

On injective modules and flat modules

By Takeshi ISHIKAWA

(Received Dec. 14, 1964)

§0. Introduction.

In this paper we will first establish a duality between injectivity and flatness for modules. That is: Let E be a faithfully injective module¹⁾. Then, for each module A , we have $W. \dim. A = Id \operatorname{Hom}(A, E)$ and further A is faithfully flat if and only if $\operatorname{Hom}(A, E)$ is faithfully injective. (Theorem 1.4). Moreover, when the ring is noetherian, we have $Id A = W. \dim \operatorname{Hom}(A, E)$ and A is faithfully injective if and only if $\operatorname{Hom}(A, E)$ is faithfully flat (Theorem 1.5). In this case we have also $Id A = Id A \otimes M$, where M is a faithfully flat module, and A is faithfully injective if and only if so is $A \otimes M$ (Theorem 1.3). In case of (semi-) local rings, from these results, we can prove that the self-injective dimension of the ring is equal to the weak dimension of the canonical injective module¹⁾ of the ring.

Next, using above results, we will treat a problem: Is the tensor product of injective modules over a commutative ring again injective²⁾? When the ring is an integral domain, we can easily say "Yes" (cf. [4]). If the ring is noetherian and every principal ideal is projective (or flat), the ring is a direct sum of a finite number of integral domains [5, Lemma 3], so in this case the answer is also affirmative. In case of noetherian rings, we will give a condition for an affirmative answer and its several equivalent conditions (Theorem 2.4).

§1. Duality.

Let R, S be two rings. We consider the situation $({}_R A, {}_R B_S, {}_S C)$, that is, A is a left R -module, B is a left R -right S -bimodule and C is a left S -module. Then we can define a homomorphism:

$$\tau : \operatorname{Hom}_R(A, B) \otimes_S C \rightarrow \operatorname{Hom}_R(A, B \otimes_S C)$$

* The author gratefully acknowledges partial support from the Sakkokai Foundation.

1) See [6] for the definition.

2) This problem was first proposed by N. Yoneda.

by setting $[\tau(\sum_i (f_i \otimes c_i))]a = \sum_i (f_i(a) \otimes c_i)$ for $f_i \in \text{Hom}_R(A, B)$, $a \in A$ and $c_i \in C$.

LEMMA 1.1. 1) τ is an isomorphism, if A is R -projective and finitely generated. 2) τ is a monomorphism (resp. an isomorphism), if A is R -finitely generated (resp. R -finitely presented³⁾) and C is S -flat.

PROOF. If $A = R$, τ is obviously an isomorphism. Hence 1) follows from an easy direct sum argument.

Now, let A be finitely generated (resp. finitely presented). Then we have an R -free module F with a finite base (resp. R -free modules F, F' with finite bases) such that $F \rightarrow A \rightarrow 0$ (resp. $F' \rightarrow F \rightarrow A \rightarrow 0$) is exact. Being C S -flat, we have the following commutative diagram with exact rows:

$$\begin{array}{ccccccc} 0 & \longrightarrow & \text{Hom}_R(A, B) \otimes_S C & \longrightarrow & \text{Hom}_R(F, B) \otimes_S C & \longrightarrow & \text{Hom}_R(F', B) \otimes_S C \\ & & \downarrow \tau_A & & \downarrow \tau_F & & \downarrow \tau_{F'} \\ 0 & \longrightarrow & \text{Hom}_R(A, B \otimes_S C) & \longrightarrow & \text{Hom}_R(F, B \otimes_S C) & \longrightarrow & \text{Hom}_R(F', B \otimes_S C). \end{array}$$

Since τ_F is an isomorphism (resp. τ_F and $\tau_{F'}$ are isomorphisms) by 1), τ_A is a monomorphism (resp. an isomorphism) by Five Lemma.

COROLLARY 1.2. If A has a projective resolution composed of finitely generated modules (e.g. if R is left noetherian and A is finitely generated) and C is S -flat, then we have

$$\text{Ext}_R^n(A, B) \otimes_S C \cong \text{Ext}_R^n(A, B \otimes_S C) \quad (n \geq 0).$$

PROOF. This follows immediately from Lemma 1.1 and [2, IV, Th. 7.2].

THEOREM 1.3. Let R be left noetherian, M be a faithfully flat left S -module and A be a left R -right S -bimodule. Then we have

$$\text{Id}_R A = \text{Id}_R(A \otimes_S M)$$

Further, A is faithfully injective if and only if so is $A \otimes_S M$.

