

## On a skew polynomial ring

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### § 0. Introduction.

If  $K$  is a commutative ring, and  $A$  is a commutative Frobenius  $K$ -algebra generated by a single element, then there exists a quasi-monic polynomial  $f(X)$  such that  $K[X]/(f(X))$  is  $K$ -algebra isomorphic to  $A$ , and conversely ([6]). In the preceding paper [8], as a generalization of this result, we have studied non-singular bilinear maps which come from a (\*)-positively filtered ring  $R = \bigcup_{i \geq 0} R_i$  over a not necessarily commutative ring  $K$ . In the present paper we study the case when  $R_1/K$  is isomorphic to  $K$  as right  $K$ -module (or equivalently, as left  $K$ -module). In this case,  $R = \bigcup_{i \geq 0} R_i$  is a skew polynomial ring  $K[X; \rho, D] = K \oplus XK \oplus X^2K \oplus \dots$ , which is defined by an automorphism  $\rho$  of  $K$ , a  $\rho$ -derivation  $D$  of  $K$ , and  $aX = X\rho(a) + D(a)$  ( $a \in K$ ). Therefore some arguments proceed as in the case when  $R$  is a polynomial ring over a commutative ring, and more explicit descriptions may be obtained. Proposition 1.4 and Theorem 1.8 in this paper correspond to [6; Proposition 2.1], and Theorem 1.10 is analogous to [6; Proposition 3.2]. Further, Theorem 1.3 is a concrete description of [8; Theorem 12], and Theorem 1.9 corresponds to [5; Proposition 2.4]. However, the characterization of a separable polynomial (Theorem 1.8) is not enough. Because it is not easy to check that condition in practice. In fact, a monic polynomial  $f(X)$  over a commutative ring is separable if and only if its discriminant (i. e. the resultant of  $f(X)$  and  $f'(X)$ ) is invertible, by virtue of the local criterion for a separable algebra. But, in our situation, we don't have a corresponding result. Consequently, in § 2 and § 3, we give several examples of separable polynomials. Further, concerning a separable polynomial, we have an open problem: Is a separable polynomial always Frobenius (i. e. its residue class ring is Frobenius over  $K$ )? Some arguments on this problem are done in § 3 (Theorem 3.4 and Theorem 3.5). It is easy to find a monic polynomial  $f$  such that  $Rf = fR$  and  $R/Rf$  is not Frobenius over  $K$ . But we don't know as yet a separable polynomial which is not Frobenius. Finally, needless to say, the condition  $Rf = fR$  for a monic polynomial  $f$  is not an easy one (Lemma 1.14 and Lemma 2.1). Concerning this, if  $K$  is an indecomposable commutative ring, every non-zero  $K$ - $R$ -submodule  $I$  of  $R$  such that  $R/I$  is finitely generated and pro-

jective as left  $K$ -module is expressed as  $I=fR$  with a monic polynomial  $f$  such that  $Kf=fK$  (, and conversely) ([7]). If we assume that  $K$  is a two sided simple ring, then every non-zero  $K$ - $R$ -submodule of  $R$  is expressed as the above, too. Because every  $gr_iR$  is a simple  $K$ -bimodule, and  $R_{m-1} \oplus fR=R$  for any monic polynomial  $f$  of degree  $m$ . It will be worthy to find another condition about this problem.

### §1.

Throughout this paper, all rings are associative, but not necessarily commutative. Every ring has the identity 1, which is preserved by homomorphisms, inherited by subrings and acts as the identity operator on modules. Let  ${}_A P_{A'}$  be a left  $A$ -, right  $A'$ -module. If  $P_{A'}$  is finitely generated, projective, and generator, and  $\text{Hom}(P_{A'}, P_{A'})$  (the ring of all right  $A'$ -endomorphisms of  $P$ )  $\simeq A$  under the mapping induced by  ${}_A P$ , we call  ${}_A P_{A'}$  an invertible module. It is well known that this is right-left symmetric. Here we recall the definition of a (\*)-positively filtered ring, which is defined in [8].

Let  $R \supseteq K$  be rings, and  $R_0=K \subseteq R_1 \subseteq R_2 \subseteq \dots$  an ascending sequence of additive subgroups such that  $R = \bigcup_i R_i$  and  $R_i R_j \subseteq R_{i+j}$  for all  $i, j \geq 0$ . Then we call  $R = \bigcup_i R_i$  a positively filtered ring over  $K$ . If, further,  $R = \bigcup_i R_i$  satisfies the following condition (\*) we call  $R = \bigcup_i R_i$  a (\*)-positively filtered ring over  $K$ :

(\*) Each  $R_i/R_{i-1}$  ( $i \geq 1$ ) is an invertible  $K$ -bimodule, and  $(R_i/R_{i-1}) \otimes_K (R_j/R_{j-1}) \simeq R_{i+j}/R_{i+j-1}$  by the canonical mapping  $(x_i + R_{i-1}) \otimes (x_j + R_{j-1}) \mapsto x_i x_j + R_{i+j-1}$  ( $x_i \in R_i, x_j \in R_j$ ), for all  $i, j \geq 1$ .

We denote this ring by  $K[R_1]$ , and put  $R_i=0$ , if  $i < 0$ . For any  $i \geq 0$ , we put  $gr_i R = R_i/R_{i-1}$ , which is an invertible  $K$ -bimodule. By induction we can see that  $R_i = R_1 \cdots R_1$  ( $i$ -times)  $= R_1^i$ . Since  $gr_i R_K$  is projective,  $R_i = R_{i-1} \oplus P_i$  for some right  $K$ -submodule  $P_i$  of  $R_i$ . Similarly  $R_i = R_{i-1} \oplus Q_i$  for some left  $K$ -submodule  $Q_i$  of  $R_i$ . We call  $P_i$  (resp.  $Q_i$ ) a monic right (resp. left)  $K$ -submodule of degree  $i$ . If  $P_i$  is a  $K$ -bisubmodule,  $P_i$  is called a monic  $K$ -bisubmodule of degree  $i$ . Note that  $P_i \simeq gr_i R$  as  $K$ -bimodules, in this case.

Now we assume that  $gr_1 R_K \simeq K_K$ , and  $X+K \mapsto 1$  under this right  $K$ -isomorphism, where  $X \in R_1$ . Then  $R_1 = K \oplus XK$ , and  $Xa=0$  ( $a \in K$ ) implies  $a=0$ . For any  $a \in K$ ,  $aX$  is written as  $aX = a' + Xa''$  ( $a', a'' \in K$ ). Since  ${}_K gr_1 R_K$  is invertible, we know that  $gr_1 R = K(X+K)$  and  $a(X+K)=0$  ( $a \in K$ ) implies  $a=0$ . Therefore  $R_1 = K \oplus KX$ , and so the mapping  $\rho: a \mapsto a'$  is an automorphism of  $K$ . Further it is easily seen that the mapping  $D: a \mapsto a'$  is a  $\rho$ -derivation from  $K$  to  $K$  (i.e.  $D(ab) = D(a)\rho(b) + aD(b)$  ( $a, b \in K$ )). Thus  $aX = X\rho(a) + D(a)$  ( $a \in K$ ). Then the condition (\*) implies that  $gr_1 R \otimes_K \cdots \otimes_K gr_1 R$  ( $i$ -times)  $\simeq gr_i R$ ,  $(X+K) \otimes \cdots \otimes (X+K) \mapsto X^i + R_{i-1}$ , and  $X^i a = 0$  implies  $a=0$ . Hence  $R_i = R_{i-1} \oplus X^i K$  for all  $i \geq 1$ . Similarly  $R_i = R_{i-1} \oplus KX^i$ . Therefore  $R = K \oplus XK \oplus X^2 K \oplus \cdots = K \oplus KX \oplus KX^2 \oplus \cdots$ . Thus  $R = K[X; \rho, D]$ , where  $aX = X\rho(a) + D(a)$  ( $a \in K$ ). Note

that  $aX^i \equiv X^i \rho^i(a) \pmod{R_{i-1}}$  for all  $a \in K$ . Conversely, an automorphism  $\rho$  and a  $\rho$ -derivation  $D$  of  $K$  determine an extension ring  $R = K[X; \rho, D]$  of  $K$ , which is defined by  $aX = X\rho(a) + D(a)$  (cf. [1]). If we put  $R_i = K \oplus XK \oplus \dots \oplus X^i K$ , we can easily see that  $R = \bigcup_i R_i$  is a  $(*)$ -positively filtered ring over  $K$  such that  $R_1/K_K \simeq K_K$ , by the mapping  $Xa + K \mapsto a$  ( $a \in K$ ).

