

A CHARACTERIZATION OF THE STANDARD EMBEDDINGS OF $\mathbb{C}P^2$ AND Q^3

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Abstract

H. Hopf showed that the only constant mean curvature sphere S^2 immersed in \mathbb{R}^3 is the round sphere. The Kähler framework is an adequate approach to generalize Hopf's theorem to higher dimensions. When $\varphi : M \rightarrow \mathbb{R}^n$ is an isometric immersion from a Kähler manifold, the complexified second fundamental form α splits according to types. The $(1, 1)$ part of the second fundamental form plays the role of the mean curvature for surfaces and will be called the pluri-mean curvature *pmc*. Therefore isometric immersions with parallel pluri-mean curvature (*ppmc* isometric immersions) generalize in a natural way the *cmc* immersions. It is a standard fact that \mathbb{R}^8 is the smallest space where $\mathbb{C}P^2$ can be embedded. The aim of this work is to generalize Hopf's theorem proving in particular that the only *ppmc* isometric immersion from $\mathbb{C}P^2$ into \mathbb{R}^8 is the standard immersion.

1. Introduction and statement of results

The smallest \mathbb{R}^k into which $S^2 = \mathbb{C}P^1$ may be embedded is \mathbb{R}^3 . H. Hopf [13] showed that, up to congruence, the only constant mean curvature (*cmc*) isometric immersion from the sphere into \mathbb{R}^3 is the standard immersion. Affording higher dimensions in the domain manifold, an adequate setting is the class of Kähler manifolds. When M is a Kähler manifold and $\varphi : M \rightarrow \mathbb{R}^n$ is an isometric immersion, the coupling of the second fundamental form α of φ with the complex structure J of M originates two operators. To describe these operators we denote respectively by T^cM , $T'M$ and $T''M$ the complexification of TM and the eigenbundles of J corresponding to the eigenvalues i and $-i$. We will denote π' and π'' respectively the orthogonal projections of T^cM onto $T'M$ and $T''M$. Accordingly, each $X \in T^cM$ is decomposed as $X = X' + X''$ where

$$X' = \pi'(X) = \frac{1}{2}(X - iJX), \quad X'' = \pi''(X) = \frac{1}{2}(X + iJX)$$

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(*type decomposition*). Then the complexification of α decomposes accordingly giving rise to the components

$$\begin{aligned}\alpha^{(1,1)}(X, Y) &= \alpha(X', Y'') + \alpha(X'', Y'), \\ \alpha^{(2,0)}(X, Y) &= \alpha(X', Y').\end{aligned}$$

H. Hopf discovered that the traceless part of the second fundamental form of an immersed surface with constant mean curvature (“*cmc*”) is a holomorphic quadratic differential on the surface. This observation was the key to his well known theorem referred above. This holomorphic differential is nothing but the operator $\alpha^{(2,0)}$, and $\alpha^{(1,1)} = \langle \cdot, \cdot \rangle H$ where $H = \frac{1}{2} \text{trace } \alpha$ is the mean curvature vector. In higher dimensions, the mean curvature (trace of α) can be generalized to $\alpha^{(1,1)}$ which we call *pluri-mean curvature* (see [3] for a justification). For isometric immersions where this part of the second fundamental form is parallel (*parallel pluri-mean curvature, ppmc*), the other part $\alpha^{(2,0)}$ is again a (normal bundle valued) holomorphic quadratic differential. When $\alpha^{(1,1)}$ vanishes identically, the immersion is called $(1, 1)$ -geodesic or pluriminimal ([6], [4], [5]). When $\alpha^{(2,0)}$ vanishes identically, the immersion is called $(2, 0)$ -geodesic; such immersions are also *ppmc* and have been classified by Ferus [12]: they are the so called *standard embeddings* of Kähler symmetric spaces (cf. Section 5 and [8]).

Isometric immersions with parallel pluri-mean curvature share some geometric features of parallel mean curvature surfaces, namely the existence of a 1-parameter deformation through a smooth family of isometric *ppmc*-immersions which, up to a parallel isomorphism, have the same normal bundle ([3]). Just as in the case of immersions with parallel mean curvature, isometric *ppmc*-immersions can also be characterized by the pluriharmonicity of their Gauss maps ([10], [3]).

