# On the *l*-class rank in some algebraic number fields

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# §0. Introduction.

The field in question is a non-Galois extension  $\mathcal{Q}$  of  $\mathbf{Q}$  of prime degree l > 2, with the following three conditions:

(i) The Galois closure K of  $\Omega$  contains an absolutely cyclic subfield k with [K:k] = l.

(ii) The closure K is abelian over no proper subfield of k.

(iii) The class number  $h_k$  of k is prime to l.

Put  $d = [k: Q] = [K: \Omega]$ . As is shown in [7], §1, the condition (ii) implies that  $d \mid l-1$ . For each divisor *s* of *d*, denote by  $\Omega_s$  the intermediate field of  $K/\Omega$  with  $[K: \Omega_s] = s$ . Furthermore, let  $C_K$  be the ideal class group of *K* and  $\sigma$  be a fixed generator of the Galois group G(K/k). Define the integers  $\nu_i \ge 0, i=1, \cdots, l-1$ , by  $(C_K^{(1-\sigma)^{i-1}}C_K^l: C_K^{(1-\sigma)^i}C_K^l) = l^{\nu_i}$ . The aim of this paper is to prove the following results.

THEOREM 1. Notations and assumptions being as above, let  $\{p_i\}_{i=1}^t$  be the set of all rational primes totally ramified in  $\Omega$ , and  $g_i$ ,  $i=1, \dots, t$ , be the order of the decomposition group of  $p_i$  in k/Q. Then, for each divisor s of  $g = (g_1, \dots, g_t)$  (the g. c. d. of  $g_1, \dots, g_t$ ), we have

$$d^{(l)}C_{Q_{S}} = \sum_{j=1}^{(l-1)/s} \nu_{js}$$
 ,

where  $d^{(l)}C_{\mathcal{Q}_{S}}$  denotes the l-rank of the ideal class group  $C_{\mathcal{Q}_{S}}$  of  $\mathcal{Q}_{S}$ .

If g is equal to d in Theorem 1, we get  $d^{(l)}C_g$ , and this leads to several consequences. On the one hand, we obtain  $d^{(l)}C_g = \nu_{l-1} \leq \nu_1$  in the case g = d = l - 1, and this seems to be a substantial upper bound for  $d^{(l)}C_g$ . For, in this case,  $\nu_1$  can not exceed t-1, and we also know that  $d^{(l)}C_g \geq t-r_g$ , where  $r_g$  denotes the number of infinite primes in  $\Omega$  (cf. [8]).

On the other hand, we can show that  $\nu_1 = \nu_2$  when K is a dihedral extension and g=2. This gives, together with Theorem 1, the exact value of  $d^{(3)}C_{\mathcal{Q}}$  for certain non-Galois cubic fields  $\Omega$  (Theorem 2, §4). It also enables us to get a generalization to the dihedral case of a theorem of Honda in [3], which states that  $3 \mid h_{\mathcal{Q}}$  if and only if  $3 \mid h_K$  in the pure cubic case (Theorem 3, §5). §§1 and 2 contain preliminary results and Theorem 1 is proved in §3.
We list below some notations used throughout this paper.

- *l*: a fixed prime number > 2.
- $F_l$ : the finite field with l elements.
- $C_F$ : the ideal class group of a field F (we mean by a field exclusively a finite extension of Q).
- $h_F$ : the class number of F.
- $d^{(l)}C_F$ : the *l*-rank of  $C_F$ .
  - $E_F$ : the unit group of F.
  - $t_{F/E}$ : the number of primes in E totally ramified in F (in fact, we use this only when  $F/E = \Omega/Q$  or K/k).
  - $\zeta_n$ : a primitive *n*-th root of 1.
- $g = (g_1, \dots, g_t)$ : as defined in Theorem 1  $(t = t_{\mathcal{Q}/\mathcal{Q}})$ .

# §1. A reduction step.

Let F/E be a cyclic extension of degree prime to l, and  $\tilde{F}$  (resp.  $\tilde{E}$ ) be the unramified abelian extension of F (resp. E) corresponding to  $C_F^l$  (resp.  $C_E^l$ ) in the sense of class field theory. As  $l \nmid [F:E]$ , the following Proposition is obvious.