In the remainder of this paper we assume that  $R = K[X; \rho, D]$  and  $R_i = K \oplus XK \oplus \dots \oplus X^i K$  ( $i \geq 0$ ). Let  $g$  be a monic polynomial of degree  $n$ . Then  $R_n = R_{n-1} \oplus gK = R_{n-1} \oplus Kg$ . Conversely, if  $P_n$  is a monic right  $K$ -submodule of degree  $n$  then  $g \equiv X^n \pmod{R_{n-1}}$  for some  $g \in P_n$ . Then  $R_n = R_{n-1} \oplus gK$ , and so  $gK = P_n$ , because  $gK \subseteq P_n$ . If  $P_n$  is a monic  $K$ -bisubmodule, then  $P_n = Kg$ , so that  $P_n = Kg = gK$ . In this case, as is easily seen,  $ag = g\rho^n(a)$  for all  $a \in K$ . Hence  $P_n \simeq gr_n R$ ,  $g \mapsto X^n + R_{n-1}$ , as  $K$ -bimodules.

In the following we fix a monic polynomial  $f = X^m + X^{m-1}a_{m-1} + \dots + a_0$  ( $m \geq 1$ ), and put  $a_m = 1$ . As in [6], we put

$$\begin{aligned} Y_{m-1} &= 1 \\ Y_{m-2} &= X + a_{m-1} \\ Y_{m-3} &= X^2 + Xa_{m-1} + a_{m-2} \\ &\dots\dots\dots \\ Y_i &= X^{m-i-1} + X^{m-i-2}a_{m-1} + \dots + a_{i+1} \\ &\dots\dots\dots \\ Y_0 &= X^{m-1} + X^{m-2}a_{m-1} + \dots\dots\dots + a_1. \end{aligned}$$

$f^* = X^m + a_{m-1}^* X^{m-1} + \dots + a_0^*$  ( $a_m^* = 1$ ) is defined by  $a_i^* X^{m-1} \equiv X^{m-1} a_i \pmod{R_{m-2}}$  ( $i = 0, 1, \dots, m-1$ ) (i. e.  $\rho^{m-1}(a_i^*) = a_i$ ). Further we put

$$\begin{aligned} Y_{m-1}^* &= 1 \\ Y_{m-2}^* &= X + a_{m-1}^* \\ Y_{m-3}^* &= X^2 + a_{m-1}^* X + a_{m-2}^* \\ &\dots\dots\dots \\ Y_i^* &= X^{m-i-1} + a_{m-1}^* X^{m-i-2} + \dots + a_{i+1}^* \\ &\dots\dots\dots \\ Y_0^* &= X^{m-1} + a_{m-1}^* X^{m-2} + \dots\dots\dots + a_1^*. \end{aligned}$$

$M, M^*, M_1$ , and  $M_1^*$  are defined by  $M = R_{m-2} \oplus R_{m-1} f$ ,  $M^* = R_{m-2} \oplus f^* R_{m-1}$ ,  $M_1 = R_{m-2} \oplus R f$ , and  $M_1^* = R_{m-2} \oplus f^* R$ . We begin with the following

LEMMA 1.1. (1)  $X^i Y_j \equiv \delta_{ij} X^{m-1} \pmod{M_1}$  ( $0 \leq i, j \leq m-1$ ), where  $\delta_{ij}$  is Kronecker's delta.

(2)  $Y_j^* X^i \equiv \delta_{ij} X^{m-1} \pmod{M_1^*}$  ( $0 \leq i, j \leq m-1$ ). (Cf. [9; Remark 1.1].)

PROOF. (1)  $X^i Y_i = X^i (X^{m-i-1} + X^{m-i-2} a_{m-1} + \dots + a_{i+1}) = X^{m-1} + X^{m-2} a_{m-1} + \dots + X^i a_{i+1} \equiv X^{m-1} \pmod{M_1}$ . If  $j < i$  then it is evident that  $X^j Y_i \equiv 0 \pmod{M_1}$ . If

$m-1 \geq j \geq i+1$  then  $X^j Y_i = X^{j-i-1} X^{i+1} Y_i = X^{j-i-1} (X^m + X^{m-1} a_{m-1} + \cdots + X^{i+1} a_{i+1}) \equiv X^{j-i-1} (-X^i a_i - \cdots - a_0) \equiv 0 \pmod{M_1}$ . Similarly we can prove (2).

LEMMA 1.2.  $Y_j^* f \equiv f^* Y_j \pmod{R_{m-2}}$  ( $j=0, \dots, m-1$ ).

PROOF.  $Y_j^* f \equiv Y_j^* (X^m + X^{m-1} a_{m-1} + \cdots + X^j a_j) \equiv Y_j^* X^{j+1} (X^{m-j-1} + X^{m-j-2} a_{m-1} + \cdots + a_{j+1}) + X^{m-1} a_j \equiv Y_j^* X^{j+1} Y_j + X^{m-1} a_j \pmod{R_{m-2}}$ . Similarly we have  $f^* Y_j \equiv Y_j^* X^{j+1} Y_j + a_j^* X^{m-1} \pmod{R_{m-2}}$ . But, by definition,  $a_j^* X^{m-1} \equiv X^{m-1} a_j \pmod{R_{m-2}}$ . Hence  $Y_j^* f \equiv f^* Y_j \pmod{R_{m-2}}$  ( $j=0, 1, \dots, m-1$ ).

Now, assume that  $Kf = fK$  (monic  $K$ -bisubmodule). Note that  $R_{m-1} = Y_{m-1}K \oplus Y_{m-2}K \oplus \cdots \oplus Y_0K = Y_{m-1}^*K \oplus Y_{m-2}^*K \oplus \cdots \oplus Y_0^*K$ . By definition,  $M = R_{m-2} \oplus R_{m-1}f$ . On the other hand  $M^* = R_{m-2} \oplus f^*R_{m-1} = R_{m-2} + f^*Y_{m-1}K + \cdots + f^*Y_0K = R_{m-2} + Y_{m-1}^*fK + \cdots + Y_0^*fK$ , by virtue of Lemma 1.2. But, since  $fK = Kf$ , we have  $M^* = R_{m-2} + Y_{m-1}^*Kf + \cdots + Y_0^*Kf = R_{m-2} + R_{m-1}f = M$ . Hence  $M = M^*$  (if  $fK = Kf$ ). Then, as  $R = R_{m-1} + Rf$ , we have  $f^*R = f^*(R_{m-1} + Rf) \subseteq R_{m-2} + Rf = M_1$ , and so  $M_1^* \subseteq M_1$ . Symmetrically  $M_1 \subseteq M_1^*$ . Thus  $M_1 = M_1^*$ .

