

Eventual colorings of homeomorphisms

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(Received Aug. 11, 2011)

Abstract. In this paper, we study some dynamical properties of fixed-point free homeomorphisms of separable metric spaces. For each natural number p , we define eventual colorings within p of homeomorphisms which are generalized notions of colorings of fixed-point free homeomorphisms, and we investigate the eventual coloring number $C(f, p)$ of a fixed-point free homeomorphism $f : X \rightarrow X$ with zero-dimensional set of periodic points. In particular, we show that if $\dim X < \infty$, then there is a natural number p , which depends on $\dim X$, and X can be divided into two closed regions C_1 and C_2 such that for each point $x \in X$, the orbit $\{f^k(x)\}_{k=0}^{\infty}$ of x goes back and forth between $C_1 - C_2$ and $C_2 - C_1$ within the time p .

1. Introduction.

In this paper, we assume that all spaces are nonempty separable metric spaces and maps are continuous functions. Let \mathbb{N} be the set of all natural numbers, i.e., $\mathbb{N} = \{1, 2, 3, \dots\}$. For a (separable metric) space X , $\dim X$ denotes the topological dimension of X . For each map $f : X \rightarrow X$, let $P(f)$ be the set of all periodic points of f , i.e.,

$$P(f) = \{x \in X \mid f^j(x) = x \text{ for some } j \in \mathbb{N}\}.$$

Let $f : X \rightarrow X$ be a fixed-point free closed map of a separable metric space X , i.e., $f(x) \neq x$ for each $x \in X$. In this paper, we assume that all maps are closed maps, i.e., for any closed subset A of X , $f(A)$ is closed in X . A subset C of X is called a *color* (see [9]) of f if $f(C) \cap C = \emptyset$. Note that $f(C) \cap C = \emptyset$ if and only if $C \cap f^{-1}(C) = \emptyset$. We say that a cover \mathcal{C} of X is a *coloring* of f if each element C of \mathcal{C} is a color of f . The minimal cardinality $C(f)$ of closed (or open) colorings of f is called the *coloring number* of f . The coloring number $C(f)$ has been investigated by many mathematicians (see [1]–[5] and [7]–[9]).

2010 *Mathematics Subject Classification.* Primary 54F45, 54H20; Secondary 55M10, 55M30, 54C05.

Key Words and Phrases. topological dynamics, fixed-point free homeomorphism, coloring, eventual coloring, dimension, periodic point.

THEOREM 1.1 (Lusternik and Schirelman [7]). *Let $f : S^n \rightarrow S^n$ be the antipodal map of the n -dimensional sphere S^n . Then $C(f) = n + 2$.*

THEOREM 1.2 (Aarts, Fokkink and Vermeer [1]). *Let $f : X \rightarrow X$ be a fixed-point free involution of a (separable) metric space X with $\dim X = n < \infty$. Then $C(f) \leq n + 2$.*

THEOREM 1.3 (Aarts, Fokkink and Vermeer [1]). *Let $f : X \rightarrow X$ be a fixed-point free homeomorphism of a (separable) metric space X with $\dim X = n < \infty$. Then $C(f) \leq n + 3$.*

Now, similarly we will consider more general notion of color as follows: Let $f : X \rightarrow X$ be a fixed-point free map of a space X and $p \in \mathbb{N}$. A subset C of X is *eventually colored within p of f* if $\bigcap_{i=0}^p f^{-i}(C) = \emptyset$. Note that C is a color of f if and only if C is eventually colored within 1. Then we have the following simple proposition. For completeness, we give the proof.

PROPOSITION 1.4. *Let $f : X \rightarrow X$ be a fixed-point free map of a separable metric space X and $p \in \mathbb{N}$. Then the followings hold.*

- (1) *A subset C of X is eventually colored within p of f if and only if each point $x \in C$ wanders off C within p , i.e., for each $x \in C$, $f^i(x) \notin C$ with some $i \leq p$.*
- (2) *If a subset C of X satisfies the condition $\bigcap_{i=0}^p f^i(C) = \emptyset$, then C is eventually colored within p of f .*
- (3) *If f is an injective map, then a subset C of X is eventually colored within p of f if and only if C satisfies the condition $\bigcap_{i=0}^p f^i(C) = \emptyset$.*

PROOF. We prove (1). In fact, it is easily seen that $\bigcap_{i=0}^p f^{-i}(C) \neq \emptyset$ if and only if there is an element $x \in C$ such that $f^i(x) \in C$ for any $0 \leq i \leq p$. We prove (2). Suppose, on the contrary, that there is a point $x \in C$ such that $f^i(x) \in C$ for each $0 \leq i \leq p$. Then $f^p(x) \in \bigcap_{i=0}^p f^i(C) = \emptyset$. This is a contradiction. Finally we prove (3). We suppose that f is injective. Let C be eventually colored within p of f . Suppose, on the contrary, that $\bigcap_{i=0}^p f^i(C) \neq \emptyset$. Take a point $y \in \bigcap_{i=0}^p f^i(C)$. Choose a point $x \in C$ such that $f^p(x) = y$. Since f is injective, we see that $f^i(x) \in C$ for each $0 \leq i \leq p$. This is a contradiction. \square

REMARK. In general, the converse assertion of (2) in the proposition above is not true. Let $X = \{a, b, c\}$ be a set consisting three points and let $f : X \rightarrow X$ be the map defined by $f(a) = b$, $f(b) = c$, $f(c) = b$. Then $C = \{a, b\}$ is eventually colored within 2 of f , but $\bigcap_{i=0}^p f^i(C) \neq \emptyset$ ($p \in \mathbb{N}$).