**PROPOSITION 1.** Let  $F_0$  be a subextention of  $\tilde{F}/F$  which is Galois over Eand  $E_0$  be the maximal subextension of  $\tilde{E}/E$  contained in  $F_0$ . Then  $E_0F$  is the fixed field of the commutator subgroup  $[G(F_0/E), G(F_0/E)]$  of  $G(F_0/E)$ .

Let  $F_0$  be as in Proposition 1 and  $\eta$  be a fixed generator of G(F/E). Then  $\eta$  operates on  $H = G(F_0/F)$  through the inner automorphism  $\rho \mapsto \eta \rho \eta^{-1}$ and  $G(F_0/E) = H \langle \eta \rangle$  (semi-direct product). Since H is a vector space of finite dimension over  $F_l$ ,  $\eta$  is represented by a matrix X over  $F_l$  w.r.t. a suitable basis of H. If we put (by identifying H with the space of column vectors over  $F_l$ )

$$\overline{H} = \left\{ \begin{pmatrix} I & \boldsymbol{a} \\ 0 & 1 \end{pmatrix} \middle| \boldsymbol{a} \in H \right\}, \quad \overline{X} = \begin{pmatrix} X & 0 \\ 0 & 1 \end{pmatrix},$$

we see

$$ar{X}ig(egin{array}{cc} I & oldsymbol{a} \ 0 & 1 \end {A} \e$$

and hence we obtain  $H \simeq \overline{H}$ ,  $G(F_0/E) \simeq \overline{H} \cdot \langle \overline{X} \rangle$  (semi-direct product) and

$$\begin{bmatrix} X, \begin{pmatrix} I & \boldsymbol{a} \\ 0 & 1 \end{bmatrix} = \begin{pmatrix} I & (I-X^{-1})\boldsymbol{a} \\ 0 & 1 \end{pmatrix}.$$

It is easy to see that  $[G(F_0/E), G(F_0/E)]$  is equal to (X-I)H, so we must know the rank of the matrix X-I. Let  $X_1$  be the Jordan's normal form of

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X. Then, as the order of X is prime to l, we see that the elements of  $X_1$  just below the diagonal must be 0, i.e.,  $X_1$  is a diagonal matrix. This proves the following

**PROPOSITION 2.** The rank of the elementary abelian l-group  $G(E_0/E)$  is equal to the multiplicity of 1 appearing as an eigenvalue of X.

#### §2. The descending central series.

Let k be a field with  $l \nmid h_k$  and K/k be a cyclic extension of degree l. Fix a generator  $\sigma$  of G(K/k). We have the following sequences of subgroups of  $C_K$  and of unramified abelian extensions over K corresponding to these ideal groups:

$$C_K \supset C_K^{1-\sigma} C_K^l \supset \cdots \supset C_K^{(1-\sigma)^{l-1}} C_K^l = C_K^l,$$
  
$$K \subset K_1 \subset \cdots \subset K_{l-1} = \widetilde{K}.$$

The equality on the right hand side is due to Proposition 1, [4], and  $K_1$  is what we denoted by  $K_0$  in [4]. Put  $G = G(\tilde{K}/k)$  and define  $G^{(i)}$ ,  $i=1, \dots, l-1$ , successively by

$$G^{(1)} = [G, G], \qquad G^{(i+1)} = [G^{(i)}, G].$$

PROPOSITION 3.  $G(\tilde{K}/K_i) = G^{(i)}$ .

PROOF.  $C_{\kappa}/C_{\kappa}^{i}$  is mapped isomorphically onto  $G(\tilde{K}/K)$  by the Artin's reciprocity map  $\left(\frac{\tilde{K}/K}{K}\right)$ , and each  $C_{\kappa}^{(i-\sigma)i}C_{\kappa}^{l}/C_{\kappa}^{i}$  corresponds to  $G(\tilde{K}/K_{i})$  under this isomorphism. The assertion being verified for i=1 by Proposition 2, [4], we assume inductively that  $G(\tilde{K}/K_{i}) = G^{(i)}$ . Then for any  $c \in C_{\kappa}$ , we have