Next we shall prove that if  $yR_{m-1} \subseteq M$  ( $y \in R_{m-1}$ ) then  $y=0$ . Since  $y \in R_{m-1} \cap M = R_{m-2}$ , we have  $yR_1 \subseteq R_{m-1} \cap M = R_{m-2}$ . Hence  $y \in R_{m-3}$ . Then  $yR_2 \subseteq R_{m-1} \cap M = R_{m-2}$ ,  $\dots$ . Eventually  $y \in K$ , and so  $yR_{m-1} \subseteq R_{m-1} \cap M = R_{m-2}$ , and hence  $y=0$ , as desired. Now,  $Kf^*KR_{m-1} \subseteq M$ , because  $M = M^*$  is a  $K$ -bisubmodule. Hence  $Kf^*K \cap R_{m-1} = 0$  by the preceding argument, and so  $R_{m-1} \oplus Kf^*K = R_m$ . Therefore  $Kf^*K = f^*K = Kf^*$ . Lastly, let  $z \in R$  and  $zR_{m-1} \subseteq M_1 (=M_1^*)$ . Let  $z \equiv z_0 \pmod{f^*R}$ , where  $z_0 \in R_{m-1}$ . Then  $z_0R_{m-1} \subseteq R_{2m-1} \cap M_1 \subseteq R_{m-2} + R_{m-1}f = M$ . Hence  $z_0=0$ . Thus  $z \in f^*R$ . Further, assume that  $z \in R_m$ . Then  $z \in f^*R \cap R_m = f^*K$ . Hence  $f^*R = \{z \in R \mid zR \subseteq M_1\} = \{z \in R \mid zR_{m-1} \subseteq M_1\}$ , and  $f^*K = \{z \in R_m \mid zR_{m-1} \subseteq M\}$ . Similarly  $Rf = \{z \in R \mid Rz \subseteq M_1\} = \{z \in R \mid R_{m-1}z \subseteq M_1\}$ , and  $Kf = \{z \in R_m \mid R_{m-1}z \subseteq M\}$ . Thus we obtain the following

THEOREM 1.3. Let  $f = X^m + X^{m-1}a_{m-1} + \cdots + a_0$  ( $m \geq 1$ ), and assume that  $Kf = fK$ . Then there exists a monic polynomial  $f^*$  of degree  $m$  such that  $R_{m-2} \oplus f^*R_{m-1} = R_{m-2} \oplus R_{m-1}f (=M)$ . Such a  $f^*$  is uniquely determined by  $f$ . In fact,  $f^* = X^m + a_{m-1}^*X^{m-1} + \cdots + a_0^*$ , where  $a_i^*X^{m-1} \equiv X^{m-1}a_i \pmod{R_{m-2}}$  ( $i=0, \dots, m-1$ ) (i.e.  $\rho^{m-1}(a_i^*) = a_i$ ), and there holds  $Kf^* = f^*K$ .

The latter half of the above is a concrete description of  $(fK)^*$  for a monic  $K$ -bisubmodule  $fK$  in the case that  $R_1/K_K \simeq K_K$  (cf. [8; Theorem 12]).

In what follows, we assume  $fK = Kf$ . Let  $F$  be the bilinear map from  $(R/f^*R) \times (R/Rf)$  to  $R/M_1$  defined by  $F(y+f^*R, z+Rf) = yz + M_1$  ( $y, z \in R$ ), where  $M_1 = R_{m-2} \oplus Rf = R_{m-2} \oplus f^*R$ . Then  $F(a(y+f^*R), r(z+Rf)b) = aF((y+f^*R)r, z+Rf)b$  ( $a, b \in K, y, z, r \in R$ ). In this sense we say that  $F$  is a  $(K, R, K)$ -bilinear map. Since  $R = R_{m-1} \oplus Rf$ , we have  $R/M_1 \simeq R_{m-1}/R_{m-2} = K(X^{m-1} + R_{m-2}) = (X^{m-1} + R_{m-2})K$ .  $X^i + f^*R$  ( $i=0, 1, \dots, m-1$ ) is a basis for  ${}_K R/f^*R$ , and  $Y_j + Rf$  ( $j=0, 1, \dots, m-1$ ) is a basis for  $R/Rf_K$ , and then Lemma 1.1 implies that  $F$  is a non-singular  $(K, R, K)$ -bilinear map, that is,  ${}_K R/f^*R \simeq {}_K \text{Hom}(R/Rf_K, R/M_{1K})_R$

(the module of all right  $K$ -homomorphisms from  $R/Rf$  to  $R/M_1$ ) and  ${}_R R/Rf_K \cong {}_R \text{Hom}({}_K R/f^*R, {}_K R/M_1)_K$  under the maps induced by  $F$ . These facts are proved in [8], in more general form.

Let  $\pi$  be the right  $K$ -isomorphism from  $R/M_1$  to  $K$  such that  $\pi(X^{m-1}a + M_1) = a$  ( $a \in K$ ). Let  $\pi^*$  be the left  $K$ -isomorphism from  $R/M_1$  to  $K$  such that  $\pi^*(aX^{m-1} + M_1) = a$  ( $a \in K$ ). Then, for any  $a \in K$ ,  $aX^{m-1} + M_1 = X^{m-1}\rho^{m-1}(a) + M_1$ , and so  $\pi(aX^{m-1} + M_1) = \pi(X^{m-1}\rho^{m-1}(a) + M_1) = \rho^{m-1}(a) = \rho^{m-1}\pi^*(aX^{m-1} + M_1)$ .

PROPOSITION 1.4. For any  $y$  in  $R$  there hold  $y \equiv \sum_j \pi^*(yY_j + M_1)X^j \equiv \sum_j \pi^*(yX^j + M_1)Y_j^*$  (mod  $f^*R$ ) and  $y \equiv \sum_j Y_j\pi(X^jy + M_1) \equiv \sum_j X^j\pi(Y_j^*y + M_1)$  (mod  $Rf$ ), where  $j=0, 1, \dots, m-1$ .

PROOF. Let  $y \equiv \sum_{i=0, \dots, m-1} d'_i X^i$  (mod  $f^*R$ ). Then,  $yY_j + M_1 = \sum_i d'_i X^i Y_j + M_1 = d'_j X^{m-1} + M_1$ , by Lemma 1.1. Hence  $\pi^*(yY_j + M_1) = d'_j$  ( $j=0, \dots, m-1$ ). Thus  $y \equiv \sum_j \pi^*(yY_j + M_1)X^j$  (mod  $f^*R$ ). Next, let  $y \equiv \sum_{i=0, \dots, m-1} Y_i d''_i$  (mod  $Rf$ ). Then,  $X^j y + M_1 = \sum_i X^j Y_i d''_i + M_1 = X^{m-1} d''_j + M_1$ , by Lemma 1.1. Hence  $y \equiv \sum_j Y_j \pi(X^j y + M_1)$  (mod  $Rf$ ). The remainder is similarly proved.

PROPOSITION 1.5. Let  $f$  be a monic polynomial of degree  $m$  ( $\geq 1$ ) such that  $Kf = fK$ , and let  $f^*$  be the monic polynomial as in Theorem 1.3. Then  $\{y \in R \mid yR \subseteq Rf\} = \{y \in R \mid Ry \subseteq f^*R\}$ , that is, the bound of  ${}_R R/Rf$  is equal to the bound of  $R/f^*R_R$ .

PROOF. For  $y$  in  $R$ ,  $(R/f^*R)y = 0$  and  $\text{Hom}(R/Rf_K, R/M_{1K})y = 0$  are equivalent since  ${}_K R/f^*R_R \cong {}_K \text{Hom}(R/Rf_K, R/M_{1K})_R$ . But, the latter is equivalent to  $y(R/Rf) = 0$ , by Proposition 1.4.

In the sequel we denote the bound of  ${}_R R/Rf$  by  $I$ . If  $fR = Rf$  then  $I = fR = Rf$ , and  $f = f^*$ .

REMARK. Since  $R = R_{m-1} \oplus Rf$ ,  $I = \{y \in R \mid yR_{m-1} \subseteq Rf\}$ .

Let  ${}_T V, {}_T W$  be left  $T$ -modules over a ring  $T$ . If  ${}_T V$  is isomorphic to a direct summand of  ${}_T W^n$  (direct sum of  $n$  copies of  ${}_T W$ ) for some  $n$ , then we write  ${}_T V \mid {}_T W$ . Then it is easily seen that  ${}_T V \mid {}_T W$  if and only if there are  $\sigma_1, \dots, \sigma_n$  in  $\text{Hom}({}_T V, {}_T W)$  and  $\tau_1, \dots, \tau_n$  in  $\text{Hom}({}_T W, {}_T V)$  such that  $\sum_i \tau_i \sigma_i(v) = v$  for all  $v \in V$ . Let  $\sigma: U \rightarrow T$  be a ring homomorphism. Then, by  $\sigma$ ,  $T$  is considered as a  $U$ -bimodule, and we obtain a  $T$ -bimodule  ${}_T T \otimes_U T_T$ . If  ${}_T T_T \mid {}_T T \otimes_U T_T$  then  $\sigma$  (abbrev.  $T/U$ ) is said to be a separable extension. As is well known, this is equivalent to that the canonical map  $T \otimes_U T \rightarrow T, t \otimes t' \mapsto tt'$  splits as a  $T$ - $T$ -homomorphism, or equivalently, there is an element  $\sum t_i \otimes t'_i$  in  $T \otimes_U T$  such that  $\sum_i t_i t'_i = 1$  and  $\sum_i t t_i \otimes t'_i = \sum_i t_i \otimes t'_i t$  for all  $t \in T$ . If  ${}_T T \otimes_U T_T \mid {}_T T_T$ ,  $\sigma$  is called an  $H$ -separable extension. It is known that an  $H$ -separable extension is a separable extension (K. Hirata).

