

On the p -class groups of a Galois number field and its subfields

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§ 1. Introduction.

In general, let k be an algebraic number field of finite degree, and let p be a rational prime number. Then, the p -Sylow subgroup of the absolute ideal class group of k will be called the p -class group of k and will be denoted by $C_{k,p}$, whose order will be denoted by $h_{k,p}$. Moreover, let K be a Galois extension over k . Then, the subgroup of all ideal classes of $C_{K,p}$ which are ambiguous with respect to K/k will be called the ambiguous p -class group of K with respect to k and will be denoted by $A_{k,p}$, whose order will be denoted by $a_{k,p}$.

First, we shall deal with the case where K is a Galois extension of degree mn over k such that the Galois group $G=G(K/k)$ satisfies the following condition:

(A) G has a normal subgroup N of order n and n subgroups H_1, H_2, \dots, H_n of same order m such that we have $G=NH_1=\dots=NH_n$ and $H_i \cap H_j = \{\varepsilon\}$ for $i \neq j$, where we denote by ε the unit element of G .

If the Galois group $G(K/k)$ satisfies above condition (A), then K will be called the (A)-extension over k . For example, it is clear that K is an (A)-extension over k if the Galois group $G(K/k)$ is isomorphic to one of the following groups:

- (a) the non-abelian group of order pq where p and q are rational prime numbers such that $q \equiv 1 \pmod{p}$,
- (b) the abelian group of type (p, p) ,
- (c) the dihedral group,
- (d) the Galois group $G(\mathbf{Q}(\zeta_q, a^{1/q})/\mathbf{Q})$, where q is an odd prime number, ζ_q is a primitive q -th root of unity and a is a rational integer such that $a^{1/q} \in \mathbf{Q}(\zeta_q)$.

Now, our main theorem is as following. Namely:

THEOREM 1. *Let k be an algebraic number field of finite degree and let K be an (A)-extension over k . Let F, L_1, \dots, L_n be the subfields of K corresponding respectively to the subgroups N, H_1, \dots, H_n of the Galois group $G(K/k)$ by the Galois theory. Then, if the class number h_K of K is divisible by a rational prime number p prime to n , then the p -class group $C_{K,p}$ of K is generated by its sub-*

groups $A_{F,p}, A_{L_1,p}, \dots, A_{L_n,p}$. Moreover, if p is also prime to m , then we have

$$C_{K,p}/A_{k,p} = A_{F,p}/A_{k,p} \times \langle A_{L_1,p}, \dots, A_{L_n,p} \rangle / A_{k,p}.$$

Finally, in § 3, using above theorem we shall prove some interesting results concerning with the p -class group $C_{K,p}$ of K when K is an S_n -extension over k , that is, when the Galois group $G(K/k)$ is isomorphic to the symmetric group S_n of degree n .

§ 2. p -class groups of (A)-extensions.

First, we shall prove the following group-theoretic lemma, from which our main theorem follows easily.

LEMMA. Let G be a finite group of order mn such that it satisfies the condition (A). Let \mathfrak{M} be a finite G -module such that each element of \mathfrak{M} has the finite order prime to n and assume that if a is an element of \mathfrak{M} and we have $\sigma a = a$ for any $\sigma \in G$, then we have $a = 0$. Then, if we put

$$\begin{aligned} \mathfrak{N} &= \{a \in \mathfrak{M} \mid \sigma a = a, \forall \sigma \in N\}, \\ \mathfrak{H}_i &= \{a \in \mathfrak{M} \mid \sigma a = a, \forall \sigma \in H_i\}, \quad (i=1, 2, \dots, n), \end{aligned}$$

then we have

$$(1) \quad \mathfrak{M} = \mathfrak{N} + \mathfrak{H}_1 + \dots + \mathfrak{H}_n.$$