We define the eventual coloring number $C(f, p)$ as follows. A cover \mathcal{C} of

X is called an *eventual coloring within p* if each element C of \mathcal{C} is eventually colored within p . The minimal cardinality $C(f, p)$ of all closed (or open) eventual colorings within p is called the *eventual coloring number* of f within p . Note that $C(f, 1) = C(f)$. If there is some $p \in \mathbb{N}$ with $C(f, p) < \infty$, we say that f is eventually colored. Similarly, we can consider the index $C^+(f, p)$ defined by

$$\min \left\{ |\mathcal{C}|; \mathcal{C} \text{ is a closed (open) cover of } X \right. \\ \left. \text{such that for each } C \in \mathcal{C}, \bigcap_{i=0}^p f^i(C) = \emptyset \right\}.$$

By the definitions, we see that $C(f, p) \leq C^+(f, p)$. In section 3, we show that $C(f, p) = C^+(f, p)$ if X is compact.

In this paper, we need the following notions. A finite cover \mathcal{C} of X is a *closed partition* of X provided that each element C of \mathcal{C} is closed, $\text{int}(C) \neq \emptyset$ and $C \cap C' = \text{bd}(C) \cap \text{bd}(C')$ for any $C, C' \in \mathcal{C}$. Let \mathcal{B} be a collection of subsets of a space X with $\dim X = n < \infty$. Then we say that \mathcal{B} is *in general position in X* provided that if $\mathcal{S} \subset \mathcal{B}$ with $|\mathcal{S}| = m$, then $\dim(\bigcap\{S \mid S \in \mathcal{S}\}) \leq \max\{-1, n - m\}$. By a *swelling* of a family $\{A_s\}_{s \in S}$ of subsets of a space X , we mean any family $\{B_s\}_{s \in S}$ of subsets of X such that $A_s \subset B_s$ ($s \in S$) and for every finite set of indices $s_1, s_2, \dots, s_m \in S$,

$$\bigcap_{i=1}^m A_{s_i} \neq \emptyset \text{ if and only if } \bigcap_{i=1}^m B_{s_i} \neq \emptyset.$$

Conversely, for any cover $\{B_s\}_{s \in S}$ of X , a cover $\{A_s\}_{s \in S}$ of X is a *shrinking* of $\{B_s\}_{s \in S}$ if $A_s \subset B_s$ ($s \in S$). The following facts are well-known;

- (1) for any locally finite collection \mathcal{F} of closed subsets of a space X , \mathcal{F} has a swelling consisting of open subsets of X (e.g., see [9, Proposition 3.2.1]) and
- (2) for any open cover \mathcal{U} of X , \mathcal{U} has a closed shrinking cover of X (e.g., see [9, Proposition A.7.1]).

Hence we see that if $f : X \rightarrow X$ is a closed map and a closed finite cover \mathcal{B} of X is an eventual coloring of f , then we can find an open swelling \mathcal{C} of \mathcal{B} which is an eventual coloring of f .

2. Eventual coloring numbers of fixed-point free homeomorphisms.

In this section, we will define an index $\varphi_n(k)$. For each $n = 0, 1, 2, \dots$, and each $k = 0, 1, 2, \dots, n + 1$, we define the index $\varphi_n(k)$ as follows: Put $\varphi_n(0) = 1$. For each $k = 1, 2, \dots, n + 1$, by induction on k we define the index $\varphi_n(k)$ by

$$\varphi_n(k) = 2\varphi_n(k-1) + [n/(n+2-k)] \cdot (\varphi_n(k-1) + 1),$$

where $[x] = \max\{m \in \mathbb{N} \cup \{0\} \mid m \leq x\}$ for $x \in [0, \infty)$. Note that $\varphi_n(1) = 2$ ($n \geq 0$) and $\varphi_n(2) = 7$ ($n \geq 1$). Also, note that $\varphi_2(3) = 30$, $\varphi_n(3) = 22$ ($n \geq 3$), $\varphi_3(4) = 113$, $\varphi_4(4) = 90$ and $\varphi_4(5) = 544$.

In this paper, we need the following two lemmas whose proofs are some modifications of the proofs of Kulesza [6, Lemma 3.3 and Lemma 3.5].