$$\left(\frac{\tilde{K}/K}{c^{(1-\sigma)^{i+1}}}\right) = \left[\tilde{\sigma}, \left(\frac{\tilde{K}/K}{c^{(1-\sigma)^{i}}}\right)\right] \in G^{(i+1)},$$

where we denoted by  $\tilde{\sigma}$  an element of  $G = G(\tilde{K}/k)$  extending  $\sigma \in G(K/k)$ . The inclusion  $G^{(i+1)} \subset G(\tilde{K}/K_{i+1})$  is equally obvious (note that  $\tilde{K}/K$  is abelian and  $G^{(1)} \subset G(\tilde{K}/K)$ ). q. e. d.

PROPOSITION 4. Let  $\nu_i$ ,  $i=1, \dots, l-1$ , be as defined in Theorem 1. Then  $l^{\nu_i}$  is equal to the *l*-part of the index  $(C_K^{(1-\sigma)^{i-1}}: C_K^{(1-\sigma)^i})$ .

PROOF. By Proposition 1, [4], the Sylow *l*-subgroup of  $C_K/C_K^{(1-\sigma)^{l-1}}$  is elementary (i.e. of type  $(l, \dots, l)$ ). So it suffices to show that the map:  $C_K^{(1-\sigma)^{l-1}}/C_K^{(1-\sigma)^{l-1}}C_K^{(1-\sigma)^{l-1}}C_K^{(1-\sigma)^{l-1}}C_K^{(l-\sigma)^{l-1}}C_K^{l}$  is an isomorphism. The surjectivity is obvious. So let  $c \in C_K^{(1-\sigma)^{l-1}} \cap C_K^l$ ,  $c = c_1^l$ ,  $c_1 \in C_K$ . Then putting  $a = (C_K^l : C_K^{(1-\sigma)^{l-1}})$ , we get  $c^a \in C_K^{(1-\sigma)^{l-1}}$ , hence by l + a,  $c \in C_K^{(1-\sigma)^{l-1}}C_K^{(1-\sigma)^{l}}$ . q.e.d.

### § 3. Inertia generators.

Let  $\Omega$ , K, and k satisfy the conditions (i) to (iii) in §0, and  $\sigma$  and  $\tau$  be fixed generators of G(K/k) and  $G(K/\Omega)$  respectively. We have a relation  $\tau \sigma \tau^{-1} = \sigma^r$  for some  $r \in \mathbb{Z}$ , and the condition (ii) implies that  $d = \lfloor k : \mathbb{Q} \rfloor$  is equal to the order of  $r \mod l$  (cf. [7], §1). In order to carry out the procedure described in §1, we have to find suitable generators for  $H = G(\tilde{K}/K)$ . But as we have seen in §2, H has the following sequence of subspaces:

$$H \supset G^{(1)} \supset \cdots \supset G^{(l-1)} = \{1\},\$$

(where we put  $G = G(\tilde{K}/k)$ ), and each  $G^{(i)}$  is invariant under  $\tau$ . So, in fact, it suffices to find convenient generators for each of the factor spaces  $G^{(i)}/G^{(i+1)}$ .

This is done exactly as in [5]. Namely,  $G(K_1/k)$  is an elementary *l*-extension. For each prime  $\mathfrak{p}$  in k, ramified in K, denote by  $T_{\mathfrak{p}}$  the inertia group of  $\mathfrak{p}$  in  $G(K_1/k)$ . They are all of order l, and by the assumption  $l \nmid h_k$ , their composite coincides with  $G(K_1/k)$ . So we can choose a basis  $\{\sigma_1, \dots, \sigma_m\}$  of  $G(K_1/k)$  such that each  $\sigma_i$  is a generator of some  $T_{\mathfrak{p}}$ . Extend  $\sigma_i$  to an element of  $G = G(\tilde{K}/k)$  and use the same symbol. Then, by the theory of p-groups,  $\{\sigma_1, \dots, \sigma_m\}$  is a minimal system of generators of G.

LEMMA 1.  $H/G^{(1)}$  is generated by  $\sigma_j \sigma_{j+1}^{-1}$ ,  $j=1, \dots, m-1$  (with a suitable choice of  $\sigma_j$ 's).

