

POINTS IN PROJECTIVE SPACES AND APPLICATIONS

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Abstract

We prove the factoriality of a nodal hypersurface in \mathbb{P}^4 of degree d that has at most $2(d-1)^2/3$ singular points, and we prove the factoriality of a double cover of \mathbb{P}^3 branched over a nodal surface of degree $2r$ having less than $(2r-1)r$ singular points.

1. Introduction

Let Σ be a finite subset in \mathbb{P}^n and $\xi \in \mathbb{N}$, where $n \geq 2$. Then the points of the set Σ impose independent linear conditions on homogeneous forms of degree ξ if and only if for every point $P \in \Sigma$ there is a homogeneous form of degree ξ that vanishes at every point of the set $\Sigma \setminus P$, and does not vanish at the point P . The latter is equivalent to the equality

$$h^1(\mathcal{I}_\Sigma \otimes \mathcal{O}_{\mathbb{P}^n}(\xi)) = 0,$$

where \mathcal{I}_Σ is the ideal sheaf of the subset $\Sigma \subset \mathbb{P}^n$.

In this paper we prove the following result (see Section 2).

Theorem 1. *Suppose that there is a natural number $\lambda \geq 2$ such that at most λk points of the set Σ lie on a curve in \mathbb{P}^n of degree k . Then*

$$h^1(\mathcal{I}_\Sigma \otimes \mathcal{O}_{\mathbb{P}^n}(\xi)) = 0$$

in the case when one of the following conditions holds:

- $\xi = \lfloor 3\lambda/2 - 3 \rfloor$ and $|\Sigma| < \lambda \lceil \lambda/2 \rceil$;
- $\xi = \lfloor 3\mu - 3 \rfloor$, $|\Sigma| \leq \lambda\mu$ and $\lfloor 3\mu \rfloor - \mu - 2 \geq \lambda \geq \mu$ for some $\mu \in \mathbb{Q}$;
- $\xi = \lfloor n\mu \rfloor$, $|\Sigma| \leq \lambda\mu$ and $(n-1)\mu \geq \lambda$ for some $\mu \in \mathbb{Q}$.

Let us consider applications of Theorem 1.

Definition 2. An algebraic variety X is factorial if $\text{Cl}(X) = \text{Pic}(X)$.

We assume that all varieties are projective, normal, and defined over \mathbb{C} .
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Let $\pi: X \rightarrow \mathbb{P}^3$ be a double cover branched over a surface $S \subset \mathbb{P}^3$ of degree $2r \geq 4$ such that the only singularities of the surface S are isolated ordinary double points. Then X is a hypersurface

$$w^2 = f_{2r}(x, y, z, t) \subset \mathbb{P}(1, 1, 1, 1, r) \cong \text{Proj}(\mathbb{C}[x, y, z, t, w]),$$

where $\text{wt}(x) = \dots = \text{wt}(t) = 1$, $\text{wt}(w) = r$, and $f_{2r}(x, y, z, t)$ is a homogeneous polynomial of degree $2r$ such that $S \subset \mathbb{P}^3$ is given by

$$f_{2r}(x, y, z, t) = 0 \subset \mathbb{P}^3 \cong \text{Proj}(\mathbb{C}[x, y, z, t]).$$

The following conditions are equivalent (see [10] and [8]):

- the threefold X is factorial;
- the singularities of the threefold X are \mathbb{Q} -factorial¹;
- the equality $\text{rk } H_4(X, \mathbb{Z}) = 1$ holds;
- the ring

$$\mathbb{C}[x, y, z, t, w] / \langle w^2 - f_{2r}(x, y, z, t) \rangle$$

is a unique factorization domain;

- the points of the set $\text{Sing}(S)$ impose independent linear conditions on homogeneous forms on \mathbb{P}^3 of degree $3r - 4$.

Theorem 3. *Suppose that the inequality*

$$|\text{Sing}(S)| < (2r - 1)r$$

holds. Then the threefold X is factorial.

Proof. The subset $\text{Sing}(S) \subset \mathbb{P}^3$ is a set-theoretic intersection of surfaces of degree $2r - 1$. Then X is factorial by Theorem 1. q.e.d.

The assertion of Theorem 3 is proved in [4] in the case when $r = 3$.