The smallest \mathbb{R}^k into which $\mathbb{C}P^2$ may be *ppmc*-immersed is \mathbb{R}^8 . (The total Pontrjagin class of $M = \mathbb{C}P^2$ is $p(TM) = 1 + 3\xi^2$ where ξ is the standard generator of $H^2(M; \mathbb{Z})$ (cf. [15], p. 178). If $f : M \rightarrow \mathbb{R}^n$ is any immersion with normal bundle NM , then $TM \oplus NM$ is a trivial bundle. Thus $p(TM)p(NM) = 1$ whence $p(NM) = (1 + 3\xi^2)^{-1} = 1 - 3\xi^2$. Since $p(NM) = 1 + p_1(NM)$, we get

$$p_1(NM) = -3\xi^2. \tag{a}$$

This excludes codimension one ($n = 5$) since the normal bundle of an oriented hyperplane is trivial. If the codimension is two ($n = 6$), the normal bundle is an oriented plane bundle, hence a complex line bundle. Let $\eta = c_1(NM) \in H^2(\mathbb{C}P^2; \mathbb{Z})$ be its first Chern class. Then by [15], p. 177 we have $1 - p_1(NM) = (1 - \eta)(1 + \eta) = 1 - \eta^2$ and therefore

$$p_1(NM) = \eta^2. \tag{b}$$

Comparing with (a) would yield $-3\xi^2 = \eta^2 \in H^4(\mathbb{C}P^2; \mathbb{Z}) \cong \mathbb{Z}$ which is impossible since -3 is not a square number. The same conclusion holds

for codimension three ($n = 7$) provided that NM splits off a trivial subbundle. If the mean curvature vector is nowhere zero, it generates such a subbundle.)

The aim of this work is to generalize Hopf's theorem proving that the only *ppmc*-immersion from $\mathbb{C}P^2$ (with any Kähler metric) into \mathbb{R}^8 is the standard immersion. In fact we will prove more: We will show that any *ppmc* immersion with codimension ≤ 4 is a standard embedding. Besides the \mathbb{S}^2 and $\mathbb{C}P^2$, there is just one other case with codimension ≤ 4 : The complex quadric $Q^3 \subset \mathbb{C}P^4$ which is the Grassmannian of oriented 2-planes in \mathbb{R}^5 .

Theorem 1.1. *Let M be a compact Kählerian manifold with positive first Chern class and $\varphi : M \rightarrow \mathbb{R}^n$ a full indecomposable isometric *ppmc* immersion with codimension ≤ 4 . Then either M is isometric to \mathbb{S}^2 , $\mathbb{C}P^2$ or Q^3 (up to scaling), and φ is the standard embedding (up to congruence), or $\phi(M)$ is a minimal sphere in \mathbb{S}^4 .*

Corollary 1.2. *Let $\varphi : \mathbb{C}P^2 \rightarrow \mathbb{R}^8$ be an immersion whose induced metric is Kähler. If φ is *ppmc*, then φ is the standard embedding of $\mathbb{C}P^2$ endowed with the Fubini-Study metric.*

Remark. The minimal spheres in \mathbb{S}^4 have been classified by R. Bryant [2].

2. Holomorphic differentials

Let M be a Kähler manifold and $\varphi : M \rightarrow \mathbb{R}^n$ an isometric immersion. Let $\alpha : S^2(TM) \rightarrow NM$ (where S^2 denotes the second symmetric power) be the second fundamental form (tacitly extended to the complexified bundles) with its components $\alpha^{(2,0)}, \alpha^{(1,1)}, \alpha^{(0,2)} = \overline{\alpha^{(2,0)}}$. Throughout the paper we assume that φ is *ppmc*, i.e. $\alpha^{(1,1)}$ is parallel with respect to the induced connections on TM and NM . In particular, the (unnormalized) mean curvature vector $H = \text{trace } \alpha = \sum_i \alpha(E_i, \overline{E}_i)$ (where E_1, \dots, E_m is any unitary basis of $T'M$) is a parallel normal vector field.

Lemma 2.1. *The 4-form*

$$(1) \quad \beta : (A, B, C, D) \mapsto \langle \alpha(A, B), \alpha(C, D) \rangle$$

on $\otimes^4 T^c M$ – which is always symmetric in (A, B) and (C, D) – is symmetric in (B, C) iff $\langle R(B, C)A, D \rangle = 0$.