PROOF. The same as we stated in [5], § 3.  $H/G^{(1)}$  is an (m-1)-dimensional subspace of  $G(K_1/k)$  and is defined by a linear equation  $\sum_{j=1}^{m} c_j x_j \equiv 0 \pmod{l}$  for the exponents  $x_j$  of  $\sigma_j$ . Each  $c_j \equiv 0 \pmod{l}$ , so replacing  $\sigma_j$  by a suitable power of it, we can assume that  $c_j \equiv 1 \pmod{l}$ ,  $j=1, \cdots, m$ . q. e. d.

LEMMA 2. For  $i \ge 2$ ,  $G^{(i-1)}/G^{(i)}$  is generated by the elements of the form

$$[\sigma_{j_1}, \cdots, \sigma_{j_i}].$$

PROOF. As G is generated by  $\sigma_1, \dots, \sigma_m$  and  $G(\tilde{K}/K)$  is abelian, we have only to show that the *i*-variable function  $[x_1, \dots, x_i] \mod G^{(i)}$  is "multilinear". The assertion being verified easily by a direct computation for i=2, we assume it to be valid for i-1. Then

$$[x_1, \cdot, x_i x_i'] = [[x_1, \cdot, x_{i-1}], x_i'] [[x_1, \cdot, x_{i-1}], x_i] [[x_1, \cdot, x_{i-1}], x_i, x_i']$$
$$\equiv [x_1, \cdot, x_i] [x_1, \cdot, x_i'] \mod G^{(i)}.$$

For a < i, by the induction hypothesis,

$$\begin{bmatrix} x_{1}, \cdot, x_{a}x'_{a}, \cdot, x_{i} \end{bmatrix}$$
  
=  $\begin{bmatrix} x_{1}, \cdot, x_{a}x'_{a}, \cdot, x_{i-1} \end{bmatrix}, x_{i} \end{bmatrix}$   
=  $\begin{bmatrix} x_{1}, \cdot, x_{a}, \cdot, x_{i-1} \end{bmatrix} \begin{bmatrix} x_{1}, \cdot, x'_{a}, \cdot, x_{i-1} \end{bmatrix} y, x_{i} \end{bmatrix}$ 

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$$= [[\cdot, x_a, \cdot][\cdot, x'_a, \cdot], x_i][[\cdot, x_a, \cdot][\cdot, x'_a, \cdot], x_i, y][y, x_i]$$
  
$$\equiv [[\cdot, x_a, \cdot], x_i][[\cdot, x_a, \cdot], x_i, [\cdot, x'_a, \cdot]][[\cdot, x'_a, \cdot], x_i]$$
  
$$\equiv [\cdot, x_a, \cdot, x_i][\cdot, x'_a, \cdot, x_i] \mod G^{(i)},$$

q. e d.

where  $y \in G^{(i-1)}$ .

PROOF OF THEOREM 1. Put d = sn. Then  $G(K/\mathcal{Q}_S) = \langle \tau^n \rangle$  and we can apply the procedure given in §1 to  $F/E = K/\mathcal{Q}_S$ ,  $F_0 = \tilde{K}$ . By the assumption that  $s \mid g, g = (g_1, \dots, g_t), \tau^n \langle \sigma_j \rangle \tau^{-n} = \langle \sigma_j \rangle$  in  $G(K_1/k)$ , hence we can put  $\tau^n \sigma_j \tau^{-n} = \sigma_j^{a_j} x_j, x_j \in G^{(1)}$ . Apply this on K. Since  $\sigma_j$  is non-trivial on K, the relation  $\tau^n \sigma \tau^{-n} = \sigma^{\tau^n}$  implies the same for  $\sigma_j$  and we get  $a_j = r^n$ . Now on  $H/G^{(1)}$ ,

$$\tau^{n}(\sigma_{j}\sigma_{j+1}^{-1})\tau^{-n} \equiv (\sigma_{j}\sigma_{j+1}^{-1})^{r^{n}} \mod G^{(1)}$$

On  $G^{(i-1)}/G^{(i)}, i \ge 2$ ,

$$\tau^{n}[\sigma_{j_{1}}, \cdots, \sigma_{j_{i}}]\tau^{-n} \equiv [\sigma_{j_{1}}, \cdots, \sigma_{j_{i}}]^{r^{i_{n}}} \mod G^{(i)}.$$