LEMMA 2.1 (cf. [6, Lemma 3.3]). *Let $\mathcal{C} = \{C_i \mid 1 \leq i \leq m\}$ be an open cover of a separable metric space X with $\dim X = n < \infty$ and let $\mathcal{B} = \{B_i \mid 1 \leq i \leq m\}$ be a closed shrinking of \mathcal{C} . Suppose that O is an open set in X and Z is a zero-dimensional subset of O . Then there is an open shrinking $\mathcal{C}' = \{C'_i \mid 1 \leq i \leq m\}$ of \mathcal{C} such that for each $i \leq m$,*

- (0) $B_i \subset C'_i$,
- (1) $C'_i = C_i$ if $\text{bd}(C_i) \cap O = \emptyset$,
- (2) $C'_i \cap (X - O) = C_i \cap (X - O)$,
- (3) $\text{bd}(C'_i) \cap (X - O) \subset \text{bd}(C_i) \cap (X - O)$,
- (4) $\text{bd}(C'_i) \cap Z = \emptyset$, and
- (5) $\{\text{bd}(C') \cap O \mid C' \in \mathcal{C}'\}$ is in general position.

PROOF. First, we will construct C'_1 . Consider the subspace

$$Y_1 = \text{cl}(C_1) \cap \text{cl}(O) - (\text{bd}(O) \cap \text{bd}(C_1))$$

of X . Put $E_1 = Y_1 \cap (\text{bd}(O) \cup B_1)$ and $F_1 = Y_1 \cap \text{bd}(C_1)$. Then E_1 and F_1 are disjoint closed subsets of Y_1 . Then we can take a closed separator (or partition) S_1 between E_1 and F_1 in Y_1 such that $\dim S_1 \leq n - 1$ and $S_1 \cap Z = \emptyset$ (e.g., see [9, Lemma 3.1.4]). Hence we have open subsets G_1 and H_1 of Y_1 such that $Y_1 - S_1 = G_1 \cup H_1$, $G_1 \cap H_1 = \emptyset$ and $G_1 \supset E_1, H_1 \supset F_1$. Put $C'_1 = (C_1 - O) \cup G_1$. Then C'_1 is an open set of X . By the construction, we see that C'_1 satisfies the conditions (0)–(4).

We proceed by induction on i . Now we suppose that there are C'_j ($j \leq i - 1$) satisfying the conditions (0)–(4) and $\{\text{bd}(C'_j) \cap O \mid 1 \leq j \leq i - 1\}$ is in general position. Consider the subspace $Y_i = \text{cl}(C_i \cap \text{cl}(O)) - (\text{bd}(O) \cap \text{bd}(C_i))$ of X .

Put $E_i = Y_i \cap (\text{bd}(O) \cup B_i)$ and $F_i = Y_i \cap \text{bd}(C_i)$. Then E_i and F_i are disjoint closed subsets of Y_i . We can choose a zero-dimensional F_σ set Z' of O such that if $\mathcal{S} \subset \{O \cap \text{bd}(C_j) \mid j \leq i - 1\}$ with $|\mathcal{S}| = m$, then $\dim(\bigcap\{S \mid S \in \mathcal{S}\} - Z') \leq \max\{-1, n - m - 1\}$ (e.g., see [9, Lemma 3.11.16]). Then we can take a closed separator S_i between E_i and F_i in Y_i such that $\dim S_i \leq n - 1$ and $S_i \cap (Z \cup Z') = \emptyset$. Then we have open subsets G_i and H_i of Y_i such that $Y_i - S_i = G_i \cup H_i$, $G_i \cap H_i = \emptyset$ and $G_i \supset E_i, H_i \supset F_i$. Put $C'_i = (C_i - O) \cup G_i$. By the construction, we see that $\mathcal{C}' = \{C'_i \mid 1 \leq i \leq m\}$ satisfies the desired conditions. \square

LEMMA 2.2 (cf. [6, Lemma 3.5]). *Suppose that $f : X \rightarrow X$ is a fixed-point free homeomorphism of a separable metric space X such that $\dim X = n < \infty$ and $\dim P(f) \leq 0$. Let $\mathcal{C} = \{C_i \mid 1 \leq i \leq m\}$ be an open cover of X and let $\mathcal{B} = \{B_i \mid 1 \leq i \leq m\}$ be a closed shrinking of \mathcal{C} . Then for any $k \in \mathbb{N}$, there is an open shrinking $\mathcal{C}' = \{C'_i \mid 1 \leq i \leq m\}$ of \mathcal{C} such that*

- (0) $B_i \subset C'_i$,
- (1) $\{f^j(\text{bd}(C')) \mid C' \in \mathcal{C}', -k \leq j \leq k\}$ is in general position,
- (2) $\text{bd}(C') \cap P(f) = \emptyset$ for each $C' \in \mathcal{C}'$.

PROOF. The proof is a modification of the proof of [6, Lemma 3.5]. We proceed by induction on k . First we will show that the case $k = 0$ is true. In fact, if we put $O = X$ and $Z = P(f)$, we see that the case $k = 0$ follows from Lemma 2.1. Now we suppose that the result for the case $k - 1$ is true. We may assume that there is an open shrinking $\mathcal{D} = \{D_i \mid 1 \leq i \leq m\}$ of \mathcal{C} such that

- (0) $B_i \subset D_i$,
- (1) $\{f^j(\text{bd}(D)) \mid D \in \mathcal{D}, -k + 1 \leq j \leq k - 1\}$ is in general position,
- (2) $\text{bd}(D) \cap P(f) = \emptyset$ for each $D \in \mathcal{D}$.