Moreover, if the order of each element of \mathfrak{M} is also prime to m , then we have the direct sum as following:

$$(2) \quad \mathfrak{M} = \mathfrak{N} \oplus (\mathfrak{H}_1 + \dots + \mathfrak{H}_n).$$

Particularly, if $m=n$ and all H_i ($i=1, 2, \dots, n$) are also normal subgroups of G , then \mathfrak{M} is perfectly decomposed into the direct sum as following:

$$(3) \quad \mathfrak{M} = \mathfrak{N} \oplus \mathfrak{H}_1 \oplus \dots \oplus \mathfrak{H}_n.$$

PROOF. Let us put

$$\begin{aligned} N &= \{\varepsilon, \alpha_1, \dots, \alpha_{n-1}\}, \\ H_i &= \{\varepsilon, \beta_{i1}, \dots, \beta_{i, m-1}\} \quad (i=1, 2, \dots, n) \end{aligned}$$

and define $n+1$ endomorphisms $\varphi, \phi_1, \dots, \phi_n$ of \mathfrak{M} by

$$\begin{aligned} \varphi(a) &= a + \alpha_1 a + \dots + \alpha_{n-1} a, \\ \phi_i(a) &= a + \beta_{i1} a + \dots + \beta_{i, m-1} a, \quad (i=1, 2, \dots, n) \end{aligned}$$

for any $a \in \mathfrak{M}$ respectively. Then, we have clearly $\varphi(\mathfrak{M}) \subset \mathfrak{N}$ and $\phi_i(\mathfrak{M}) \subset \mathfrak{H}_i$ ($i=1, 2, \dots, n$). Now, we put

$$\Phi = \varphi + \phi_1 + \dots + \phi_n$$

and we shall prove that Φ is an automorphism of \mathfrak{M} . Since we have $H_i \cap H_j = \{\varepsilon\}$ for $i \neq j$ by our assumption and it is easily seen that $N \cap H_i = \{\varepsilon\}$ for $i=1, 2, \dots, n$, it follows immediately that mn elements $\varepsilon, \alpha_1, \dots, \alpha_{n-1}, \beta_{11}, \dots, \beta_{1\ m-1}, \beta_{21}, \dots, \beta_{n\ m-1}$ of G are distinct to each other and hence they exhaust all elements of G . Therefore, we have

$$\begin{aligned} \Phi(a) &= \varphi(a) + \phi_1(a) + \dots + \phi_n(a) \\ &= (a + \alpha_1 a + \dots + \alpha_{n-1} a) + (a + \beta_{11} a + \dots + \beta_{1\ m-1} a) \\ &\quad + \dots + (a + \beta_{n1} a + \dots + \beta_{n\ m-1} a) \\ &= na + \sum_{\sigma \in G} \sigma a = na \end{aligned}$$

for any $a \in \mathfrak{M}$ because we have $\sum_{\sigma \in G} \sigma a = 0$ by our assumption. Since the order of any $a \in \mathfrak{M}$ is prime to n , this implies clearly that Φ is an automorphism of \mathfrak{M} . Hence we have

$$\begin{aligned} \mathfrak{M} &= \Phi(\mathfrak{M}) = \varphi(\mathfrak{M}) + \phi_1(\mathfrak{M}) + \dots + \phi_n(\mathfrak{M}) \\ &\subset \mathfrak{N} + \mathfrak{H}_1 + \dots + \mathfrak{H}_n \subset \mathfrak{M} \end{aligned}$$

and we have $\mathfrak{M} = \mathfrak{N} + \mathfrak{H}_1 + \dots + \mathfrak{H}_n$ evidently.