PROOF. The first part follows directly from the above corollary and [6, Th. 2.1.].

For the second part, by Lemma 1.1 we have $\text{Hom}_R(R/\mathfrak{m}, A) \otimes_S M \cong \text{Hom}_R(R/\mathfrak{m}, A \otimes_S M)$ for each left maximal ideal \mathfrak{m} of R . Therefore, M being faithfully flat, $\text{Hom}_R(R/\mathfrak{m}, A) = 0$ if and only if $\text{Hom}_R(R/\mathfrak{m}, A \otimes_S M) = 0$, which implies the assertion by [6, Th. 3.1].

THEOREM 1.4⁴⁾. Let E be a faithfully injective right S -module and A be a left R -right S -bimodule. Then we have

$$(1) \quad W.\dim_R A = (r) \text{Id}_R \text{Hom}_S(A, E)$$

Moreover, A is faithfully flat if and only if $\text{Hom}_S(A, E)$ is faithfully

3) An R -module A is called finitely presented if there exist R -free modules F, F' with finite bases such that $F' \rightarrow F \rightarrow A \rightarrow 0$ is exact.

4) Cf. [6, Prop. 3.6].

injective.

PROOF. By [2, VI, Prop. 5.1] we have $\text{Ext}_R^n(X, \text{Hom}_S(A, E)) \cong \text{Hom}_S(\text{Tor}_n^R(X, A), E)$ for each right R -module X . Thus $\text{Ext}_R^n(X, \text{Hom}_S(A, E)) = 0$ if and only if $\text{Tor}_n^R(X, A) = 0$ by [6, Th. 3.1]. This implies the first part of the theorem. The second part is obtained similarly as in the proof of Theorem 1.3, using the isomorphism [2, II, Prop. 5.2] $\text{Hom}_R(R/\mathfrak{m}, \text{Hom}_S(A, E)) \cong \text{Hom}_S(R/\mathfrak{m} \otimes_R A, E)$ for each right maximal ideal \mathfrak{m} of R .

Now, we will prove the dual of Theorem 1.4. That is:

THEOREM 1.5⁵⁾. *Let R be left noetherian and E, A be same as in Theorem 1.4. Then we have*

$$(l) \text{Id}_R A = (r) W. \dim_R \text{Hom}_S(A, E)$$

Moreover, A is faithfully injective if and only if $\text{Hom}_S(A, E)$ is faithfully flat.

PROOF. The first part follows similarly as in Theorem 1.4, using the isomorphism $\text{Tor}^R(\text{Hom}_S(A, E), X) \cong \text{Hom}_S(\text{Ext}_R(X, A), E)$ [2, VI, Prop. 5.3], where X is any finitely generated left R -module. The second part follows also similarly by means of the following.

LEMMA 1.6. *Let $({}_R X, {}_R A_S, E_S)$ be the situation. If E is S -injective and X is R -finitely presented, then the homomorphism*

$$\sigma : \text{Hom}_S(A, E) \otimes_R X \rightarrow \text{Hom}_S(\text{Hom}_R(X, A), E)$$

in [2, VI, §5] is an isomorphism.

PROOF. There exists an exact sequence $F' \rightarrow F \rightarrow X \rightarrow 0$ with F', F free R -modules with finite bases. Since E is injective, we have the following commutative diagram with exact rows:

$$\begin{array}{ccccccc} \text{Hom}_S(A, E) \otimes_R F' & \rightarrow & \text{Hom}_S(A, E) \otimes_R F & \rightarrow & \text{Hom}_S(A, E) \otimes_R X & \rightarrow & 0 \\ \downarrow \sigma_{F'} & & \downarrow \sigma_F & & \downarrow \sigma_X & & \\ \text{Hom}_S(\text{Hom}_R(F', A), E) & \rightarrow & \text{Hom}_S(\text{Hom}_R(F, A), E) & \rightarrow & \text{Hom}_S(\text{Hom}_R(X, A), E) & \rightarrow & 0. \end{array}$$

Thus, σ_X is an isomorphism, since σ_F and $\sigma_{F'}$ are isomorphisms by [2, VI, Prop. 5.2].

Now we will apply above Theorem 1.5 to the case of commutative noetherian (semi-) local rings.

THEOREM 1.7. *Let R be a commutative noetherian (semi-) local ring, \mathfrak{m} be its maximal ideal (Jacobson radical) and \tilde{R} be the completion of R . Then we have*

- (1) \tilde{R} is faithfully flat as an R -module.