Put $F = \bigcup\{\text{bd}(D) \mid D \in \mathcal{D}\}$. Since $F \cap P(f) = \emptyset$, we can choose a star finite open cover $\mathcal{O} = \{O_j \mid j \in \mathbb{N}\}$ of F such that $O_j \cap F \neq \emptyset$ and $f^p(O_j) \cap f^q(O_j) = \emptyset$ for each $j \in \mathbb{N}$ and for $p \neq q$, $-2k \leq p, q \leq 2k$. We will construct a sequence $\{\mathcal{D}(j) \mid j = 0, 1, 2, \dots\}$ of open shrinkings of $\mathcal{C} = \{C_i \mid 1 \leq i \leq m\}$ such that $\mathcal{D}(j + 1)$ is a shrinking of $\mathcal{D}(j)$ for each j satisfying the following conditions:

- (a) $\mathcal{D}(j) = \{D(j)_i \mid 1 \leq i \leq m\}$.
- (b) $B_i \subset D(j)_i$.
- (c) $\mathcal{D}(0) = \mathcal{D}$.
- (d) $D(j - 1)_i \cap (X - O_j) = D(j)_i \cap (X - O_j)$, $\text{bd}(D(j - 1)_i) \cap (X - O_j) \supset \text{bd}(D(j)_i) \cap (X - O_j)$, and if $\text{bd}(D(j - 1)_i) \cap O_j = \emptyset$, then $\text{bd}(D(j)_i) \cap O_j = \emptyset$.
- (e) $\mathcal{B}_j = \{f^p(\text{bd}(D)) \mid D \in \mathcal{D}(j), -k + 1 \leq p \leq k - 1\} \cup \{f^{-k}(\text{bd}(D)) \cap (\bigcup_{p=1}^j O_p) \mid D \in \mathcal{D}(j)\} \cup \{f^k(\text{bd}(D)) \cap (\bigcup_{p=1}^j O_p) \mid D \in \mathcal{D}(j)\}$ is in general position.
- (f) $\text{bd}(D) \cap P(f) = \emptyset$ for $D \in \mathcal{D}(j)$.

Also, we proceed by induction on j . Suppose that we have $\mathcal{D}(j)$. We will construct $\mathcal{D}(j+1)$. For each p with $-k \leq p \leq k$, consider the collection $\mathcal{S}_p = \{B \cap f^p(O_{j+1}) \mid B \in \mathcal{B}_j\}$. Then there is a zero-dimensional F_σ -set Z_p of $f^p(O_{j+1})$ such that if $\mathcal{S} \subset \mathcal{S}_p, |\mathcal{S}| = m$, then $\dim(\bigcap \mathcal{S} - Z_p) \leq \max\{-1, n - m - 1\}$. Let $Z = (\bigcup_{p=-k}^k f^{-p}(Z_p)) \cup (P(f) \cap O_{j+1})$. Note that Z is a zero-dimensional F_σ -set of O_{j+1} . Now, we use the same arguments as in the proof of Lemma 2.1. First, we construct $D(j+1)_1$ and by induction on i , we can construct $D(j+1)_i$ ($2 \leq i \leq m$). Consequently we obtain $\mathcal{D}(j+1) = \{D(j+1)_i \mid 1 \leq i \leq m\}$. By the constructions and the similar arguments to the proof of [6, Lemma 3.5], we see that $\mathcal{D}(j+1)$ satisfies the conditions (a)–(f).

Now, we obtain the above $\{\mathcal{D}(j) \mid j = 0, 1, 2, \dots\}$ satisfying the conditions (a)–(f). Then we put $C'_i = \bigcap_{j=0}^\infty D(j)_i$ for each $i = 1, 2, \dots, m$. Since \mathcal{O} is star finite and by the construction of $\{\mathcal{D}(j) \mid j = 0, 1, 2, \dots\}$, we see that $\mathcal{C}' = \{C'_i \mid 1 \leq i \leq m\}$ is an open cover of X . Also we see that \mathcal{C}' satisfies the desired conditions. \square

The following result is the main theorem of this paper.

THEOREM 2.3 (cf. [1]). *Let $f : X \rightarrow X$ be a fixed-point free homeomorphism of a separable metric space X with $\dim X = n < \infty$. If $\dim P(f) \leq 0$, then*

$$C(f, \varphi_n(k)) \leq n + 3 - k$$

for each $k = 0, 1, 2, \dots, n + 1$.

REMARK. If we do not assume $\dim P(f) \leq 0$, the above theorem is not true. Let $f : S^n \rightarrow S^n$ be the antipodal map of the n -dimensional sphere S^n . Note that $P(f) = S^n$ and $C(f, p) = C(f, 1) = n + 2$ for any $p \in \mathbb{N}$.