Example 4. Suppose that the surface S is given by an equation

$$(5) \quad g_r^2(x, y, z, t) = g_1(x, y, z, t)g_{2r-1}(x, y, z, t) \subset \mathbb{P}^3,$$

where g_i is a general homogeneous polynomial of degree i . Then

$$|\text{Sing}(S)| = (2r - 1)r,$$

and S has at most ordinary double points. But X is not factorial.

For $r = 3$, the threefold X is non-rational if it is factorial (see [4]), but the threefold X is rational if the surface S is the Barth sextic (see [1]).

We prove the following generalization of Theorem 3 in Section 3.

¹A variety is \mathbb{Q} -factorial if some non-zero integral multiple of every Weil divisor on it is a Cartier divisor. This property is not local in the analytic topology, because ordinary double points of threefolds are not locally analytically \mathbb{Q} -factorial.

Theorem 6. *Suppose that the inequality*

$$|\mathrm{Sing}(S)| \leq (2r - 1)r + 1$$

holds. Then X is not factorial $\iff S$ can be defined by equation 5.

The assertion of Theorem 6 is proved in [11] in the case when $r = 3$.

Let V be a hypersurface in \mathbb{P}^4 of degree d such that V has at most isolated ordinary double points. Then V can be given by the equation

$$f_d(x, y, z, t, u) = 0 \subset \mathbb{P}^4 \cong \mathrm{Proj}(\mathbb{C}[x, y, z, t, u]),$$

where $f_d(x, y, z, t, u)$ is a homogeneous polynomial of degree d .

The following conditions are equivalent (see [10] and [8]):

- the threefold V is factorial;
- the threefold V has \mathbb{Q} -factorial singularities;
- the equality $\mathrm{rk} H_4(V, \mathbb{Z}) = 1$ holds;
- the ring

$$\mathbb{C}[x, y, z, t, u] / \langle f_d(x, y, z, t, u) \rangle$$

is a unique factorization domain;

- the points of the set $\mathrm{Sing}(V)$ impose independent linear conditions on homogeneous forms on \mathbb{P}^4 of degree $2d - 5$.

The threefold V is not rational if it is factorial and $d = 4$ (see [12]), but general determinantal quartic threefolds are known to be rational.

Conjecture 7. *Suppose that the inequality*

$$|\mathrm{Sing}(V)| < (d - 1)^2$$

holds. Then the threefold V is factorial.

The assertion of Conjecture 7 is proved in [3] and [5] for $d \leq 7$.

Example 8. *Suppose that V is given by the equation*

$$xg(x, y, z, t, u) + yf(x, y, z, t, u) = 0 \subset \mathbb{P}^4 \cong \mathrm{Proj}(\mathbb{C}[x, y, z, t, u]),$$

where g and f are general homogeneous forms of degree $d - 1$. Then

$$|\mathrm{Sing}(V)| = (d - 1)^2$$

and V has at most ordinary double points. But V is not factorial.

The threefold V is factorial if $|\mathrm{Sing}(V)| \leq (d - 1)^2/4$ by [2].

Theorem 9. *Suppose that the inequality*

$$|\mathrm{Sing}(V)| \leq \frac{2(d - 1)^2}{3}$$

holds. Then the threefold V is factorial.

Proof. The set $\text{Sing}(V)$ is a set-theoretic intersection of hypersurfaces of degree $d - 1$. Then V is factorial for $d \geq 7$ by Theorem 1.

For $d \leq 6$, the threefold V is factorial by Theorem 2 in [9]. q.e.d.

Let Y be a complete intersection of hypersurfaces F and G in \mathbb{P}^5 of degree m and k , respectively, such that $m \geq k$, and the complete intersection Y has at most isolated ordinary double points.

Example 10. Let F and G be general hypersurfaces that contain a two-dimensional linear subspace in \mathbb{P}^5 . Then

$$|\text{Sing}(Y)| = (m + k - 2)^2 - (m - 1)(k - 1)$$

and Y has at most ordinary double points. But Y is not factorial.

The threefold Y is factorial if G is smooth and singular points of Y impose independent linear conditions on homogeneous forms of degree $2m + k - 6$ (see [8]).

Theorem 11. *Suppose that G is smooth, and the inequalities*

$$|\text{Sing}(Y)| \leq (m + k - 2)(2m + k - 6)/5$$

and $m \geq 7$ hold. Then the threefold Y is factorial.