LEMMA 1.6. Any  $R$ - $R$ -homomorphism  $\nu: {}_R(R/I) \otimes_K (R/f^*R)_R \rightarrow {}_R R/I_R$  is written as  $\nu((y+I) \otimes (z+f^*R)) = yrz + I$  ( $y, z \in R$ ) with an element  $r$  of  $R$  such that  $rf^* \in I$  and  $ra \equiv ar$  (mod  $I$ ) for all  $a \in K$ .

PROOF. This is evident from the sequence of isomorphisms  $\text{Hom}({}_R(R/I) \otimes_K (R/f^*R)_R, {}_R R/I_R) \simeq \text{Hom}({}_K R/f^*R_R, {}_K \text{Hom}({}_R R/I, {}_R R/I)_R) \simeq \text{Hom}({}_K R/f^*R_R, {}_K R/I_R)$ .

LEMMA 1.7. Any  $R$ - $R$ -homomorphism  $\mu: {}_R R/I_R \rightarrow {}_R (R/I) \otimes_K (R/f^*R)_R$  is written as  $\mu(y+I) = \sum_{j=0, \dots, m-1} (yY_j r + I) \otimes (X^j + f^*R)$  ( $y \in R$ ) with an element  $r$  of  $R$  such that  $fr \in I$  and  $\rho^{m-1}(a)r \equiv ra \pmod{I}$  for all  $a \in K$ .

PROOF. Put  $u = X^{m-1} + M_1 \in R/M_1$ . Then  $R/M_1 = uK$ , and  $ua = 0$  ( $a \in K$ ) implies  $a = 0$ . And,  $bu = u\rho^{m-1}(b)$  for all  $b \in K$ . The bilinear map  $F$  induces an isomorphism  ${}_K R/f^*R_R \simeq {}_K \text{Hom}(R/Rf_K, uK_K)_R$ , and so  ${}_R (R/I) \otimes_K (R/f^*R)_R \simeq {}_R (R/I) \otimes_K \text{Hom}(R/Rf_K, uK_K)_R$ . Since  $R/Rf_K$  is finitely generated and projective,  ${}_R (R/I) \otimes_K \text{Hom}(R/Rf_K, uK_K)_R \simeq {}_R \text{Hom}(R/Rf_K, (R/I) \otimes_K uK_K)_R$ ,  $(y+I) \otimes \gamma \mapsto (z+Rf \rightarrow (y+I) \otimes \gamma(z+Rf))$ . Therefore  $(\#): \text{Hom}({}_R R/I_R, {}_R (R/I) \otimes_K (R/f^*R)_R) \simeq \text{Hom}({}_R R/I_R, {}_R \text{Hom}(R/Rf_K, (R/I) \otimes_K uK_K)_R) \xrightarrow{\alpha} \text{Hom}({}_R R/Rf_K, {}_R (R/I) \otimes_K uK_K)$ , where  $\alpha(*) = *(1+I)$ . Let  $r$  be an element of  $R$  such that  $fr \in I$ . Then the mapping  $(z+Rf \rightarrow (zr+I) \otimes u)$  is in  $\text{Hom}({}_R R/Rf_K, {}_R (R/I) \otimes_K uK_K)$  if and only if  $\rho^{m-1}(a)r \equiv ra \pmod{I}$  for all  $a \in K$ . Then  $(zr+I) \otimes u = (\sum_j Y_j \pi(X^j z + M_1)r + I) \otimes u = (\sum_j Y_j r \pi^*(X^j z + M_1) + I) \otimes u = \sum_j (Y_j r + I) \otimes (X^{m-1} \pi(X^j z + M_1) + M_1) = \sum_j (Y_j r + I) \otimes (\sum_i X^j Y_i \pi(X^i z + M_1) + M_1)$  (Lemma 1.1)  $= \sum_j (Y_j r + I) \otimes (X^j z + M_1)$  (Proposition 1.4). Finally, under the above sequence  $(\#)$  of isomorphisms,  $(y+I \rightarrow \sum_j (yY_j r + I) \otimes (X^j + f^*R))$  corresponds to  $(z+Rf \rightarrow \sum_j (Y_j r + I) \otimes (X^j z + M_1) = (zr+I) \otimes u)$ . This proves the lemma.

By Lemma 1.7 (and Lemma 1.6), we obtain the following

THEOREM 1.8. Assume that  $Rf = fR = I$ . Then  $R/I$  is separable over  $K$  if and only if there is an element  $y$  in  $R$  such that  $\sum_{j=0, \dots, m-1} Y_j y X^j \equiv 1 \pmod{I}$  and  $\rho^{m-1}(a)y \equiv ya \pmod{I}$  for all  $a \in K$ .

REMARK. It is easily seen that  $\sum_j X^j r Y_j^* \equiv \sum_j Y_j r X^j \pmod{I}$ , if  $\rho^{m-1}(a)r \equiv ra \pmod{I}$  for all  $a \in K$ .

Note that if  $\rho = id$  and  $D = 0$  then  $\sum_{j=0, \dots, m-1} X^j Y_j$  is the derivative of  $f$ .

THEOREM 1.9. Assume that  $Rf = fR = I$ . Then  $R/I$  is  $H$ -separable over  $K$  if and only if there are  $y_i, z_i$  ( $i=1, \dots, s$ ) in  $R_{m-1}$  such that  $ay_i = y_i a$ ,  $\rho^{m-1}(a)z_i = z_i a$  for all  $a \in K$  and  $\sum_i y_i y z_i \equiv \pi^*(y + M_1) \pmod{I}$  for all  $y \in R$ . (Cf. [5; Proposition 2.4].)

PROOF. By Lemmas 1.6 and 1.7,  $R/I$  is  $H$ -separable over  $K$  if and only if there are  $y_i, z_i$  ( $i=1, \dots, s$ ) in  $R_{m-1}$  such that  $ay_i = y_i a$ ,  $\rho^{m-1}(a)z_i = z_i a$  for all  $a \in K$ , and  $\sum_{i,j} (y_i y Y_j z_i + I) \otimes (X^j + I) = (1+I) \otimes (y+I)$  for all  $y \in R$ . But  $(1+I) \otimes (y+I) = \sum_j (1+I) \otimes (\pi^*(yY_j + M_1)X^j + I) = \sum_j (\pi^*(yY_j + M_1) + I) \otimes (X^j + I)$ . Therefore the last condition is equivalent to that  $\sum_i y_i y Y_j z_i \equiv \pi^*(yY_j + M_1) \pmod{I}$  ( $j=0, \dots, m-1$ ) for all  $y \in R$ . Since  $\sum_j RY_j = RR_{m-1} = R$ , this is equivalent to that  $\sum_i y_i y z_i \equiv \pi^*(y + M_1) \pmod{I}$  for all  $y \in R$ . This completes the proof.

Let  $f$  be a monic polynomial such that  $Rf = fR$ . If  $R/Rf$  is separable over

$K, f$  is called a separable polynomial.

**THEOREM 1.10.** *Let  $f, g$  be monic polynomials such that  $Rf=fR, Rg=gR, \deg f=m (\geq 1)$ , and  $\deg g=n (\geq 1)$ . Then the following are equivalent.*

- (i)  $fg$  is a separable polynomial.
- (ii)  $f$  and  $g$  are separable polynomials, and  $Rf+Rg=R$ .

To prove this we need two lemmas.

**LEMMA 1.11.** *Let  $f, g$  be monic polynomials such that  $Rf=fR$  and  $Rg=gR$ . If  $Rf+Rg=R$  then  $fg=gf$ .*

**PROOF.** There is a monic polynomial  $f_1$  such that  $fg=gf_1$ , because  $fg \in Rg = gR$ . Then  $Rf_1=(Rf+Rg)f_1=Rff_1+Rgf_1=Rff_1+Rfg \subseteq Rf$ . Therefore  $Rf_1 \subseteq Rf$ . But  $\deg f = \deg f_1$ . Hence  $f_1=f$ . Thus  $fg=gf$ .

