Vanishing of $Ext_A^i(M, A)$

By

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1. Let A denote a Noetherian ring. The purpose of this note is to establish a kind of vanishing theorem on $\operatorname{Ext}_{A}^{i}(M, A)$ over Gorenstein rings. Our result is

Theorem 1. The following conditions are equivalent.

(1) A is a Gorenstein ring.

(2) For every finitely generated A-module M there exists an integer n depending on M such that

 $\operatorname{Ext}_{A}^{i}(M, A) = (0)$

for all $i \ge n$.

In case A has finite Krull-dimension, say d, it is well-known by Bass [2] that A is a Gorenstein ring if and only if $\operatorname{Ext}_{A}^{i}(M, A)=(0)$ for every finitely generated A-module M and for every integer i > d. This doesn't make sense if A has infinite Krull-dimension and of course our theorem remains valid even in this case.

In their lecture [1] Auslander and Bridger introduced the concept of Gorenstein-dimension of finitely generated modules and gave a characterization of Gorenstein local rings (and hence of Gorenstein rings with finite Krull-dimension) in terms of Gorenstein-dimension. By virtue of Theorem 1 we can easily extend their result to an assertion about arbitrary Noetherian rings:

Corollary 2. A is a Gorenstein ring if and only if every finitely generated A-module has finite Gorenstein-dimension.

As a direct consequence of this fact we have the following

Corollary 3. A is a regular ring if and only if every finitely generated A-module has finite projective dimension.

2. First we note

Lemma 4. Let

 $0 \longrightarrow M_1 \longrightarrow M_2 \longrightarrow M_3 \longrightarrow 0$

be an exact sequence of finitely generated A-modules. Assume that the assertion (2) of Theorem 1 holds for two of the A-modules M_1 , M_2 and M_3 . Then this holds also for the rest of them.

Proof. This assertion comes from the long exact sequence

 $\cdots \longrightarrow \operatorname{Ext}\nolimits^i_A(M_1, A) \longrightarrow \operatorname{Ext}\nolimits^i_A(M_2, A) \longrightarrow \operatorname{Ext}\nolimits^i_A(M_3, A) \longrightarrow \cdots$

of extensions.

Lemma 5. Suppose that A is a Gorenstein local ring. Let M be a Cohen-Macaulay A-module. Then

$$\operatorname{Ext}_{A}^{i}(M, A) = (0) \quad for \ all \quad i > 0$$

if $\dim_A M = \dim A$.

Proof. See, e.g., [3], Korollar 6.8.

Proof of Theorem 1.

 $(2) \Rightarrow (1)$ Let p be a prime ideal of A. Then, as $\operatorname{Ext}_{A}^{i}(A/p, A) = (0)$ for every sufficiently large integer i, we see that A_{p} is a local Gorenstein ring (c. f. [2]). Hence by definition A is a Gorenstein ring.

(1) \Rightarrow (2) By virtue of induction on the number of generators of M together with Lemma 4 we may reduce our problem to the case where M is cyclic. Assume that our assertion fails to hold for M=A/I and choose the ideal I to be maximal among such counterexamples. Notice that I is a primary ideal. For it suffices to show that I is an irreducible ideal. Let J and K be ideals of A with $I=J\cap K$ and assume that $J\neq I$ and $K\neq I$. Consider the following exact sequence

$$0 \longrightarrow A/I \longrightarrow A/J \oplus A/K \longrightarrow A/J + K \longrightarrow 0$$

and we find by Lemma 4 and by the maximality of I that the assertion (2) of Theorem 1 holds for M=A/I. Of course this is impossible and so I must be an irreducible ideal of A.

Claim. I is a prime ideal of A.

For we put $p = \sqrt{I}$. Assume that $p \neq I$ and choose an element f of A so that p=I: f. Consider the exact sequence

$$0 \longrightarrow A/p \longrightarrow A/I \longrightarrow A/I + fA \longrightarrow 0$$

and we get by Lemma 4 and by the maximality of I that M=A/I satisfies the condition (2) of Theorem 1. This contradicts the choice of I and hence I is a prime ideal.