For this we note that the function  $[\cdot, \dots, \cdot]$  is "multilinear" and  $[x_1, \cdot, [y, y'], \cdot, x_i] \equiv [[x_1, \cdot, y, \cdot, x_i], [x_1, \cdot, y', \cdot, x_i]] \equiv 1 \mod G^{(i)}$ . On each  $G^{(i-1)}/G^{(i)}$ , therefore,  $\tau^n$  is represented by a scalar matrix and its eigen-value is  $r^{in}$ , which is  $\equiv 1 \pmod{l}$  if and only if  $i \equiv 0 \pmod{s}$ . q. e. d.

# §4. Calculation of $\nu_1$ and $\nu_2$ in the dihedral case.

In this section, we assume d=2 in the conditions (i) to (iii), so G(K/Q) is a dihedral group of order 2l and k is a quadratic field. Define the integer  $\delta$  by  $(E_k: E_k \cap N_{K/k}(K^{\times})) = l^{\delta}$ . Then we have two cases:

Case (A):  $\delta = 0$ , i. e., k is real and the fundamental unit  $\varepsilon_0$  of k belongs to  $N_{K/k}(K^{\times})$ , or l=3,  $k=\mathbf{Q}(\sqrt{-3})$  and  $\zeta_3 \in N_{K/k}(K^{\times})$ , or k is imaginary and either  $l \neq 3$  or  $k \neq \mathbf{Q}(\sqrt{-3})$ .

Case (B):  $\delta = 1$ .

Then by Satz 13, [2], we get

PROPOSITION 5.  $\nu_1 = t_{K/k} - 1 - \delta$ .

As for  $\nu_2$ , by Proposition 4, § 2, it is equal to the exponent of the *l*-part of the index  $(C_K^{1-\sigma}: C_K^{(1-\sigma)^2}) = |C_K^{1-\sigma} \cap C_K^{\sigma}|$ , where  $C_K^{\sigma}$  is the subgroup of G = G(K/k)-invariant classes in K. So we must find the Sylow *l*-subgroup of  $C_K^{1-\sigma} \cap C_K^{\sigma}$ . As we have seen in [5], an ideal  $\mathfrak{a}$  in K belongs to  $C_K^{1-\sigma}$  if and only if  $N_{K/k}(\mathfrak{a})$  is a principal ideal generated by an element of  $N_{K/k}(K^{\times})$ .

From now on, we assume  $g = (g_1, \dots, g_t) = 2$ . We first study the subgroup  $D_K$  of  $C_K^q$  generated by G-invariant ideals in K. Let  $p_1, \dots, p_t, t = t_{g/q} = t_{K/k}$ , be the rational primes totally ramified in  $\Omega$ . If l is among them, we put  $p_t = l$ . For each  $p_i$ , let  $\mathfrak{P}_i$  be the prime factor of  $p_i$  in K. If  $p_t = l$ , denote

the prime factors of l in k and K by l and  $\mathfrak{L}$  respectively, and put  $\mathfrak{P}_t = \mathfrak{L}^e$ , where e is the ramification index of l in k/Q. Then the Sylow *l*-subgroup of  $D_K$  is generated by  $\mathfrak{P}_1, \dots, \mathfrak{P}_t$  (cf. [7], Satz V, VI).

LEMMA 3. If g=2,  $\mathfrak{P}_i$ ,  $i=1, \dots, t$ , belong to  $C_K^{1-\sigma}$ .

