

## On the algebraic theory of elliptic modular functions<sup>1)</sup>

Dedicated to S. Iyanaga on his 60th birthday

By Jun-ichi IGUSA

(Received Jan. 23, 1967)

Let  $k$  denote an algebraically closed field over a prime field  $F (= \mathbf{Q}$  or  $\mathbf{Z}/p\mathbf{Z})$  and  $j$  a variable over  $k$ . Choose an elliptic curve  $A_j$  defined over  $F(j)$  with  $j$  as its absolute invariant. Two such elliptic curves are isomorphic, but the isomorphism is not necessarily defined over  $F(j)$ . In order to avoid this difficulty, we introduce the Kummer morphism " $Ku$ " defined over  $F(j)$ . Then, for every positive integer  $n$ , the field  $F(j, Ku(nA_j))$  is *intrinsic* in the sense that it is a uniquely determined finite normal extension of  $F(j)$  depending only on  $p$  and  $n$ . In the case when  $n$  is not divisible by  $p$ , the extension is separable and, taking  $k$  instead of  $F$  as ground field, it is called the *elliptic modular function field* of level  $n$  in characteristic  $p$ . If we take  $\mathbf{C}$  as  $k$ , we get back to the classical case. One of the basic theorems in the algebraic theory of elliptic modular functions describes the Galois group and the ramification of  $F(j, Ku(nA_j))$  relative to  $F(j)$  (5). The purpose of this paper is to give a similar description also in the case when  $n = p^e$  for  $p \neq 0$ . It turns out that  $F(j, Ku(nA_j))$  is a regular extension of  $F$  (cf. 8) and a normal extension of degree  $\frac{1}{2} \cdot p^{2e-1}(p-1)$  of  $F(j)$ . Furthermore, the separable part has the same Galois group as  $\mathbf{Q}(\cos(2\pi/n))$  relative to  $\mathbf{Q}$ . The ramification (of the separable part) takes place at supersingular invariants (cf. 2) and also at  $j=0, 12^3$  so that the genus  $g$  of  $F(j, Ku(nA_j))$  is given by

$$2g-2 = (1/24)(p-1)(p^{2e-1}-12p^{e-1}+1)-h,$$

in which  $h$  is the number of supersingular invariants. The formula has to be adjusted by  $-3/8$  and  $-1/3$  respectively for  $p=2$  and  $3$ . Also, in the special case when  $p=2$ ,  $e=1$ , we have to take  $g=0$ . It seems possible to better understand this genus formula by the Kroneckerian geometry, i.e., by the geometry of a scheme over  $\mathbf{Z}$  constructed from  $\mathbf{Q}(j, Ku(nA_j))$ .

**1. Jacobi quartics.** We shall assume that the characteristic  $p$  is different from 2. Consider a plane curve defined inhomogeneously by the following

1) This work was partially supported by the National Science Foundation.

equation

$$Y^2 = X^4 - 2\rho \cdot X^2 + 1.$$

This curve is absolutely irreducible if and only if  $\rho^2 \neq 1$  (and  $\rho \neq \infty$ ). Moreover, in this case, the point at infinity is the only singularity and the curve is of genus 1. Therefore, we can introduce a normal law of composition over  $\mathbf{F}(\rho)$  taking the point  $(0, 1)$ , say, as its neutral element. We call the curve with this normal law of composition the *Jacobi quartic* of modulus  $\rho$  and we shall denote it by  $J_\rho$ . We note that the law of composition transported to a non-singular model  $A$ , say, of  $J_\rho$  converts  $A$  into an elliptic curve (= complete group variety of dimension 1). Moreover, under the morphism  $A \rightarrow J_\rho$ , two points of order 2 on  $A$  are mapped to the singular point of  $J_\rho$ . If  $u$  is a point of  $A$ , we shall denote the  $x$ -coordinate of the corresponding point of  $J_\rho$  by  $x(u)$ ; similarly for  $y(u)$ . We shall sometimes identify  $u$  with the corresponding point of  $J_\rho$  as long as it is different from the singular point. Then, for instance, we have

$$\pm u = (\pm x(u), y(u)).$$

Furthermore, if  $n$  is an odd positive integer and if we put  $x = x(u)$  and  $y = y(u)$ , we have

$$x(nu) = (-1)^{\frac{1}{2}(n-1)} \cdot x^{n^2} F_n(x^{-1}) F_n(x)^{-1}, \quad y(nu) = G_n(x) F_n(x)^{-2} \cdot y,$$

in which  $F_n(X)$  and  $G_n(X)$  are even polynomials in  $X$  with coefficients in  $\mathbf{F}[\rho]$ . If we denote  $X^{n^2} F_n(X^{-1})$  by  $T_n(X)$ , we have