Let f be an element of A not contained in I. Clearly f is regular on A/Iand so there is an exact sequence

$$0 \longrightarrow A/I \xrightarrow{f} A/I \longrightarrow A/I + fA \longrightarrow 0$$

of A-modules. Using this sequence and the fact that the A-module A/I+fA satisfies the condition (2) of Theorem 1 we find that there must be an integer n such that for every $i \ge n$ the element f acts on the A-module $\operatorname{Ext}_{A}^{i}(A/I, A)$ bijectively, i.e., the canonical map

$$\operatorname{Ext}_{A}^{i}(A/I, A) \longrightarrow \operatorname{Ext}_{A_{f}}^{i}(A_{f}/IA_{f}, A_{f}) = A_{f} \bigotimes \operatorname{Ext}_{A}^{i}(A/I, A)$$

is an isomorphism. To get a contradiction this fact allows us to localize the ring A freely by a single element f not contained in I.

We put $r=ht_AI$, the height of *I*. Recall that $r=grade_IA$ as *A* is a Cohen-Macaulay ring and we see that *I* contains an *A*-regular sequence a_1, a_2, \dots, a_r of length *r*. We put $J=(a_1, a_2, \dots, a_r)$ and $\overline{A}=A/J$. Then it is well-known that for every integer *i* and for every finitely generated \overline{A} -module *N* there is a natural isomorphism

$$\operatorname{Ext}_{\overline{A}}^{i}(N, \overline{A}) \cong \operatorname{Ext}_{A}^{i+r}(N, A).$$

Therefore, after passing through \overline{A} , we may assume r=0, i.e., I is a minimal prime ideal of A. Let Min A denote the set of all the minimal prime ideals of A. Suppose that $\#Min A \ge 2$ and choose an element f of $\bigcap_{p \in Min A \setminus \{I\}} p$ not contained in I. Then as $Min A_f = \{IA_f\}$ we may assume that $Min A = \{I\}$ after passing through A_f . Now let us choose an integer n > 0 so that $I^n \neq (0)$ and $I^{n+1} = (0)$. Then as A/I is an integral domain we can find a suitable element f of A not contained in I so that $I^i A_f / I^{i+1} A_f$ is a free A_f / IA_f -module for every $1 \le i \le n$. Therefore we may assume further that I^i / I^{i+1} is a free A/I-module. In this situation we obtain

Claim. A/I is a Cohen-Macaulay ring.

In fact let p be a prime ideal of A and put $t=\operatorname{depth} A_p/IA_p$. Notice that $\operatorname{depth}_{A_p}I^iA_p/I^{i+1}A_p=t$ because $I^iA_p/I^{i+1}A_p$ is a free A_p/IA_p -module. Consider the exact sequences

$$0 \longrightarrow I^{i}A_{p}/I^{i+1}A_{p} \longrightarrow A_{p}/I^{i+1}A_{p} \longrightarrow A_{p}/I^{i}A_{p} \longrightarrow 0$$

 $(1 \le i \le n)$ of A_p -modules and we have by induction on i that depth $A_p/I^iA_p = t$ for every $1 \le i \le n+1$. In particular depth $A_p = t$ as $I^{n+1}A_p = (0)$ by our choice of n, which implies that A_p/IA_p is a Cohen-Macaulay local ring.

Now we are in position to finish the proof of Theorem 1. Let p be a prime ideal of A. Then by the above claim A_p/IA_p is a Cohen-Macaulay A_p -module with dim $A_p/IA_p = \dim A_p$. From this it follows by Lemma 5 that $\operatorname{Ext}_{A_p}^i(A_p/IA_p, A_p) = (0)$ for all i > 0. Therefore

$$\operatorname{Ext}_{A}^{i}(A/I, A) = (0)$$

for every integer i>0. This is the final contradiction and we have completed the proof of Theorem 1.

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References

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- [3] J. Herzog, E. Kunz, et al., Der kanonische Modul eines Cohen-Macaulay-Rings, Lecture Notes in Mathematics, 238 (1971), Springer Verlag.