Proof. The set $\text{Sing}(Y)$ is a set-theoretic intersection of hypersurfaces of degree $m + k - 2$. Then Y is factorial by Theorem 1. q.e.d.

Arguing as in the proof of Theorem 11, we obtain the following result.

Theorem 12. *Suppose that G is smooth, and the inequalities*

$$|\text{Sing}(Y)| \leq (2m + k - 3)(m + k - 2)/3$$

and $m \geq k + 6$ hold. Then the threefold Y is factorial.

Let H be a smooth hypersurface in \mathbb{P}^4 of degree $d \geq 2$, and let

$$\eta: U \longrightarrow H$$

be a double cover branched over a surface $R \subset H$ such that

$$R \sim \mathcal{O}_{\mathbb{P}^4}(2r)|_H$$

and $2r \geq d$. Suppose that S has at most isolated ordinary double points.

Theorem 13. *Suppose that the inequalities*

$$|\text{Sing}(R)| \leq (2r + d - 2)r/2$$

and $r \geq d + 7$ hold. Then the threefold U is factorial.

Proof. The subset $\text{Sing}(R) \subset \mathbb{P}^4$ is a set-theoretic intersection of hypersurfaces of degree $2r + d - 2$. Then U is factorial by Theorem 1, because it is factorial if the points of $\text{Sing}(R)$ impose independent linear conditions on homogeneous forms of degree $3r + d - 5$ (see [8]). q.e.d.

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2. Main result

Let Σ be a finite subset in \mathbb{P}^n , where $n \geq 2$. Now we prove the following special case of Theorem 1, leaving the other cases to the reader.

Proposition 14. *Let $r \geq 2$ be a natural number. Suppose that*

$$|\Sigma| < (2r - 1)r,$$

and at most $(2r - 1)k$ points in Σ lie on a curve of degree k . Then

$$h^1(\mathcal{I}_\Sigma \otimes \mathcal{O}_{\mathbb{P}^n}(3r - 4)) = 0.$$

The following result is Corollary 4.3 in [7].

Theorem 15. *Let $\pi: Y \rightarrow \mathbb{P}^2$ be a blow up of points $P_1, \dots, P_\delta \in \mathbb{P}^2$, and let E_i be the π -exceptional divisor such that $\pi(E_i) = P_i$. Then*

$$\left| \pi^*(\mathcal{O}_{\mathbb{P}^2}(\xi)) - \sum_{i=1}^{\delta} E_i \right|$$

does not have base points if at most $k(\xi + 3 - k) - 2$ points in $\{P_1, \dots, P_\delta\}$ lie on a curve of degree k for every $k \leq (\xi + 3)/2$, and the inequality

$$\delta \leq \max \left\{ \left\lfloor \frac{\xi + 3}{2} \right\rfloor \left(\xi + 3 - \left\lfloor \frac{\xi + 3}{2} \right\rfloor \right) - 1, \left\lfloor \frac{\xi + 3}{2} \right\rfloor^2 \right\}$$

holds, where ξ is a natural number such that $\xi \geq 3$.

Therefore, it follows from Theorem 15 that to prove Proposition 14, we may assume that $n = 3$ due to the following result.

Lemma 16. *Let $\Pi \subset \mathbb{P}^n$ be an m -dimensional linear subspace, and let*

$$\psi: \mathbb{P}^n \dashrightarrow \Pi \cong \mathbb{P}^m$$

be a projection from a linear subspace $\Omega \subset \mathbb{P}^n$ such that

- *the subspace Ω is sufficiently general and $\dim(\Omega) = n - m - 1$,*
- *there is a subset $\Lambda \subset \Sigma$ such that*

$$|\Lambda| \geq \lambda k + 1,$$

but the set $\psi(\Lambda)$ is contained in an irreducible curve of degree k , and $n > m \geq 2$. Let \mathcal{M} be the linear system that contains all hypersurfaces in \mathbb{P}^n of degree k that pass through all points in Λ . Then

$$\dim(\text{Bs}(\mathcal{M})) = 0,$$

and either $m = 2$, or $k > \lambda$.

Proof. Suppose that there is an irreducible curve Z such that

$$Z \subset \text{Bs}(\mathcal{M}),$$

and put $\Xi = Z \cap \Lambda$. We may assume that $\psi|_Z$ is a birational morphism, and

$$\psi(Z) \cap \psi(\Lambda \setminus \Xi) = \emptyset,$$

because Ω is general. Then $\deg(\psi(Z)) = \deg(Z)$.