$$T_n(X) = \prod_{na=0} (X - x(a))^{p^e},$$

in which  $p^e$  is the inseparability degree of the endomorphism  $n\delta$  of  $J_\rho$ . We call  $T_n(X)$  the  $n$ -th *division polynomial* of  $J_\rho$ . It is of degree  $n^2$  and is relatively prime to  $F_n(X)$ . We note also that, if  $a$  is a point of  $J_\rho$  of order  $n$ , we have  $\mathbf{F}(\rho, a) = \mathbf{F}(\rho, x(a))$ . More precisely, we have

$$y(a) = F_n(x(a))^2 \cdot G_n(x(a))^{-1},$$

in which  $G_n(x(a)) \neq 0$ . Therefore  $y(a)$  is contained in  $\mathbf{F}(\rho, x(a))$  and, in fact, in  $\mathbf{F}(\rho, x^2(a))$ . In the special case when  $n = p$  with  $p \neq 0$  and when  $\rho$  is assumed, for a moment, to be a variable over  $\mathbf{F}$ , we have

$$T_p(X) = X^p((X^p)^{p-1} + \sum_{0 < 2i < p-1} P(\rho) \gamma_i(\rho) \cdot (X^p)^{2i} + (-1)^{\frac{1}{2}(p-1)} P(\rho)),$$

in which  $P(\rho)$  is the  $\frac{1}{2}(p-1)$ -th *Legendre polynomial* and  $\gamma_i(\rho)$  are contained in  $\mathbf{F}[\rho]$ . We know that  $P(\rho)$  is a polynomial in  $\rho$  of degree  $\frac{1}{2}(p-1)$  with simple roots, and they are different from  $\pm 1$ . If we compare the two expressions for  $T_p(X)$ , we see that  ${}_pJ_\rho$  is a cyclic group of order  $p$  and

$$P(\rho) = (-1)^{\frac{1}{2}(p-1)} \left( \prod_{\substack{pa=0 \\ a \neq 0}} x(a) \right)^p.$$

Therefore, by specializing  $\rho$  to  $\rho'$  different from  $\pm 1$  and  $\infty$ , we see that  $P(\rho') = 0$  if and only if  ${}_p J_{\rho'}$  reduces to the neutral element. We refer to (4) for a systematic treatment, especially for the proofs of some non-trivial statements that we have made so far.

Now, we shall find all Jacobi quartics which are "isomorphic" to  $J_\rho$  and we shall also find the isomorphisms themselves. Suppose that we have  $\sigma: J_\rho \xrightarrow{\sim} J_{\rho'}$  for some  $\rho'$ . Then  $\sigma$  gives rise to an isomorphism not only of the corresponding function-fields but also of their subfields of even functions. We observe that these subfields are generated over the universal domain by  $(x^2, y)$  and  $((x')^2, y')$  respectively if  $(x', y')$  denote the coordinate functions on  $J_{\rho'}$ . In this way, we get an isomorphism  $\sigma_0$ , say, of the non-singular conic  $C_\rho$  defined inhomogeneously by

$$Y^2 = X^2 - 2\rho \cdot X + 1$$

to a similarly defined  $C_{\rho'}$ . All these conics (forming a linear pencil) pass through four points (the base points) with homogeneous coordinates  $(0, 1, 1)$ ,  $(0, 1, -1)$ ,  $(1, 1, 0)$ ,  $(1, -1, 0)$ . Since  $\sigma$  maps the neutral element of  $J_\rho$  to the neutral element of  $J_{\rho'}$ , necessarily  $\sigma_0$  keeps the point  $(0, 1, 1)$  fixed. We recall that every isomorphism between two non-singular conics is a projective transformation. Therefore  $\sigma_0$  determines an element  $S$  of  $PL_3$  keeping  $(0, 1, 1)$  fixed. On the other hand, the Jacobi quartic  $J_\rho$ , or its non-singular model  $A$ , is ramified over  $C_\rho$  at the four points on  $C_\rho$  that we have mentioned above; similarly for  $J_{\rho'}$  and  $C_{\rho'}$ . We know that  $S$  keeps  $(0, 1, 1)$  fixed. Therefore  $S$  has to permute the three remaining points. In this way, we get the following 3! possibilities

$$\begin{array}{ccc}
 S = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} & \begin{pmatrix} 1 & -1 & 1 \\ 2 & 0 & -2 \\ -1 & -1 & -1 \end{pmatrix} & \begin{pmatrix} 1 & 1 & -1 \\ -2 & 0 & -2 \\ 1 & -1 & -1 \end{pmatrix} \\
 \rho' = \rho & (\rho-3)(\rho+1)^{-1} & -(\rho+3)(\rho-1)^{-1} \\
 \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix} & \begin{pmatrix} 1 & -1 & 1 \\ -2 & 0 & 2 \\ 1 & 1 & 1 \end{pmatrix} & \begin{pmatrix} 1 & 1 & -1 \\ 2 & 0 & 2 \\ -1 & 1 & 1 \end{pmatrix} \\
 -\rho & -(\rho-3)(\rho+1)^{-1} & (\rho+3)(\rho-1)^{-1}.
 \end{array}$$

All these cases are possible. We note that  $\sigma$  determines  $\sigma_0$  uniquely and  $\sigma_0$  determines  $\sigma$  up to the sign of  $x$ . We have thus obtained the information that we shall use later.

