z}_p[X]$ obtained from $f(X)$ by reducing the coefficients modulo p , where \mathbf{Z}_p means the residue field. Let χ_p be a non-trivial character of the additive group of the field \mathbf{Z}_p , e. g. $\chi_p(a) = \exp(2\pi i p^{-1}a)$. We shall put

$$(1.1) \quad G_p(\xi) = p^{-n} \sum_{x \in \mathbf{Z}_p^n} \chi_p(f_p(x)\xi), \quad \xi \in \mathbf{Z}_p,$$

and call this the Gaussian sum with respect to $f(X)$ at p . Clearly we have $|G_p(\xi)| \leq 1$ and $G_p(0) = 1$. It is Gauss's classical formula that

$$(1.2) \quad |G_p(\xi)| = p^{-1/2} \text{ when } n=1, f(X) = X^2, p \neq 2 \text{ and } \xi \neq 0.$$

It is our purpose to generalize (1.2) to the case where $f(X)$ appears as a semi-invariant of an arbitrary connected algebraic group defined over \mathbf{Q} having a non-trivial character defined over \mathbf{Q} .

2. For $\xi \in \mathbf{Z}_p$, denote by $N_p(\xi)$ the number of $x \in \mathbf{Z}_p^n$ such that $f_p(x) = \xi$. It is easy to verify the relation

$$(2.1) \quad G_p(\xi) = p^{-1} \sum_{\eta \in \mathbf{Z}_p} p^{1-n} N_p(\eta) \chi_p(\xi\eta)$$

and the inversion

$$(2.2) \quad p^{1-n} N_p(\eta) = \sum_{\xi \in \mathbf{Z}_p} G_p(\xi) \bar{\chi}_p(\xi\eta).$$

It follows from either (2.1) or (2.2) the following Parseval's relation:

$$(2.3) \quad \sum_{\xi \in \mathbf{Z}_p} |G_p(\xi)|^2 = p^{-1} \sum_{\xi \in \mathbf{Z}_p} (p^{1-n} N_p(\xi))^2.$$

By induction on n , one can prove easily that there is a positive constant c_1 depending only on $f(X)$ and not on p such that

$$(2.4) \quad N_p(\xi) \leq c_1 p^{n-1} \text{ for all } p \text{ and } \xi \in \mathbf{Z}_p.$$

Substituting (2.4) in (2.3), we get

$$(2.5) \quad \sum_{\xi \in \mathbf{Z}_p^*} |G_p(\xi)|^2 \leq c_2 \text{ for all } p,$$

where $\mathbf{Z}_p^* = \mathbf{Z}_p - \{0\}$.

3. From now on, we shall consider a triple (G, ω, f) consisting of a connected algebraic group G defined over \mathbf{Q} , a non-trivial character ω of G defined over \mathbf{Q} and a non-constant polynomial f in $\mathbf{Z}[X]$ such that

$$(3.1) \quad f(tx) = \omega(t)f(x), \quad x \in \Omega^n, \quad t \in G,$$

where Ω means a universal domain containing \mathbf{Q} .

We denote by G_p the algebraic group defined over \mathbf{Z}_p obtained from G by the reduction modulo p . For almost all p , G_p remains connected, the reduced map ω_p remains to be a non-trivial character of G_p defined over \mathbf{Z}_p and we have, for almost all p ,

$$(3.2) \quad f_p(tx) = \omega_p(t)f_p(x), \quad x \in \Omega_p^n, \quad t \in G_p,$$

where Ω_p means the universal domain over \mathbf{Z}_p obtained from Ω . Denote by G_{p, \mathbf{Z}_p} the finite subgroup of G_p consisting of points rational over \mathbf{Z}_p . Using (3.2), we see that

$$(3.3) \quad N_p(\omega_p(t)\xi) = N_p(\xi), \quad \xi \in \mathbf{Z}_p, \quad t \in G_{p, \mathbf{Z}_p}.$$