PROOF OF THEOREM 2.3. We proceed by induction on k . In the case $k = 0$, Theorem 2.3 follows from Theorem 1.3. Now we suppose that Theorem 2.3 holds for $k - 1$. We have an open cover $\mathcal{C} = \{C_i \mid 1 \leq i \leq n + 3 - (k - 1)\}$ of X which is an eventual coloring within $\varphi_n(k - 1)$. Take a closed shrinking $\mathcal{B} = \{B_i \mid 1 \leq i \leq n + 3 - (k - 1)\}$ of \mathcal{C} . By use of Lemma 2.2, we have an open cover $\mathcal{C}' = \{C'_i \mid 1 \leq i \leq n + 3 - (k - 1)\}$ such that

- (0) $B_i \subset C'_i$,
- (1) $\{f^j(\text{bd}(C')) \mid C' \in \mathcal{C}', 0 \leq j \leq \varphi_n(k - 1) + [n/(n + 2 - k)] \cdot (\varphi_n(k - 1) + 1)\}$ is in general position,
- (2) $\text{bd}(C') \cap P(f) = \emptyset$ for each $C' \in \mathcal{C}'$.

Put $K_i = \text{cl}(C'_i)$ for $1 \leq i \leq n + 3 - (k - 1)$ and let $\mathcal{K} = \{K_i \mid 1 \leq i \leq n + 3 - (k - 1)\}$. Put

$$L_1 = K_1, L_i = \text{cl}(K_i - (K_1 \cup K_2 \cup \dots \cup K_{i-1})) \quad (i \geq 2).$$

Then the collection $\mathcal{L} = \{L_i \mid 1 \leq i \leq n + 3 - (k - 1)\}$ is a closed partition of X and \mathcal{L} satisfies the condition; for $1 \leq i_1 < i_2 < \dots < i_m \leq n + 3 - (k - 1)$,

$$\text{bd}(L_{i_1}) \cap \text{bd}(L_{i_2}) \cap \dots \cap \text{bd}(L_{i_m}) \subset \text{bd}(K_{i_1}) \cap \text{bd}(K_{i_2}) \cap \dots \cap \text{bd}(K_{i_{m-1}}).$$

Put $D = L_{n+3-(k-1)} \in \mathcal{L}$. Let $x \in D$. Since D is eventually colored within $\varphi_n(k - 1)$, we see that $|J_x| \geq [n/(n + 2 - k)] + 1$, where

$$J_x = \{j \mid 0 \leq j \leq \varphi_n(k - 1) + [n/(n + 2 - k)] \cdot (\varphi_n(k - 1) + 1) \text{ and } f^j(x) \notin D\}.$$

For each $j \in J_x$, put

$$I(j) = \{i \in \{1, 2, \dots, n + 3 - k\} \mid f^j(x) \in L_i\}.$$

Suppose, on the contrary, that $|I(j)| = n + 3 - k$ for all $j \in J_x$. Then

$$f^j(x) \in \bigcap_{i=1}^{n+3-k} L_i = \bigcap_{i=1}^{n+3-k} \text{bd}(L_i) \subset \bigcap_{i=1}^{n+2-k} \text{bd}(K_i) \subset \bigcap_{i=1}^{n+2-k} \text{bd}(C'_i).$$

Since $\{f^j(\text{bd}(C')) \mid C' \in \mathcal{C}', 0 \leq j \leq \varphi_n(k - 1) + [n/(n + 2 - k)] \cdot (\varphi_n(k - 1) + 1)\}$ is in general position, we see that $([n/(n + 2 - k)] + 1)(n + 2 - k) \leq n$. However, we have the following inequality

$$([n/(n + 2 - k)] + 1)(n + 2 - k) \geq n + 1.$$

This is a contradiction. Hence there is some $j(x) \in J_x$ such that $|I(j(x))| < n + 3 - k$. We choose $L_{i(x)}$ such that $f^{j(x)}(x) \notin L_{i(x)}$. Take an open neighborhood $U(x)$ of x in D such that $f^{j(x)}(\text{cl}(U(x))) \cap (D \cup L_{i(x)}) = \emptyset$. Consider the collection $\mathcal{U} = \{U(x) \mid x \in D\}$ and take a locally finite closed refinement \mathcal{W} of \mathcal{U} . For each $W \in \mathcal{W}$, we can choose $U(x)$ such that $W \subset U(x)$. Put $j(W) = i(x)$. For each $1 \leq j \leq n + 3 - k$, put $E_j = \bigcup \{W \in \mathcal{W} \mid j(W) = j\}$ and define $F_j = L_j \cup E_j$. We will show that F_j is eventually colored within $\varphi_n(k)$.