Proof. This is immediate from the Gauss equation

$$\langle \alpha(A, B), \alpha(C, D) \rangle - \langle \alpha(A, C), \alpha(B, D) \rangle = \langle R(B, C)D, A \rangle \quad \text{q.e.d.}$$

Lemma 2.2. *The form $\Lambda_4 = \langle \alpha^{(2,0)}, \alpha^{(2,0)} \rangle$ on $\otimes^4(T'M)$ is symmetric and holomorphic. Likewise, for any parallel normal vector field ξ , the symmetric 2-form $\Lambda_\xi = \langle \alpha^{(2,0)}, \xi \rangle$ on $\otimes^2(T'M)$ is holomorphic.*

Proof. Since M is Kähler, $R(B, C)D = 0$ if $B, C \in T'M$ and hence we see the symmetry of Λ_4 from the previous Lemma 2.1. For the holomorphicity we need two preparations:

(a) Let $z = (z_1, \dots, z_m)$ be a holomorphic chart and $Z_j = \partial/\partial z_j$ the corresponding holomorphic coordinate vector fields. Then

$$(2) \quad \nabla_{\bar{Z}_k} Z_j = \nabla_{Z_j} \bar{Z}_k \in T'M \cap T''M = 0.$$

(b) The Codazzi equations show for all $\bar{A} \in T''M$ and $B, C \in T'M$

$$(3) \quad (\nabla_{\bar{A}}\alpha)(B, C) = (\nabla_B\alpha)(\bar{A}, C) = 0$$

since $\alpha^{(1,1)}$ is parallel. Thus derivatives of α vanish as soon the arguments are of mixed type. Hence $\nabla_{\bar{A}}\Lambda_\xi = \langle \nabla_{\bar{A}}\alpha^{(2,0)}, \xi \rangle = 0$ and similarly $\nabla_{\bar{A}}\Lambda_4 = 0$.

Now the partial derivatives with respect to \bar{z}_k are:

$$\begin{aligned} \frac{\partial}{\partial \bar{z}_k} \Lambda_\xi(Z_a, Z_b) &= \Lambda_\xi(\nabla_{\bar{Z}_k} Z_a, Z_b) + \Lambda_\xi(Z_a, \nabla_{\bar{Z}_k} Z_b) = 0, \\ \frac{\partial}{\partial \bar{z}_k} \Lambda_4(Z_a, Z_b, Z_c, Z_d) &= \Lambda_4(\nabla_{\bar{Z}_k} Z_a, Z_b, Z_c, Z_d) + \dots = 0 \end{aligned}$$

which shows that these forms are holomorphic. q.e.d.

Now let us assume that M is compact with positive first Chern class. Then M allows a Kähler metric with positive Ricci curvature, cf. [1], (11.16), p.322. A Bochner type argument allows the conclusion that there are no nonzero holomorphic differentials on M (see [14]), in particular:

Corollary 2.3. *Let M be a compact Kähler manifold with positive first Chern class and $\varphi : M \rightarrow \mathbb{R}^n$ an isometric ppmc immersion. Then the forms $\Lambda_4 = \langle \alpha^{(2,0)}, \alpha^{(2,0)} \rangle$ and $\Lambda_\xi = \langle \alpha^{(2,0)}, \xi \rangle$ for every parallel normal field ξ vanish on all of M .*

A ppmc immersion φ will be called *half isotropic* if the last assertion is true, i.e. if $\langle \alpha^{(2,0)}, \alpha^{(2,0)} \rangle = 0$ and $\langle \alpha^{(2,0)}, \xi \rangle = 0$ for every parallel normal field $\xi \in N^\circ$ where

$$(4) \quad N^\circ = \{ \alpha(A', B''); A, B \in TM \}.$$

We have seen that positive first Chern class implies half isotropic.

3. Indecomposability

Let M be a Kähler manifold. An isometric immersion $\varphi : M \rightarrow \mathbb{R}^n$ is *decomposable* if M is a Riemannian product of Kähler submanifolds, $M = M_1 \times M_2$, and there are isometric immersions $\varphi_i : M_i \rightarrow \mathbb{R}^{n_i}$ with $n = n_1 + n_2$ such that $\varphi = \varphi_1 \times \varphi_2$.

Lemma 3.1. *Let M be Kähler and $\varphi : M \rightarrow \mathbb{R}^n$ an isometric ppmc-immersion which is decomposable. Then both factors are ppmc.*

Proof. The type decomposition of the second fundamental form α is inherited to its components α_1 and α_2 , and since the projections onto M_i are parallel, the components $\alpha_i^{(1,1)}$ of $\alpha^{(1,1)}$ are also parallel. q.e.d.

Passing to the components if necessary, we may assume from now on that our *ppmc* immersion $\varphi : M \rightarrow \mathbb{R}^n$ is *indecomposable*. Moreover we will always assume that φ is *full*, i.e. $\varphi(M)$ is not contained in a proper affine subspace of \mathbb{R}^n . We put

$$(5) \quad N^1 = \left\{ \alpha^{(2,0)}(A, B) + \alpha^{(0,2)}(A, B); A, B \in TM \right\}$$

which is a subbundle of NM on an open subset $M_o \subset M$.