Let C be an irreducible curve in Π of degree k that contains $\psi(\Lambda)$, and let W be the cone in \mathbb{P}^n over the curve C and with vertex Ω . Then

$$W \in \mathcal{M},$$

which implies that W contains the curve Z . Thus, we have

$$\psi(Z) = C,$$

which implies that $\Xi = \Lambda$ and $\deg(Z) = k$. But $|Z \cap \Sigma| \leq \lambda k$. We have

$$\dim(\text{Bs}(\mathcal{M})) = 0.$$

Suppose that $m > 2$ and $k \leq \lambda$. Let us show that the latter assumption leads to a contradiction. We may assume that $m = 3$ and $n = 4$, because ψ as a composition of $n - m$ projections from points.

Let \mathcal{Y} be the set of all irreducible reduced surfaces in \mathbb{P}^4 of degree k that contains all points of the set Λ , and let Υ be a subset of \mathbb{P}^4 consisting of points that are contained in every surface of \mathcal{Y} . Then

$$\Lambda \subseteq \Upsilon,$$

but the previous arguments imply that Υ is a finite set.

Let \mathcal{S} be the set of all surfaces in \mathbb{P}^3 of degree k such that

$$S \in \mathcal{S} \iff \exists Y \in \mathcal{Y} \mid \psi(Y) = S \text{ and } \psi|_Y \text{ is a birational morphism,}$$

and let Ψ be a subset of \mathbb{P}^3 consisting of points that are contained in every surface of the set \mathcal{S} . Then $\mathcal{S} \neq \emptyset$ and

$$\psi(\Lambda) \subseteq \psi(\Upsilon) \subseteq \Psi.$$

The generality of Ω implies that $\psi(\Upsilon) = \Psi$. Indeed, for every point

$$O \in \Pi \setminus \Psi$$

and for a general surface $Y \in \mathcal{Y}$, we may assume that the line passing through O and Ω does not intersect Y , but $\psi|_Y$ is a birational morphism.

The set Ψ is a set-theoretic intersection of surfaces in Π of degree k , which implies that at most δk points in Ψ lie on a curve in Π of degree δ .

We see that at most k^2 points in Ψ lie on a curve in Π of degree k , but the set $\psi(\Lambda)$ contains at least $\lambda k + 1$ points that are contained in an irreducible curve in Π of degree k , which is a contradiction. q.e.d.

We have a finite subset $\Sigma \subset \mathbb{P}^3$ and a natural number $r \geq 2$ such that

$$|\Sigma| < (2r - 1)r,$$

and at most $(2r - 1)k$ points in Σ lie on a curve of degree k . Then

$$|\Sigma| < (2r - 1)(r - \epsilon)$$

for some integer $\epsilon \geq 0$. Let us prove the following result.

Proposition 17. *The equality $h^1(\mathcal{I}_\Sigma \otimes \mathcal{O}_{\mathbb{P}^3}(3r - 4 - \epsilon)) = 0$ holds.*

Fix a point $P \in \Sigma$. To prove Proposition 17, it is enough to construct a surface² of degree $3r - 4 - \epsilon$ that contains $\Sigma \setminus P$ and does not contain P .

We assume that $r \geq 3$ and $\epsilon \leq r - 3$, because the assertion of Proposition 17 follows from Theorem 2 in [9] and Theorem 15 otherwise.

Lemma 18. *Suppose that there is a hyperplane $\Pi \subset \mathbb{P}^3$ that contains the set Σ . Then there is a surface of degree $3r - 4 - \epsilon$ that contains every point of the set $\Sigma \setminus P$ and does not contain the point P .*

Proof. Suppose that $|\Sigma \setminus P| > \lfloor (3r - 1 - \epsilon)/2 \rfloor^2$. Then

$$(2r - 1)(r - \epsilon) - 2 \geq |\Sigma \setminus P| \geq \left\lfloor \frac{3r - 1 - \epsilon}{2} \right\rfloor^2 + 1 \geq \frac{(3r - 2 - \epsilon)^4}{4} + 1,$$

which implies that $(r - 4)^2 + 2\epsilon r + \epsilon^2 \leq 0$. We have $r = 4$ and $\epsilon = 0$. Then

$$|\Sigma \setminus P| \leq \left\lfloor \frac{3r - 1 - \epsilon}{2} \right\rfloor \left(3r - 1 - \epsilon - \left\lfloor \frac{3r - 1 - \epsilon}{2} \right\rfloor \right).$$

