

Self maps of spaces

Dedicated to Professor Tsuyoshi Watabe on his sixtieth birthday

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1. Introduction and statements of results.

Given a path-connected space X , we write

$$QH^n(X; \mathbf{K}) = \tilde{H}^n(X; \mathbf{K}) / \left\{ \sum_i \tilde{H}^i(X; \mathbf{K}) \cdot \tilde{H}^{n-i}(X; \mathbf{K}) \right\}$$

for $\mathbf{K} = \mathbf{Z}, \mathbf{Q}$.

If G is a connected Lie group, then the k -fold product ${}^k id$ of the identity map of G satisfies $({}^k id)^*(x) = kx$ for all $x \in QH^*(G; \mathbf{Q})$. This property was important in [5]. Apart from extending Haibao's results on H -spaces to more general spaces, the following problem seems interesting in its own sense.

PROBLEM. *Given a function $\theta: \{1, 2, \dots\} \rightarrow \mathbf{Z}$, is there a self map μ_θ of X such that*

$$(1.1) \quad \mu_\theta^*(x) = \theta(\deg(x))x \quad \text{for all homogeneous elements } x \in QH^*(X; \mathbf{Q})?$$

DEFINITION. We call a path-connected space X an M_θ -space if it has a self map μ_θ , which is called an M_θ -structure on X , satisfying (1.1).

When θ is the constant function to $k \in \mathbf{Z}$, we denote M_θ and μ_θ by M_k and μ_k , respectively. When there exist an integer k and a function $e: \{1, 2, \dots\} \rightarrow \{0, 1, 2, \dots\}$ with $\theta(n) = k^{e(n)}$ for all $n \geq 1$, we denote M_θ and μ_θ by M_k^e and μ_k^e , respectively. Note that every path-connected space is an M_0 and M_1 space.

We shall need some finiteness condition on X . That is, we will frequently assume some of the following:

$$(1.2) \quad H_n(X; \mathbf{Z}) \text{ is finitely generated for all } n;$$

$$(1.3) \quad \dim H_n(X; \mathbf{Q}) < \infty \text{ for all } n;$$

$$(1.4) \quad \dim H^*(X; \mathbf{Q}) < \infty;$$

$$(1.5) \quad \dim QH^*(X; \mathbf{Q}) < \infty;$$

$$(1.6) \quad QH^*(X; \mathbf{Z}) \otimes \mathbf{Q} \cong QH^*(X; \mathbf{Q}).$$

Notice that (1.2) implies (1.3) and (1.6), and (1.4) implies (1.5).

We call a space with a base point well-based if the base point is closed and has a contractible open neighbourhood. The unit (resp., co-unit) of an H -space (resp., co- H -space) is always the base point. Given a finite group A , we denote by $|A|$ the exponent of A , that is, $|A| = \min\{k \geq 1; a^k = 1 \text{ for all } a \in A\}$. Given $k \in \mathbf{Z}$, we denote by $\langle k \rangle$ the self maps of the sphere S^n and the Eilenberg-MacLane space $K(\mathbf{Z}, n)$ whose induced homomorphisms on the n -th homotopy groups are the multiplications by k .

EXAMPLE 1. (1) For all θ , each of S^n and $K(\mathbf{Z}, n)$ has the unique M_θ -structure $\langle \theta(n) \rangle (= \mu_{\theta(n)})$, but $K(\mathbf{Z} \oplus \mathbf{Z}/2, n)$ has four M_θ -structures.

(2) Let G be a compact connected semi-simple Lie group and W its Weyl group. If k is prime to $|W|$ and $e(n) = \lfloor (n+1)/2 \rfloor$, then the unstable Adams operation ψ^k [2, 12, 14] and $\Omega\psi^k$ are M_{k^e} -structures on BG and G , respectively.

(3) A finite product of M_θ -spaces satisfying (1.3) and a finite wedge product of well based M_θ -spaces are M_θ -spaces.

(4) Path-connected H -spaces satisfying (1.3) and path-connected well-based co- H -spaces are M_k -spaces for all $k \geq 0$.

(5) The following spaces are M_k -spaces for all $k \in \mathbf{Z}$: path-connected H -spaces which satisfy (1.3) and have homotopy left or right inverses [11], in particular, path-connected H -spaces which satisfy (1.3) and have homotopy types of CW-complexes, connected Lie groups and loop spaces of simply connected spaces satisfying (1.3); path-connected well-based co- H -spaces which have homotopy left or right co-inverses, in particular, suspension spaces of well-based spaces.

(6) (Glover and Homer [3]) Let $G_{p,q}(\mathbf{F})$ be the Grassmann manifold of p -planes in \mathbf{F}^{p+q} , where \mathbf{F} is one of the fields \mathbf{C} (complex) and \mathbf{H} (quaternion). If $G_{p,q}(\mathbf{F})$ is an M_θ -space and if $p < q$ with $p \leq 3$ or $2p^2 - p - 1 \leq q$ with $p > 3$, then $\theta = k^e$ for some integer k and $e(n) = \begin{cases} \lfloor n/2 \rfloor & \mathbf{F} = \mathbf{C} \\ \lfloor n/4 \rfloor & \mathbf{F} = \mathbf{H}. \end{cases}$

REMARK 1. (1) In [9], we prove the following: When $n \geq 2$, the Stiefel manifold $U(2n+2)/U(2n)$ is an M_θ -space if and only if $\theta(4n+1)\{\theta(4n+3)-1\} \equiv 0 \pmod{8}$ or $\theta(4n+1)\{\theta(4n+3)-5\} \equiv 0 \pmod{8}$. In particular, when $n \geq 2$, $U(2n+2)/U(2n)$ is an M_k -space if and only if $k \equiv 0, 1, 5 \pmod{8}$.

(2) We have

$$\mu_\theta \circ \mu_\tau = \mu_{\theta \cdot \tau}$$

where $(\theta \cdot \tau)(n) = \theta(n)\tau(n)$. Hence, given e , $\{k \in \mathbf{Z}; X \text{ is an } M_{k^e}\text{-space}\}$ is a multiplicative set, while it is not additive in general by (1).