Lemma 3.2. *Let ξ be any parallel normal vector field with $\xi \perp N^1M$. Then the corresponding Weingarten operator $A_\xi \in \text{Hom}(TM, TM)$ is parallel and commutes with J .*

Proof. Let $A_\xi^{(1,1)}$ be the $(1, 1)$ -Weingarten map of ξ ,

$$\langle A_\xi^{(1,1)}(X), Y \rangle := \langle \alpha^{(1,1)}(X, Y), \xi \rangle.$$

Since $\alpha^{(1,1)}(JX, JY) = \alpha^{(1,1)}(X, Y)$, we have

$$\langle J^{-1}A_\xi^{(1,1)}(JX), Y \rangle = \langle A_\xi^{(1,1)}(JX), JY \rangle = \langle A_\xi^{(1,1)}(X), Y \rangle,$$

thus $J^{-1}A_\xi^{(1,1)}J = A_\xi^{(1,1)}$, so $A_\xi^{(1,1)}$ commutes with J . Since both $\alpha^{(1,1)}$ and ξ are parallel, so is $A_\xi^{(1,1)}$. But the $(2,0)$ and $(0,2)$ components of α are perpendicular to ξ , thus $\langle A_\xi(X), Y \rangle = \langle \alpha(X, Y), \xi \rangle = \langle \alpha^{(1,1)}(X, Y), \xi \rangle = \langle A_\xi^{(1,1)}X, Y \rangle$ whence $A_\xi = A_\xi^{(1,1)}$. q.e.d.

Proposition 3.3. *Let $\varphi : M \rightarrow \mathbb{R}^n$ be indecomposable, full, *ppmc* and half isotropic. Then $\varphi(M)$ is minimal in a round sphere $\mathbb{S}^{n-1} \subset \mathbb{R}^n$, and any parallel normal field in N^o is a multiple of the position vector.*

Proof. Let $\xi \in N^oM$ be any parallel normal field. By half isotropy, $\xi \perp N^1$. From Corollary 2.3 we have $\Lambda_\xi = \langle \xi, \alpha^{2,0} \rangle = 0$. Hence by the previous lemma the Weingarten operator A_ξ is parallel and its eigenspaces form parallel J -invariant distributions E_1, \dots, E_r . The parallelity of ξ also implies that $R^N(A, B)\xi = 0$ for any A, B and then the Ricci equation shows that A_ξ commutes with any other Weingarten operator A_η . Therefore $\alpha(E_i, E_j) = 0$ for $i \neq j$, and by Moore's theorem [16], φ is decomposable unless $r = 1$. Hence $A_\xi = \lambda_\xi I$ for some $\lambda_\xi \in \mathbb{R}$.

In particular all this holds for the mean curvature vector $\xi = H$. By compactness, $\lambda_H \neq 0$. Thus H is umbilic and $\varphi(M)$ is contained as a minimal submanifold in a sphere of radius $1/|\lambda|$.

But for any parallel normal field $\xi \perp H$ in N^o we have $\text{trace } A_\xi = \langle H, \xi \rangle = 0$ and hence $\lambda_\xi = 0$. So ξ is a constant vector since $\partial_X \xi = -A_\xi(X) + \nabla_X^N \xi = 0$ for any tangent vector X . Moreover $\varphi(M) \subset \xi^\perp$. By the fullness assumption this shows $\xi = 0$. q.e.d.

4. The Riccati equation

Next we shall consider the distribution $\Delta = \ker \alpha^{(2,0)}$. As we shall see, this is an autoparallel distribution on M . We need some properties of such distributions.

Let M be a Riemannian manifold and $\Delta \subset TM$ an auto-parallel distribution, i.e. $\nabla_\Delta \Delta \subset \Delta$. Denoting $\Gamma = \Delta^\perp$, we also have $\nabla_\Delta \Gamma \subset \Gamma$, since $\langle \nabla_\Delta \Gamma, \Delta \rangle = -\langle \Gamma, \nabla_\Delta \Delta \rangle \subset \langle \Gamma, \Delta \rangle = 0$.