Thus, in every possible case, the number $|\Sigma \setminus P|$ does not exceed

$$\max \left(\left\lfloor \frac{3r - 1 - \epsilon}{2} \right\rfloor \left(3r - 1 - \epsilon - \left\lfloor \frac{3r - 1 - \epsilon}{2} \right\rfloor \right), \left\lfloor \frac{3r - 1 - \epsilon}{2} \right\rfloor^2 \right).$$

At most $3r - 4 - \epsilon$ points of $\Sigma \setminus P$ lie on a line, because $3r - 4 - \epsilon \geq 2r - 1$.

Let us prove that at most $k(3r - 1 - \epsilon - k) - 2$ points in $\Sigma \setminus P$ can lie on a curve of degree $k \leq (3r - 1 - \epsilon)/2$. It is enough to show that

$$k(3r - 1 - \epsilon - k) - 2 \geq k(2r - 1)$$

for all $k \leq (3r - 1 - \epsilon)/2$. We must prove this only for $k > 1$ such that

$$k(3r - 1 - \epsilon - k) - 2 < |\Sigma \setminus P| \leq (2r - 1)(r - \epsilon) - 2,$$

because otherwise the condition that at most $k(3r - 1 - k) - 2$ points in the set $\Sigma \setminus P$ can lie on a curve of degree k is vacuous.

We may assume that $k < r - \epsilon$. But

$$k(3r - 1 - \epsilon - k) - 2 \geq k(2r - 1) \iff r > k - \epsilon,$$

which immediately implies that at most $k(3r - 1 - \epsilon - k) - 2$ points in the set $\Sigma \setminus P$ can lie on a curve of degree k .

²For simplicity we consider homogeneous forms on \mathbb{P}^n as hypersurfaces.

It follows from Theorem 15 that there is a curve

$$C \subset \Pi \cong \mathbb{P}^2$$

of degree $3r - 4 - \epsilon$ that contains $\Sigma \setminus P$ and does not contain $P \in \Sigma$.

A general cone in \mathbb{P}^3 over the curve C is the required surface. q.e.d.

Fix a general hyperplane $\Pi \subset \mathbb{P}^3$. Let $\psi: \mathbb{P}^3 \dashrightarrow \Pi$ be a projection from a sufficiently general point $O \in \mathbb{P}^3$. Put $\Sigma' = \psi(\Sigma)$ and $P' = \psi(P)$.

Lemma 19. *Suppose that at most $(2r - 1)k$ points in Σ' lie on a curve of degree k . Then there is a surface in \mathbb{P}^3 of degree $3r - 4 - \epsilon$ that contains all points of the set $\Sigma \setminus P$ but does not contain the point $P \in \Sigma$.*

Proof. Arguing as in the proof of Lemma 18, we obtain a curve

$$C \subset \Pi \cong \mathbb{P}^2$$

of degree $3r - 4 - \epsilon$ that contains $\Sigma' \setminus P'$ and does not pass through P' .

Let Y be the cone in \mathbb{P}^3 over C whose vertex is O . Then Y is a surface of degree $3r - 4 - \epsilon$ that contains all points of the set $\Sigma \setminus P$ but does not contain the point $P \in \Sigma$. q.e.d.

To conclude the proof of Proposition 14, we may assume that there is a natural number k such that at least $(2r - 1)k + 1$ points of Σ' lie on a curve of degree k , where k is the smallest number of such property.

Lemma 20. *The inequality $k \geq 3$ holds.*

Proof. The inequality $k \geq 2$ holds by Lemma 16, which implies $r \geq 3$. Suppose that there is a subset $\Phi \subseteq \Sigma$ such that

$$|\Phi| > 2(2r - 1),$$

but $\psi(\Phi)$ is contained in a conic $C \subset \Pi$. Then C is irreducible.

Let \mathcal{D} be a linear system of quadrics in \mathbb{P}^3 containing Φ . Then

$$\dim(\text{Bs}(\mathcal{D})) = 0$$

by Lemma 16. Let W be a cone in \mathbb{P}^3 over C with the vertex Ω . Then

$$8 = D_1 \cdot D_2 \cdot W \geq \sum_{\omega \in \Phi} \text{mult}_\omega(D_1) \text{mult}_\omega(D_2) \geq |\Phi| > 2(2r - 1) \geq 8,$$

where D_1 and D_2 are general divisors in \mathcal{D} . q.e.d.