5) After I obtained Theorem 1.4 and 1.5, I accepted an information from H. Yanagihara that he obtained our Theorem 1.5 in case $S=Z$ (the ring of integers) and further he informed to me that our Theorem 1.4 was obtained in case $S=Z$ by J. Lambek in [Canad. Math. Bull. 7 (1964)].

(2) $Id_R(R/\mathfrak{m}) = gl. \dim R$.

(3) $Id_R R = W. \dim_R E_R(R/\mathfrak{m})^{6)} = Id_{\tilde{R}} \tilde{R} = Id_R \tilde{R}$.

PROOF. (1) This is a well known result. Here we give a new proof. By [7, Th. 3.7], \tilde{R} is isomorphic to $\text{Hom}_R(E_R(R/\mathfrak{m}), E_R(R/\mathfrak{m}))$ as an R -module. Hence, the result follows from Theorem 1.5 by putting $S = R$ and $A = E = E_R(R/\mathfrak{m})$, since $E_R(R/\mathfrak{m})$ is actually faithfully injective.

(2) By [7, Th. 3.4], $\text{Hom}_R(R/\mathfrak{m}, E_R(R/\mathfrak{m})) \cong R/\mathfrak{m}$. Hence $Id_R R/\mathfrak{m} = W. \dim_R R/\mathfrak{m}$ by Theorem 1.5. $W. \dim_R R/\mathfrak{m} = hd_R R/\mathfrak{m} = gl. \dim R$ is well known.

(3) Put $S = A = R$ and $E = E_R(R/\mathfrak{m})$ in Theorem 1.5 and we have $Id_R R = W. \dim_R E_R(R/\mathfrak{m})$. Also we have $Id_{\tilde{R}} \tilde{R} = W. \dim_{\tilde{R}} E_{\tilde{R}}(\tilde{R}/\tilde{\mathfrak{m}})$ by replacing R with \tilde{R} . Further, put $S = A = \tilde{R}$ and $E = E_{\tilde{R}}(\tilde{R}/\tilde{\mathfrak{m}})$ and we have $Id_{\tilde{R}} \tilde{R} = W. \dim_{\tilde{R}} E_{\tilde{R}}(\tilde{R}/\tilde{\mathfrak{m}})$. On the other hand we have $E_R(R/\mathfrak{m}) = E_{\tilde{R}}(\tilde{R}/\tilde{\mathfrak{m}})$ by [7, Th. 3.6]. Therefore we have only to prove $W. \dim_R E_R(R/\mathfrak{m}) = W. \dim_{\tilde{R}} E_{\tilde{R}}(\tilde{R}/\tilde{\mathfrak{m}})$. Since \tilde{R} is faithfully flat, we can easily see that the sequence $0 \rightarrow X_n \rightarrow \dots \rightarrow X_0 \rightarrow E_R(R/\mathfrak{m}) \rightarrow 0$ of R -modules is exact if and only if the sequence $0 \rightarrow X_n \otimes_R \tilde{R} \rightarrow \dots \rightarrow X_0 \otimes_R \tilde{R} \rightarrow E_R(R/\mathfrak{m}) \otimes_R \tilde{R} \rightarrow 0$ of \tilde{R} -modules is exact, and that X is R -flat if and only if $X \otimes_R \tilde{R}$ is \tilde{R} -flat. Therefore we have $W. \dim_R E(R/\mathfrak{m}) = W. \dim_{\tilde{R}} (E_R(R/\mathfrak{m}) \otimes_R \tilde{R})$. On the other hand, it is easily seen that $E_R(R/\mathfrak{m}) \otimes_R \tilde{R}$ is isomorphic to $E_R(R/\mathfrak{m})$ by the facts that $E_R(R/\mathfrak{m})$ is an \mathfrak{m} -primary module and \tilde{R} is R -faithfully flat.

§ 2. Tensor product of injective modules.

E. Matlis proved in [7] that any injective module over a commutative noetherian ring R is a direct sum of indecomposable injective modules and that each indecomposable injective module is isomorphic to $E_R(R/\mathfrak{p})$ for some prime ideal \mathfrak{p} of R . So, in commutative noetherian case, to examine the injectivity of tensor product of injective modules, we may restrict our attention to the modules $E_R(R/\mathfrak{p}) \otimes_R E_R(R/\mathfrak{p}')$, where \mathfrak{p} and \mathfrak{p}' are prime ideals of R , since the tensor product commutes with direct sums [2, V, Prop. 9.2] and a direct sum of any family of injective modules over a noetherian ring is again injective [cf. 2, I, Ex. 8].