Since we have $\varphi(a) = na = \Phi(a)$ for any $a \in \mathfrak{N}$ it follows easily that Φ coincides to φ on \mathfrak{N} , and hence the restriction of $\varphi: \mathfrak{M} \rightarrow \mathfrak{N}$ to \mathfrak{N} is an automorphism of \mathfrak{N} . Hence, if we put $\text{Ker } \varphi = \mathfrak{R}$, then it is easily verified that $\mathfrak{M} = \mathfrak{N} \oplus \mathfrak{R}$. Since we have

$$\phi_i(a) = a + \beta_{i1} a + \dots + \beta_{i\ m-1} a = ma$$

for any $a \in \mathfrak{H}_i$ and the decomposition of G by N is

$$G = N + N\beta_{i1} + \dots + N\beta_{i\ m-1}, \quad (i=1, 2, \dots, n)$$

by our assumption, if $a \in \mathfrak{H}_i$ then we have

$$\begin{aligned} \varphi(ma) &= ma + \alpha_1(ma) + \dots + \alpha_{n-1}(ma) \\ &= (a + \beta_{i1} a + \dots + \beta_{i\ m-1} a) + \alpha_1(a + \beta_{i1} a + \dots + \beta_{i\ m-1} a) \\ &\quad + \dots + \alpha_{n-1}(a + \beta_{i1} a + \dots + \beta_{i\ m-1} a) \\ &= \sum_{\sigma \in G} \sigma a = 0. \end{aligned}$$

This implies $ma \in \mathfrak{R}$ clearly. Now, if we assume that the order of each element of \mathfrak{M} is also prime to m , then we have $\mathfrak{H}_i \subset \mathfrak{R}$ for $i=1, 2, \dots, n$ and hence

$$\mathfrak{N} \cap (\mathfrak{H}_1 + \dots + \mathfrak{H}_n) \subset \mathfrak{N} \cap \mathfrak{R} = \{0\}.$$

This implies $\mathfrak{M} = \mathfrak{N} \oplus (\mathfrak{H}_1 + \dots + \mathfrak{H}_n)$ immediately.

Finally if we assume $m=n$ and all H_i ($i=1, 2, \dots, n$) are also normal subgroups of G , then it is easily verified that $G=H_iH_j$ for $i \neq j$ because we have

$$H_iH_j/H_j \cong H_i/H_i \cap H_j \cong H_i.$$

As we have $N \cap H_i = \{\varepsilon\}$ ($i=1, 2, \dots, n$), if we replace H_i with N in our lemma, then it follows

$$\mathfrak{H}_i \cap (\mathfrak{N} + \mathfrak{H}_1 + \dots + \mathfrak{H}_{i-1} + \mathfrak{H}_{i+1} + \dots + \mathfrak{H}_n) = \{0\}$$

for $i=1, 2, \dots, n$. This implies the holding of (3) clearly. Thus, our lemma is proved completely.

PROOF OF THEOREM 1. Our theorem is the immediate consequence of Lemma applying to the abelian group $C_{K,p}/A_{k,p}$ with $G=G(K/k)$ as the operator domain.

COROLLARY. *Notations being same as Theorem 1, and moreover if we assume $(p, m)=1$ and $h_{k,p} < h_{K,p}$, then there exists a subfield of K such that the order of its p -class group is greater than $h_{k,p}$.*

PROOF. Since all degrees $[K:k]$, $[K:F]$ and $[K:L_i]$ ($i=1, 2, \dots, n$) are prime to p by our assumption, it follows easily that $A_{k,p}$, $A_{F,p}$ and $A_{L_i,p}$ are isomorphic to $C_{k,p}$, $C_{F,p}$ and $C_{L_i,p}$ respectively. [3] Moreover, it is clear by our assumption that at least one of the p -groups $A_{F,p}/A_{k,p}$ and $A_{L_i,p}/A_{k,p}$ ($i=1, 2, \dots, n$) is not the unit group. From above our assertion follows immediately.