Let $y \in F_j (= L_j \cup E_j)$. If $y \in E_j$, then we can choose $W \in \mathcal{W}$ and $U(x) \in \mathcal{U}$ such that $y \in W \subset U(x)$. Then $j(x) \leq \varphi_n(k - 1) + [n/(n + 2 - k)] \cdot (\varphi_n(k - 1) + 1)$ and $f^{j(x)}(y) \notin (L_j \cup D)$. If $y \in L_j$, we can choose $p \leq \varphi_n(k - 1)$ such that $y' = f^p(x) \notin L_j$. If $y' \notin E_j$, then $f^p(x) \notin F_j$. Finally, if $y' \in E_j$, the previous argument shows that there is $q \leq \varphi_n(k - 1) + [n/(n + 2 - k)] \cdot (\varphi_n(k - 1) + 1)$ such

that $f^q(y') \notin F_j$. Hence $f^{p+q}(y) \notin F_j$ and $p + q \leq \varphi_n(k)$. Then the closed cover $\mathcal{F} = \{F_j \mid 1 \leq j \leq n + 3 - k\}$ of X is an eventual coloring within $\varphi_n(k)$. This implies that $C(f, \varphi_n(k)) \leq n + 3 - k$. This completes the proof. \square

COROLLARY 2.4. *Let $f : X \rightarrow X$ be a fixed-point free homeomorphism of a separable metric space X with $\dim X = n < \infty$. If $\dim P(f) \leq 0$, then $C(f, 2) \leq n + 2$ ($n \geq 0$) and $C(f, 7) \leq n + 1$ ($n \geq 1$).*

Now we have the following general problem for eventual coloring numbers.

PROBLEM 2.5. For each $n \geq 0$ and each $1 \leq k \leq n + 1$, determine the minimal number $m_n(k)$ of natural numbers p satisfying the condition; if $f : X \rightarrow X$ is any fixed-point free homeomorphism of a separable metric space X such that $\dim X = n$ and $\dim P(f) \leq 0$, then $C(f, p) \leq n + 3 - k$.

Next, we will consider another index $\tau_n(k)$ defined by $\tau_n(k) = k(2n + 1) + 1$ for each $n = 0, 1, 2, \dots$, and each $k = 0, 1, 2, \dots, n + 1$.

THEOREM 2.6. *Let $f : X \rightarrow X$ be a fixed-point free homeomorphism of a separable metric space X with $\dim X = n < \infty$. If $\dim P(f) \leq 0$, then*

$$C(f, \tau_n(k)) \leq n + 3 - k$$

for each $k = 0, 1, 2, \dots, n + 1$.

PROOF. The proof is similar to the proof of Theorem 2.3. We proceed by induction on k . In the case $k = 0$, Theorem 2.6 follows from Theorem 1.3. Now we suppose that $k \geq 1$ and there is an open cover $\mathcal{C} = \{C_i \mid 1 \leq i \leq n + 3 - (k - 1)\}$ of X such that $\text{cl}(C_1), \text{cl}(C_2)$ are eventually colored within $\tau_n(k - 1) = (k - 1)(2n + 1) + 1$ and $\text{cl}(C_i)$ ($3 \leq i \leq n + 3 - (k - 1)$) are colored (=eventually colored within 1). By use of Lemma 2.2, we may assume that

$$\{f^j(\text{bd}(C)) \mid C \in \mathcal{C}, 0 \leq j \leq 2n + 1\}$$

is in general position. In particular, $\{f^j(\text{bd}(C_1)) \mid 0 \leq j \leq 2n + 1\}$ is in general position.

Put $K_i = \text{cl}(C_i)$ for $1 \leq i \leq n + 3 - (k - 1)$ and let $\mathcal{K} = \{K_i \mid 1 \leq i \leq n + 3 - (k - 1)\}$. Put

$$L_1 = K_1, L_i = \text{cl}(K_i - (K_1 \cup K_2 \cup \dots \cup K_{i-1})) \quad (i \geq 2).$$

Then the collection $\mathcal{L} = \{L_i \mid 1 \leq i \leq n + 3 - (k - 1)\}$ is a closed partition of X .

Note that $L_1 \cap L_2 \subset \text{bd}(C_1)$. Let $x \in L_3$. Since L_3 is colored, $|J_3(x)| \geq n + 1$, where $J_3(x) = \{j \mid 0 \leq j \leq 2n + 1 \text{ and } f^j(x) \notin L_3\}$. By the similar argument to the proof of Theorem 2.3, we see that there is some $j(x) \in J_3(x)$ such that $f^{j(x)}(x) \notin L_1$ or $f^{j(x)}(x) \notin L_2$. Also, by the similar argument to the proof of Theorem 2.3, we have a closed cover

$$\mathcal{F} = \{F_i \mid 1 \leq i \leq n + 3 - k\}$$

of X such that F_1, F_2 are eventually colored within $\tau_n(k) = k(2n + 1) + 1$ and F_i ($3 \leq i \leq n + 3 - k$) are colored. \square

We have the following result which is the case $C(f, p) = 2$.

