

On the field of definition of Borel subgroups of semi-simple algebraic groups

Dedicated to Professor Y. Akizuki for his 60th birthday

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Let k be a perfect field and let G be a connected semi-simple algebraic group defined over k . It is known that G has a maximal torus T defined over k (Rosenlicht [2]). Fixing once for all such a torus T , denote by \mathbf{B} the set of all Borel subgroups of G containing T . Our purpose is to prove the following

THEOREM. *Every group in \mathbf{B} is defined over k if and only if T is trivial over k . When that is so, all groups in \mathbf{B} are conjugate by k -rational points of the normalizer of T .*

For some purpose the following trivial restatement is useful.

COROLLARY. *Let K/k be an extension such that K is perfect. Then, every group in \mathbf{B} is defined over K if and only if T is split by K . When that is so, all groups in \mathbf{B} are conjugate by K -rational points of the normalizer of T .*

PROOF OF THEOREM. We begin with arranging the basic notions in Séminaire Chevally [1] from the Galois theoretical view point.

Denote by N the normalizer of T and by W the Weyl group N/T of T . Let \bar{k} be the algebraic closure of k and $\mathfrak{g} = \mathfrak{g}(\bar{k}/k)$ be the Galois group of \bar{k}/k . Since every coset of W contains a \bar{k} -rational point, one can define the action of \mathfrak{g} on W by

$$w^\sigma = s^\sigma \text{ mod } T, \quad \text{where } w = s \text{ mod } T \quad \text{and} \quad s \in N_{\bar{k}}.*$$

The group \mathfrak{g} acts on the character module \hat{T} since every character is \bar{k} -rational. Furthermore, W acts on \hat{T} by

$$(w\chi)(t) = \chi(s^{-1}ts), \quad \text{where } w = s \text{ mod } T, \quad s \in N.$$

One verifies easily that

$$(w\chi)^\sigma = w^\sigma \chi^\sigma \quad \text{for } \sigma \in \mathfrak{g}, w \in W, \chi \in \hat{T}.$$

In other words, \hat{T} has a (\mathfrak{g}, W) -module structure. By linearity, this structure is trivially extended to the vector space $\hat{T}^{\mathfrak{Q}} = \mathfrak{Q} \otimes \hat{T}$.

* For an algebraic set A we denote by A_K the subset of K -rational points.

Let K/k be a finite Galois splitting field for T . The action of \mathfrak{g} on \hat{T} is essentially that of the finite group $\mathfrak{g}(K/k)$, the Galois group of K/k . Denoting by (ξ, η) a usual noncanonical inner product on $\hat{T}^{\mathfrak{q}}$, put

$$\langle \xi, \eta \rangle = \sum_{\substack{w \in W \\ \sigma \in \mathfrak{g}(K/k)}} ((w\xi)^\sigma, (w\eta)^\sigma).$$

It is clear that $\langle \xi, \eta \rangle$ is a positive definite inner product on $\hat{T}^{\mathfrak{q}}$ which is (\mathfrak{g}, W) -invariant in the sense that

$$\langle w\xi, w\eta \rangle = \langle \xi^\sigma, \eta^\sigma \rangle = \langle \xi, \eta \rangle \quad \text{for } w \in W, \sigma \in \mathfrak{g}.$$

An injective homomorphism x , defined over \bar{k} , of the additive group G_a of the universal domain into G is called a one parameter group of G . By a root of G with respect to T we mean a character $\alpha \in \hat{T}$ for which holds the relation

$$tx_\alpha(\lambda)t^{-1} = x_\alpha(\alpha(t)\lambda), \quad t \in T, \lambda \in G_a$$

for a suitable one parameter group x_α . The totality of roots with respect to T will be denoted by Δ . It is easy to verify that α^σ belongs to the one parameter group $x_{\alpha^\sigma} = x_\alpha^\sigma$ and $w\alpha$ belongs to the one parameter group $x_{w\alpha}(\lambda) = sx_\alpha(\lambda)s^{-1}$, with $w = s \bmod T$. Thus, \mathfrak{g} and W induce permutations on Δ . As a group of linear transformations on the vector space $\hat{T}^{\mathfrak{q}}$, W is generated by the symmetries w_α with respect to $\alpha \in \Delta$. By using the inner product $\langle \xi, \eta \rangle$, w_α is expressed as

$$w_\alpha \xi = \xi - \frac{2\langle \alpha, \xi \rangle}{\langle \alpha, \alpha \rangle} \alpha, \quad \xi \in \hat{T}^{\mathfrak{q}}.$$

In view of the (\mathfrak{g}, W) -invariance of the $\langle \xi, \eta \rangle$ on the (\mathfrak{g}, W) -space $\hat{T}^{\mathfrak{q}}$, one verifies easily that

$$(1) \quad w_\alpha^\sigma = w_{\alpha^\sigma} \quad \text{for } \sigma \in \mathfrak{g}, \alpha \in \Delta.$$

Let H_α be the hyperplane composed of $\xi \in \hat{T}^{\mathfrak{q}}$ such that $\langle \xi, \alpha \rangle = 0$. Any maximal convex set of the complement of $\bigcup_{\alpha \in \Delta} H_\alpha$ in $\hat{T}^{\mathfrak{q}}$ is called a chamber. Each chamber C is characterized by a $\{\pm 1\}$ -valued function $\varepsilon(\alpha)$ with $\varepsilon(-\alpha) = -\varepsilon(\alpha)$ in such a way that

$$C = \{ \xi \in \hat{T}^{\mathfrak{q}}; \varepsilon(\alpha) \langle \xi, \alpha \rangle > 0 \text{ for all } \alpha \in \Delta \}.$$

Since C^σ is characterized by the function $\varepsilon_\sigma(\alpha) = \varepsilon(\alpha^{\sigma^{-1}})$, the Galois group \mathfrak{g} permutes chambers. On the other hand, it is well known that the Weyl group W permutes chambers simply and transitively.