We can consider those six values of moduli as defining a transformation

group on a projective straight line over  $F$ . The orbits consist of six points in general except for the degenerate case  $\{\pm 1, \infty\}$ , the harmonic case  $\{0, \pm 3\}$  and the equianharmonic case  $\{\pm(-3)^{\frac{1}{2}}\}$  with respective multiplicities 2, 2 and 3. We can identify these orbits to single points of another projective straight line over  $F$ . Up to a projective transformation, the identification morphism is given by

$$j = 2^6(\rho^2 + 3)^3(\rho^2 - 1)^{-2}.$$

This  $j$  is called the *absolute invariant* of  $J_\rho$  and also of any curve which is birationally equivalent to  $J_\rho$ . Actually, we know how to characterize  $j$  up to the Kroneckerian transformation  $j \rightarrow \pm j + \text{integer}$  (cf. 5). We note that the three exceptional orbits are mapped respectively to  $\infty$ ,  $12^3$  and 0. We also note that the  $\frac{1}{2}(p-1)$  simple roots of  $P(\rho)$  are divided into orbits. These orbits are mapped to *supersingular invariants* on the  $j$ -line (cf. 2). For instance 0 is the only supersingular invariant for  $p=3$ . Using the Kronecker symbol, we can write down the number  $h$  of supersingular invariants in general, and it is as follows

$$h = (1/12)(p-1) + (1/3)(1 - (-3/p)) + (1/4)(1 - (-4/p)).$$

**2. The field  $F(\rho, Ku({}_nJ_\rho))$  for  $n = p^e$ .** First of all, suppose that  $A$  is an elliptic curve defined over a field  $K$  of characteristic  $p \neq 0$ . Then, for  $n = p^e$  we have

$$[K({}_nA) : K]_s \leq p^{e-1}(p-1), \quad [K({}_nA) : K]_i \leq p^e,$$

provided that  ${}_pA$  is cyclic of order  $p$  for the second inequality. We leave the proof as an exercise to the reader. We say that an “irreducibility theorem” holds for  $A$  and  $n$  over  $K$  if we have equality signs. In this case, clearly the Galois group of the separable part of  $K({}_nA)$  over  $K$  is isomorphic to  $GL_1(\mathbf{Z}/n\mathbf{Z})$ , i. e., to the Galois group of  $Q(e^{2\pi i/n})$  over  $Q$ .

Now, we take an algebraically closed field  $k$  containing  $F$  for  $p \neq 2$  and also a variable  $\rho$  over  $k$ . We shall show that the irreducibility theorem holds for  $J_\rho$  and  $n = p^e$  over  $k(\rho)$ . We choose a sequence of points

$$\cdots a_{m+1}, \quad a_m, \cdots, \quad a_1 \neq 0, \quad a_0 = 0$$

of  $J_\rho$  with the property  $pa_{m+1} = a_m$  for  $m = 0, 1, 2, \dots$ . Then we have  $F(\rho, {}_nJ_\rho) = F(\rho, x(a_e))$ . In fact  ${}_nJ_\rho$  is a cyclic group of order  $n$  and  $a_e$  is one of the generators. Since the law of composition of  $J_\rho$  is defined over  $F(\rho)$ , we have  $F(\rho, {}_nJ_\rho) = F(\rho, a_e)$ , and, as we have seen, this coincides with  $F(\rho, x(a_e))$ . After this remark, we take a root  $\rho'$  of the Legendre polynomial  $P(\rho)$ . We shall show that: (1) there exists only one point  $P_e$  in  $K_e = k(\rho, x(a_e))$  lying over  $\rho'$ ;

(2)  $x(a_e)$  is a local parameter of  $K_e$  at  $P_e$ ; and (3) the order of  $\rho - \rho'$  at  $P_e$  is  $p^{2e-1}(p-1)$ . We shall prove (1), (2), (3) by an induction on  $e$ .