COROLLARY 2.7. *Let $f : X \rightarrow X$ be a fixed-point free homeomorphism of a separable metric space X with $\dim X = n < \infty$. If $\dim P(f) \leq 0$, then there is some $p \in \mathbb{N}$ with $p \leq \min\{\varphi_n(n + 1), \tau_n(n + 1)\}$ such that*

$$C(f, p) = 2.$$

In other words, X can be divided into two closed subsets C_1, C_2 (i.e., $X = C_1 \cup C_2$) and there is some $p \in \mathbb{N}$ such that if $x \in C_i$ ($i \in \{1, 2\}$), there is a strictly increasing sequence $\{n_x(k)\}_{k=1}^\infty$ of natural numbers such that $1 \leq n_x(1) \leq p$, $n_x(k + 1) - n_x(k) \leq p$ and if $j \in \{1, 2\}$ with $j \neq i$, then

$$f^{n_x(k)}(x) \in C_j - C_i \text{ (} k : \text{ odd)}, \quad f^{n_x(k)}(x) \in C_i - C_j \text{ (} k : \text{ even)}.$$

By the above corollary, we see that $m_n(k) \leq \min\{\varphi_n(k), \tau_n(k)\}$. We see that $\varphi_0(1) = 2, \varphi_1(2) = 7, \varphi_2(3) = 30, \varphi_3(4) = 113$ and $\varphi_4(5) = 544$. Also, $\tau_0(1) = 2, \tau_1(2) = 7, \tau_2(3) = 16, \tau_3(4) = 29$ and $\tau_4(5) = 46$. Hence we have the partial answer to the above problem.

COROLLARY 2.8. *Suppose that $f : X \rightarrow X$ is a fixed-point free homeomorphism of a separable metric space X and $\dim P(f) \leq 0$.*

- (1) *If $\dim X = 0$, then $C(f, 2) = 2$.*
- (2) *If $\dim X = 1$, then $C(f, 7) = 2$.*
- (3) *If $\dim X = 2$, then $C(f, 16) = 2$.*
- (4) *If $\dim X = 3$, then $C(f, 29) = 2$.*
- (5) *If $\dim X = 4$, then $C(f, 46) = 2$.*

In other words, $m_0(1) = 2, m_1(2) \leq 7, m_2(3) \leq 16, m_3(4) \leq 29$ and $m_4(5) \leq 46$.

3. Eventual coloring numbers of fixed-point free maps of compact metric spaces.

In this section, we consider eventual coloring numbers of fixed-point free maps of compact metric spaces. Let X be a compact metric space and let $f : X \rightarrow X$ be a map. Consider the inverse limit (X, f) of f , i.e.

$$(X, f) = \{(x_i)_{i=0}^\infty \mid x_i \in X, f(x_i) = x_{i-1} \text{ for } i \in \mathbb{N}\} \subset X^\infty = \prod_{j=0}^\infty X_j.$$

Then we have the shift homeomorphism $\tilde{f} : (X, f) \rightarrow (X, f)$ of f and the natural projection $p_j : (X, f) \rightarrow X_j = X$ ($j \geq 0$) defined by

$$\tilde{f}((x_i)_{i=0}^\infty) = (f(x_i))_{i=0}^\infty, \quad p_j((x_i)_{i=0}^\infty) = x_j.$$

Note that $p_j \cdot \tilde{f} = f \cdot p_j$. We see that if $f : X \rightarrow X$ is a fixed-point free map of a compact metric space X , then $\tilde{f} : (X, f) \rightarrow (X, f)$ is a fixed-point free homeomorphism. By a modification of the proof of [1, Theorem 6], we have the following theorem which is a more precise result than [1, Theorem 6].

THEOREM 3.1. *Let $f : X \rightarrow X$ be a fixed-point free map of a compact metric space X and let $\tilde{f} : (X, f) \rightarrow (X, f)$ be the shift homeomorphism of f . Then for $p \in \mathbb{N}$,*

$$C(f, p) = C^+(f, p) = C(\tilde{f}, p).$$

PROOF. Since \tilde{f} is a homeomorphism, we see that $C(\tilde{f}, p) = C^+(\tilde{f}, p)$. Also, note that $C^+(f, p) \geq C(f, p)$. First, we suppose that the map $f : X \rightarrow X$ is surjective. We show that $C^+(f, p) \leq C(\tilde{f}, p) \leq C(f, p)$. We will prove $C(\tilde{f}, p) \leq C(f, p)$. Let \mathcal{C} be an eventual (closed) coloring within p of f . Since $p_0 \cdot \tilde{f} = f \cdot p_0$, we see that $p_0^{-1}(\mathcal{C})$ is a closed cover of (X, f) and

$$\bigcap_{i=0}^p \tilde{f}^{-i}(p_0^{-1}(\mathcal{C})) = p_0^{-1}\left(\bigcap_{i=0}^p f^{-i}(\mathcal{C})\right) = \emptyset$$

for each $C \in \mathcal{C}$. This implies that $C(\tilde{f}, p) \leq C(f, p)$. We prove that $C^+(f, p) \leq C(\tilde{f}, p) = C^+(\tilde{f}, p)$. Let \mathcal{C} be an eventual (closed) coloring within p of f . Let $C \in \mathcal{C}$. Then we see that $\bigcap_{i=0}^p \tilde{f}^i(C) = \emptyset$. Take an open neighborhood $U(C)$ of