From (2.1), (3.3), we get

$$(3.4) \quad G_p(\omega_p(t)\xi) = G_p(\xi) \quad \xi \in \mathbf{Z}_p, \quad t \in G_{p, \mathbf{Z}_p}.$$

Let

$$(3.5) \quad \mathbf{Z}_p = K_0 + K_1 + \cdots + K_{r_p}, \quad K_0 = \{0\},$$

be the decomposition of \mathbf{Z}_p into the orbits under the action of the group G_{p, \mathbf{Z}_p} . Denote by ω_{p, \mathbf{Z}_p} the homomorphism $G_{p, \mathbf{Z}_p} \rightarrow \mathbf{Z}_p^*$. Since \mathbf{Z}_p is a field, the cardinality of K_i for $1 \leq i \leq r_p$ is independent of i and is equal to $[\text{Im}(\omega_{p, \mathbf{Z}_p})]$, here and from now on $[S]$ means the

cardinality of a finite set S . Therefore we have the relation

$$(3.6) \quad p-1 = r_p[\text{Im}(\omega_{p, \mathbf{z}_p})],$$

which implies also that

$$(3.7) \quad r_p = [\text{Cok } \omega_{p, \mathbf{z}_p}].$$

From (2.5), (3.4), (3.5), (3.6), we get

$$(3.8) \quad r_p^{-1}(p-1) \sum_{i=1}^{r_p} |G_p(\xi_i)|^2 \leq c_2, \quad \xi_i \in K_i.$$

In particular, in view of (3.7), we have, for almost all p ,

$$(3.9) \quad |G_p(\xi)|^2 \leq c_3 p^{-1} [\text{Cok } \omega_{p, \mathbf{z}_p}], \quad \xi \neq 0.$$

We now claim that

$$(3.10) \quad [\text{Cok } \omega_{p, \mathbf{z}_p}] \leq c_4.$$

To prove (3.10), we first recall the Levi-Chevalley decomposition of G over \mathbf{Q} : $G = UTS$, where U is the unipotent radical of G , $R = UT$ is the radical of G , $A = TS$ is reductive, T is a torus defined over \mathbf{Q} which is the identity component of the center of A , S is a semi-simple group which is the derived group of A . Since U, S have no non-trivial characters, the non-triviality of ω implies that ω induces on T a non-trivial character ω_T . Now, let $T = T_0 T_1$ be the decomposition where T_0 is the maximal \mathbf{Q} -trivial torus and T_1 is the torus having no non-trivial characters defined over \mathbf{Q} . Then, clearly, ω_T induces on T_0 a non-trivial character ω_{T_0} . Finally, T_0 must contain a one dimensional \mathbf{Q} -trivial torus $T_2 = G_m$ on which ω_T induces a non-trivial character $\omega_{T_2}: T_2 = G_m \rightarrow G_m$. Hence $\omega_{T_2}(t) = t^e$ for some $e \geq 1$. Now, for almost all p , $\omega_p: G_p \rightarrow G_{m, p}$ induces on $T_{2, p} = G_{m, p}$ the character $t \rightarrow t^e$ as above. Since $G \supset T_2$, $[\text{Cok } \omega_{p, \mathbf{z}_p}]$ is a divisor of $[\text{Cok}(\omega_{T_2})_{p, \mathbf{z}_p}] = (e, p-1) \leq e$. Therefore the proof of (3.10) is complete.

Substituting (3.10) in (3.9) we obtain the following

Theorem. *Let (G, ω, f) be a triple of connected algebraic group G defined over \mathbf{Q} , a non-trivial character ω of G defined over \mathbf{Q}*

and a non-constant polynomial $f \in \mathbf{Z}[X]$ satisfying (3.1). Then, we have $|G_p(\xi)| \leq cp^{-1/2}$ for all p and $\xi \in \mathbf{Z}_p^\times$, where c is a positive constant depending only on the triple and not on p .

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