Our first result is

THEOREM 1. *Let X be a path-connected CW-complex satisfying the following :*

- (1) X satisfies (1.2) and $H_n(X; \mathbf{Z})=0$ for sufficiently large n ;
- (2) X is nilpotent [8] and the commutator subgroup of $\pi_1(X)$ is finite ;
- (3) $H^*(X; \mathbf{Q})$ is a tensor product of finitely many monogenic algebras.

Then there exists a positive integer $c(X)$ such that X is an M_θ -space whenever $\theta(n) \equiv 0 \pmod{c(X)}$ for all n with $QH^n(X; \mathbf{Q}) \neq 0$.

REMARK 2. (1) In [6, 7], we prove that any spherical fibre bundle over a sphere satisfies the hypotheses and the conclusion of Theorem 1 except for the condition on nilpotency.

(2) The condition (3) in Theorem 1 can not be removed in general as seen in Example 1 (6).

Given a self homomorphism f of degree 0 of a graded and finite dimensional \mathbf{Q} -module, we denote by $L(f)$ the Lefschetz number of f . Given a self map f of a space, as usual, we abbreviate $L(f^*)$ to $L(f)$. The next theorem is a generalization of 3.9 in [5].

THEOREM 2. *If $e(n)=(b-a)n+2a-b$ with $b \geq a \geq 1$ and X is an M_{k^e} -space which satisfies (1.2) and (1.4), then, for any self map f of X , we have*

$$(1.7) \quad L(\mu_{k^e} \circ f) = L(f \circ \mu_{k^e}) \equiv 1 \pmod{k}.$$

Hence moreover if X is a compact absolute neighbourhood retract and $|k| \geq 2$, then $\mu_{k^e} \circ f$ and $f \circ \mu_{k^e}$ have fixed points [1].

The following is a corollary to the proof of Theorem 2.

COROLLARY 1. *Suppose the following :*

- (1) X is an M_{k^e} -space satisfying (1.2) and (1.4) ;
- (2) there is a set $\{b_\lambda\}_{\lambda \in \Lambda}$ of homogeneous elements of $\tilde{H}^*(X; \mathbf{Q})$ which represents a basis of $QH^*(X; \mathbf{Q})$, and, for all $n \geq 1$ and $\lambda_i \in \Lambda$ ($1 \leq i \leq n$), $\sum_{i=1}^n e(\deg(b_{\lambda_i}))$ is non-zero and depends only on n and $\sum_{i=1}^n \deg(b_{\lambda_i})$.

Then any self map f of X satisfies (1.7), and hence moreover if X is a compact absolute neighbourhood retract and $|k| \geq 2$, then $\mu_{k^e} \circ f$ and $f \circ \mu_{k^e}$ have fixed points.

EXAMPLE 2. The condition (2) in Corollary 1 is satisfied if $H^*(X; \mathbf{Q}) = A(x_1, \dots, x_n)$ with $\deg(x_i)$ odd and $e(\deg(x_i)) = (1/2)(\deg(x_i) + 1)$.

We generalize the notion of characteristic polynomial of Haibao [5]. Suppose that a path-connected space X satisfies (1.2) and (1.5). Let f, g be self maps of X . Let $R(f), R(g)$ be the matrices representing $f^*, g^* : QH^*(X; \mathbf{Q}) \rightarrow QH^*(X; \mathbf{Q})$ with respect to some basis and write

$$\text{ch}(f, g)(t) = \det(tR(f) - R(g)) \in \mathbf{Q}[t],$$

$$\text{deg}(f) = \det(R(f)) \in \mathbf{Q}.$$

Then we have

THEOREM 3. (1) *The polynomial $\text{ch}(f, g)(t)$ and the number $\text{deg}(f)$ are independent of the choice of a basis of $QH^*(X; \mathbf{Q})$ and*

$$\text{ch}(f, g)(t) \in \mathbf{Z}[t],$$

$$\text{deg}(f) \in \mathbf{Z}$$

where the coefficient of t^i in $\text{ch}(f, g)(t)$ is zero for $i > \dim QH^*(X; \mathbf{Q})$.

(2) *If $H^*(X; \mathbf{Q}) = \Lambda(x_1, \dots, x_n)$ with $\text{deg}(x_i)$ odd, then*

$$L(f) = (-1)^n \text{ch}(f, id)(1) = \text{ch}(id, f)(1) = \det(E - R(f)),$$

$$L(f \circ g) = L(g \circ f),$$

$$\text{ch}(f, g)(t) \prod_i x_i = \prod_i \{t f^*(x_i) - g^*(x_i)\},$$

where E is the unit matrix.

Notice that if G is a compact connected Lie group and f is a self map of G , then $\text{deg}(f)$ is the ordinary degree of f .

Let X be a path-connected H -space whose multiplication is denoted by " \cdot ". Given a self map f of X and $k \geq 2$, we denote by ${}^k f$ any k -fold product of f . For example ${}^3 f$ denotes $f \cdot (f \cdot f)$ or $(f \cdot f) \cdot f$. We write ${}^1 f = f$ and denote by ${}^0 f$ the constant map to the unit of X . In case X has a homotopy left or right inverse T , we define ${}^k f = {}^{1-k} (T \circ f)$ for all negative integers k . Notice that

$${}^k f = \begin{cases} ({}^k id) \circ f & k \geq 0 \\ ({}^{1-k} id) \circ (T \circ f) & k \leq 0. \end{cases}$$

Let g be also a self map of X . Then we have

THEOREM 4. *Let X be a path-connected H -space which satisfies (1.2) and (1.5). Write $n = \dim QH^*(X; \mathbf{Q})$. Then the following assertions hold.*

(1) $(-1)^n \text{ch}(f, id)(t)$ is equal to $A(f)(t)$ in [5].