Therefore, there is a subset $\Lambda_k^1 \subseteq \Sigma$ such that

$$|\Lambda_k^1| > (2r - 1)k,$$

but the subset $\psi(\Lambda_k^1) \subset \Pi \cong \mathbb{P}^2$ is contained in an irreducible curve of degree $k \geq 3$. Similarly, we obtain a disjoint union

$$\bigcup_{j=k}^l \bigcup_{i=1}^{c_j} \Lambda_j^i,$$

where Λ_j^i is a subset in Σ such that

$$|\Lambda_j^i| > (2r - 1)j,$$

the subset $\psi(\Lambda_j^i)$ is contained in an irreducible reduced curve of degree j , and at most $(2r - 1)\zeta$ points of the subset

$$\psi\left(\Sigma \setminus \left(\bigcup_{j=k}^l \bigcup_{i=1}^{c_j} \Lambda_j^i\right)\right) \subsetneq \Sigma' \subset \Pi \cong \mathbb{P}^2$$

lie on a curve in Π of degree ζ . Put $\Lambda = \bigcup_{j=k}^l \bigcup_{i=1}^{c_j} \Lambda_j^i$.

Let Ξ_j^i be the base locus of the linear system of surfaces of degree j that pass through the set Λ_j^i . Then Ξ_j^i is a finite set by Lemma 16, and

$$(21) \quad |\Sigma \setminus \Lambda| < (2r - 1)(r - \epsilon) - 1 - \sum_{i=k}^l c_i(2r - 1)i.$$

Corollary 22. *The inequality $\sum_{i=k}^l ic_i \leq r - \epsilon - 1$ holds.*

We have $\Lambda_j^i \subseteq \Xi_j^i$. But the set Ξ_j^i imposes independent linear conditions on homogeneous forms of degree $3(j - 1)$ by the following result.

Lemma 23. *Let \mathcal{M} be a linear subsystem in $|\mathcal{O}_{\mathbb{P}^n}(\lambda)|$ such that*

$$\dim(\text{Bs}(\mathcal{M})) = 0,$$

where $\lambda \geq 2$. Then the points in $\text{Bs}(\mathcal{M})$ impose independent linear conditions on homogeneous forms on \mathbb{P}^n of degree $n(\lambda - 1)$.

Proof. See Lemma 22 in [2] or Theorem 3 in [6]. q.e.d.

Put $\Xi = \bigcup_{j=k}^l \bigcup_{i=1}^{c_j} \Xi_j^i$. Then $\Lambda \subseteq \Xi$.

Lemma 24. *Suppose that Σ is contained in Ξ . Then there is a surface of degree $3r - 4 - \epsilon$ that contains $\Sigma \setminus P$ and does not contain $P \in \Sigma$.*

Proof. For every Ξ_j^i containing P there is a surface of degree $3(j - 1)$ that contains the set $\Xi_j^i \setminus P$ and does not contain P by Lemma 23.

For every Ξ_j^i not containing P there is a surface of degree j that contains Ξ_j^i and does not contain P by the definition of the set Ξ_j^i .

We have $j < 3(j - 1)$, because $k \geq 2$. For every Ξ_j^i there is a surface

$$F_i^j \subset \mathbb{P}^3$$

of degree $3(j - 1)$ that contains the set $\Xi_j^i \setminus (\Xi_j^i \cap P)$ and does not contain the point P . The union $\bigcup_{j=k}^l \bigcup_{i=1}^{c_j} F_j^i$ is a surface of degree

$$\sum_{i=k}^l 3(i - 1)c_i \leq \sum_{i=k}^l 3ic_i - 3c_k \leq 3r - 6 - 3\epsilon \leq 3r - 4 - \epsilon$$

that contains the set $\Sigma \setminus P$ and does not contain the point P . q.e.d.

The proof of Lemma 24 implies that there is surface of degree

$$\sum_{i=k}^l 3(i-1)c_i$$

containing $(\Xi \cap \Sigma) \setminus (\Xi \cap P)$ and not containing P , and a surface of degree

$$\sum_{i=k}^l ic_i$$

containing $\Xi \cap \Sigma$ and not containing any point of the set $\Sigma \setminus (\Xi \cap \Sigma)$.