THEOREM 2. *Let k be an algebraic number field of finite degree, and let K be a Galois extension over k such that the Galois group $G(K/k)$ is an abelian group of type (l, l) , where l is a rational prime number. Let F_1, F_2, \dots, F_{l+1} be the proper intermediate fields between k and K . If the class number h_K of K is divisible by a rational prime number p ($\neq l$), then $C_{K,p}/A_{k,p}$ is decomposed into the direct product as following:*

$$C_{K,p}/A_{k,p} = A_{F_1,p}/A_{k,p} \times \dots \times A_{F_{l+1},p}/A_{k,p}.$$

PROOF. This theorem follows immediately by applying the last assertion of Lemma to the p -group $C_{K,p}/A_{k,p}$.

§ 3. p -class groups of S_n -extensions.

In this section, we shall be mainly concerned with the applying of Theorem 1 and 2 to the p -class groups of S_n -extensions.

THEOREM 3. *Let k be an algebraic number field of finite degree, and let K be an S_n -extension over k where we assume $n \geq 4$. If we have $h_{K,p} > a_{k,p}$ for any rational prime number p , then there exist proper intermediate fields F and L_1, L_2, \dots, L_r between k and K such that L_1, L_2, \dots, L_r are conjugate to each*

other over *k* and we have

$$C_{K,p} = \langle A_{F,p}, A_{L_1,p}, \dots, A_{L_r,p} \rangle.$$

Moreover, if $p > 2$, then there exists a proper subfield of *K* such that the order of its *p*-class group is greater than $a_{k,p}$. If $n \geq 6$, then this is also true for $p = 2$.

PROOF. We may regard the Galois group $G = G(K/k)$ as the symmetric group S_n of *n* letters a_1, a_2, \dots, a_n .

(1) the case when $p > 2$.

Let T_1 be the subfield of *K* corresponding to the subgroup $\{I, (a_1 a_2), (a_3 a_4), (a_1 a_2)(a_3 a_4)\}$ of *G* by the Galois theory. Then, it is obvious that *K* is an (A)-extension over T_1 . Hence, if we denote by *F*, L_1 and L_2 the proper intermediate fields between T_1 and *K*, where we assume L_1 and L_2 are conjugate to each other over *k*, then using Theorem 1 we have

$$C_{K,p} = \langle A_{F,p}, A_{L_1,p}, A_{L_2,p} \rangle$$

clearly. Moreover, since $C_{K,p}/A_{k,p}$ is non-trivial by our assumption, it follows immediately that among the *p*-groups $A_{F,p}/A_{k,p}$, $A_{L_1,p}/A_{k,p}$ and $A_{L_2,p}/A_{k,p}$ there exists at least one which is non-trivial. Now, since $A_{F,p}$, $A_{L_1,p}$ and $A_{L_2,p}$ are isomorphic to $C_{F,p}$, $C_{L_1,p}$ and $C_{L_2,p}$ respectively in our case, it follows immediately that among $h_{F,p}$, $h_{L_1,p}$ and $h_{L_2,p}$ there exists at least one which is greater than $a_{k,p}$.

(2) the case when $p = 2$.

Let T_2 be an intermediate field between *k* and *K* such that *K* is an S_3 -extension over T_2 . Moreover, let *F*, L_1 , L_2 and L_3 be proper intermediate fields between T_2 and *K*, where we assume L_1, L_2 and L_3 are conjugate to each other over *k*. Then, since *K* is an (A)-extension over T_2 , using Theorem 1 we have

$$C_{K,2} = \langle A_{F,2}, A_{L_1,2}, A_{L_2,2}, A_{L_3,2} \rangle$$

immediately. Finally, if we assume $n \geq 6$, then there exists a subfield of *K* corresponding to the subgroup $\langle (a_1 a_2 a_3), (a_4 a_5 a_6) \rangle$ of *G* which is of type (3,3). Now, our assertion concerning with the order of 2-class group follows similarly as the case when $p > 2$. Q. E. D.

Now, for the subfields of S_n -extensions we shall consider a condition concerning with the divisibility of their class numbers by a rational prime number *p*.