(2) (cf., 3.3 and 3.9 in [5]) *Given $k \geq 0$ (or $k \in \mathbf{Z}$ if X has a homotopy left or right inverse), we have*

$$\text{ch}({}^k f, g)(t) = \text{ch}(f, g)(kt),$$

$$L({}^k f) \equiv 1 \pmod{k} \text{ if } X \text{ satisfies (1.4).}$$

Hence if $|k| \geq 2$ and X is a compact absolute neighbourhood retract, then ${}^k f$ has a fixed point.

(3) (cf., Theorem 1 in [4]) If X has a homotopy left or right inverse T , then

$$\text{ch}(f, g)(1) = \text{deg}(f \cdot (T \circ g)) = \text{deg}((T \circ g) \cdot f),$$

$$\text{deg}(f \cdot T) = \text{deg}(T \cdot f) = \text{ch}(f, id)(1) = (-1)^n L(f) \text{ if } X \text{ satisfies (1.4).}$$

COROLLARY 2. Let G be a compact connected Lie group, $k \in \mathbf{Z}$, and f, g self maps of G . Then

- (1) $\text{ch}(f, g)(1) = \text{deg}(f \cdot g^{-1})$, where $g^{-1}(x) = (g(x))^{-1}$;
- (2) if $\text{ch}(f, g)(1) \neq 0$, then there exists $x \in G$ with $f(x) = g(x)$;
- (3) if $\text{deg}(g) \not\equiv 0 \pmod{k}$, then there exists $x \in G$ with ${}^k f(x) = g(x)$.

Proof of Theorem n ($1 \leq n \leq 4$) shall be given in the section $n+1$.

2. Proof of Theorem 1.

In this section we denote by $\dim X$ the homological dimension of X . That is, if $N = \dim X$ then $H_N(X; \mathbf{Z}) \neq 0$ and $H_i(X; \mathbf{Z}) = 0$ for all $i > N$. When $\dim X = 0$, Theorem 1 is obvious by taking $c(X) = 1$. Hence we assume $\dim X > 0$. By the hypothesis, we have

$$(2.1) \quad \begin{aligned} H^*(X; \mathbf{Q}) &= A(x_1, \dots, x_a) \otimes \mathbf{Q}[y_1, \dots, y_b] / (y_1^{l_1}, \dots, y_b^{l_b}), \\ \text{deg}(x_i) &= 2n_i + 1, \quad \text{deg}(y_j) = 2m_j. \end{aligned}$$

When $a = b = 0$, Theorem 1 is obvious by taking $c(X) = 1$. Hence we assume $a + b > 0$.

The outline of the proof is as follows. We construct a space T_j and a rational equivalence

$$\varphi : X \rightarrow K = K(H_1(X; \mathbf{Z}), 1) \times \prod_{i; n_i > 0} K(\mathbf{Z}, 2n_i + 1) \times \prod_{j=1}^b T_j$$

such that $H^*(T_j; \mathbf{Q}) = \mathbf{Q}[y_j] / (y_j^{l_j})$ with $\text{deg}(y_j) = 2m_j$ and T_j and hence K are M_θ -spaces for all θ by Example 1 (3). Let the homotopy group of the fibre F of φ be trivial except for the dimensions u_i with $1 \leq u_1 < u_2 < \dots$. Let $G_i = \pi_{u_i}(F) = \Gamma^1 G_i \supset \Gamma^2 G_i \supset \dots$ be the lower central $\pi_1(X)$ -series. Set $u_0 = 0$ and $N_0 = 1$. Write $N_i = \prod_j |\Gamma^j G_i / \Gamma^{j+1} G_i|^{2^{a+b}(u_i+2)}$ and $c(X) = N_0 \cdots N_n$, where n is determined by the inequality $u_n < \dim X \leq u_{n+1}$. Let θ satisfy $\theta(k) \equiv 0 \pmod{c(X)}$ for $k = 2n_i + 1, 2m_j$. Using the step by step construction for the Moore-Postnikov factorization of φ , we lift the map $\mu_\theta \circ \varphi : X \rightarrow K$ to $\tilde{\mu}_\theta : X \rightarrow X$. Then $\tilde{\mu}_\theta$ is an M_θ -structure on X .

First we will construct T_j . Let $\iota_m \in H^m(K(\mathbf{Z}, m); \mathbf{Z})$ be the fundamental class. Given positive integers n, l, v , we denote by $T(2n, l, v)$ the homotopy

fibre of

$$v\iota_{2n}^l : K(\mathbf{Z}, 2n) \longrightarrow K(\mathbf{Z}, 2ln)$$

and by

$$\xi : T(2n, l, v) \longrightarrow K(\mathbf{Z}, 2n)$$

the inclusion. Using the Serre spectral sequence, we have

$$H^*(T(2n, l, v); \mathbf{Q}) = \mathbf{Q}[\xi]/(\xi^l).$$

By the diagram given below, $T(2n, l, v)$ is an M_θ -space for all θ . From now on we will use only particular M_θ -structures μ_θ on $T(2n, l, v)$ which make the following diagram commutative up to homotopy:

$$(2.2) \quad \begin{array}{ccccccc} K(\mathbf{Z}, 2ln-1) & \longrightarrow & T(2n, l, v) & \xrightarrow{\xi} & K(\mathbf{Z}, 2n) & \xrightarrow{v\iota_{2n}^l} & K(\mathbf{Z}, 2ln) \\ \langle \theta(2n)^l \rangle \downarrow & & \mu_\theta \downarrow & & \downarrow \langle \theta(2n) \rangle & & \downarrow \langle \theta(2n)^l \rangle \\ K(\mathbf{Z}, 2ln-1) & \longrightarrow & T(2n, l, v) & \xrightarrow{\xi} & K(\mathbf{Z}, 2n) & \xrightarrow{v\iota_{2n}^l} & K(\mathbf{Z}, 2ln) \end{array}$$

LEMMA 1. *Let A be a finite abelian group. Then*

- (1) $\langle k \rangle^* = 0$ on $\tilde{H}^*(K(\mathbf{Z}, n); A)$ if $k \equiv 0 \pmod{|A|}$;
- (2) $(\mu_\theta^w)^* = 0$ on $\tilde{H}^m(T(2n, l, v); A)$ if $\theta(2n) \equiv 0 \pmod{|A|}$ and $w \geq m+1$, where μ_θ^w is the w -times iteration of μ_θ .