PROOF. We take  $p_i$  as a generator of  $N_{K/k}(\mathfrak{P}_i)$ . Put  $\mathbf{Q}' = \mathbf{Q}(\zeta_l)$ ,  $k' = k(\zeta_l)$ ,  $K' = K(\zeta_l)$ , and  $K' = k' (\sqrt[k]{\alpha})$ ,  $\alpha \in k'^{\times}$ . By virtue of the results in [1], Chapter III,  $p_i \in N_{K/k}(K^{\times})$  if and only if  $p_i \in N_{K'/k'}(K'^{\times})$ , and furthermore, the Hilbert's norm residue symbol  $\left(\frac{p_i, \alpha}{\mathfrak{P}'}\right)$  defined in k' depends only on the prime in k under  $\mathfrak{P}'$ . In particular, we have only to check the symbol for those  $\mathfrak{P}'$ 's in k' not dividing l (the number of prime factors of l in k' is either 1 or 2). Since  $(p_i)$  is a norm from K, the symbol equals to 1 except for the  $\mathfrak{P}'$ 's ramified in K'/k', i. e.,  $\mathfrak{P}' \mid p_j$  for some j. Note that  $p_i \equiv -1 \pmod{l}$  if  $p_i \neq l$  (Satz V, VI, [7]). Now we have three cases :

- a) k is not contained in Q'.
- b)  $l \equiv 3 \pmod{4}$  and  $k = Q(\sqrt{-l}) \subset Q'$ .
- c)  $l \equiv 1 \pmod{4}$  and  $k = Q(\sqrt{l}) \subset Q'$ .

But in c),  $p_i \neq l$  are necessarily decomposed in k and hence we can exclude this case (if no  $p_i \neq l$  exists, we have t=1,  $C_K^q = \{1\}$ , and the assertion is trivial). In case a), let  $k = Q(\sqrt{m})$  and put  $\tilde{k} = Q((\zeta_l - \zeta_l^{-1})\sqrt{m})$ . In case b), put  $\tilde{k} = Q(\zeta_l + \zeta_l^{-1})$ . In both cases, we can find  $\alpha \in \tilde{k}^{\times}$  such that  $K' = k'(\sqrt[l]{\alpha})$ (cf. [6], Chapter IV). Apply the automorphism of  $G(k'/\tilde{k})$  on  $(\frac{p_i, \alpha}{\mathfrak{B}'})$ ,  $\mathfrak{B}' \mid p_j$ . Then it leaves invariant  $p_i, \alpha$  and also  $\mathfrak{B}'$ . In fact, by the assumption g=2, we can easily see that  $\mathfrak{B}'$  is inert in  $k'/\tilde{k}$ . But the automorphism maps  $\zeta_l$ to  $\zeta_l^{-1}$ . Hence we must have  $(\frac{p_i, \alpha}{\mathfrak{B}'}) = 1$ .

PROPOSITION 6. If G(K/Q) is a dihedral group of order 2l and g=2, we have  $\nu_1 = \nu_2$ .

PROOF. If  $C_K^q = D_K$ , the assertion is already proved by Lemma 3. By the formula (7) in the proof of Satz 13, [2],  $(C_K^q : D_K) = 1$  or l, and it is equal to l if and only if k is real,  $\delta = 0$  and  $\varepsilon_0 \in N_{K/k}(E_K)$ , or l=3,  $k=Q(\sqrt{-3})$ ,  $\delta = 0$  and  $\zeta_3 \in N_{K/k}(E_K)$ . The latter case has already been finished in [5], and the former is done exactly by the same argument. Namely, let c be an element of  $C_K^q$  not contained in  $D_K$  and choose an ideal  $\mathfrak{a}$  in c. Since  $N_{K/k}(\mathfrak{a}^{1+\tau}) = N_{K/q}(\mathfrak{a})$  is generated by a rational number, we have only to show that  $\mathfrak{b} = \mathfrak{a}^{1+\tau}$  again belongs to  $C_K^q$  but not to  $D_K$  (cf. Proof of Lemma 3). Put  $\mathfrak{a}^{1-\sigma} = (\beta)$ ,  $\beta \in K^{\times}$ . Then  $N_{K/k}(\beta) = \pm \varepsilon_0^s$ ,  $x \equiv 0 \pmod{l}$ . If we can write  $\mathfrak{b} = \mathfrak{b}_1 \beta_1$  with  $\mathfrak{b}_1^{1-\sigma} = (1)$ ,  $\beta_1 \in K^{\times}$ , we get  $\beta^{1+(1+\sigma+\dots+\sigma^{l-2})\tau} = \varepsilon \beta_1^{1-\sigma}$ ,  $\varepsilon \in E_K$ , hence  $N_{K/k}(\beta)^{1+(l-1)\tau} = N_{K/k}(\varepsilon)$ , which is a contradiction, since  $\varepsilon_0^\tau = \pm \varepsilon_0^{-1}$ .