We observe that  $x(a_1)$  is a root of

$$T_p(X)X^{-p} = (X^p)^{p-1} + P(\rho) \cdot U_0(\rho, X),$$

in which

$$U_0(\rho, X) = \sum_{0 \leq 2i < p-1} \gamma_i(\rho) \cdot (X^p)^{2i} + (-1)^{\frac{1}{2}(p-1)}.$$

Let  $t_1$  denote a local parameter of a point of  $K_1$  lying over  $\rho'$ . We shall compute orders of elements of  $K_1$  with respect to  $t_1$ . Since  $U_0(\rho, x(a_1))$  is a unit at  $t_1 = 0$ , we have

$$p(p-1) \cdot \text{ord}(x(a_1)) = \text{ord}(\rho - \rho').$$

Since we have

$$\text{ord}(x(a_1)) \geq 1, \quad \text{ord}(\rho - \rho') \leq [K_1 : K_0] \leq p(p-1),$$

we get equality signs everywhere. This proves (1), (2), (3) for  $e = 1$ . Suppose next that (1), (2), (3) are verified up to  $e = m \geq 1$ . We shall proceed to prove them for  $e = m+1$ . We observe that  $x(a_{m+1})$  is a root of

$$\begin{aligned} T_p(X) - (-1)^{\frac{1}{2}(p-1)} x(a_m) \cdot F_p(X) \\ = X^{p^2} + P(\rho) \cdot X^p \cdot U_m(\rho, X) - (-1)^{\frac{1}{2}(p-1)} x(a_m), \end{aligned}$$

in which  $U_m(\rho, X) - U_0(\rho, X)$  is given by

$$-(-1)^{\frac{1}{2}(p-1)} x(a_m) \cdot \left( \sum_{0 \leq 2i < p-1} \gamma_i(\rho) \cdot (X^p)^{p-2i-2} + (-1)^{\frac{1}{2}(p-1)} (X^p)^{p-2} \right).$$

Let  $t_{m+1}$  denote a local parameter of a point of  $K_{m+1}$  lying over  $P_m$ . We shall compute orders of elements of  $K_{m+1}$  with respect to  $t_{m+1}$ . Since  $U_m(\rho \cdot x(a_{m+1}))$  is a unit at  $t_{m+1} = 0$  and since  $P(\rho) \cdot x(a_{m+1})^p$  clearly has a larger order than  $x(a_m)$ , we have

$$p^2 \cdot \text{ord}(x(a_{m+1})) = \text{ord}(x(a_m)).$$

Since we have

$$\text{ord}(x(a_{m+1})) \geq 1, \quad \text{ord}(x(a_m)) \leq [K_{m+1} : K_m] \leq p^2,$$

we get equality signs everywhere. This proves (1), (2), (3) for  $e = m+1$ , and the induction is complete. We observe also that the polynomial for  $x(a_e)^p$  with coefficients in  $K_{e-1}$  is separable. Therefore, we get  $[K_e : K_{e-1}]_s = p$  for  $e > 1$  and  $= p-1$  for  $e = 1$ , and hence

$$[K_e : K_0]_s = p^{e-1}(p-1), \quad [K_e : K_0]_i = p^e.$$

This shows that the irreducibility theorem holds for  $J_p$  and  $n = p^e$  over  $k(\rho)$ , hence a fortiori over  $F(\rho)$ . In particular  $F(\rho, {}_n J_\rho)$  is a regular extension of  $F$

(cf. 8). We shall calculate the genus of its subfield  $F(\rho, Ku({}_nJ_\rho))$ .

First of all, we may take  $Ku(u)$  to be  $(x^2(u), y(u))$ . Then, we have  $F(\rho, Ku({}_nJ_\rho)) = F(\rho, x^2(a_e))$ , and hence we have only to calculate the genus of  $F(\rho, x^2(a_e))^{p^e} = F(\rho, (x^{2p^e})(a_e))$  or of  $L_e = k(\rho, (x^{2p^e})(a_e))$ . Suppose that  $\rho'$  is an arbitrary element of  $k$ . If  $\rho'$  is not a root of  $\rho^2 - 1$ , the specialization  $\rho \rightarrow \rho'$  over  $k$  extends uniquely to a specialization  $(J_\rho, {}_nJ_\rho) \rightarrow (J_{\rho'}, {}_nJ_{\rho'})$ . If further  $\rho'$  is not a root of  $P(\rho)$ , the specialization  ${}_nJ_\rho \rightarrow {}_nJ_{\rho'}$  is an isomorphism of the two cyclic groups. Therefore  $(K_e)^{p^e}$  is ramified over  $k(\rho)$  at most at the roots of  $(\rho^2 - 1)P(\rho)$  and at  $\infty$ . Suppose that  $\rho'$  is a root of  $P(\rho)$ . We shall first compute the contribution to the different of  $(K_e)^{p^e}$  over  $k(\rho)$  of the unique point of  $(K_e)^{p^e}$  lying over  $\rho'$ . Since  $s_e = x(a_e)^{p^e}$  is a local parameter of  $(K_e)^{p^e}$  at this point, we have only to compute the order of  $d\rho/ds_e$  with respect to  $s_e$ . By applying the chain rule and using the equation for  $x(a_{m+1})^{p^{m+1}}$  over  $(K_m)^{p^m}$  for  $m = 0, 1, \dots, e-1$ , we get