**LEMMA 1.12.** *Let  $T_1, T_2$  be separable extensions over  $K$ . Then  $T=T_1 \oplus T_2$  (direct sum of rings) is a separable extension over  $K$ , too.*

**PROOF.** There is an element  $\sum_i t_{1i} \otimes t_{1i}^*$  in  $T_1 \otimes_K T_1$  such that  $\sum_i t_{1i} t_{1i}^* = 1$  and  $\sum_i t_{1i} t_{1i} \otimes t_{1i}^* = \sum_i t_{1i} \otimes t_{1i}^* t_{1i}$  ( $\in T_1 \otimes_K T_1$ ) for all  $t_1 \in T_1$ . Similarly there is an element  $\sum_j t_{2j} \otimes t_{2j}^*$  in  $T_2 \otimes_K T_2$  such that  $\sum_j t_{2j} t_{2j}^* = 1$  and  $\sum_j t_{2j} t_{2j} \otimes t_{2j}^* = \sum_j t_{2j} \otimes t_{2j}^* t_{2j}$  ( $\in T_2 \otimes_K T_2$ ) for all  $t_2 \in T_2$ . Put  $v = \sum_i (t_{1i}, 0) \otimes (t_{1i}^*, 0) + \sum_j (0, t_{2j}) \otimes (0, t_{2j}^*)$  ( $\in T \otimes_K T$ ). Then  $tv=vt$  ( $\in T \otimes_K T$ ) for all  $t \in T$ . Further, under the mapping  $T \otimes_K T \rightarrow T, t \otimes t' \mapsto tt'$ ,  $v$  corresponds to  $(\sum_i t_{1i} t_{1i}^*, \sum_j t_{2j} t_{2j}^*) = (1_{T_1}, 1_{T_2})$ . Hence  $T$  is a separable extension over  $K$ .

**PROOF OF THEOREM 1.10.** (i)  $\Rightarrow$  (ii). For any  $y = \sum_i X^i d_i$  we put  $\beta(y) = \sum_{i \geq 1} X^{i-1} d_i$ . Then  $\beta$  is a right  $K$ -homomorphism. Note that  $\beta^i(f) = Y_{i-1}$  ( $i=1, \dots, m$ ). Let us calculate  $\beta^i(fg)$  ( $i=1, 2, \dots$ ). We put  $f = \sum_{i \geq 0} X^i a_i$ , where  $a_m = 1$  and  $a_i = 0$  for all  $i > m$ .  $\beta(fg) = \beta(\sum_{i \geq 0} X^i a_i g) = \sum_{i \geq 1} X^{i-1} a_i g + \beta(a_0 g) = \beta(f)g + \beta(g)\rho^n(a_0)$ , because  $a_0 g = g\rho^n(a_0)$ . Then  $\beta^2(fg) = \beta^2(f)g + \beta(g)\rho^n(a_1) + \beta^2(g)\rho^n(a_0)$ . Inductively we can prove that  $\beta^i(fg) = \beta^i(f)g + \beta(g)\rho^n(a_{i-1}) + \beta^2(g)\rho^n(a_{i-2}) + \dots + \beta^i(g)\rho^n(a_0)$ . Let  $y$  be any element of  $R$  such that  $ya = \rho^{m+n-1}(a)y$  for all  $a \in K$ . Then  $\sum_{i=1, \dots, m+n} \beta^i(fg)yX^{i-1} = \sum_{i=1, \dots, m+n} \beta^i(f)gyX^{i-1} + \sum_{i=1, \dots, m+n} \sum_{j=1, \dots, i} \beta^j(g)\rho^n(a_{i-j})yX^{i-1} = \sum_{i=1, \dots, m+n} \beta^i(f)gyX^{i-1} + \sum_{j=1, \dots, m+n} \beta^j(g)(\sum_{i=j, \dots, m+n} y\rho^{1-m}(a_{i-j})X^{i-j})X^{j-1} = \sum_{i=1, \dots, m} \beta^i(f)gyX^{i-1} + \sum_{j=1, \dots, n} \beta^j(g)yf^*X^{j-1}$ , because  $\beta^i(f) = 0$  ( $i > m$ ) and  $\beta^j(g) = 0$  ( $j > n$ ). Further, for any  $a \in K, \rho^{m-1}(a)gy \equiv g\rho^{m+n-1}(a)y \equiv gya \pmod{Rf}$  and  $\rho^{n-1}(a)yf \equiv y\rho^{-m}(a)f \equiv yfa \pmod{Rg}$ , where  $f=f^*$ . Now, assume that  $\sum_i \beta^i(fg)yX^{i-1} \equiv 1 \pmod{Rfg}$ . Then  $\sum_i \beta^i(f)gyX^{i-1} \equiv 1 \pmod{Rf(=Rf^*)}$ , and  $\rho^{m-1}(a)gy \equiv gya \pmod{Rf}$  for all  $a \in K$ . Hence  $f$  is separable. Similarly we can prove that  $g$  is separable. Further, since  $Rf+Rg \ni 1$ , we have  $Rf+Rg=R$ . (ii)  $\Rightarrow$  (i). By Lemma 1.11, we know that  $fg=gf$ , so that  $Rf \cap Rg = Rfg = Rgf$ . Then  $R/(Rf \cap Rg) \simeq (R/Rf) \oplus (R/Rg)$  canonically, by Chinese remainder theorem. Hence, by Lemma 1.12,  $R/Rfg$  is a separable extension of  $K$ .

When  $Rf=fR=I$ , we know that  $R/I \simeq \text{Hom}(R/I_K, R/M_{1K})$  as  $K$ - $R/I$ -bimodules. Then, noting that  ${}_K R/M_{1K} (\simeq {}_K gr_{m-1} R_K)$  is invertible, we obtain

$(gr_{m-1}R)^{-1} \otimes_K (R/I) \simeq \text{Hom}(R/I_K, K_K)$  as  $K$ - $R/I$ -bimodules. Therefore  $R/I$  is Frobenius over  $K$  (i. e.  $R/I \simeq \text{Hom}(R/I_K, K_K)$  as  $K$ - $R/I$ -bimodules) if and only if  $(gr_{m-1}R)^{-1} \otimes_K (R/I) \simeq R/I$  as  $K$ - $R/I$ -bimodules. But, as is well known, the latter is equivalent to that  $\rho^{m-1}$  can be extended to an inner automorphism of  $R/I$ . Thus we have the following

**PROPOSITION 1.13.** *Assume that  $Rf=fR=I$ . Then  $R/I$  is Frobenius over  $K$  if and only if there is an element  $r$  in  $R$  such that  $r+I$  is invertible in  $R/I$  and  $\rho^{m-1}(a)r \equiv ra \pmod{I}$  for all  $a \in K$ .*

From the preceding argument, if  $R/I$  is Frobenius over  $K$ , then  $gr_{m-1}R \otimes_K R_{m-1} \simeq R_{m-1}$  as  $K$ -bimodules, because  $R/I \simeq R_{m-1}$  as  $K$ -bimodules. In particular, if  $D=0$  then  $gr_{m-1}R \oplus \cdots \oplus gr_{2(m-1)}R \rightarrow gr_0R \oplus \cdots \oplus gr_{m-1}R$  as  $K$ -bimodules. For instance, let  $K$  be a field,  $D=0$ , and  $\rho$  an automorphism of  $K$  with order  $\rho \geq m$  ( $\geq 2$ ). Then  $R/I$  is not a Frobenius extension over  $K$ , because each  ${}_K gr_i R_K$  is simple, and  $gr_{2(m-1)}R$  is not isomorphic to  $gr_j R$  for all  $j=0, \dots, m-1$ , as  $K$ -bimodules.

The following is a special case.