PROOF. For (1), it suffices to prove (1) when $A = \mathbf{Z}/p^u$ with p a prime. We prove this by the induction on u . The case $u=1$ is true, because $H^*(K(\mathbf{Z}, n); \mathbf{Z}/p)$ is generated by $Sq^l \iota_n$ ($p=2$) and $\mathcal{P}_l \iota_n$ ($p>2$), and $\langle k \rangle^*(\iota_n) = k \iota_n = 0 \in H^n(K(\mathbf{Z}, n); \mathbf{Z}/p)$, where ι_n is the mod p reduction of ι_n . Suppose that (1) is true when $A = \mathbf{Z}/p^u$. Consider the following commutative diagram:

$$\begin{array}{ccccc} & & \tilde{H}^*(K(\mathbf{Z}, n); \mathbf{Z}/p^{u+1}) & \xrightarrow{\beta_*} & \tilde{H}^*(K(\mathbf{Z}, n); \mathbf{Z}/p^u) \\ & & \downarrow \langle p^u \rangle^* & & \downarrow \langle p^u \rangle^* \\ \tilde{H}^*(K(\mathbf{Z}, n); \mathbf{Z}/p) & \xrightarrow{\alpha_*} & \tilde{H}^*(K(\mathbf{Z}, n); \mathbf{Z}/p^{u+1}) & \xrightarrow{\beta_*} & \tilde{H}^*(K(\mathbf{Z}, n); \mathbf{Z}/p^u) \\ & & \downarrow \langle p \rangle^* & & \downarrow \langle p \rangle^* \\ \tilde{H}^*(K(\mathbf{Z}, n); \mathbf{Z}/p) & \xrightarrow{\alpha_*} & \tilde{H}^*(K(\mathbf{Z}, n); \mathbf{Z}/p^{u+1}) & & \end{array}$$

Here the middle horizontal sequence is exact and associated to the exact sequence:

$$0 \longrightarrow \mathbf{Z}/p \xrightarrow{\alpha} \mathbf{Z}/p^{u+1} \xrightarrow{\beta} \mathbf{Z}/p^u \longrightarrow 0.$$

Take any $x \in \tilde{H}^*(K(\mathbf{Z}, n); \mathbf{Z}/p^{u+1})$. Then $\beta_* \langle p^u \rangle^*(x) = \langle p^u \rangle^* \beta_*(x) = 0$ by the inductive hypothesis. Hence there exists $y \in \tilde{H}^*(K(\mathbf{Z}, n); \mathbf{Z}/p)$ such that $\alpha_*(y)$

$=\langle p^u \rangle^*(x)$ so that

$$\langle p^{u+1} \rangle^*(x) = \langle p \rangle^* \langle p^u \rangle^*(x) = \langle p \rangle^* \alpha_*(y) = \alpha_* \langle p \rangle^*(y) = \alpha_*(0) = 0.$$

Let $k \equiv 0 \pmod{p^{u+1}}$. Then (1) is true when $A = \mathbf{Z}/p^{u+1}$ by the equality $\langle k \rangle = \langle k/p^{u+1} \rangle \circ \langle p^{u+1} \rangle$. This completes the induction.

To prove (2), let $E_r^{p,q}(\mu_\theta) : E_r^{p,q} \rightarrow E_r^{p,q}$ be the endomorphism of the Serre spectral sequence with coefficients in A induced from the first two squares of (2.2). It follows from (1) that if $p+q \geq 1$ then $E_2^{p,q}(\mu_\theta) = 0$ and hence $E_\infty^{p,q}(\mu_\theta) = 0$. Thus $\mu_\theta^* F^{p,q} \subset F^{p+1,q-1}$ if $p+q \geq 1$, where

$$H^m(T(2n, l, v); A) = F^{0,m} \supset F^{1,m-1} \supset \dots \supset F^{m,0} \supset F^{m+1,-1} = 0,$$

$$E_\infty^{p,q} = F^{p,q} / F^{p+1,q-1}.$$

Hence

$$(\mu_\theta^*)^{m+1}(F^{0,m}) \subset F^{m+1,-1} = 0 \quad \text{if } m \geq 1.$$

This implies (2) and completes the proof of Lemma 1.

LEMMA 2. Suppose the following diagram of abelian groups and homomorphisms is commutative and the horizontal sequences are exact. Then $\phi \circ \phi = 0$.

$$\begin{array}{ccccc} & \alpha & & \beta & \\ & \longrightarrow & & \longrightarrow & \\ B & & C & & D \\ 0 \downarrow & & \downarrow \phi & & \downarrow 0 \\ & \alpha & & \beta & \\ B & \longrightarrow & C & \longrightarrow & D \\ 0 \downarrow & & \downarrow \phi & & \downarrow 0 \\ & \alpha & & \beta & \\ B & \longrightarrow & C & \longrightarrow & D. \end{array}$$

PROOF. This is trivial.

LEMMA 3. Let A and B be finite abelian groups, and m a positive integer. Let $K = K(B, 1) \times \prod_{i=1}^a K(\mathbf{Z}, n_i) \times \prod_{j=1}^b T(2m_j, l_j, v_j)$ be a finite product and $\theta : \{1, 2, \dots\} \rightarrow \mathbf{Z}$ a function such that $\theta(k) \equiv 0 \pmod{|A|^{2^{a+b}(m+1)}}$ for $k = n_i, 2m_j$. Then K has an M_θ -structure μ_θ satisfying

$$(2.3) \quad \mu_\theta^* = 0 \quad \text{on } \tilde{H}^m(K; A).$$

PROOF. Let 0 be the constant self map of $K(B, 1)$. It suffices to prove the assertion when B is trivial, since if f is an M_θ -structure on $\prod_i K(\mathbf{Z}, n_i) \times \prod_j T(2m_j, l_j, v_j)$ satisfying (2.3), then $0 \times f$ is an M_θ -structure on K satisfying (2.3). So we assume $B=0$.