$$(p-2)p^{e-1} + p^{e-1}(p-1) \sum_{m=1}^{e-1} p^m = p^{e-1}(p^e - 2).$$

Therefore, applying again the chain rule to  $(K_e)^{p^e} \supset L_e \supset k(\rho)$ , we see that the contribution to the different of  $L_e$  over  $k(\rho)$  of the unique point of  $L_e$  lying over  $\rho'$  is

$$-\frac{1}{2}(p^{e-1}(p^e - 2) - 1) = -\frac{1}{2}(p^{2e-1} - 1) - p^{e-1}.$$

On the other hand, as we shall see presently in the next section, the contribution coming from the points of  $L_e$  lying over  $\rho' = \pm 1$  and  $\infty$  are same. We shall show that they are all 0. For this purpose, we take a variable  $\rho_0$  over  $\mathbf{Q}$  and consider the field  $\mathbf{Q}(\rho_0, {}_nJ_{\rho_0})$  for  $n = p^e$ . We know that  ${}_nJ_{\rho_0}$  is an abelian group of type  $(n, n)$ . Therefore, it is generated by two elements  $a_0, b_0$ , say. Consider

$$\xi = \prod_{m \bmod n} x(ma_0 + b_0).$$

Then  $\xi$  can be expanded into a power-series in  $\rho_0 - 1$  (with coefficients in the principal order of  $\mathbf{Q}(e^{2\pi i/n})$ ). This follows from the fact that  $\xi$  is invariant by one of the local Galois groups of  $\mathbf{Q}(\rho_0, {}_nJ_{\rho_0})$  over  $\mathbf{Q}(e^{2\pi i/n}, \rho_0)$  at  $\rho_0 = 1$ . Therefore, if we take the reduction modulo a prime factor of  $x(b_0)$ , we see that  $x(a)^n$  has a power-series expansion in  $\rho - 1$  (with coefficients in  $\mathbf{F}$ ). This proves the assertion. Therefore, the genus  $g(L_e)$  of  $L_e$  is given by

$$2g(L_e) - 2 = -\frac{1}{2}(p-1) \left( -\frac{1}{2}(p^{2e-1} - 1) - p^{e-1} \right) - p^{e-1}(p-1).$$

As we have seen,  $g(L_e)$  is also the genus of  $F(\rho, Ku({}_nJ_\rho))$ .

**3. The field  $F(j, Ku({}_nA_j))$  for  $n = p^e$ .** We shall assume, using the same notation as before, that  $\rho$  is a variable over  $k$ . The absolute invariant  $j$  of  $J_\rho$  is given explicitly as a rational function of  $\rho$  with coefficients in  $F$ . We choose an elliptic curve  $A_j$ , defined over  $F(j)$ , which is birationally equivalent to  $J_\rho$  (cf. 1, 5). We also choose a Kummer morphism for  $A_j$  defined over  $F(j)$ . Then, if  $w$  and  $u$  are biregularly corresponding points of  $A_j$  and  $J_\rho$ , we have  $F(\rho, Ku(w)) = F(\rho, Ku(u))$ . This implies  $F(\rho, Ku({}_nA_j)) = F(\rho, Ku({}_nJ_\rho))$  for  $n = p^e$ . Therefore  $F(\rho, Ku({}_nJ_\rho))$  is the compositum of  $F(j, Ku({}_nA_j))$  and  $F(\rho)$  over  $F(j)$ . Consequently,  $F(j, Ku({}_nA_j))$  is a regular extension of  $F$  and over  $F(j)$ , the separable and the inseparable degrees are respectively  $\frac{1}{2} p^{e-1}(p-1)$  and  $p^e$ . The situation remains same even if we replace  $F$  by  $k$ . Since  $\pm 1$  and  $\infty$  on the  $\rho$ -line are conjugate over  $k(j)$ , this settles a minor point left at the end of the previous section.

LEMMA. *If  $j' \neq \infty$  is not a supersingular invariant, no point of  $k(j, Ku({}_nA_j))$  lying over  $j'$  is ramified in  $k(\rho, Ku({}_nJ_\rho))$ .*

