A generalization of F. Schur's theorem

By Alfred GRAY

(Received Feb. 13, 1969)

The following theorem, due to F. Schur, is well-known:

THEOREM A. Let M be a Riemannian manifold with dim $M \ge 3$. If the sectional curvature K of M is constant at each point of M, then K is actually constant on M.

There are several other theorems of this type; we mention a few of them. THEOREM B. Let M be an Einstein manifold, that is, assume the Ricci curvature of M is a scalar multiple λ of the metric tensor of M. If dim $M \ge 3$, then λ is constant.

THEOREM C (Thorpe [2]). Let M be a Riemannian manifold with dim M $\geq 2p+1$. If the 2pth sectional curvature γ_{2p} is constant at each point of M, then γ_{2p} is constant on M.

THEOREM D. Let M be a Kähler manifold with dim $M \ge 4$. If the holomorphic sectional curvature K_h is pointwise constant, then it is actually constant.

THEOREM E (M. Berger, unpublished). Let M be a Riemannian manifold with metric tensor g_{ij} and Riemann curvature tensor R_{ijkl} . Suppose

$$\sum_{i,j,k} R_{ijks} R^{ijkt} = \lambda g_{st} \, .$$

If dim $M \ge 5$, then λ is constant.

In this paper we prove a result (theorem 2) which includes theorems A, B, C, and D as special cases. Although theorem E is not a consequence of theorem 2, it almost is, in the sense that it would be if a slightly different contraction were used.

We shall use the notation of [1]. Recall that a *double form* of type (p, q) is a function $\omega : \mathfrak{X}(M)^{p+q} \to \mathfrak{F}(M)$ which is skew-symmetric in the first p variables and also in the last q variables. Here, as usual, $\mathfrak{X}(M)$ denotes the Lie algebra of vector fields on the C^{∞} manifold M and $\mathfrak{F}(M)$ the ring of C^{∞} real valued functions on M. We write $\omega(X_1, \dots, X_p)(Y_1, \dots, Y_q)$ for the value of ω on $X_1, \dots, X_p, Y_1, \dots, Y_q$. If p=q and

 $\omega(X_1, \cdots, X_p)(Y_1, \cdots, Y_p) = \omega(Y_1, \cdots, Y_p)(X_1, \cdots, X_p)$ for $X_1, \cdots, X_p, Y_1, \cdots, Y_p \in \mathfrak{X}(M),$ the double form ω is said to be symmetric.

Now assume that M has a Riemannian metric g, and let ∇ be the corresponding connection. Then if ω is a double form of type (p, p), so is $\nabla_X(\omega)$ for $X \in \mathfrak{X}(M)$ (see [1]). Furthermore a double form $D\omega$ of type (p+1, q) is defined by

$$(D\omega)(X_1, \cdots, X_{p+1}) = \sum_{j=1}^{p+1} (-1)^{j+1} \nabla_{X_j}(\omega)(X_1, \cdots, \hat{X}_j, \cdots, X_{p+1}).$$

Here D is an analog of the exterior derivative d; however, unlike d, D is not independent of V.

It will also be necessary to define the notion of the *contraction operator* C on double forms. If ω is a double form of type (p, q), then $C\omega$ is the double form of type (p-1, q-1) defined by

$$(C\omega)(X_1, \dots, X_{p-1})(Y_1, \dots, Y_{q-1}) = \sum_{i=1}^n \omega(X_1, \dots, X_{p-1}, E_i)(Y_1, \dots, Y_{q-1}, E_i)$$

where $n = \dim M$ and $\{E_1, \dots, E_n\}$ is any orthonormal frame field defined on an open subset of M. Then C^r , $r = 0, 1, 2, \dots$ are defined inductively. We shall agree that if p=0 or q=0, then $C\omega=0$.

We shall need the following result.

THEOREM 1. Let A be a double form of type (p,q) such that DA=0. Then

(1)
$$(DC^{r}A)(U, X_{1}, \dots, X_{p-r})(Y_{1}, \dots, Y_{q-r})$$

= $(-1)^{p-r}r \sum_{i=1}^{n} \nabla_{E_{i}}(C^{r-1}A)(U, X_{1}, \dots, X_{p-r})(Y_{1}, \dots, Y_{q-r}, E_{i})$

for $U, X_1, \dots, X_{p-r}, Y_1, \dots, Y_{q-r} \in \mathfrak{X}(M)$, where $\{E_1, \dots, E_n\}$ is a local orthonormal frame field on M.

PROOF. We induct on r. The assumption DA = 0 implies that (1) is true for r = 0. Next suppose that (1) is true for general r. Then

$$\begin{split} 0 &= (CDC^{r}A)(U, X_{1}, \cdots, X_{p-r-1})(Y_{1}, \cdots, Y_{q-r-1}) \\ &+ (-1)^{p-r} r \sum_{i=1}^{n} \overline{V}_{E_{i}}(C^{r}A)(U, X_{1}, \cdots, X_{p-r-1})(Y_{1}, \cdots, Y_{q-r-1}, E_{i}) \\ &= (DC^{r+1}A)(U, X_{1}, \cdots, X_{p-r-1})(Y_{1}, \cdots, Y_{q-r-1}) \\ &+ (-1)^{p-r}(r+1) \sum_{i=1}^{n} \overline{V}_{E_{i}}(C^{r}A)(U, X_{1}, \cdots, X_{p-r-1})(Y_{1}, \cdots, Y_{q-r-1}, E_{i}) \,. \end{split}$$

Hence (1) is true for r+1. This completes the proof.