(2.4) If $k = |A|^{2^{a+b}(m+1)}$, then there exists an M_k -structure μ_k on $T(2m_j, l_j, v_j)$ such that the self map

$$g = \prod_{i=1}^a \langle k \rangle \times \prod_{j=1}^b \mu_k$$

of K satisfies $g^*=0$ on $\tilde{H}^m(K; A)$.

If this is true, then the map $(\prod_{i=1}^a \langle q_i \rangle \times \prod_{j=1}^b \mu_{r_j}) \circ g$ is a desired M_θ -structure on K , where $q_i = \theta(n_i) / \{|A|^{2^{a+b}(m+1)}\}$ and $r_j = \theta(2m_j) / \{|A|^{2^{a+b}(m+1)}\}$.

We will prove (2.4) by the induction on $a+b$. The case $a+b=1$ is true by Lemma 1. Assume that the case $a+b=l$ is true. Suppose $a+b=l+1$. We treat only the case $a \geq 1$, because the case $a=0$ can be treated similarly. Write $K_1 = K(\mathbf{Z}, n_1)$ and $K_2 = \prod_{i=2}^a K(\mathbf{Z}, n_i) \times \prod_{j=1}^b T(2m_j, l_j, v_j)$. Taking $B = \sum_i \tilde{H}^i(K_1; A) \otimes \tilde{H}^{m-i}(K_2)$, $C = H^m(K_1 \times K_2, K_1 \vee K_2; A)$ and $D = \sum_i \text{Tor}(\tilde{H}^i(K_1; A), \tilde{H}^{m+1-i}(K_2))$ in Lemma 2, we have $\{(\langle |A| \rangle \times h) \circ (\langle |A| \rangle \times h')\}^* = 0$ on $H^m(K_1 \times K_2, K_1 \vee K_2; A)$ for any self maps h, h' of K_2 . In particular we have $(\langle |A|^2 \rangle \times h)^* = 0$ on $H^m(K_1 \times K_2, K_1 \vee K_2; A)$. Taking h to be a map satisfying (2.4) for K_2 , and taking $\phi = \phi = (\langle |A|^2 \rangle \times h)^*$, $B = H^m(K_1 \times K_2, K_1 \vee K_2; A)$, $C = H^m(K_1 \times K_2; A)$ and $D = H^m(K_1 \vee K_2; A)$ in Lemma 2, we have $(\langle |A|^4 \rangle \times h \circ h)^* = 0$ on $H^m(K_1 \times K_2; A)$. Since $4|2|^{l+1}$ and $h^2 = \prod \mu_t$, where $t = |A|^{2^{l+1}(m+1)}$, it follows that (2.4) is true when $a+b=l+1$. This completes the induction and the proof of Lemma 3.

Now we prove Theorem 1. Suppose (2.1) and $n_1 = \dots = n_{a'} = 0 < n_{a'+1} \leq \dots \leq n_a$. Choose x_i for $a' < i \leq a$ and y_j to be integral. This is possible by (1.6). We will shortly choose $\{x_i; 1 \leq i \leq a'\}$ in a particular way. Denote by v_j the order of $y_j^{l_j}$ in $H^{2l_j m_j}(X; \mathbf{Z})$. Write $T_j = T(2m_j, l_j, v_j)$. Then $y_j: X \rightarrow K(\mathbf{Z}, 2m_j)$ is factored as

$$X \xrightarrow{\tilde{y}_j} T_j \xrightarrow{\xi} K(\mathbf{Z}, 2m_j).$$

Write $K = K(H_1(X; \mathbf{Z}), 1) \times \prod_{i; n_i > 0} K(\mathbf{Z}, 2n_i + 1) \times \prod_{j=1}^b T_j$. Let $x_0: X \rightarrow K(H_1(X; \mathbf{Z}), 1)$ be a map inducing the identity map of $H_1(X; \mathbf{Z})$. Let F be the homotopy fibre of

$$\varphi = x_0 \times \prod_{i; n_i > 0} x_i \times \prod \tilde{y}_j: X \rightarrow K.$$

Since $\pi_1(\varphi)$ is surjective, F is path-connected. It then follows from the pages 79 and 67 of [8] that, for all $i \geq 1$, $\pi_i(X)$ is finitely generated and $\pi_1(X)$ operates nilpotently on $\pi_i(F)$. Since $\varphi^*: H^*(K; \mathbf{Q}) \cong H^*(X; \mathbf{Q})$, it follows that φ is a rational equivalence so that $\pi_i(F)$ is finite for all $i \geq 2$. Also $\pi_1(F)$ is finite by the hypothesis (2) in Theorem 1. Suppose that $\pi_i(F) = 0$ if $i \neq u_1, u_2, \dots$, where $1 \leq u_1 < u_2 < \dots$. Set $u_0 = 0$ and write $G_i = \pi_{u_i}(F)$. Let

$$K(G_i, u_i) \longrightarrow X_i \xrightarrow{p_i} X_{i-1} \quad (i \geq 1, X_0 = K)$$

be the i -stage of the Moore-Postnikov factorization of φ (cf., [10, 13]). Under our hypotheses, it admits a principal refinement [8]. That is, every p_i is factored as a product of principal fibrations

$$X_i = X(i, w_i) \xrightarrow{q_{w_i}} \dots \xrightarrow{q_2} X(i, 1) \xrightarrow{q_1} X(i, 0) = X_{i-1}$$

where q_j is induced by a map $h_j: X(i, j-1) \rightarrow K(\Gamma^j G_i / \Gamma^{j+1} G_i, u_i+1)$. Here $G_i = \Gamma^1 G_i \supset \Gamma^2 G_i \supset \dots \supset \Gamma^{w_i+1} G_i = \{1\}$ is the lower central $\pi_1(X)$ -series. Set $w_0=0$. Take n with