**COROLLARY.** *Assume that  $fR=Rf=I$ , and that  ${}_R R/I_K$  is a simple module. Then if  $R/I$  is separable over  $K$  then  $R/I$  is Frobenius over  $K$ .*

**PROOF.** By Theorem 1.8, there is an element  $r$  in  $R$  such that  $\rho^{m-1}(a)r \equiv ra \pmod{I}$  for all  $a \in K$ , and  $\sum_j Y_j r X^j \equiv 1 \pmod{I}$ . Then, since  $(Rr+I)/I$  is a non zero  $R$ - $K$ -submodule of  $R/I$ , we have  $(Rr+I)/I = R/I$ , and hence  $\eta: R/I \rightarrow R/I$ ,  $x+I \mapsto xr+I$  is a left  $R$ -epimorphism. And the kernel of this epimorphism is an  $R$ - $K$ -submodule, and so  $\text{Ker } \eta = 0$ . Thus  $\eta$  is a left  $R$ -isomorphism. Hence  $r+I$  is invertible. Therefore  $R/I$  is Frobenius over  $K$ , by Proposition 1.13.

Here we state a problem. Assume that  $f$  is a separable polynomial. Is the extension ring  $R/fR$  of  $K$  always Frobenius over  $K$ . Some arguments about this problem will be done in §3.

We end this section with the following

**LEMMA 1.14.** *Let  $y = X^r + X^{r-1}d_{r-1} + \cdots + d_0$  ( $r \geq 1$ ). Then  $Ry = yR$  if and only if  $ay = y\rho^r(a)$  for all  $a \in K$  and  $Xy = y(X + d_{r-1} - \rho(d_{r-1}))$ .*

**PROOF.** Since  $R = R_{r-1} \oplus Ry = R_{r-1} \oplus yR$ ,  $Ry \subseteq yR$  implies that  $Ry = yR$ . Thus the "if" part is evident. Assume  $Ry = yR$ . Then  $Ky = yK$ . Therefore, for any  $a \in K$ , there exists an element  $a'$  in  $K$  such that  $ay = ya'$ , and then we have  $a' = \rho^r(a)$ . Further there is an element  $d$  in  $K$  such that  $Xy = y(X+d)$ . Then, comparing the coefficients of  $X^r$ , we have  $d = d_{r-1} - \rho(d_{r-1})$ .

## §2. Free quadratic extensions.

Let  $A \supseteq K$  be rings. If  $A/K$  is an invertible  $K$ -bimodule, we call  $A$  a quadratic extension of  $K$ . In particular, if  $A/K_K \simeq K_K$  (or equivalently,  ${}_K A/K \simeq {}_K K$ ),  $A$  is



called a free quadratic extension of  $K$ . Assume that  $A \simeq R_1$  as  $K$ -bimodule. Then  $A$  has the form  $K[X; \rho, D]/J$ , where  $g$  is a monic polynomial of degree  $2$  such that  $Rg = gR = J$ .

By Lemma 1.14, we can easily see the following

LEMMA 2.1. *Let  $g = X^2 - Xa - b$  be a monic polynomial of  $K[X; \rho, D]$ . Then the following conditions are equivalent.*

(i)  $Rg = gR$ .

(ii) (α) For any  $c$  of  $K$ ,  $a\rho^2(c) + D\rho(c) + \rho D(c) = \rho(c)a$ , and  $b\rho^2(c) + D^2(c) - D(c)a = cb$ , (β)  $b - \rho(b) = D(a) - a(a - \rho(a))$ , and  $D(b) = b(a - \rho(a))$ .

In the sequel of this section, we assume that  $g = X^2 - Xa - b$  and  $Rg = gR = J$ , and put  $X + J = x$ . Then  $x^2 = xa + b$ , and  $cx = x\rho(c) + D(c)$  for all  $c \in K$ . In case  $\rho = id_K$ , we have  $x^2a + xb = x^3 = (xa + b)x = x^2a + x(D(a) + b) + D(b)$  which implies  $D(a) = D(b) = 0$ .

LEMMA 2.2. *The following conditions are equivalent.*

(i)  $g$  is a separable polynomial.

(ii) There is an element  $y$  in  $R_1$  such that  $\rho(c)y = yc$  for all  $c$  in  $K$ , and  $yX + (X - a)y \equiv 1 \pmod{J}$ .

(iii) There are  $u, v$  in  $K$  such that  $\rho^2(c)u = uc$ ,  $v\rho^{-1}(c) = D(c)u + cv$  for all  $c$  in  $K$ ,  $a(u + \rho(u)) + v + \rho(v) - \rho(a)u + D(u) = 0$ , and  $b(u + \rho(u)) - v\rho^{-1}(a) + D(v) = 1$ .

PROOF. (i)  $\Leftrightarrow$  (ii) follows from Theorem 1.8. Put  $y = Xu + v$ . Then we can easily see that (ii)  $\Leftrightarrow$  (iii).

If  $R/J$  is  $G$ -Galois over  $K$  (cf. [4]) then  $(G : e) = 2$  by Nagahara [10; Lemma 1.2].

THEOREM 2.3. *The following conditions are equivalent.*

(i)  $R/J$  is Galois over  $K$ .

(ii) There is an element  $s$  in  $K$  such that (α)  $4b + s^2 - 2D(s)$  (or  $4b + s\rho(s)$ ) is invertible in  $K$  (or,  $2x - s$  is invertible in  $R/J$ ), (β)  $s + \rho(s) = 2a$ ,  $s^2 - D(s) = sa$ , and  $cs - s\rho(c) = 2D(c)$  for all  $c \in K$ .

PROOF. (i)  $\Rightarrow$  (ii). Let  $G = \{1, \tau\}$  be a Galois group of a Galois extension  $R/J$  of  $K$ , where  $\tau \neq id$ , and  $\tau^2 = id$ . Put  $s = x + \tau(x)$ . Then  $s \in K$ , and  $\tau(x) = s - x$ . Since  $cx = x\rho(c) + D(c)$  for all  $c \in K$ , and  $x^2 = xa + b$ , we have  $c\tau(x) = \tau(x)\rho(c) + D(c)$  for all  $c \in K$ , and  $\tau(x)^2 = \tau(x)a + b$ . Then the former implies that  $cs - s\rho(c) = 2D(c)$  for all  $c \in K$ , and the latter implies that  $2a = s + \rho(s)$  and  $s^2 - D(s) = sa$ . By [10; Lemma 1.2],  $2x - s$  is invertible in  $R/J$ , or equivalently,  $(2x - s)^2 = 4b + s\rho(s) = 4b + s^2 - 2D(s)$  is invertible in  $K$ . (ii)  $\Rightarrow$  (i). We put  $Y = s - X$ . By (β),  $cY = Y\rho(c) + D(c)$  for all  $c$  in  $K$ , and so the mapping  $\varphi : X \mapsto Y$  induces a  $K$ -automorphism of  $R$ . Then, by (β), we know  $\varphi(g) = g$ . Hence  $\varphi$  induces a  $K$ -automorphism  $\tau$  of  $R/J$  such that  $\tau(x) = s - x$ . Let  $c_0 + xc_1$  be an element of  $R/J$  such that  $\tau(c_0 + xc_1) = c_0 + xc_1$ . Then,  $sc_1 = 0$ , and  $2c_1 = 0$ . Then  $(4b + s^2 - 2D(s))c_1 = 0$ . But  $4b + s^2 - 2D(s)$  is invertible. Hence  $c_1 = 0$ . Finally, as in the proof of [10; Lemma 1.5],

$(s-2x)^{-1}(s-x)\tau(1)+(s-2x)^{-1}\tau(-x)=0$ , and  $(s-2x)^{-1}(s-x)\cdot 1+(s-2x)^{-1}(-x)=1$ . Thus  $R/J$  is  $\{1, \tau\}$ -Galois over  $K$ . This proves the theorem.

REMARK. If  $2\cdot 1$  is invertible in  $K$ , the condition  $s^2-D(s)=sa$  follows from two conditions  $s+\rho(s)=2a$  and  $cs-s\rho(c)=2D(c)$  for all  $c$  in  $K$ .