Lemma 25. *Let Λ and Δ be disjoint finite subsets in \mathbb{P}^n such that*

- *there is a hypersurface in \mathbb{P}^n of degree ζ that contains all points in the set Λ and does not contain any point in the set Δ ,*
- *the points of the sets Λ and Δ impose independent linear conditions on hypersurfaces in \mathbb{P}^n of degree ξ and $\xi - \zeta$, respectively,*

where $\xi \geq \zeta$ are natural numbers. Then the points of the set $\Lambda \cup \Delta$ impose independent linear conditions on hypersurfaces in \mathbb{P}^n of degree ξ .

Proof. Let Q be a point in $\Lambda \cup \Delta$. To conclude the proof we must find a hypersurface of degree ξ that passes through the set $(\Lambda \cup \Delta) \setminus Q$ and does not contain the point Q . We may assume that $Q \in \Lambda$.

Let F be the homogenous form of degree ξ that vanishes at every point of the set $\Lambda \setminus Q$ and does not vanish at the point Q . Put

$$\Delta = \{Q_1, \dots, Q_\delta\},$$

where Q_i is a point. There is a homogeneous form G_i of degree ξ that vanishes at every point in $(\Lambda \cup \Delta) \setminus Q_i$ and does not vanish at Q_i . Then

$$F(Q_i) + \mu_i G_i(Q_i) = 0$$

for some $\mu_i \in \mathbb{C}$, because $G_i(Q_i) \neq 0$. Then the homogenous form

$$F + \sum_{i=1}^{\delta} \mu_i G_i$$

vanishes on set $(\Lambda \cup \Delta) \setminus Q$ and does not vanish at the point Q . q.e.d.

Put $d = 3r - 4 - \epsilon - \sum_{i=k}^l ic_i$ and

$$\bar{\Sigma} = \psi\left(\Sigma \setminus (\Xi \cap \Sigma)\right).$$

To prove Proposition 17, we may assume that $\emptyset \neq \bar{\Sigma} \subsetneq \Sigma'$.

It follows from Lemma 25 that to prove Proposition 17 it is enough to show that $\bar{\Sigma} \subset \Pi$ and d satisfy the hypotheses of Theorem 15.

Lemma 26. *The inequality $|\bar{\Sigma}| \leq \lfloor (d+3)/2 \rfloor^2$ holds.*

Proof. Suppose that the inequality $|\bar{\Sigma}| \geq \lfloor (d+3)/2 \rfloor^2 + 1$ holds. Then

$$(2r - 1) \left(r - \epsilon - \sum_{i=k}^l c_i i \right) - 2 \geq |\bar{\Sigma}| \geq \frac{\left(3r - 2 - \epsilon - \sum_{i=k}^l i c_i \right)^2}{4} + 1$$

by Corollary 22. Put $\Delta = \epsilon + \sum_{i=k}^l c_i i$. Then $\Delta \geq k \geq 3$ and

$$4(2r - 1)(r - \Delta) - 12 \geq (3r - 2 - \Delta)^2,$$

which implies that $0 < r^2 - 8r + 16 + 2r\Delta + \Delta^2 \leq 0$. q.e.d.

The inequality $d \geq 3$ holds by Corollary 22, because $r \geq 3$.

Lemma 27. *Suppose that at least $d + 1$ points in the set $\bar{\Sigma}$ are contained in a line. Then there is a surface in \mathbb{P}^3 of degree $3r - 4 - \epsilon$ that contains all points of the set $\Sigma \setminus P$ and does not contains the point $P \in \Sigma$.*

Proof. We have $|\bar{\Sigma}| \geq d + 1$. It follows from inequality 21 that

$$3r - 3 - \epsilon - \sum_{i=k}^l i c_i < (2r - 1)(r - \epsilon) - 1 - \sum_{i=k}^l c_i (2r - 1)i,$$

which gives $\sum_{i=k}^l i c_i \neq r - \epsilon - 1$. Now it follows from Corollary 22 that

$$\sum_{i=k}^l i c_i \leq r - \epsilon - 2,$$

but $2r - 1 \geq 3r - 3 - \epsilon - \sum_{i=k}^l i c_i$. Then $\sum_{i=k}^l i c_i = r - \epsilon - 2$ and $d = 2r - 2$.