From now on, R will always denote a commutative noetherian ring. We begin with easy lemmas.

LEMMA 2.1. *Let \mathfrak{p} and \mathfrak{p}' be two prime ideals of R . Then $E_R(R/\mathfrak{p}) \otimes_R E_R(R/\mathfrak{p}') = 0$ if $\mathfrak{p} \neq \mathfrak{p}'$ or $\mathfrak{p} = \mathfrak{p}'$ contains a non zero divisor.*

PROOF. Assume that \mathfrak{p} is not contained in \mathfrak{p}' . Let x and y be any non zero elements of $E_R(R/\mathfrak{p})$ and $E_R(R/\mathfrak{p}')$ respectively. Then, $\mathfrak{p}^n x = 0$ for some

6) $E_R(A)$ denotes the injective envelope of A as an R -module. See [3] for the definition and [7] for fundamental properties.

positive integer n , since $0(x)$ (the order ideal of x) is a \mathfrak{p} -primary ideal [7, Lemma 3.2]. Since \mathfrak{p}^n is not contained in \mathfrak{p}' , there exists an element r of \mathfrak{p}^n which is not contained in \mathfrak{p}' . Then $0(r)$ is contained in $0(y)$, since $0(y)$ is \mathfrak{p}' -primary. Therefore we can define a homomorphism $f: Rr \rightarrow E_R(R/\mathfrak{p}')$ by setting $f(\lambda r) = \lambda y$ for $\lambda r \in Rr$. Since $E_R(R/\mathfrak{p}')$ is injective, there exists a homomorphism $g: R \rightarrow E_R(R/\mathfrak{p}')$ such that $f = gi$, where i is the inclusion map of Rr into R . Hence y is divisible by r in $E_R(R/\mathfrak{p}')$, that is, we have an element $y' (= g(1))$ of $E_R(R/\mathfrak{p}')$ such that $y = ry'$. Thus $x \otimes y = x \otimes ry' = xr \otimes y' = 0$, which implies the assertion.

In case that $\mathfrak{p} = \mathfrak{p}'$ contains a non zero divisor, the result follows quite similarly from the fact that an element of an injective module is divisible by any non zero divisor of R .

LEMMA 2.2. *Assume that the rank of any belonging prime ideal of zero is not greater than one. Then every tensor product of injective modules is again injective if and only if $E_R(R) \otimes_R E_R(R)$ is injective.*

PROOF. Let $E_R(R) \otimes_R E_R(R)$ be injective. By Lemma 2.1 we have only to prove that $E_R(R/\mathfrak{p}) \otimes_R E_R(R/\mathfrak{p})$ is injective for any prime ideal \mathfrak{p} which contains no non zero divisor. But such a \mathfrak{p} belongs to zero, since the rank of any belonging prime ideal of zero is not greater than one.

Hence $E_R(R/\mathfrak{p})$ is isomorphic to a direct summand of $E_R(R)$ by [7, Th. 2.3 and Prop. 3.1]. Therefore $E_R(R/\mathfrak{p}) \otimes E_R(R/\mathfrak{p})$ is isomorphic to a direct summand of $E_R(R) \otimes E_R(R)$ and hence injective.

LEMMA 2.3. *Let K be the full ring of quotients of R . Then we have $E_R(R) = E_R(K) = E_K(K)$.*

PROOF. Since K is an essential extension of R as an R -module, we have $E_R(R) = E_R(K)$. $E_R(K)$ is an essential extension of K as an R -module, and hence as a K -module. Further, any R -injective K -module is obviously K -injective. So we have $E_R(K) = E_K(K)$.

Now, we will proceed to prove a theorem which gives sufficient conditions for the injectivity of tensor product of injective modules.

THEOREM 2.4. *Let R be a commutative noetherian ring and K be its full ring of quotients. Then the following conditions are equivalent:*

- (1) $K = E_R(R)$ i. e. K is K -injective (or R -injective).
- (2) $Id_K K < \infty$ (or $Id_R K < \infty$).
- (3) 0 is unmixed and its primary components are irreducible.
- (4) $E_R(R)$ is R -flat (or $E_R(R) = E_K(K)$ is K -flat).
- (5) $W. \dim_R E_R(R) < \infty$ (or $W. \dim_K E_K(K) < \infty$).
- (6) $\text{Hom}_R(E_R(R), E_R(R))$ is R -injective.
- (7) $\text{Hom}_R(E_R(R), E_R(R))$ is isomorphic to $E_R(R)$.
- (8) $E_R(R)$ is a finite K -module and $E_R(R) \otimes_R E_R(R)$ is isomorphic to $E_R(R)$.

