

## On the pre-closedness of the potential operator

Dedicated to Professor Iyanaga on his 60th birthday

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**§1. Introduction.** Let  $X$  be a separable, locally compact, non-compact Hausdorff space, and  $B$  be the completion with respect to the maximum norm of the space  $C_0(X)$  of real-valued continuous functions with compact supports defined in  $X$ . G. A. Hunt [1] introduced the notion of the potential operator  $V$  as a positive linear operator on  $D(V) \subseteq B$  with  $D(V) \supseteq C_0(X)$  into  $B$  satisfying the "principle of positive maximum"<sup>1)</sup>:

- (1) For any  $f \in C_0(X)$ , we have  $\sup_{f(x) > 0} (Vf)(x) = \sup_{x \in X} (Vf)(x)$  if the latter supremum is positive.

The fundamental result of Hunt reads as follows:

**THEOREM.** *Let  $V$  satisfy (1) and the condition that*

- (2)  $V \cdot C_0(X)$  is dense in  $B$ .

*Then, there exists a uniquely determined semi-group  $\{T_t; t \geq 0\}$  of class  $(C_0)$  of positive contraction linear operators  $T_t$  on  $B$  into  $B$  such that*

- (3)  $AVf = -f$ ,  $f \in C_0(X)$ , for the infinitesimal generator  $A$  of  $T_t$ .

An operator-theoretical proof of this theorem was given in K. Yosida [2], showing that the resolvent  $J_\lambda = (\lambda I - A)^{-1}$ ,  $\lambda > 0$ , of  $A$  is the continuous extension to the whole space  $B$  of the operator  $\hat{J}_\lambda$  defined by

- (4)  $\lambda Vf + f \rightarrow Vf$ ,  $f \in C_0(X)$ ,

with an additional remark that

- (5)  $V^{-1}$  exists and  $V^{-1} = -A$  if and only if  $V$  is closed.

The purpose of the present note is to show that *the restriction  $V|C_0(X)$  of  $V$  to  $C_0(X)$  is pre-closed so that its smallest closed extension, which shall be*

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1) This principle, sometimes called as the "weak principle of positive maximum", is proved on page 220 of [2] in the course of the proof of:

(1)' For any  $f \in C_0(X)$ , the condition  $(Vf)(x_0) = \sup_{x \in X} (Vf)(x)$  implies  $f(x_0) \geq 0$ .

It is also proved on the same page that (1)' is a consequence of (1) and (2).

denoted by the same letter  $V$ , satisfies the true Poisson equation for the potential of functions:

$$(5)' \quad V = -A^{-1}.$$

The closure property of  $V$  is important, since, as in [2], we can prove:

- (6) for any  $\lambda > 0$ , the inverse  $(\lambda V + I)^{-1}$  exists as a continuous linear operator on  $B$  into  $B$  so that  $J_\lambda = (\lambda I - A)^{-1} = V(\lambda V + I)^{-1}$ . In particular, for any  $g \in B$ , there exists a uniquely determined  $f$  in the domain  $D(V)$  of  $V$  with  $\lambda Vf + f = g$ .

Thus, applying the closed range theorem and its corollary in K. Yosida [3] to the closed linear operator  $(\lambda V + I)$ , we obtain:

- (7) for any  $\lambda > 0$ , the inverse  $(\lambda V^* + I^*)^{-1}$ , of the dual operator  $(\lambda V + I)$  exists as a continuous linear operator on the dual space  $B^*$  of  $B$  into  $B^*$ . In particular, for any measure  $\gamma \in B^*$ , there exists a uniquely determined measure  $\varphi \in D(V^*)$  such that  $\lambda V^*\varphi + \varphi = \gamma$ .

Moreover, since the domain  $D(A)$  of the infinitesimal generator  $A$  is dense in  $B$ , we have  $(A^*)^{-1} = (A^{-1})^*$  by the denseness in  $B$  of the range  $R(A) = D(V)$ . For the proof, see p. 224 in K. Yosida [3]. Therefore, by (5)', we have the true Poisson equation for the potential of measures:

$$(5)'' \quad V^* = -(A^*)^{-1}.$$

**§2. Proofs of the pre-closedness of the operator  $V|C_0(X)$ .** We have to prove  $g=0$  from  $f_n \in C_0(X)$ ,  $s\text{-}\lim_{n \rightarrow \infty} f_n = 0$  and  $s\text{-}\lim_{n \rightarrow \infty} Vf_n = g$ .

THE FIRST PROOF (by Tanaka). From (33) in [2], we have  $AVf = -f$ . Thus, by the closure property of the operator  $A$ , we have  $Ag = 0$ . By  $J_\lambda = (\lambda I - A)^{-1}$ , we have  $(I - \lambda J_\lambda)g = -J_\lambda Ag = 0$  so that  $g = s\text{-}\lim_{\lambda \downarrow 0} \lambda J_\lambda g$ . That the latter  $s\text{-}\lim$  is  $= 0$  is proved in the paragraph following (35) of [2].

THE SECOND PROOF (by Yoshida). By (32) in [2], we have  $Vf = \lambda J_\lambda Vf + J_\lambda f$  so that  $g = s\text{-}\lim_{n \rightarrow \infty} Vf_n = \lambda J_\lambda g$ . Hence  $g = 0$  as in the first proof.

THE THIRD PROOF (by Watanabe). It is straightforward in the sense that it only makes use of the principle of positive maximum. It reads as follows.

Suppose  $g(x_0) > 0$  for some  $x_0 \in X$ . Let  $K$  be any compact set of  $X$  such that  $K \ni x_0$ . Let  $h \in C_0(X)$  be such that  $h(x) = 1$  on  $K$ . Then  $\|V(f_n h)\| \leq \|f_n\| \cdot \|Vh\|$ . Hence  $s\text{-}\lim_{n \rightarrow \infty} V(1-h)f_n = g$ . Choose  $n$  so large that

$$(8) \quad |(V(1-h)f_n)(x) - g(x)| < \frac{1}{4}g(x_0) \quad \text{for all } x.$$

Then

$$(V(1-h)f_n)(x_0) \geq \frac{3}{4}g(x_0) > 0, \text{ and support } ((1-h)f_n) \subseteq X-K.$$

By the principle of positive maximum, there exists thus a point  $x_1 \in X-K$  such that  $(V(1-h)f_n)(x_1) \geq (V(1-h)f_n)(x_0)$  and so, by (8),  $|g(x_1)| \geq \frac{1}{2}g(x_0)$ . Since  $K$  was arbitrary, this contradicts to the fact that  $g(x) \in B$  tends to zero at infinity. Therefore  $g$  must be  $\leq 0$  everywhere. In the same way, we can prove  $g \geq 0$  everywhere. This prove  $g=0$ .

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### References

- [ 1 ] G. A. Hunt, Markov processes and potentials, II, Illinois J. Math., 1 (1957), 316-369.
- [ 2 ] K. Yosida, Positive resolvents and potentials, Z. für Wahrscheinlichkeitstheorie und Verw. Gebiete, 8 (1967), 210-218.
- [ 3 ] K. Yosida, Functional Analysis, Springer-Verlag, Berlin-Heidelberg-New York, 1966.