$$(2.5) \quad u_n < \dim X \leq u_{n+1}.$$

Write $N(i, j) = |\Gamma^j G_i / \Gamma^{j+1} G_i|^{2a+b(u_i+2)}$ and $N_i = \prod_{j=1}^{w_i} N(i, j)$ for $i \geq 1$. Set $N_0 \equiv N(0, 0) = 1$ and write $c(X) = N_0 \dots N_n$. Suppose $\theta(k) \equiv 0 \pmod{c(X)}$ for $k = 2n_i + 1, 2m_j$. Using the maps $\mu_{N(i, j)}$ in Lemma 3, we inductively have maps $g(i, j): K \rightarrow X(i, j)$ for $0 \leq i \leq n$ and $0 \leq j \leq w_i$ such that $g(0, 0)$ is the identity map, $g(i, 0) = g(i-1, w_{i-1})$ and the following diagram is commutative up to homotopy for $i \geq 1$.

$$\begin{array}{ccccccc} K & \xrightarrow{\mu_{N(i, w_i)}} \dots \xrightarrow{\mu_{N(i, 2)}} & K & \xrightarrow{\mu_{N(i, 1)}} & K & & \\ g(i, w_i) \downarrow & & \downarrow g(i, 1) & & \downarrow g(i, 0) & & \\ X_i = X(i, w_i) & \xrightarrow{q_{i, w_i}} \dots \xrightarrow{q_{i, 2}} & X(i, 1) & \xrightarrow{q_{i, 1}} & X(i, 0) = X_{i-1} & & \end{array}$$

Define $\theta'(k)$ to be $\theta(k)/c(X)$ or zero according as $k = 2n_i + 1, 2m_j$ or otherwise. By (2.5), $g(n, w_n) \circ \mu_{\theta'} \circ \varphi: X \rightarrow X_n$ can be lifted to $\tilde{\mu}_\theta: X \rightarrow X$. Let $\zeta_i: K(H_1(X; \mathbf{Z}), 1) \rightarrow K(\mathbf{Z}, 1)$ ($1 \leq i \leq a'$) be a free basis of $H^1(K(H_1(X; \mathbf{Z}), 1); \mathbf{Z})$. Let $\pi_i: K \rightarrow K(\mathbf{Z}, 2n_i+1)$ be the projection for $i \geq a'+1$ and the composition of the projection $K \rightarrow K(H_1(X; \mathbf{Z}), 1)$ with ζ_i for $i \leq a'$. We define $x_i = \pi_i \circ \varphi$ for $i \leq a'$. Let $\pi'_j: K \rightarrow T_j \xrightarrow{\xi} K(\mathbf{Z}, 2m_j)$ be the composition of the projection with the canonical map ξ . Then $x_i = \pi_i \circ \varphi$ and $y_j = \pi'_j \circ \varphi$ for all i, j , and (2.1) is satisfied. Hence, as is easily seen, we have $\tilde{\mu}_\theta^*(x_i) = \theta(2n_i+1)x_i$ and $\tilde{\mu}_\theta^*(y_j) = \theta(2m_j)y_j$. Therefore $\tilde{\mu}_\theta$ is an M_θ -structure on X . This completes the proof of Theorem 1.

3. Proof of Theorem 2.

Let $K = \mathbf{Z}, \mathbf{Q}$. We give a decreasing filtration $F_n H^*(X; \mathbf{K})$ of $H^*(X; \mathbf{K})$ as follows:

$$\begin{aligned} F_0 H^*(X; \mathbf{K}) &= H^*(X; \mathbf{K}), \quad F_1 H^*(X; \mathbf{K}) = \tilde{H}^*(X; \mathbf{K}), \\ F_n H^m(X; \mathbf{K}) &= \sum_i F_{n-1} H^i(X; \mathbf{K}) \cdot F_1 H^{m-i}(X; \mathbf{K}) \quad (n \geq 2). \end{aligned}$$

Write

$$E_n H^m(X; \mathbf{K}) = F_n H^m(X; \mathbf{K}) / F_{n+1} H^m(X; \mathbf{K}).$$

Then

$$\begin{aligned}
 (3.1) \quad & E_1 H^*(X; \mathbf{K}) = QH^*(X; \mathbf{K}), \\
 & F_n H^*(X; \mathbf{Z}) \otimes \mathbf{Q} \cong F_n H^*(X; \mathbf{Q}), \\
 & E_n H^*(X; \mathbf{Z}) \otimes \mathbf{Q} \cong E_n H^*(X; \mathbf{Q})
 \end{aligned}$$

and any self map f of X induces endomorphisms

$$\begin{aligned}
 F_n^m(f^*) : F_n H^m(X; \mathbf{K}) &\longrightarrow F_n H^m(X; \mathbf{K}), \\
 E_n^m(f^*) : E_n H^m(X; \mathbf{K}) &\longrightarrow E_n H^m(X; \mathbf{K}).
 \end{aligned}$$

Take a free basis of $E_n H^m(X; \mathbf{Z})/\text{Tor}$ as a basis of $E_n H^m(X; \mathbf{Q})$. This is possible by (3.1). With respect to this basis, $E_n^m(f^*)$ is an integral matrix and the trace $\text{Tr}(E_n^m(f^*))$ is an integer.