From the proof of Theorem 2.3, if an element  $s$  of  $K$  satisfies (ii)  $(\beta)$  of Theorem 2.3, then  $\tau: x \mapsto s-x$  induces a  $K$ -automorphism of  $R/J$ . Therefore we have the following

COROLLARY 1. *The following conditions are equivalent.*

(i) *The mapping  $\tau: x \mapsto a-x$  induces a  $K$ -automorphism of  $R/J$ , and  $R/J$  is  $\{1, \tau\}$ -Galois over  $K$ .*

(ii)  *$(\alpha)$   $4b+a^2$  is invertible in  $K$ ,  $(\beta)$   $\rho(a)=a$ ,  $D(a)=0$ , and  $ca-a\rho(c)=2D(c)$  for all  $c \in K$ .*

In the above, if we assume  $\rho D=D\rho$ , then the condition that  $ca-a\rho(c)=2D(c)$  for all  $c \in K$  is superfluous, by Lemma 2.1. Noting this fact we have

COROLLARY 2. *Assume that  $\rho=id_K$ , and that  $2\cdot 1$  is invertible in  $K$ . Then  $R/J$  is Galois over  $K$  if and only if  $4b+a^2$  is invertible in  $K$ . (Cf. Nagahara [10; Theorem 3.4].)*

Finally, let us improve a theorem which is found in Nagahara [10; Theorem 2.5 and Theorem 2.7].

THEOREM 2.4. *Assume that  $D=0$ . Then the following conditions are equivalent.*

(i)  *$R/J$  is Galois over  $K$ .*

(ii)  *$R/J$  is separable over  $K$ , and  $2K+aK=K$ .*

(iii)  *$R/J$  is separable over  $K$ , and  $c \equiv \rho(c) \pmod{2K}$  for any  $c$  of the center of  $K$ .*

(iv)  *$2x-a$  is invertible in  $R/J$  and  $\rho(a)=a$ .*

(v)  *$4b+a^2$  is invertible in  $K$ , and  $\rho(a)=a$ .*

PROOF. Since  $Rg=gR$ , we know that  $b-\rho(b)=-a(a-\rho(a))$ ,  $b(a-\rho(a))=0$ , and  $ca=a\rho(c)$ ,  $cb=b\rho^2(c)$  for all  $c \in K$ , by Lemma 2.1. Then  $a(a-\rho(a))=a^2-a^2=0$ , and so  $b=\rho(b)$ . Assume that  $g$  is separable. Then, by Lemma 2.2, there are  $u, v$  in  $K$  such that  $\rho^2(c)u=uc$ ,  $\rho(c)v=vc$  for all  $c$  in  $K$ , and  $a(u+\rho(u))+v+\rho(v)-\rho(a)u=0$ ,  $b(u+\rho(u))-av=1$ . Then  $1=\rho^{-2}(u)b+\rho^{-1}(u)b-\rho^{-1}(v)a$ . Since  $b(a-\rho(a))=0$  and  $a(a-\rho(a))=0$ , we know  $1\cdot(a-\rho(a))=0$ , that is,  $a=\rho(a)$ . Then, by Nagahara [10; Lemma 2.2], we have  $4\cdot 1_K \in (4b+a^2)K$ . Hence  $(4b+a^2)K=4bK+a^2K=4K+a^2K$ . Assume (i). Then, by [4; Proposition 1.3],  $R/J$  is separable over  $K$ , and so  $\rho(a)=a$ ,  $\rho(b)=b$ . Then, by [10; Theorem 2.5],  $4b+a^2$  is invertible in  $K$ . Hence  $2K+aK=K$ . Thus (i)  $\Rightarrow$  (ii). Conversely, assume (ii). Then,  $4K+a^2K=K$ , and so  $4b+a^2$  is invertible in  $K$ , by the preceding argument. Then, by [10; Theorem 2.5],  $R/J$  is Galois over  $K$ . Let  $c$  be in the center of  $K$ . Then  $ca=a\rho(c)=\rho(c)a$ , and so  $c \equiv \rho(c) \pmod{2K}$ , because  $a+2K$  is invertible in  $K/2K$ .

Thus (i)  $\Leftrightarrow$  (ii)  $\Rightarrow$  (iii). Finally we assume (iii). Then, since  $bu$  lies in the center of  $K$ ,  $bu \equiv \rho(bu) \equiv b\rho(u) \pmod{2K}$ . Put  $b\rho(u) = bu + 2d$ . Then  $1 = b(u + \rho(u)) - av = 2bu + 2d - av$ . Thus  $2K + aK = K$ . Hence (iii)  $\Rightarrow$  (ii). (i)  $\Leftrightarrow$  (iv)  $\Leftrightarrow$  (v) follow from [10; Theorem 2.5].

**§ 3. Examples.**

**THEOREM 3.1.** Assume that  $D=0$ , and let  $f=X^m-b$  ( $m \geq 2$ ) be in  $R=K[X; \rho]$ . Then the following conditions are equivalent:

- (i)  $f$  is a separable polynomial.
- (ii) (α)  $\rho(b)=b$ , and  $cb=b\rho^m(c)$  for all  $c \in K$ , (β)  $b$  is invertible in  $K$ , and  $d + \rho(d) + \dots + \rho^{m-1}(d) = 1$  for some  $d$  of the center of  $K$ .

**PROOF.** (i)  $\Rightarrow$  (ii).  $Kf=fK$  implies that  $cb=b\rho^m(c)$  for all  $c \in K$ . And,  $Xf=fX$  (Lemma 1.14) yields  $\rho(b)=b$ . Let  $y$  be as in Theorem 1.8. Then, since  $X^{m-1}y + X^{m-2}yX + \dots + yX^{m-1} = X^{m-1}(y + \rho(y) + \dots + \rho^{m-1}(y)) = (\rho^{-(m-1)}(y) + \rho^{-(m-2)}(y) + \dots + y)X^{m-1}$ ,  $X+I$  is invertible in  $R/I$ , where  $I=fR=Rf$ . Then  $X^m+I=b+I$  is invertible in  $R/I$ . Since  ${}_K K$  and  $K_K$  are direct summands of  ${}_K R/I$  and  $R/I_K$  respectively, it is easily seen that  $b$  is invertible in  $K$ . Then, by [5; Corollary to Theorem 2.11],  $d + \rho(d) + \dots + \rho^{m-1}(d) = 1$  for some  $d$  of the center of  $K$ . (ii)  $\Rightarrow$  (i). This follows also from [5; Corollary to Theorem 2.11].

Let  $B$  be a ring, and put  $K=B \oplus B$ . Let  $\rho$  be the transposition (i. e.  $\rho(x_1, x_2) = (x_2, x_1)$ ). Put  $d=(1_B, 0)$ . Then  $d$  lies in the center of  $K$ , and  $d + \rho(d) = (1_B, 1_B) = 1$ . Thus  $f=X^2-1$  is separable in  $K[X; \rho]$ . Put  $y=Xd$ . Then  $y$  satisfies the condition in Theorem 1.8. But  $y+I$  is not invertible.

**THEOREM 3.2.** Let  $p$  be a prime number, and assume that  $p1_K=0$  and  $\rho=id_K$ . Then, for  $f=X^p-Xa-b \in R=K[X; D]$ , the following conditions are equivalent.

- (i)  $f$  is a separable polynomial.
- (ii) (α)  $D(a)=D(b)=0$ ,  $D^p(c)-D(c)a=cb-bc$  for all  $c \in K$ , and  $a$  lies in the center of  $K$ , (β) there is an element  $y$  in  $R_{p-1}$  such that  $D^{p-1}(y)-ya=1$  and  $cy=yc$  for all  $c$  of  $K$ , where  $D(h)=hX-Xh$  for any  $h \in R$ .

To prove this we need the following

**LEMMA 3.3.** (1) Let  $p$  be a prime number, and let  $0 \leq j < p-1$ . Then  $\sum_{i=0, \dots, p-1-j} \binom{i+j}{i} \equiv 0 \pmod{p}$ . (2) Assume that  $p1_K=0$ . Then, for any  $y \in R=K[X; D]$ ,  $X^{p-1}y + X^{p-2}yX + \dots + yX^{p-1} = D^{p-1}(y)$ .