If ω is a double form of type (p, q), then ω' is a double form of type (p+1, q-1) defined by

$$\omega'(X_1, \cdots, X_{p+1})(Y_2, \cdots, Y_q) = \sum_{j=1}^{p+1} (-1)^{j+1} \omega(X_1, \cdots, \hat{X}_j, \cdots, X_{p+1})(X_j, Y_2, \cdots, Y_q)$$

for $X_1, \dots, X_{p+1}, Y_2, \dots, Y_q \in \mathfrak{X}(M)$. We define a Riemannian double form (as in [1]) to be a symmetric double form such that $D\omega = \omega' = 0$.

The best known examples of Riemannian double forms are the metric tensor g (type (1, 1)) and the Riemannian curvature tensor R (type (2, 2)). (The Bianchi identities state that R' = DR = 0.) In [1] the notion of exterior products of double forms is defined. In particular $g^p = g \wedge \cdots \wedge g$ and $R^p = R \wedge \cdots \wedge R$ (each p times) are double forms of types (p, p) and (2p, 2p) respectively.

We are now ready to prove our main result.

THEOREM 2. Let A and B be Riemannian double forms of types (p, p) and (r, r) respectively and assume that (a) B is parallel (that is $V_X B = 0$ for all $X \in \mathfrak{X}(M)$), (b) $C^{r-1}B = \alpha g$ for some $\alpha \in \mathfrak{F}(M)$, not identically 0, (c) $p < n = \dim M$, (d) there exist $\lambda \in \mathfrak{F}(M)$ and an integer q such that for all $X_1, \dots, X_{p-q} \in \mathfrak{X}(M)$ we have

$$(C^{q}A)(X_{1}, \cdots, X_{p-q})(X_{1}, \cdots, X_{p-q}) = \lambda(C^{r-p+q}B)(X_{1}, \cdots, X_{p-q})(X_{1}, \cdots, X_{p-q}).$$

Then λ is constant on M.

PROOF. Since B is parallel, so is $C^{r-1}B$, and thus α is a nonzero constant. Furthermore $n\alpha = C^r B$. According to [1] condition (d) is equivalent to $C^q A = \lambda C^{r-p+q}B$. Hence for $U \in \mathfrak{X}(M)$ we have

$$0 = (DC^{p}A - D(\lambda C^{r}B))(U)$$

= $p \sum_{i=1}^{n} \nabla_{E_{i}} (\lambda C^{r-1}B)(U)(E_{i}) - (U\lambda)C^{r}B$
= $p \sum_{i=1}^{n} (E_{i}\lambda)(C^{r-1}B)(U)(E_{i}) - (U\lambda)C^{r}B$
= $(p-n)\alpha(U\lambda)$.

Since U is arbitrary, it follows that λ is constant.

The following is an important special case of theorem 2.

THEOREM 3. Let A be a Riemannian double form of type (p, p) with $p < n = \dim M$, and assume that for some $q \leq p-1$ and $\lambda \in \mathcal{F}(M)$ we have

$$(C^{q}A)(X_{1}, \dots, X_{p-q})(X_{1}, \dots, X_{p-q}) = \lambda g^{p-q}(X_{1}, \dots, X_{p-q})(X_{1}, \dots, X_{p-q}),$$

for all $X_1, \dots, X_{p-q} \in \mathfrak{X}(M)$. Then λ is constant on M.

PROOF. In theorem 2 we take $B = g^{p-q}$. We have the general formula

$$C^{s}g^{t} = \frac{t!(n-t+s)!}{(t-s)!(n-t)!}g^{t-s}$$

for all integers s and t with $0 \le s \le t$. Thus condition (b) of theorem 2 is satisfied. Furthermore g^t is parallel for all t (see [1]) and so condition (a) of theorem (2) holds. We conclude that λ must be constant.

We obtain theorems A and B from theorem 3 by taking A = R and q = 0

456

and 1, respectively. Theorem C is also obtained from theorem 3, using $A = R^p$, q = 0.

Furthermore we have the following generalization of theorems A, B and C. THEOREM 4. Suppose p < n, q < 2p-1, and

$$C^{q}R^{p}(X_{1}, \dots, X_{2p-q})(X_{1}, \dots, X_{2p-q}) = \lambda g^{2p-q}(X_{1}, \dots, X_{2p-q})(X_{1}, \dots, X_{2p-q})$$

for all $X_1, \dots, X_{2p-q} \in \mathfrak{X}(M)$. Then λ is constant.

However, to prove theorem D we must use theorem 2 with A = R, q = 0, and B defined by

$$B(W, X)(Y, Z) = g(W, Y)g(X, Z) - g(W, Z)g(X, Y)$$
$$+g(JW, Y)g(JX, Z) - g(JW, Z)g(JX, Y)$$
$$+2g(JW, X)g(JY, Z)$$

for $W, X, Y, Z \in \mathfrak{X}(M)$, where J denotes the almost complex structure of the Kähler manifold M. It seems plausible that an analog of theorem D holds for 2pth holomorphic sectional curvature; however, the author has been unable to prove this.

University of Maryland

References

[1] A. Gray, Some relations between curvature and characteristic classes (to appear).
[2] J. Thorpe, Sectional curvatures and characteristic classes, Ann. of Math., 80 (1964), 429-443.