Now, let B be a Borel subgroup of G containing T : $B \in \mathbf{B}$. There is at least one B which is defined over \bar{k} . Since any other $B_1 \in \mathbf{B}$ is written as $B_1 = sBs^{-1}$ with $s \in N_{\bar{k}}$, one sees that every group in \mathbf{B} is defined over \bar{k} . It

is fundamental that W permutes groups in \mathbf{B} simply and transitively by

$$wB = sBs^{-1}, \quad w = s \pmod{T}$$

(Chevalley [1, Exposé n°. 9, §3]). The Galois group \mathfrak{g} also permutes these groups in an obvious way. For a group B in \mathbf{B} , put

$$\Delta_B = \{\alpha \in \Delta; \text{Im } x_\alpha \subset B\}.$$

One can easily verify that $w\Delta_B = \Delta_{wB}$ and $\Delta_B^\sigma = \Delta_{B^\sigma}$. Since Δ_B satisfies the condition for “positive roots”, the set

$$C_B = \{\xi \in \hat{T}^{\mathfrak{q}}; \langle \xi, \alpha \rangle > 0 \text{ for all } \alpha \in \Delta_B\}$$

becomes a chamber (Chevalley [1, Exposé n°. 14, §4]). By virtue of the (\mathfrak{g}, W) -invariance of $\langle \xi, \eta \rangle$, one sees that

$$(2) \quad wC_B = C_{wB}, \quad w \in W,$$

$$(3) \quad C_B^\sigma = C_{B^\sigma}, \quad \sigma \in \mathfrak{g}.$$

Since the set $\{wC_B, w \in W\}$ forms a partition of the complement of $\bigcup_{\alpha \in \Delta} H_\alpha$ in $\hat{T}^{\mathfrak{q}}$, (2) implies that the set $\{C_B, B \in \mathbf{B}\}$ forms the same partition. Hence, from (3), one gets

$$(4) \quad C_B^\sigma = C_B \Leftrightarrow B^\sigma = B.$$

We are now ready to prove our theorem. Suppose first that T is trivial over k . In terms of characters, this means that $\xi^\sigma = \xi$ for all $\sigma \in \mathfrak{g}$, $\xi \in \hat{T}^{\mathfrak{q}}$. Since the chambers C_B are subsets of $\hat{T}^{\mathfrak{q}}$, $C_B^\sigma = C_B$ for all $\sigma \in \mathfrak{g}$, $B \in \mathbf{B}$. Hence, by (4), $B^\sigma = B$ for all $\sigma \in \mathfrak{g}$, $B \in \mathbf{B}$, i. e., every $B \in \mathbf{B}$ is defined over k . Conversely, suppose that every $B \in \mathbf{B}$ is defined over k . Again by (4) $C_B^\sigma = C_B$ for all $\sigma \in \mathfrak{g}$, $B \in \mathbf{B}$, and hence every chamber is invariant under \mathfrak{g} . From (2), (3), $wC_B = (wC_B)^\sigma = (C_{wB})^\sigma = C_{(wB)^\sigma} = C_{w^\sigma B^\sigma} = C_{w^\sigma C_{B^\sigma}} = w^\sigma C_B = w^\sigma C_B$, and so $w^\sigma = w$ for all $\sigma \in \mathfrak{g}$, $w \in W$. Hence, by (1), $w_\alpha = w_{\alpha^\sigma}$ for all $\alpha \in \Delta$, $\sigma \in \mathfrak{g}$. Thus α and α^σ are colinear and, since both are roots, one must have $\alpha^\sigma = \pm\alpha$. Suppose that $\alpha^\sigma = -\alpha$ and take $B \in \mathbf{B}$ such that $\alpha \in \Delta_B$. Then $-\alpha = \alpha^\sigma \in \Delta_B^\sigma = \Delta_{B^\sigma} = \Delta_B$, a contradiction. Hence every $\alpha \in \Delta$ is invariant by \mathfrak{g} . Since roots generate $\hat{T}^{\mathfrak{q}}$, the \mathfrak{g} -module \hat{T} is trivial, i. e., T is trivial over k . Finally, suppose that T is trivial over k . Take any $B, B_1 \in \mathbf{B}$. There is an $s \in N_{\bar{k}}$ such that $B_1 = sBs^{-1}$. Since B, B_1 are defined over k by what we have proved, one has $B_1 = s^\sigma B s^{-\sigma}$ for $\sigma \in \mathfrak{g}$, and hence $s^{-1}s^\sigma \in (\text{normalizer of } B) \cap N_{\bar{k}} = B \cap N_{\bar{k}} = T_{\bar{k}}$ (Chevalley [1, Exposé n°. 9, §3]). As $(s^{-1}s^\sigma)$ is a cocycle of \mathfrak{g} in $T_{\bar{k}}$ and T is trivial over k , one can find, by Hilbert's Theorem 90, a point $t \in T_{\bar{k}}$ such that $s^{-1}s^\sigma = t^{-1}t^\sigma$. Hence $B_1 = uBu^{-1}$ with $u = st^{-1} \in N_{\bar{k}}$. Q. E. D.

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References

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- [2] M. Rosenlicht, *Some rationality questions on algebraic groups*, *Ann. Mat. Pura Appl.*, **43** (1957), 25–50.