LEMMA 4. (1) *If a set $\{b_\lambda\}_{\lambda \in A}$ of homogeneous elements of $\tilde{H}^*(X; \mathbf{Q})$ represents a basis of $E_1 H^*(X; \mathbf{Q})$, then a subset of $\{b_{\lambda_1} \cdots b_{\lambda_n}\}_{\lambda_j \in A}$ represents a basis of $E_n H^*(X; \mathbf{Q})$.*

(2) *A function $e : \{1, 2, \dots\} \rightarrow \{0, 1, \dots\}$ satisfies*

$$(3.2) \quad \sum_{j=1}^n e(i_j) \text{ depends only on } n \text{ and } \sum_{j=1}^n i_j \text{ for every } n \geq 1$$

if and only if

$$(3.3) \quad e(n) = (b-a)n + 2a - b, \quad b \geq a \geq 0 \text{ for every } n \geq 1.$$

PROOF. Write $A_n = \{\lambda \in A; b_\lambda \in H^n(X; \mathbf{Q})\}$ and define

$$\begin{aligned}
 \Omega_1 &= \{b_\lambda\}_{\lambda \in A_1}, \\
 \Omega_n &= \{b_\lambda\}_{\lambda \in A_n} \cup \Omega_1 \cdot \Omega_{n-1} \cup \cdots \cup \Omega_{\lfloor n/2 \rfloor} \cdot \Omega_{n - \lfloor n/2 \rfloor} \quad (n \geq 2).
 \end{aligned}$$

Then Ω_n generates $H^n(X; \mathbf{Q})$ and $\{b_{\lambda_1} \cdots b_{\lambda_n}\}_{\lambda_j \in A_{m_j}}$ spans the subspace of $E_n H^*(X; \mathbf{Q})$ determined by $H^{m_1}(X; \mathbf{Q}) \cdots H^{m_n}(X; \mathbf{Q})$. Hence (1) follows.

If e is defined by (3.3), then e satisfies (3.2). Conversely suppose that e satisfies (3.2). Then $e(1) + e(n) = e(2) + e(n-1)$ for all $n \geq 2$. From this, we can show that

$$\begin{aligned}
 e(n) &= (n-1)e(2) - (n-2)e(1) \\
 &= (e(2) - e(1))n + 2e(1) - e(2)
 \end{aligned}$$

so that $e(2) \geq e(1)$. Hence, setting $e(1) = a$ and $e(2) = b$, we have (3.3). This ends the proof of Lemma 4.

Now we continue the proof of Theorem 2. By the hypotheses $e(n) = (b-a)n + 2a - b$ with $b \geq a \geq 1$ and $E_1^m(\mu_k^* e) = k^{e(m)}$. Let $\{b_\lambda\}_{\lambda \in A} \subset \tilde{H}^*(X; \mathbf{Q})$ consist of homogeneous elements and represent a basis of $E_1 H^*(X; \mathbf{Q})$. Take any n

elements $\lambda_j \in A$ ($1 \leq j \leq n$) and set $m = \sum_{j=1}^n \deg(b_{\lambda_j})$. Write $h(m, n) = (2n - m)a + (m - n)b$. Then $h(m, n) \geq 1$ if $m \geq n \geq 1$, and

$$E_n^m(\mu_k^* e)(b_{\lambda_1} \cdots b_{\lambda_n}) = k^{h(m, n)} b_{\lambda_1} \cdots b_{\lambda_n}$$

by Lemma 4(2). Hence $E_n^m(\mu_k^* e) = k^{h(m, n)}$ by Lemma 4(1). Thus

$$\begin{aligned} L(\mu_k e \circ f) &= \sum_n L(E_n((\mu_k e \circ f)^*)) \\ &= \sum_n L(E_n(f^*) \circ E_n(\mu_k^* e)) \\ &= \sum_n \sum_m (-1)^m k^{h(m, n)} \text{Tr}(E_n^m(f^*)) \\ &\equiv 1 \pmod{k}. \end{aligned}$$

Similarly $L(f \circ \mu_k e) = \sum_n \sum_m (-1)^m k^{h(m, n)} \text{Tr}(E_n^m(f^*))$. This ends the proof of Theorem 2.

PROOF OF COROLLARY 1. In the above proof, by setting $h(m, n) = \sum_{j=1}^n e(\deg(b_{\lambda_j}))$, we obtain the proof.

4. Proof of Theorem 3.

If we use an other basis of $QH^*(X; \mathbf{Q})$, then $R(f)$ changes to $AR(f)A^{-1}$ for some regular matrix A . Hence $\text{ch}(f, g)(t)$ and $\deg(f)$ are independent of the choice of a basis. By (1.6), we can take a free basis of $QH^*(X; \mathbf{Z})/\text{Tor}$ as a basis. With respect to this basis, $R(f)$ and $R(g)$ are integral matrices. Hence $\text{ch}(f, g)(t)$ is an integral polynomial and $\deg(f)$ is an integer. This proves (1).

To prove (2), suppose that $H^*(X; \mathbf{Q}) = A(x_1, \dots, x_n)$ with $\deg(x_i)$ odd. Given an ordered sequence $I = (i_1, \dots, i_k)$ of positive integers, we write

$$l(I) = k.$$

We call I n -special if $I = \emptyset$ or $1 \leq i_1 < \dots < i_{l(I)} \leq n$. When I is n -special, we write

$$\begin{aligned} x_I &= \begin{cases} x_{i_1} \cdots x_{i_{l(I)}} & I \neq \emptyset \\ 1 & I = \emptyset, \end{cases} \\ |I| &= \begin{cases} \sum_j \deg(x_{i_j}) & I \neq \emptyset \\ 0 & I = \emptyset. \end{cases} \end{aligned}$$

We then have

$$(4.1) \quad \begin{aligned} |I| &\equiv l(I) \pmod{2} \quad \text{if } I \text{ is } n\text{-special,} \\ \{x_I; I \text{ is } n\text{-special}\} &\text{ is a basis of } H^*(X; \mathbf{Q}). \end{aligned}$$

Let

$$f^*(x_i) \equiv \sum_j f_{ij} x_j \pmod{\tilde{H}^*(X; \mathbf{Q}) \cdot \tilde{H}^*(X; \mathbf{Q})}.$$

That is, $R(f) = (f_{ij})$. If I is n -special and $f^*(x_I) = \alpha_I x_I + \text{other}$, then

$$\alpha_I = \begin{cases} \det(f_{i_p i_q}) & I \neq \emptyset \\ 1 & I = \emptyset. \end{cases}$$

This is proved as follows. Set $k = l(I)$. Since

$$f^*(x_I) = \prod_{p=1}^k \sum_j f_{i_p j} x_j = \sum_{l(J)=k} f_{i_1 j_1} \cdots f_{i_k j_k} x_{j_1} \cdots x_{j_k}$$

we have

$$\alpha_I = \sum_{l(J)=k} \text{sgn}\left(\begin{matrix} I \\ J \end{matrix}\right) f_{i_1 j_1} \cdots f_{i_k j_k} = \det(f_{i_p i_q})$$

where $\text{sgn}\left(\begin{matrix} I \\ J \end{matrix}\right)$ is the sign of the permutation $\begin{pmatrix} i_1 & \cdots & i_k \\ j_1 & \cdots & j_k \end{pmatrix}$ if $I=J$ as a set and 0 otherwise.