**PROOF.** (1) Let  $F_p$  be the prime field of characteristic  $p$ , and we take the polynomial ring  $F_p[t]$ . Then, in  $F_p[t]$ ,  $\sum_{i=0, \dots, p-1-j} t(1+t)^{i+j} = t(1+t)^j \sum_{i=0, \dots, p-1-j} (1+t)^i = -(1+t)^j + 1 + t^p$ . On the other hand, the coefficient of  $t^{j+1}$  in  $\sum_{i=0, \dots, p-1-j} t(1+t)^{i+j}$  is  $\sum_{i=0, \dots, p-1-j} \binom{i+j}{i} 1_K$ . Thus  $\sum_{i=0, \dots, p-1-j} \binom{i+j}{i} \equiv 0 \pmod{p}$ . (2) For any  $h = \sum_i X^i c_i$  in  $R$ , we put  $D(h) = hX - Xh = \sum_i X^i D(c_i)$ . Then, by induction, we can

see that  $hX^r = \sum_{i=0, \dots, r} X^i \binom{r}{i} D^{r-i}(h)$  for all  $r \geq 0$ . Then,  $\sum_{r=0, \dots, p-1} X^{p-1-r} y X^r = \sum_{r=0, \dots, p-1} (\sum_{i=0, \dots, r} X^{p-1-r+i} \binom{r}{i} D^{r-i}(y)) = \sum_{j=0, \dots, p-1} (\sum_{i=0, \dots, p-1-j} X^{p-1-j} \binom{i+j}{i} D^j(y)) = D^{p-1}(y)$ , as desired.

PROOF OF THEOREM 3.2. By Lemma 1.14,  $fR = Rf$  if and only if  $Xf = fX$  and  $cf = fc$  for all  $c$  of  $K$ . On the other hand,  $\mathfrak{p}1_K = 0$  implies that  $cX^p = X^p c + D^p(c)$  for all  $c \in K$  (cf. the proof of Lemma 3.3). Therefore, as is easily seen,  $fR = Rf$  if and only if (ii)  $(\alpha)$  holds. Now, assume that  $f$  is separable. Let  $y \in R_{p-1}$  be as in Theorem 1.8. Then, by Lemma 3.3,  $X^{p-1}y + X^{p-2}yX + \dots + yX^{p-1} = D^{p-1}(y)$ , and so  $D^{p-1}(y) - ya = 1$ . Thus (i)  $\Rightarrow$  (ii). Now it is evident that (ii)  $\Rightarrow$  (i).

Here we state some particular cases of Theorem 3.2.

First, let  $f = X^p - X - b$ . Then  $fR = Rf$  implies that  $f$  is separable, because we can take  $1 = y$  (cf. Kishimoto [2]).

When  $D^{p-1} = 0$ ,  $(\beta)$  is equivalent to that  $a$  is invertible in the center of  $K$ .

$f = X^p$  is separable if and only if  $D^p = 0$  and there exists  $y$  in  $R_{p-1}$  such that  $D^{p-1}(y) = 1$  and  $cy = yc$  for all  $c \in K$ . For instance, let  $L$  be a field of characteristic  $p (> 0)$ , and  $K = L[t]$ . Then  $X^p$  is separable in  $K[X; D]$ , where  $D$  is the usual derivation.

A monic polynomial  $f$  is called Frobenius, if  $Rf = fR = I$  and  $R/I$  is Frobenius over  $K$ . For any ring  $A$ , we denote the Jacobson radical of  $A$  by  $\text{rad}(A)$ .

THEOREM 3.4. Let  $f$  be a separable polynomial of degree  $m (\geq 2)$ .

(1) If  $D = 0$  and  $\text{rad}(K)$  is a maximal ideal of  $K$ , then  $f$  is Frobenius.

(2) If  ${}_K K_K$  is simple and there exists  $y \in R_1$  as in Theorem 1.8, then  $f$  is Frobenius.

PROOF. (1) Let  $\mathfrak{p}$  be as in Theorem 1.8. Put  $\mathfrak{p} = \text{rad}(K)$  and  $y = \sum_{j=0, \dots, m-1} X^j d_j$ . Then,  $\rho^{m-1}(c)d_0 = d_0 c$  for all  $c \in K$ . Therefore, if  $d_0 \notin \mathfrak{p}$  then  $d_0$  is invertible in  $K$ , and hence  $f$  is Frobenius, by Proposition 1.13. On the other hand, if  $d_0 \in \mathfrak{p}$ , then  $X + I + \mathfrak{p}R$  is invertible in  $R/(I + \mathfrak{p}R)$  (cf. Theorem 1.8 and its Remark), where  $\mathfrak{p}R = R\mathfrak{p}$ . Then, since  ${}_K R/I$  and  $R/I_K$  are finitely generated,  $X + I$  is invertible in  $R/I$  by Nakayama's Lemma. Therefore  $X^{m-1} + I$  is invertible, and hence  $f$  is Frobenius, by Proposition 1.13. (2) Let  $y$  be as in Theorem 1.8, and put  $y = Xu + v$ . If  $u = 0$  then  $(y =) v$  is invertible in  $K$ . Thus  $f$  is Frobenius, by Proposition 1.13. Assume  $u \neq 0$ . Then, for any  $c \in K$ ,  $\rho^m(c)u = uc$ , and  $\rho^{m-1}(c)v + D\rho^{m-1}(c)u = vc$ . The former implies that  $u$  is invertible, because  ${}_K K_K$  is simple. Then the latter implies that  $D(c) = vu^{-1}\rho(c) - cvu^{-1}$  for all  $c$  of  $K$ , that is,  $D$  is inner. Thus this case is reduced to the one when  $D = 0$  (cf. [1]). Then, (2) follows from (1).

COROLLARY. Let  $f$  be a separable polynomial of degree 2, and assume that  ${}_K K_K$  is simple. Then  $f$  is Frobenius.

Let  $e$  be a central idempotent of  $K$ . Then  $D(e)=D(e^2)=D(e)\rho(e)+eD(e)$ . Therefore, if  $\rho(e)=e$  then  $D(e)\in eK$ , and so  $D(e)=0$ . Then, for any  $a$  in  $K$ ,  $D(ae)=D(a)e$ , and  $e$  lies in the center of  $R$ . Consequently, a monic polynomial  $f$  is separable (resp. Frobenius) if and only if  $ef$  and  $(1-e)f$  are separable (resp. Frobenius) over  $eK$  and  $(1-e)K$ , respectively. Assume that  $K$  is a direct sum of (two sided) indecomposable ideals  $I_i$  ( $i=1, \dots, r$ ), and let  $1=\sum_{i=1, \dots, r} e_i$  ( $e_i \in I_i$ ). Then  $\rho$  induces a permutation of  $\{e_1, \dots, e_r\}$ , and hence it suffices to treat the case  $\rho(e_1)=e_2, \dots, \rho(e_{r-1})=e_r, \rho(e_r)=e_1$ . Further, assume that  $D=0$ . Let  $f$  be a separable polynomial of degree 2, and let  $y=Xu+v$  be as in Theorem 1.8. First we assume  $r=2$ . Then  $\rho(c)v=vc$  for all  $c \in K$ , and so  $\rho(e_1)v=ve_1=e_1v$ . Hence  $v=0$ , because  $e_1+\rho(e_1)=1$ . Then the assumption for  $y$  yields that  $X+I$  is invertible in  $R/I$ , where  $I=fR=Rf$ . Hence  $f$  is Frobenius, by Proposition 1.13. Next we eliminate the condition  $r=2$ . By Lemma 2.1,  $ca=a\rho(c)$  and  $cb=b\rho^2(c)$  for all  $c \in K$ . Further, by Lemma 2.2,  $b(u+\rho(u))-av=1$ . Hence  $\rho^2(c)=c$  for all  $c$  in the center of  $K$ , and so  $\rho^2(e_i)=e_i$  ( $i=1, \dots, r$ ). Thus this case is reduced to the one when  $r \leq 2$ . Then, by Theorem 3.4 (1), we have the following

**THEOREM 3.5.** *Let  $D=0$ , and  $f$  a separable polynomial of degree 2. Assume that  $K$  is a direct sum of rings  $I_i$  ( $i=1, \dots, r$ ), and that each  $\text{rad}(I_i)$  is a maximal ideal of  $I_i$ . Then  $f$  is Frobenius.*

If  $K$  is a commutative artinian ring, then  $K$  is a direct sum of local rings. Thus we have the following

**COROLLARY.** *Let  $D=0$ , and  $f$  a separable polynomial of degree 2. If  $K$  is a commutative artinian ring, then  $f$  is Frobenius.*

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