Moreover, in this case, a tensor product of any family of injective modules is again injective.

PROOF. The equivalence of (1), (2) and (3) is given in [1, Prop. 6.1]. The implications (1) \Rightarrow (4) \Rightarrow (5), (1) \Rightarrow (7) \Rightarrow (6) and (1) \Rightarrow (8) follow directly from the facts that K is R -flat, and $\text{Hom}_R(K, K)$ and $K \otimes_R K$ are both naturally isomorphic to K . Now we will prove implications (5) \Rightarrow (2), (6) \Rightarrow (1) and (8) \Rightarrow (3), which complete the proof of the first part. The second part follows directly from (3) and (8) by Lemma 2.2.

(5) \Rightarrow (2): Let $W.\dim_R E_R(R) < \infty$. By Lemma 2.3 this is obviously equivalent to $W.\dim_K E_K(K) < \infty$. Since R is noetherian, K is semi-local and its maximal ideals are the prime ideals which are maximal in the set of the belonging prime ideals of zero in K . Therefore $E_K(K)$ contains the canonical injective K -module $E_K(K/\mathfrak{n})$, where \mathfrak{n} is the Jacobson radical of K . Hence we have $W.\dim_K E_K(K/\mathfrak{n}) < \infty$, which implies $Id_K K < \infty$ by Theorem 1.7, (3).

(6) \Rightarrow (1): Obviously the assumption is equivalent to the K -injectivity of $\text{Hom}_K(E_K(K), E_K(K))$. Let \mathfrak{m} be a maximal ideal of K . Then $\tilde{K}_{\mathfrak{m}}$, the completion of $K_{\mathfrak{m}}$, is isomorphic to $\text{Hom}_K(E_K(K/\mathfrak{m}), E_K(K/\mathfrak{m}))$ [7, Th. 3.7] and hence is isomorphic to a direct summand of $\text{Hom}_K(E_K(K), E_K(K))$. Therefore $\tilde{K}_{\mathfrak{m}}$ is K -injective for each maximal ideal \mathfrak{m} of K , which implies that \tilde{K} is K -injective. Thus K is self injective by Theorem 1.7, (3).

(8) \Rightarrow (3): Let \mathfrak{p} be any belonging prime ideal of zero in R . Then $E_K(K/\mathfrak{p}K)$ is isomorphic to a direct summand of $E_K(K) = E_R(R)$. Therefore $E_K(K/\mathfrak{p}K)$ is K -finitely generated and hence $E_K(K/\mathfrak{p}K)_{\mathfrak{p}K} = E_{K_{\mathfrak{p}K}}(K_{\mathfrak{p}K}/\mathfrak{p}K_{\mathfrak{p}K})$ is $K_{\mathfrak{p}K}$ -finitely generated. Thus $K_{\mathfrak{p}K}$ has the minimum condition by [6, Cor. 4.6], which implies that \mathfrak{p} is minimal. The irreducibility of \mathfrak{p} -primary component follows from the comparison between the numbers of indecomposable components isomorphic to $E_R(R/\mathfrak{p})$ in both decompositions of $E_R(R) \otimes E_R(R)$ and $E_R(R)$.

Tokyo Metropolitan University

References

- [1] H. Bass, On the ubiquity of Gorenstein rings, *Math. Z.*, **82** (1963), 8-28.
- [2] H. Cartan and S. Eilenberg, *Homological algebra*, Princeton Univ. Press, 1956.
- [3] B. Eckmann and A. Schopf, Uber injektive Moduln, *Arch. d. Math.*, **4** (1953), 75-78.
- [4] A. Hattori, On \mathcal{A} -injectivity (in Japanese), *Sugaku*, **8** (1957), 208-209.
- [5] A. Hattori, A foundation of torsion theory for modules over general rings, *Nagoya Math. J.*, **17** (1960), 147-158.
- [6] T. Ishikawa, Faithfully exact functors and their applications to projective modules and injective modules, *Nagoya Math. J.*, **24** (1964), 29-42.
- [7] E. Matlis, Injective modules over noetherian rings, *Pacific J. Math.*, **8** (1958), 511-528.