Proposition 4.1. *For any vector field $T \in \Delta$ we consider the tensor $C_T \in \text{Hom}(\Gamma, \Gamma)$,*

$$C_T X = -(\nabla_X T)^\Gamma$$

for all $X \in \Gamma$. Then we have for all $S, T \in \Delta$:

$$(6) \quad \nabla_S C_T = C_T C_S + C_{\nabla_S T} + R(\cdot, S)T.$$

Proof. For any $X \in \Gamma$ we have

$$(7) \quad (\nabla_S C_T)X = \nabla_S(C_T X) - C_T(\nabla_S X),$$

where

$$(8) \quad \begin{aligned} \nabla_S(C_T X) &= -\nabla_S(\nabla_X T)^\Gamma \\ &= -(\nabla_S \nabla_X T)^\Gamma \\ &= (-R(S, X)T - \nabla_X \nabla_S T - \nabla_{[S, X]}T)^\Gamma, \end{aligned}$$

$$(9) \quad \begin{aligned} -C_T(\nabla_S X) &= -C_T((\nabla_S X)^\Gamma) \\ &= -C_T((\nabla_X S)^\Gamma) - C_T([S, X]^\Gamma) \\ &= C_T C_S X + (\nabla_{[S, X]}T)^\Gamma. \end{aligned}$$

Let $L = [S, X]$. Then

$$(\nabla_L T)^\Gamma = (\nabla_{L^\Gamma} T + \nabla_{L^\Delta} T)^\Gamma = (\nabla_{L^\Gamma} T)^\Gamma$$

since $\nabla_\Delta \Delta \subset \Delta \perp \Gamma$. Hence the last terms of (8) and (9) cancel each other. Moreover, $\nabla_S T \in \Delta$ and

$$-(\nabla_X \nabla_S T)^\Gamma = C_{\nabla_S T} X.$$

Further note that $\langle R(\Delta, \Gamma)\Delta, \Delta \rangle = 0$ since Δ is totally geodesic, so the curvature term $R(S, X)T$ in (8) is automatically in Γ . Thus inserting (8) and (9) into (7) proves (6). q.e.d.

Corollary 4.2. *If (M, J) is Kähler and $\Delta \subset TM$ autoparallel with $J\Delta = \Delta$ and $C_T J = J C_T$ (i.e. C_T is \mathbb{C} -linear), then $R(\cdot, T)T$ is \mathbb{C} -linear on Γ .*

Proof. This is immediate from (6) for $S = T$ and the parallelity of J . q.e.d.

5. The kernel of $\alpha^{(2,0)}$

Now let M be a Kähler manifold and $\varphi : M \rightarrow \mathbb{R}^n$ an isometric *ppmc* immersion. Let us consider

$$(10) \quad \Delta = \ker \alpha^{(2,0)} = \{X \in TM; \alpha(X', Y') = 0 \forall Y' \in TM\}$$

which is of maximal dimension on an open subset $M_o \subset M$ and hence a distribution on M_o . We denote Δ' the projection of Δ to $T'M$. Clearly Δ is J -invariant.

When $\Delta = TM$, i.e. $\alpha^{(2,0)} = 0$, the immersion is called *(2,0)-geodesic*. In this case, the Codazzi equations immediately show $\nabla\alpha = 0$. Such immersions (so called *extrinsic symmetric spaces*) have been classified by D. Ferus [12]. The (2,0)-geodesic ones are the *standard embeddings* of the Kähler symmetric spaces, defined as follows. A *Kähler symmetric space* is a Kähler manifold M which is also a symmetric space such that all point reflections are holomorphic. If M is compact without local euclidean factor, the almost complex structure J_p at any point $p \in M$ defines an element of its transvection Lie algebra \mathfrak{g} ; this map $p \mapsto J_p: M \rightarrow \mathfrak{g}$ is the standard embedding (cf. [8]). E.g. for $M = \mathbb{S}^2$, the transvection Lie algebra is $\mathfrak{g} = \mathfrak{so}(3) \cong \mathbb{R}^3$ and for $M = \mathbb{C}P^2$ we have $\mathfrak{g} = \mathfrak{su}(3) \cong \mathbb{R}^8$.

Lemma 5.1. *For all $S, T \in \Delta$ and $A \in TM$ we have:*

$$(11) \quad \nabla_{A''} T' \in \Delta',$$

$$(12) \quad \nabla_S T \in \Delta.$$

Proof. The Codazzi equations give for all $B \in TM$:

$$(\nabla_{A''}\alpha)(T', B') = (\nabla_{T'}\alpha)(A'', B') = 0$$

since $\alpha^{(1,1)}$ is parallel. Hence $\alpha(\nabla_{A''}T', B') = -\alpha(T', \nabla_{A''}B') = 0$ since $T' \in \Delta$. This proves (11). For (12) we have to show

$$\alpha(\nabla_S T', B') = 0$$

for all $S, T \in \Delta$ and $B \in TM$. We split $S = S' + S''$. Since $\alpha(\nabla_{S''}T', B') = 0$ by (11), it remains to show $\alpha(\nabla_{S'}T', B') = 0$. But $(\nabla_{S'}\alpha)(T', B') = (\nabla_{B'}\alpha)(T', S') = \nabla_{B'}(\alpha(T', S')) - \alpha(\nabla_{B'}T', S') - \alpha(T', \nabla_{B'}S') = 0$. Thus

$$\alpha(\nabla_{S'}T', B') = -\alpha(T', \nabla_{S'}B') = 0.$$

q.e.d.