PROOF. Suppose that there is a ramification. Then there exists a point  $P$  of  $k(\rho, Ku({}_nJ_\rho))$  lying over  $j'$  and an automorphism  $\sigma$  of  $k(\rho, Ku({}_nJ_\rho))$  over  $k(j, Ku({}_nA_j))$ , different from the identity, satisfying  $\sigma P = P$ . Now, the morphism  $A_j \rightarrow J_\rho$  gives rise to a unique isomorphism of their Kummer varieties over  $F(\rho)$ , hence over  $k(\rho)$ . Applying  $\sigma$  to the graph of this isomorphism, we get an isomorphism of the Kummer variety of  $A_j$  to the Kummer variety of  $J_{\rho\sigma}$ . If we compose the inverse of the first isomorphism with the second isomorphism, using the notation of Section 1, we will get an isomorphism of the conic  $C_\rho$  to the conic  $C_{\rho\sigma}$ . Therefore, for every  $a$  in  ${}_nJ_\rho$ , the image  $(x^2(a)^\sigma, y(a)^\sigma)$  of  $(x^2(a), y(a))$  under the automorphism  $\sigma$  is precisely the image of  $(x^2(a), y(a))$  under the isomorphism  $C_\rho \simeq C_{\rho\sigma}$  determined as above. On the other hand, because of  $\sigma P = P$ , we have

$$(\rho^\sigma, x^2(a)^\sigma, y(a)^\sigma)(P) = (\rho, x^2(a), y(a))(P).$$

If we combine this fact with the explicit expression for  $x^2(a)^\sigma$  obtained in Section 1, we immediately get a contradiction. In fact, for  $a \neq 0$ ,  $x^2(a)(P)$  satisfies a quadratic equation when  $j' = 0$  and a linear equation when  $j' = 12^3$ . Therefore, the only possibilities are  $n = p = 3$  and  $n = p = 5$ . On the other hand, since  $j'$  is not supersingular, we have  $j' \neq 0$  in both cases. This will bring a contradiction. q. e. d.

We shall, now, proceed to determine the contributions of the points of  $k(j, Ku({}_nA_j))^{p^e}$  lying over  $j' \neq \infty$  to the different relative to  $k(j)$ . Suppose first that  $j'$  is not supersingular. If  $j'$  is different from 0 and  $12^3$ , the contribution is 0. If  $j' = 0$ , using the previous lemma, we get  $(2/3)N$  for

$$N = \frac{1}{2}p^{e-1}(p-1).$$

Similarly, if  $j' = 12^3$ , we get  $(1/2)N$ . Suppose next that  $j'$  is supersingular. If  $j'$  is different from 0 and  $12^3$ , the contribution is clearly equal to

$$W = \frac{1}{2}(p^{2e-1} - 2p^{e-1} - 1).$$

If  $j' = 0$  and  $p \neq 3$ , since  $k(\rho, Ku({}_nJ_\rho))^{p^e}$  is tamely ramified over  $k(j, Ku({}_nA_j))^{p^e}$  with 3 as its ramification index, calculating the derivative of  $j$  with respect to the local parameter of  $k(\rho, Ku({}_nJ_\rho))^{p^e}$  at any one of the points lying over  $j'$  in two different ways, we get  $(1/3)(W+2N-2)$ . Similarly, if  $j' = 12^3$  and  $p \neq 3$ , we get  $(1/2)(W+N-1)$ . On the other hand, if  $j' = 0 = 12^3$  and  $p = 3$ , we proceed as follows: There is only one point  $P$ , say, of  $k(\rho, Ku({}_nJ_\rho))^{p^e}$  lying over  $j$ . The second ramification group of  $P$  is the subgroup which corresponds to  $k(\rho)$ . Consequently, although  $k(\rho, Ku({}_nJ_\rho))^{p^e}$  is wildly ramified over  $k(j, Ku({}_nA_j))^{p^e}$ , the second ramification group of  $P$  for this extension reduces to the identity. The rest is the same as before, and we get  $(1/6)(W+7N-7)$ .

Finally, in the case when  $j' = \infty$ , we can show as before that it is not ramified in  $k(j, Ku({}_nA_j))^{p^e}$ . Therefore, the genus  $g$  of this field, which is equal to that of  $F(j, Ku({}_nA_j))$ , is given by

$$2g-2 = (1/24)(p-1)(p^{2e-1} - 12p^{e-1} + 1) - h,$$

in which  $h$  is the number of supersingular invariants. In the case when  $p=3$ , it is necessary to subtract  $1/3$  from the right-hand side.

We shall, also, discuss the case when  $p=2$ . Assuming that  $j$  is a variable over  $k$ , we consider a plane curve defined inhomogeneously by

$$Y^2 - XY = j^{-1}X^3 + j.$$

This cubic curve is absolutely irreducible and non-singular, hence it is of genus 1. Therefore, it becomes an elliptic curve with the point at infinity, say, as its neutral element. We shall use this elliptic curve as  $A_j$  because it has  $j$  as its absolute invariant. We observe that, if  $j \rightarrow j'$  is a specialization over  $k$ , the elliptic curve  $A_j$  has a similarly defined elliptic curve  $A_{j'}$  as its unique specialization for  $j' \neq 0, \infty$ . Moreover, as we can see by using a different model,  $j' = 0$  is supersingular and, in fact, the only one in characteristic 2 (cf. 1, 2). On the other hand, if  $u = (x(u), y(u))$  is a point of  $A_j$ , we have  $x(-u) = x(u)$  and  $y(-u) = x(u) + y(u)$ . Therefore, we may take  $Ku(u)$  to be  $x(u)$ . Furthermore, if we put  $x = x(u)$ , we have the following duplication formula

$$x(2u) = j^{-1}x^2 + j^2x^{-2}.$$

We shall show that  $F(j, Ku({}_nA_j))$  for  $n = 2^e$  is a regular extension of  $F$  and



over  $F(j)$ , the separable and the inseparable degrees are respectively  $2^{e-2}$  and  $2^e$  provided  $e \geq 2$ . We have only to prove the second part replacing  $F$  by  $k$ .