THEOREM 2. If G(K/Q) is isomorphic to the symmetric group of degree 3,

 $3 + h_k$  and  $g = (g_1, \cdots, g_t) = 2$ , we have

 $d^{(3)}C_{Q} = \nu_{1}, \qquad d^{(3)}C_{K} = 2\nu_{1}.$ 

PROOF. Immediate from Theorem 1 and Proposition 6.

REMARK. In the course of preparation of this paper, Mr. G. Gras has communicated to me another proof of Theorem 2. His proof is based on a more general study of *l*-class groups in dihedral extensions (without the assumption (iii) in  $\S$ 0).

#### §5. A generalization of a Theorem of Honda.

We first assume that  $\Omega$ , K, and k satisfy only the conditions (i) and (ii) in §0.

**PROPOSITION 7.** If a prime number  $p \neq l$  totally ramified in  $\Omega$  is completely decomposed in k,  $h_{\Omega}$  is divisible by l.

PROOF. By Satz V, [7], we have  $p \equiv 1 \pmod{l}$ . Let  $M_p$  be the unique cyclic extension of Q of degree l contained in  $Q(\zeta_p)$ . Then  $\Omega M_p/\Omega$  is an unramified cyclic extension of degree l. In fact,  $\Omega M_p/\Omega$  is unramified outside p. So let  $\mathfrak{P}$  be a prime factor of p in  $M_pK$ . Then  $\mathfrak{P}$  is ramified in  $\Omega M_p/\Omega \iff \mathfrak{P}$  is ramified in  $M_pK/K$ . But  $\mathfrak{P}$  is already ramified in K/k and it can not be totally ramified in  $M_pK/k$ . Hence  $\mathfrak{P}$  is unramified in  $\Omega M_p/\Omega$ . q.e.d.

Now we can prove the announced result.

THEOREM 3. If G(K/Q) is a dihedral group of order 2l and  $l + h_k$ ,  $l | h_Q$  if and only if  $l | h_K$ .

PROOF. The "only if" part is obvious and we show that  $l \mid h_{\mathcal{G}}$  if  $l \mid h_{K}$ . If either  $g = (g_{1}, \dots, g_{l}) = 2$ , or there exists a rational prime  $p \neq l$  which is totally ramified in  $\mathcal{Q}$  and decomposed in k, Theorem 1 with Proposition 6 or Proposition 7 proves the assertion. So assume that  $p_{1}, \dots, p_{t-1}$  and l are totally ramified in  $\mathcal{Q}$  and only l is decomposed in k, and put  $l = \mathfrak{l}_{1}\mathfrak{l}_{2}$  in k. In particular,  $k \neq \mathcal{Q}(\sqrt{-3})$  if l=3. In case (A) (cf. § 4), we apply the Propositions 1 and 2 to  $F/E = K/\mathcal{Q}$  and  $F_{0} = K_{1}$ . Let  $\sigma$  and  $\tau$  be generators of G(K/k) and  $G(K/\mathcal{Q})$  as before. We have  $\tau \sigma \tau^{-1} = \sigma^{-1}$ . Denote generators of the inertia groups of  $p_{1}, \dots, p_{t-1}$ ,  $\mathfrak{l}_{1}$ ,  $\mathfrak{l}_{2}$  in  $G(K_{1}/k)$  by  $\sigma_{1}, \dots, \sigma_{t-1}, \rho_{1}, \rho_{2} = \tau \rho_{1}^{-1}\tau^{-1}$ . They make a basis of  $G(K_{1}/k)$  and we can assume that  $G(K_{1}/K)$  is generated by  $\sigma_{1}\sigma_{2}^{-1}, \dots, \sigma_{t-1}\rho_{1}^{-1}, \rho_{1}\rho_{2}^{-1}$  (cf. Lemma 1. Note that if  $G(K_{1}/K)$  is defined by the linear equation  $\sum_{i=1}^{t-1} c_{i}x_{i} + ay + bz \equiv 0 \pmod{l}$  for the exponents  $x_{i}, y, z$  of  $\sigma_{i}, \rho_{1}, \rho_{2}$ , we have  $a \equiv b \pmod{l}$ . In fact, we can assume that  $\rho_{1}|K = \sigma$ , which gives  $\rho_{2}|K = \sigma$ . By the equation above, we see  $\rho_{1}^{b}\rho_{2}^{-a} \in G(K_{1}/K)$ , hence  $\sigma^{b-a} = id$ .). The matrix X representing  $\tau$  w. r. t. this basis has the form