Corollary 5.2. *Δ is autoparallel and hence integrable, and the leaves are totally geodesic Kähler submanifolds which are (2,0)-geodesic in the ambient euclidean space.*

Proof. Δ is autoparallel by (12), hence integrable with totally geodesic leaves, and since Δ is J -invariant, the leaves are Kähler submanifolds of M . Moreover they are (2,0)-geodesic since $\alpha^{(2,0)} = 0$ on Δ .

q.e.d.

Now let $\Gamma = \Delta^\perp$. Consider the tensor field $C : \Delta \rightarrow \text{Hom}(\Gamma, \Gamma)$ defined by

$$(13) \quad C_T(X) = -(\nabla_X T)^\Gamma$$

for $T \in \Delta$ and $X \in \Gamma$.

Lemma 5.3. C_T commutes with J .

Proof. By (11) we have $(\nabla_{X'} T')^\Gamma = 0 = (\nabla_{X'} T'')^\Gamma$. Extending the Γ -projection complex linearly and using the splitting $X = X' + X''$ and $T = T' + T''$ we have

$$(\nabla_X T)^\Gamma = (\nabla_{X'} T')^\Gamma + (\nabla_{X''} T'')^\Gamma$$

and consequently

$$(\nabla_{JX} T)^\Gamma = i(\nabla_{X'} T')^\Gamma - i(\nabla_{X''} T'')^\Gamma = J(\nabla_X T)^\Gamma.$$

Now the claim follows from the definition of C_T , see (13). q.e.d.

6. Small codimension

Let $N^1 = N' + N'' \subset NM$ where N' is spanned by the values of $\alpha^{(2,0)}$ and $N'' = \overline{N'}$ by the values of $\alpha^{(0,2)}$; these are subbundles on an open subset $M_o \subset M$. By Corollary 2.3, N' and N'' are isotropic, $\langle N', N' \rangle = 0$. Denoting by $(,)$ the hermitean inner product, $(X, Y) = \langle X, \overline{Y} \rangle$, we have $(N', N'') = \langle N', N' \rangle = 0$ and thus

$$\dim N^1 = 2 \dim N'.$$

Moreover note that $N^1 \perp H \neq 0$, hence $N \supset \mathbb{R}H \oplus N^1$ and therefore

$$(14) \quad \text{codim } \varphi(M) \geq 2 \dim N' + 1.$$

Definition. A *ppmc* immersion φ is said to be *isotropic* if

$$\langle \alpha^{(2,0)}, \alpha^{(2,0)} \rangle = 0 = \langle \alpha^{(2,0)}, \alpha^{(1,1)} \rangle$$

i.e. the values of $\alpha^{(2,0)}, \alpha^{(0,2)}, \alpha^{(1,1)}$ span subbundles which are mutually perpendicular with respect to the hermitian inner product.

Lemma 6.1. *Let M be Kähler and $\varphi : M \rightarrow \mathbb{R}^n$ an isometric ppmc immersion of codimension ≤ 4 . If $\text{codim } \Delta \geq 2$, then φ is isotropic.*

Proof. We have seen above that $\langle \alpha^{(2,0)}, \alpha^{(2,0)} \rangle = \Lambda_4 = 0$. We need to show $\langle \alpha^{(2,0)}, \alpha^{(1,1)} \rangle = 0$, i.e.

$$\langle \alpha(X', Y'), \alpha(Z', W'') \rangle = 0$$

for all $X, Y, Z, W \in TM_o$. Let us fix Z and W . The values of $\alpha^{(2,0)}$ lie in N' which is complex one-dimensional on M_o since $N' \neq 0$ and $2 \dim N' + 1 \leq 4$ by (14). Thus the subspace of all U with $\alpha(U', Z') = 0$ has dimension $n - 1$. By Lemma 2.1 we have for any such U

$$\langle \alpha(U', V'), \alpha(Z', W'') \rangle = \langle \alpha(U', Z'), \alpha(V', W'') \rangle = 0$$

Since $\dim \Delta \leq n - 2$, we may choose our U outside Δ , and hence we find some V such that $\alpha(U', V') \neq 0$. But since $\dim N' = 1$ we may replace the particular element $\alpha(U', V')$ by an arbitrary $\alpha(X', Y') \in N'$ and obtain $\langle \alpha(X', Y'), \alpha(Z', W'') \rangle = 0$. q.e.d.