We choose a sequence of points

$$\cdots a_{m+1}, \quad a_m, \cdots, \quad a_1 \neq 0, \quad a_0 = 0$$

of  $A_j$  with the property  $2a_{m+1} = a_m$  for  $m = 0, 1, 2, \dots$ . Since the group law is defined over  $F(j)$  and since  ${}_n A_j$  is a cyclic group of order  $n$  generated by  $a_e$ , we have  $F(j, {}_n A_j) = F(j, x(a_e), y(a_e))$ , and  $F(j, Ku({}_n A_j)) = F(j, x(a_e))$ . On the other hand, we have  $x(a_0) = \infty$ ,  $x(a_1) = 0$  and  $x(a_2)^4 = j^3$ . Moreover, if we introduce

$$x_e = (x(a_{e+3})^2 (jx(a_{e+2}))^{-1})^{2^{e+1}}$$

for  $e = 0, 1, 2, \dots$ , we have  $(x_0)^2 - x_0 = j^{-1}$ , and in general  $x_e$  is a root of  $X^2 - X = R_{e-1}$  with

$$R_{e-1} = (x_0(x_0 - 1))^{2^{e+1}-1} \cdot (x_0 \cdots x_{e-1})^{-2}$$

for  $e = 1, 2, \dots$ . We shall show that: (1) there exists only one point  $P_{e-1}$  in  $k(x_0, \dots, x_{e-1})$  lying over  $x_0 = \infty$ ; (2) the order of  $x_{e-1}$  at  $P_{e-1}$  is  $-2^{2e-2}$ ; and (3) if  $t_{e-1}$  is a local parameter of  $k(x_0, \dots, x_{e-1})$  at  $P_{e-1}$  and if we replace  $x_e$  by a suitable

$$\theta_e = x_e + \text{const.} \cdot (t_{e-1}^{-1})^{2^{2e-1}} + \text{lower powers},$$

the equation for  $\theta_e$  will take the form

$$(\theta_e)^2 - \theta_e = \text{const.} \cdot (t_{e-1}^{-1})^{\varepsilon_e} + \text{lower powers}$$

with

$$\varepsilon_e = (2/3)(2^{2e} - 1) + 1,$$

in which the constants are both different from 0. We observe that (1), (2), (3) can be verified easily for  $e = 1$ . Therefore, we shall assume that they are true up to  $e = m \geq 1$ . Since  $\varepsilon_m$  is an odd positive integer, we see that  $\theta_m$  generates a separable quadratic extension of  $k(x_0, \dots, x_{m-1})$  ramified at  $P_{m-1}$  (cf. 3), and this extension is  $k(x_0, \dots, x_m)$ . In particular, there exists only one point  $P_m$  in  $k(x_0, \dots, x_m)$  lying over  $P_{m-1}$ , hence over  $x_0 = \infty$ , and the order of  $t_{m-1}$  at  $P_m$  is 2. Since we have  $2^{2m} - \varepsilon_m = (1/3)(2^{2m} - 1) \geq 1$ , the order of  $x_m$  at  $P_m$  is  $-2^{2m}$ . Therefore, the order of  $R_m$  at  $P_m$  is  $-2^{2m+2}$ . Moreover, we have

$$dR_m/dt_m = (x_0(x_0 - 1))^{2^{m+2}-2} \cdot (x_1 \cdots x_m)^{-2} \cdot (dt_0/dt_m)$$

for  $t_0 = x_0^{-1}$ , and the order of the coefficient of  $dt_0/dt_m$  at  $P_m$  is  $-2^{2m+2}$ . On the other hand, the order of  $dt_0/dt_m$  at  $P_m$  can be calculated by the chain rule using (3) for  $e = 1, 2, \dots, m$  (cf. 3), and we get

$$\sum_{e=1}^m 2^{m-e}(\varepsilon_e + 1) = (2^2/3)(2^{2m} - 1).$$

Therefore, the order of  $dR_m/dt_m$  at  $P_m$  is equal to

$$-(2/3)(2^{2m+2}+2) = -(\varepsilon_{m+1}+1).$$

Consequently, if we expand  $R_m$  into a series of powers of  $t_m$ , the highest negative *odd* exponent will be precisely  $-\varepsilon_{m+1}$ . Since we have  $\varepsilon_{m+1} - 2^{2m+1} = (1/3)(2^{2m+1}+1) \geq 3$ , it is certainly possible to replace  $x_{m+1}$  by a suitable