C in (X, f) such that $\bigcap_{i=0}^p \tilde{f}^i(U(C)) = \emptyset$. Note that for any $\epsilon > 0$, there is a sufficiently large $j \in \mathbb{N}$ such that p_j is an ϵ -map, i.e., $\text{diam } p_j^{-1}(x) < \epsilon$ for $x \in X$. Hence we see that there is $j \in \mathbb{N}$ such that $p_j^{-1}(f^i(p_j(C))) \subset \tilde{f}^i(U(C))$. Hence $\bigcap_{i=0}^p f^i(p_j(C)) = \emptyset$. Since $p_j : (X, f) \rightarrow X$ is surjective, the family $p_j(\mathcal{C})$ is a closed cover of X . This implies that $C^+(f, p) \leq C(\tilde{f}, p)$ and hence $C(f, p) = C^+(f, p) = C(\tilde{f}, p)$. Next, we consider the general case in which f is any map. Put

$$K = \bigcap \{f^j(X) \mid j \in \mathbb{N}\}.$$

Consider the map $g = f|K : K \rightarrow K$. Note that g is surjective. We prove that $C^+(g, p) \geq C^+(f, p)$. Consider any closed cover \mathcal{C} of K such that for each $C \in \mathcal{C}$, $\bigcap_{i=0}^p f^i(C) = \emptyset$. Take an open swelling \mathcal{C}' of \mathcal{C} in X . We may assume that for each $C' \in \mathcal{C}'$, $\bigcap_{i=0}^p f^i(C') = \emptyset$. Note that K is an attractor of f , i.e., there is $q \in \mathbb{N}$ such that $f^q(X) \subset U = \bigcup C'$. Then $f^{-q}(C')$ is an open cover of X and for each $C' \in \mathcal{C}'$,

$$\bigcap_{i=0}^p f^i(f^{-q}(C')) \subset \bigcap_{i=0}^p f^{-q}(f^i(C')) = f^{-q} \left(\bigcap_{i=0}^p f^i(C') \right) = \emptyset.$$

This implies that $C^+(g, p) \geq C^+(f, p)$. Note that $(X, f) = (K, g)$ and $\tilde{f} = \tilde{g}$. Since g is surjective, by the above arguments we see that $C(g, p) = C^+(g, p) = C(\tilde{f}, p)$. Note that $C(g, p) \leq C(f, p) \leq C^+(f, p) \leq C^+(g, p)$. Hence $C(g, p) = C(f, p) = C^+(f, p) = C^+(g, p)$. Consequently, $C(f, p) = C^+(f, p) = C(\tilde{f}, p)$. \square

COROLLARY 3.2 (cf. [1, Theorem 6]). *Let $f : X \rightarrow X$ be a fixed-point free map of a compact metric space X with $\dim X = n < \infty$. If $\dim P(f) \leq 0$, then there is $p \in \mathbb{N}$ with $p \leq \min\{\varphi_n(k), \tau_n(k)\}$ such that*

$$C(f, p) \leq n + 3 - k$$

for each $k = 0, 1, 2, \dots, n + 1$.

PROOF. Let $0 \leq k \leq n + 1$. Since $\dim P(f) \leq 0$, we see that $\dim P(\tilde{f}) \leq 0$. Note that \tilde{f} is a fixed-point free homeomorphism and $\dim(X, f) \leq n$. By Theorems 2.3 and 2.6, $C(\tilde{f}, p) \leq n + 3 - k$ some $p \leq \min\{\varphi_n(k), \tau_n(k)\}$. By Theorem 3.1, we see that

$$C(f, p) = C(\tilde{f}, p) \leq n + 3 - k. \quad \square$$

EXAMPLE. There are a (zero-dimensional) separable metric space X and a fixed-point free map $f : X \rightarrow X$ such that $\dim P(f) \leq 0$ and

- (1) f is closed,
- (2) f is finite-to-one, and
- (3) f cannot be eventually colored within any $p \in \mathbb{N}$.

In fact, let $f : X \rightarrow X$ be the map as in [9, Theorem 3.12.7]. Then we see that $P(f) = \emptyset$ and f cannot be colored and satisfies the conditions (1), (2) (see [9, Theorem 3.12.7]). Let \mathcal{U} be any finite open cover of X . Then there exist some $U \in \mathcal{U}$ and a point $x \in U$ such that $f^p(x) \in U$ for any $p \in \mathbb{N}$ (see [9, Corollary 3.12.6] and the proof of [9, Theorem 3.12.7]). This implies that \mathcal{U} is not an eventual coloring within any $p \in \mathbb{N}$.

REMARK. In the statement of Theorem 1.3, “a separable metric space X ” can be replaced with “a paracompact space X ” (see [M. A. van Hartskamp and J. Vermeer, On colorings of maps, *Topology and its Applications* 73 (1996), 181–190]). Hence Theorem 2.3 is also true for the case that X is a paracompact space.

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