THEOREM 4. *Let k be an algebraic number field of finite degree, and let K be an S_n -extension over k where we assume $n \geq 5$. Let M be the subfield of K such that we have $[M:k] = 2$. Moreover, we assume $h_{K,p} > a_{M,p}$ for a rational prime number *p*. If F and L_1, L_2, \dots, L_r are proper intermediate fields between k and K such that they satisfy the assertion of Theorem 3 and $[K:L_i]$ ($i = 1, 2, \dots, r$) is prime to *p*, then we have $h_{L_i,p} > a_{k,p}$ for $i = 1, 2, \dots, r$.*

PROOF. Since it follows easily from our assumption that $C_{L_i,p}$ is isomorphic to $A_{L_i,p}$ for $i=1, 2, \dots, r$, we have $a_{k,p} \leq a_{L_i,p} = h_{L_i,p}$ clearly. Now, we assume $h_{L_i,p} = a_{k,p}$, from which it follows $A_{L_i,p} = A_{k,p}$ evidently. Then, since we have $C_{K,p} = \langle A_{F,p}, A_{L_1,p}, \dots, A_{L_r,p} \rangle$ we obtain $C_{K,p} = A_{F,p}$ clearly. If $F_1 = F, F_2, \dots, F_s$ are all of the conjugates of F over k , then we have also $C_{K,p} = A_{F_i,p}$ ($i=1, 2, \dots, s$) because $A_{F_i,p}$ ($i=1, 2, \dots, s$) is isomorphic to $A_{F,p}$ clearly. Hence, if we put $T = \bigcap_{i=1}^s F_i$, then it is easily verified that we have $C_{K,p} = A_{T,p}$. Now, since the Galois group $G(K/T)$ is a normal subgroup of $G(K/k)$ and it is well known that the alternative group A_n of degree n is the unique proper normal subgroup of S_n when $n \geq 5$, we must have $G(K/T) \supset G(K/M)$ and this implies $T \subset M$ immediately. Hence we have $C_{K,p} = A_{T,p} \subset A_{M,p}$ and this is a contradiction clearly. Thus, we have $h_{L_i,p} > a_{k,p}$ for $i=1, 2, \dots, r$, and our theorem is proved completely.

COROLLARY 1. *The fields k, K and M being same as Theorem 4, and similarly we assume $h_{K,p} > a_{M,p}$ for an odd prime number p . Moreover, let π be an element of S_n and let L be a subfield of K corresponding to the subgroup of S_n generated by π . Then, if we have one of the following cases:*

- (1) π is a transposition,
- (2) π is a cycle of length $q-1$, where $q (\leq n)$ is an odd prime number such that $(p, q(q-1))=1$,
- (3) π is a product of $(q-1)/2$ disjoint transpositions, where $q (\leq n)$ is an odd prime number different from p , then we have always $h_{L,p} > a_{k,p}$.

PROOF. It is easily shown for each of above cases that there exists a subfield T of K such that $[K:T]$ is prime to p and K/T is an (A)-extension in which L plays a part of L_i . Now, our assertion follows immediately from Theorem 4. Q. E. D.

If the fields K and M are same as Theorem 4, then it is easily verified that we have $h_{M,p} \leq a_{M,p}$. Now, in following corollary, we shall deal with the case where we may assume $h_{K,p} > h_{M,p}$ instead of $h_{K,p} > a_{M,p}$.

COROLLARY 2. *The fields k, K and M being same as Theorem 4, and we assume $h_{K,p} > h_{M,p}$ for an odd prime number $p (> 3)$. Moreover, if $p \leq n$, then we assume that there exists no prime ideal of M whose ramification index in K is divisible by p . Then, if F and L_1, L_2, \dots, L_r are proper intermediate fields between k and K such that they satisfy the assertion of Theorem 3 and $[K:L_i]$ ($i=1, 2, \dots, r$) is prime to p , then we have $h_{L_i,p} > a_{k,p}$ for $i=1, 2, \dots, r$.*

To prove this corollary we must use the following lemma, which has been proved in [2].