Lemma 6.2. (cf. [11]) *Let M be a compact Kähler manifold with $c_1(M) > 0$ and $\varphi : M \rightarrow \mathbb{R}^n$ an isometric ppmc immersion with codimension ≤ 4 . If $\text{codim } \Delta = 1$, then either $\varphi(M)$ is $(2, 0)$ -geodesic or φ is decomposable into a product of two ppmc immersions one of which is $(2, 0)$ -geodesic.*

Proof. By Theorem 4.1, the tensor field $C_T : \Gamma \rightarrow \Gamma$ corresponding to Δ (see (13)) satisfies the Riccati equation (6). Since Γ is complex one-dimensional (with the complex structure defined by J) and C_T is complex linear by Lemma 5.3, it is a complex multiple of the identity, $C_T = \lambda I$. Let γ be a geodesic on a maximal leaf of Δ and denote by T its velocity field. Then $C_{T(t)} = \lambda(t)I$ where the complex function $\lambda(t)$ satisfies the Riccati type equation

$$(15) \quad \lambda' = \lambda^2 + r$$

where $R(\cdot, T)T = r(t)I$ with $r(t) = \langle R^M(Y, T)T, Y \rangle_{\gamma(t)}$. We will see in the subsequent Lemma 6.3 that $r \geq 0$. It is well known that 0 is the only real solution of (15) which is defined on the whole real line (any other solution has a pole). Therefore C_T has no real eigenvalues. But if λ is complex, $\lambda = \mu + i\eta$, we replace T by the vector $\tilde{T} = \mu T - \eta J T = \bar{\lambda} T$ and get $C_{\tilde{T}} = \bar{\lambda} C_T = \bar{\lambda} \lambda I = (\mu^2 + \eta^2)I$ at the initial point $t = 0$. Extending \tilde{T} to the tangent vector field along a geodesic $\tilde{\gamma}$, we obtain $C_{\tilde{T}} = \tilde{\lambda} I$ with $\tilde{\lambda}(0) \in \mathbb{R}$. Then $\tilde{\lambda}(t)$ is a real solution of (15) and as before we conclude $\tilde{\lambda} = 0$ which implies $\lambda = 0$. We conclude that $C_T = 0$ for all $T \in \Delta$ which shows that Δ is not only autoparallel, but even fully parallel, and then the same holds for $\Gamma = \Delta^\perp$. Hence M_o is locally a product of two nontrivial Kähler manifolds M_1 and M_2 .

To prove that $\varphi|_{M_o}$ is a product of immersions we first notice that $\alpha(S', Y') = 0$ for all $S \in \Delta$ and $Y \in \Gamma$. Using Lemma 2.1 and the vanishing of curvature tensor components with mixed Δ and Γ entries, we get

$$0 = \langle \alpha(S', Y'), \alpha(S'', Y'') \rangle = \langle \alpha(S', Y''), \alpha(S'', Y') \rangle.$$

This shows $\alpha(S', Y'') = 0$ and henceforth $\alpha(S, Y) = 0$ whenever $S \in \Delta$ and $Y \in \Delta^\perp$. Then $\varphi|_{M_o}$ splits as a product of immersions [16]. An

analyticity argument allows the conclusion that M is globally a product of two Riemann surfaces M_1 and M_2 and φ is a product of two *ppmc* immersions, φ_1 and φ_2 where one of the factors (the integral leaves of Δ) is $(2, 0)$ -geodesic. q.e.d.

Lemma 6.3. *For all $T \in \Delta$ and $Y \in \Gamma = \Delta^\perp$ we have*

$$(16) \quad \langle R(Y, T)T, Y \rangle \geq 0$$

Proof. We consider the complex multilinear extension of the curvature tensor and claim that, whenever $T, S \in \Delta$ and $Y \in \Gamma$,

$$(17) \quad R(Y'', T')S' \in \Delta', \quad R(Y', T'')S'' \in \Delta''.$$

To prove this claim we remember from (11) that $\nabla_{Z''}T' \in \Delta'$ (respectively $\nabla_{Z'}T'' \in \Delta$) whenever T is a section of Δ and $Z \in TM$. Using this and the fact that Δ is an auto-parallel distribution, we know that $\nabla_{T'}\nabla_{Y''}S', \nabla_{Y''}\nabla_{T'}S'$ and $\nabla_{[T', Y'']}S'$ are in Δ' , hence $R(Y'', T')S' \in \Delta'$. This proves (17).