$$\begin{vmatrix} -1 & & & \\ & \ddots & & \\ & & -1 & & \\ \hline & & & -1 & & 0 \\ & & & & -1 & & 1 \\ \end{vmatrix}$$

So by Proposition 2, we get  $l \mid h_{\mathcal{Q}}$ .

In case (B), we can use the same procedure if  $\rho_1$  and  $\rho_2$  are linearly independent in  $G(K_1/k)$ . If not, we can apply the argument used in the proof of Theorem 1, and we have to show that  $\nu_2 > 0$  if  $\nu_1 > 0$ , i.e., if  $t \ge 2$ . Let  $\mathfrak{P}_1, \cdots, \mathfrak{P}_{t-1}, \mathfrak{L}_1, \mathfrak{L}_2$  be the prime factors of  $p_1, \cdots, p_{t-1}, \mathfrak{l}_1, \mathfrak{l}_2$  in K, and let e be the order of  $\mathfrak{l}_i$  in  $C_k$ . Then the Sylow *l*-subgroup of  $C_K^{\mathfrak{a}} = D_K$  is generated by  $\mathfrak{P}_1, \cdots, \mathfrak{P}_{t-1}, \mathfrak{L}_i^{\mathfrak{e}}, \mathfrak{L}_2^{\mathfrak{e}}$ . Just as in Lemma 3,  $\mathfrak{P}_1, \cdots, \mathfrak{P}_{t-1}, \mathfrak{L}_i^{\mathfrak{e}} \mathfrak{L}_2^{\mathfrak{e}}$  belong to  $C_K^{\mathfrak{L},\sigma}$ (since *l* is decomposed in *k*, *k* is not contained in  $Q(\zeta_l)$  and we are in case a) of Lemma 3). So if  $\mathfrak{L}_i^{\mathfrak{e}} \mathfrak{L}_2^{\mathfrak{e}} \not\sim 1$  in *K*, we get  $\nu_2 > 0$ . Suppose  $\mathfrak{L}_i^{\mathfrak{e}} \mathfrak{L}_2^{\mathfrak{e}} \sim 1$ . Then the Sylow *l*-subgroup of  $C_K^{\mathfrak{a}}$  is generated by  $\mathfrak{P}_1, \cdots, \mathfrak{P}_{t-1}$  and  $\mathfrak{L}_i^{\mathfrak{e}}$ . Hence some  $\mathfrak{P}_i$ must be non-principal if  $t \ge 3$ . If t=2, put  $\mathfrak{l}_i^{\mathfrak{e}} = (\lambda), \lambda \in k^{\times}$ . Case (B) means that *k* is real and  $\varepsilon_0 \notin N_{K/k}(K^{\times})$ . Then we can choose a power of  $\varepsilon_0$  such that  $\varepsilon_0^{\mathfrak{e}} \lambda \in N_{K/k}(K^{\mathfrak{e}})$  (because the only norm residue symbol to be checked is  $\left(\frac{\varepsilon_0^{\mathfrak{e}} \lambda, \alpha}{\mathfrak{P}'}\right), \mathfrak{P}' \mid p_1$  in k' and we have  $\left(\frac{\varepsilon_0, \alpha}{\mathfrak{P}'}\right) \neq 1$  by  $\delta = 1$ ). Hence  $\mathfrak{P}_1$  and  $\mathfrak{L}_i^{\mathfrak{e}}$ belong to  $C_K^{\mathfrak{l}-\sigma}$  and  $\nu_2 > 0$ .

REMARK. As  $\nu_1 \ge \cdots \ge \nu_{l-1}$ , we see that  $l \mid h_K$  if and only if  $\nu_1 > 0$ .

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