LEMMA. *Let $p (> 3)$ be an odd prime number. Let G be a finite group and let P be a p -subgroup of G which is contained in the center of G . Then, if G/P is isomorphic to the alternative group A_n of degree n , then there exists a normal*

subgroup *N* of *G* such that we have $G=N \times P$.

PROOF OF COROLLARY 2. If we assume $h_{L_i,p} = a_{k,p}$, then it follows $C_{K,p} = A_{M,p}$ as the proof of Theorem 4. Now, let Ω be the unramified abelian extension over *K* such that the Galois group $G(\Omega/K)$ is isomorphic to $C_{K,p}$. Then, it is easily verified that Ω is a Galois extension over *k* and the Galois group $G(\Omega/K)$ is a *p*-group which is contained in the center of the Galois group $G(\Omega/M)$. Since the Galois group $G(K/M)$ is isomorphic to the alternative group A_n of degree *n* and we have $p > 3$ by our assumption, using above lemma it follows easily that there exists an abelian extension *U* over *M* such that we have $KU = \Omega$ and $K \cap U = M$ and moreover the Galois group $G(U/M)$ is isomorphic to $C_{K,p}$. Since there exists no prime ideal of *M* whose ramification index in Ω is divisible by *p* in our case, *U* must be an unramified abelian extension over *M*. This implies $h_{K,p} \leq h_{M,p}$, which is a contradiction clearly. Thus, our assertion is proved completely. Q. E. D.

Finally, we shall deal with the rank of *p*-class groups of S_n -extensions. Namely, in following theorem, we shall give some lower bound for it.

THEOREM 5. *Let k be an algebraic number field of finite degree, and let K be an S_n -extension over k where we assume $n \geq 5$. Moreover, let M be the subfield of K such that we have $[M:k] = 2$. If we have $h_{K,p} > a_{M,p}$ for an odd prime number p and if we denote by ρ the rank of p-group $C_{K,p}/A_{k,p}$, then we have always $\rho \geq 3$. Hence, $h_{K,p}/a_{k,p}$ is divisible by at least p^3 in our case.*

PROOF. We may regard the Galois group $G(K/k)$ as the symmetric group S_n of *n* letters a_1, a_2, \dots, a_n as before. Let L_1, L_2 and L_3 be the subfields of *K* corresponding to the subgroups $\langle (a_1 a_2)(a_3 a_4) \rangle, \langle (a_1 a_3)(a_2 a_4) \rangle$ and $\langle (a_1 a_4)(a_2 a_3) \rangle$ of S_n respectively, and we put $F = L_1 \cap L_2 \cap L_3$. Since the Galois group $G(K/F)$ is an abelian group of type (2, 2), applying Theorem 2 to it we have

$$C_{K,p}/A_{F,p} = A_{L_1,p}/A_{F,p} \times A_{L_2,p}/A_{F,p} \times A_{L_3,p}/A_{F,p}$$

immediately. As L_1, L_2 and L_3 are conjugate to each other over *k*, $A_{L_1,p}, A_{L_2,p}$ and $A_{L_3,p}$ are isomorphic to each other. Hence, it follows easily that we have $A_{L_i,p} \cong A_{F,p}$ for $i=1, 2, 3$, because if we assume otherwise, then we must have $C_{K,p} = A_{F,p}$ and from this we must have a contradiction using the same method as the proof of Theorem 4. Now, from above our assertion follows immediately.

COROLLARY. *The fields k, K and M being same as above, and we assume $h_{K,p} > h_{M,p}$ for an odd prime number p (> 3). Moreover, if $p \leq n$, then we assume that there exists no prime ideal of M whose ramification index in K is divisible by p. Then, if we denote by ρ the rank of p-group $C_{K,p}/A_{k,p}$, then we have always $\rho \geq 3$.*

PROOF. Using same method as the proof of Corollary 2 of Theorem 4 our corollary follows easily.

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