We also recall that on any Kähler manifold we have $R(Y', T') = 0 = R(Y'', T'')$. Thus

$$\begin{aligned} R(Y, T) &= R(Y', T'') + R(Y'', T'), \\ \langle R(A, B)Y, T \rangle &= \langle R(A, B)Y', T'' \rangle + \langle R(A, B)Y'', T' \rangle \end{aligned}$$

for arbitrary A, B . Since $T''M$ is isotropic (“Isotropic” means that the inner product vanishes: $\langle X + iJX, Y + iJY \rangle = \langle X, Y \rangle - \langle JX, JY \rangle + i(\langle X, JY \rangle + \langle JX, Y \rangle) = 0$ for all $X \in TM$), we conclude from (17), the Gauss equation and $\alpha(T', Y') = 0$:

$$\begin{aligned} \langle R(Y, T)T, Y \rangle &= \langle R(Y'', T')T'', Y' \rangle + \langle R(Y', T'')T', Y'' \rangle \\ &= 2\langle \alpha(T', T''), \alpha(Y'', Y') \rangle. \end{aligned}$$

Again from Gauss equation (Lemma 2.1) we obtain

$$\langle \alpha(T', T''), \alpha(Y'', Y') \rangle = \langle \alpha(T', Y''), \alpha(T'', Y') \rangle.$$

Thus

$$\langle R(Y, T)T, Y \rangle = 2\langle \alpha(T', Y''), \alpha(T'', Y') \rangle \geq 0.$$

q.e.d.

7. The isotropic case

Recall that a *ppmc* immersion is *isotropic* if $\langle \alpha^{(2,0)}, \alpha^{(2,0)} \rangle = 0$ and $N^0 \perp N^1$. Clearly “isotropic” is stronger than “half isotropic”. A general study of this case has been done in [7], but in the present situation of low codimension we can do better.

Proposition 7.1. *Let $\varphi : M \rightarrow \mathbb{R}^n$ be full indecomposable isotropic *ppmc* with codimension ≤ 4 . Then either $\varphi(M)$ is an isotropic minimal surface (“superminimal surface”) in \mathbb{S}^4 or M is isometric to \mathbb{S}^2 or $\mathbb{C}P^2$*

or Q^3 (up to scaling) and φ is the standard embedding $S^2 \hookrightarrow \mathbb{R}^3 = \mathfrak{so}(3)$ or $\mathbb{C}P^2 \hookrightarrow \mathbb{R}^8 = \mathfrak{su}(3)$ or $Q^3 \hookrightarrow \mathbb{R}^{10} = \mathfrak{so}(5)$.

Proof. We have to show that $\alpha^{(2,0)} = 0$; then φ is a standard embedding of a Kähler symmetric space with codimension ≤ 4 and we are done.

Thus assume that $\alpha^{(2,0)}$ does not vanish identically. Then the subbundle $N^o \subset NM$ must have rank one; otherwise in view of (14), each fibre of N^o would have dimension two and we would have another parallel normal field perpendicular to H in N^o which is impossible by Proposition 3.3. Thus φ takes values in the sphere S^{n-1} , and the restriction $\varphi_S : M \rightarrow S^{n-1}$ is pluriminimal or (1,1)-geodesic, i.e. the second fundamental form α_S of φ_S has vanishing (1,1)-component. By the subsequent lemma, M is a surface. Thus $\varphi(M)$ is an isotropic minimal surface of S^{n-1} with $n \leq 6$. But such minimal surfaces do not exist in S^5 which is not an inner symmetric space (cf. [9]), thus $\varphi(M) \subset S^4$.

q.e.d.

Lemma 7.2. ([5], [17]) *Let M be a compact Kähler manifold and $\varphi_S : M \rightarrow S^{n-1}$ a pluriminimal immersion. Then M is a surface.*

Proof. Let $\dim M = 2m$. Composing φ_S with the embedding $S^{n-1} \subset \mathbb{R}^n$, we get a ppmc immersion $\varphi : M \rightarrow \mathbb{R}^n$. Taking, at each $x \in M$, an orthonormal basis $E_i, JE_i, 1 \leq i \leq m$, and using Gauss equations we obtain that

$$\langle \alpha(E'_i, E''_i), \alpha(E''_j, E'_j) \rangle = \langle \alpha(E'_i, E''_j), \alpha(E''_i, E'_j) \rangle,$$

from whence $H = 0$ which cannot happen. In fact,

$$\alpha(E'_i, E''_i) = \langle E'_i, E''_i \rangle H = \frac{1}{2} H$$

while $\alpha(E'_i, E''_j) = \langle E'_i, E''_j \rangle H = 0$ for $i \neq j$. q.e.d.

Proof of the Main Theorem: The proof of Theorem 1.1 is obtained from Lemma 6.1, Lemma 6.2 and Proposition 7.1.

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