$$\theta_{m+1} = x_{m+1} + \text{const.} (t_m^{-1})^{2^{2m+1}} + \text{lower powers}$$

so that the equation for  $\theta_{m+1}$  takes the form

$$(\theta_{m+1})^2 - \theta_{m+1} = \text{const.} (t_m^{-1})^{\varepsilon_{m+1}} + \text{lower powers},$$

in which the constants are both different from 0. We have thus proved (1), (2), (3) for  $e = m+1$ , and the induction is complete.

If we observe that  $k(j, x(a_e))$  contains  $k(x_0, \dots, x_{e-3})$  for  $e \geq 3$  and that  $k(x_0)$  is a separable quadratic extension of  $k(j)$ , which incidentally is ramified only at  $j=0$ , we see that the separable degree of  $k(j, x(a_e))$  over  $k(j)$  is  $2^{e-2}$  and that  $k(x_0, \dots, x_{e-3})$  is the maximal separable subfield of  $k(j, x(a_e))$  over  $k(j)$ . Furthermore, because of

$$x(a_e)^{2^e} = j^3 \cdot \left( \prod_{m=0}^{e-3} j^{2^{m+1}} x_m \right)^2,$$

we see that  $x(a_e)^{2^e}$  but not  $x(a_e)^{2^{e-1}}$  is separable over  $k(j)$  for  $e \geq 3$ . Consequently, the inseparability degree of  $k(j, x(a_e))$  over  $k(j)$  is  $2^e$ . In view of the fact that  $k(j, x(a_2)) = k(j^{1/4})$ , we have completed the proof of the irreducibility statement that we made in the beginning.

We can also determine the genus of  $\mathbf{F}(j, Ku_n A_j)$ , which is equal to that of  $k(x_0, \dots, x_{e-3})$ , for  $e \geq 3$ . We observe that the contributions to the different of  $k(x_0, \dots, x_{e-3})$  relative to  $k(x_0)$  come only from those points lying over  $j=0$  and  $\infty$ . The contribution coming from the unique point lying over  $j=0$ , i. e., over  $x_0 = \infty$ , has already been calculated in proving (1), (2), (3). We shall show that  $j = \infty$  is not ramified in  $k(x_0, \dots, x_{e-3})$ . At any rate, over  $j = \infty$  we have two points  $x_0 = 0$  and  $1$  in  $k(x_0)$ . Suppose that  $k(x_0, \dots, x_m)$  but not  $k(x_0, \dots, x_{m-1})$  is ramified over  $k(j)$  at  $j = \infty$ . Then  $k(x_0, \dots, x_m)$  is ramified over  $k(x_0, \dots, x_{m-1})$  at every one of the  $2^m$  points lying over  $j = \infty$  (because they are conjugate over  $k(j)$ ). Now, there is one point  $P$ , say, where we have  $x_0 = \dots = x_{m-1} = 1$ . Then  $R_{m-1}$  is finite at  $P$ , and hence the extension of  $k(x_0, \dots, x_{m-1})$  generated by  $x_m$  is unramified at  $P$ . This is a contradiction. In this way, we get

$$2g-2 = (2^2/3)(2^{2e-6}-1) - 2^{e-2},$$

and this is a special case of the general formula if we make an adjustment

by subtracting  $3/8$  from the right-hand side (of the general formula).

The Johns Hopkins University

### References

- [ 1 ] M. Deuring, Invarianten und Normalformen elliptischer Funktionenkörper, Math. Z., 47 (1941), 47–56.
- [ 2 ] M. Deuring, Die Typen der Multiplikatorenringe elliptischer Funktionenkörper, Abh. Math. Sem. Hamb. Univ., 14 (1941), 197–272.
- [ 3 ] H. Hasse, Theorie der relativ-zyklischen algebraischen Funktionenkörper, insbesondere bei endlichem Konstantenkörper, J. Reine Angew. Math., 172 (1934), 37–54.
- [ 4 ] J. Igusa, On the transformation theory of elliptic functions, Amer. J. Math., 81 (1959), 436–452.
- [ 5 ] J. Igusa, Fiber systems of Jacobian varieties III, Amer. J. Math., 81 (1959), 453–476.
- [ 6 ] J. Igusa, Kroneckerian model of fields of elliptic modular functions, Amer. J. Math., 81 (1959), 561–577.
- [ 7 ] L. Kronecker, Zur Theorie der elliptischen Functionen, Collected Works, Vol. 4, 1929, 345–495.
- [ 8 ] A. Weil, Foundations of Algebraic Geometry, Providence, 1962.