Now

$$\begin{aligned} L(f) &= \sum_{k=0}^{\infty} (-1)^k \sum_{I: n\text{-special}, l(I)=k} \alpha_I \\ &= \sum_{I: n\text{-special}} (-1)^{l(I)} \alpha_I \\ &= \sum_{I: n\text{-special}} (-1)^{l(I)} \det(f_{i_p i_q}), \text{ by (4.1)} \\ &= \det(E - R(f)) \\ &= \text{ch}(id, f)(1) \\ &= (-1)^n \text{ch}(f, id)(1). \end{aligned}$$

We then have

$$L(f \circ g) = \det(E - R(g)R(f)) = \det(E - R(f)R(g)) = L(g \circ f).$$

We also have

$$\begin{aligned} \prod_i \{tf^*(x_i) - g^*(x_i)\} &= \prod_i \sum_j (tf_{ij} - g_{ij}) x_j \\ &= \sum_{l(J)=n} (tf_{1j_1} - g_{1j_1}) \cdots (tf_{nj_n} - g_{nj_n}) x_{j_1} \cdots x_{j_n} \\ &= \sum_{l(J)=n} \text{sgn}(J) (tf_{1j_1} - g_{1j_1}) \cdots (tf_{nj_n} - g_{nj_n}) \prod_i x_i \\ &= \det(tR(f) - R(g)) \prod_i x_i \\ &= \text{ch}(f, g)(t) \prod_i x_i \end{aligned}$$

where, according as J is a permutation or not, $\text{sgn}(J)$ denotes the sign of J or 0. This proves (2) and completes the proof of Theorem 3.

5. Proof of Theorem 4.

Take a free basis $\{x_1, \dots, x_n\}$ of $QH^*(X; \mathbf{Z})/\text{Tor}$. Then, as is well-known, $H^*(X; \mathbf{Q}) = A(x_1, \dots, x_n)$ and $\text{deg}(x_i)$ is odd. With respect to this basis, $R(f)$ is an integral matrix for every self map f of X . Haibao [5] defined the polynomial $A(f)(t)$ by

$$A(f)(t) \prod_i x_i = \prod_i \{x_i - t f^*(x_i)\}.$$

Hence, by Theorem 3 (2), $A(f)(t) = (-1)^n \text{ch}(f, id)(t)$. This proves (1).

The following lemma can be proved easily. So we omit its proof.

LEMMA 5. *Let X be a path-connected H -space satisfying (1.3). Let f, g be self maps of X and $k \geq 0$. Then, for all $x \in \tilde{H}^*(X; \mathbf{Q})$, we have*

$$\begin{aligned} (f \cdot g)^*(x) &\equiv f^*(x) + g^*(x) \pmod{\tilde{H}^*(X; \mathbf{Q}) \cdot \tilde{H}^*(X; \mathbf{Q})}, \\ ({}^k f)^*(x) &\equiv k f^*(x) \pmod{\tilde{H}^*(X; \mathbf{Q}) \cdot \tilde{H}^*(X; \mathbf{Q})}, \\ ({}^k f)^*(x) &= k f^*(x) \text{ if } x \text{ is primitive.} \end{aligned}$$

If X has a homotopy left or right inverse T , then the above equations hold for all $k \in \mathbf{Z}$, and

$$\begin{aligned} T^*(x) &\equiv -x \pmod{\tilde{H}^*(X; \mathbf{Q}) \cdot \tilde{H}^*(X; \mathbf{Q})}, \\ T^*(x) &= -x \text{ if } x \text{ is primitive.} \end{aligned}$$

It follows from Lemma 5 that $R({}^k f) = kR(f)$ so that $\text{ch}({}^k f, g)(t) = \text{ch}(f, g)(kt)$. We then have

$$\begin{aligned} L({}^k f) &= (-1)^n \text{ch}({}^k f, id)(1), \text{ by Theorem 3 (2)} \\ &= (-1)^n \text{ch}(f, id)(k) = \det(E - kR(f)) \\ &\equiv 1 \pmod{k}. \end{aligned}$$

This proves (2).

It follows from Lemma 5 that

$$\begin{aligned} \text{deg}(f \cdot (T \circ g)) \prod_i x_i &= (f \cdot (T \circ g))^* \prod_i x_i \\ &= \prod_i (f^* x_i - g^* x_i) \\ &= \text{ch}(f, g)(1) \prod_i x_i \end{aligned}$$

so that $\deg(f \cdot (T \circ g)) = \text{ch}(f, g)(1)$. Similarly $\deg((T \circ g) \cdot f) = \text{ch}(f, g)(1)$. Other relations in (3) then follows immediately. This completes the proof of Theorem 4.

PROOF OF COROLLARY 2. We have (1) by Theorem 4 (3). Recall that a self map of G having non-zero degree is a surjection. Hence (2) follows from (1) and so does (3) from the equalities:

$$\begin{aligned} \deg({}^k f \cdot g^{-1}) &= \text{ch}({}^k f, g)(1), \quad \text{by (1)} \\ &= \text{ch}(f, g)(k), \quad \text{by Theorem 4 (2)} \\ &= \det(kR(f) - R(g)) \\ &\equiv (-1)^n \det(R(g)) \pmod{k} \\ &\equiv (-1)^n \deg(g) \pmod{k} \end{aligned}$$

where $n = \text{rank } G$.

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