We have a surface of degree $\sum_{i=k}^l 3(i - 1)c_i \leq 3r - 4 - \epsilon$ that contains

$$\left(\Xi \cap \Sigma \right) \setminus \left(\Xi \cap P \right)$$

and does not contain P . But we have a surface of degree $r - \epsilon - 2$ that contains $\Xi \cap \Sigma$ and does not contain any point of the set $\Sigma \setminus (\Xi \cap \Sigma)$.

The set $\Sigma \setminus (\Xi \cap \Sigma)$ contains at most $4r - 4$ points, at most $2r - 1$ points of the set Σ lie on a line. It follows from Theorem 2 in [9] that the set

$$\Sigma \setminus \left(\Xi \cap \Sigma \right)$$

imposes independent linear conditions on homogeneous forms on \mathbb{P}^3 of degree $2r - 2$. Applying Lemma 25, we complete the proof. q.e.d.

So, we may assume that at most d points in $\bar{\Sigma}$ lie on a line.

Lemma 28. *For every $t \leq (d + 3)/2$, at most*

$$t(d + 3 - t) - 2$$

points in $\bar{\Sigma}$ lie on a curve of degree t in $\Pi \cong \mathbb{P}^2$.

Proof. At most $(2r - 1)t$ of the points in $\bar{\Sigma}$ lie on a curve of degree t , which implies that to conclude the proof it is enough to show that

$$t(d + 3 - t) - 2 \geq (2r - 1)t$$

for every $t \leq (d + 3)/2$ such that $t > 1$ and $t(d + 3 - t) - 2 < |\bar{\Sigma}|$. But

$$t(d + 3 - t) - 2 \geq t(2r - 1) \iff r - \epsilon - \sum_{i=k}^l ic_i > t,$$

because $t > 1$. Thus, we may assume that $t(d + 3 - t) - 2 < |\bar{\Sigma}|$ and

$$r - \epsilon - \sum_{i=k}^l ic_i \leq t \leq \frac{d + 3}{2}.$$

Let $g(x) = x(d + 3 - x) - 2$. Then

$$g(t) \geq g\left(r - \epsilon - \sum_{i=k}^l ic_i\right),$$

because $g(x)$ is increasing for $x < (d + 3)/2$. Therefore, we have

$$(2r - 1)\left(r - \epsilon - \sum_{i=k}^l ic_i\right) - 2 \geq |\bar{\Sigma}| > g(t) \geq \left(r - \epsilon - \sum_{i=k}^l ic_i\right)(2r - 1) - 2,$$

because inequality 21 holds.

q.e.d.

We can apply Theorem 15 to the blow up of the plane Π at the points of the set $\bar{\Sigma}$ and to the integer d . Then applying Lemma 25, we obtain a surface in \mathbb{P}^3 of degree $3r - 4 - \epsilon$ containing $\Sigma \setminus P$ and not containing P .

The assertion of Proposition 17 is completely proved, which implies the assertion of Proposition 14. The proof of Theorem 1 is similar.

3. Auxiliary result

Now we prove Theorem 6. Let $\pi: X \rightarrow \mathbb{P}^3$ be a double cover branched over a surface S of degree $2r \geq 4$ with isolated ordinary double points.

Lemma 29. *Let F be a hypersurface in \mathbb{P}^n of degree d that has isolated singularities, and let C be a curve in \mathbb{P}^n of degree k . Then*

- *the inequality $|\text{Supp}(C) \cap \text{Sing}(F)| \leq k(d - 1)$ holds,*
- *the equality $|\text{Supp}(C) \cap \text{Sing}(F)| = k(d - 1)$ implies that*

$$\text{Sing}(C) \cap \text{Sing}(F) = \emptyset.$$

Proof. Let $f(x_0, \dots, x_n)$ be the homogeneous form of degree d such that $f(x_0, \dots, x_n) = 0$ defines $F \subset \mathbb{P}^n$, where $(x_0 : \dots : x_n)$ are homogeneous coordinates on \mathbb{P}^n . Put

$$\mathcal{D} = \left| \sum_{i=0}^n \lambda_i \frac{\partial f}{\partial x_i} = 0 \right| \subset |\mathcal{O}_{\mathbb{P}^n}(d - 1)|,$$

where $\lambda_0, \dots, \lambda_n$ are complex numbers. Then

$$\text{Bs}(\mathcal{D}) = \text{Sing}(F),$$

which implies that the curve C intersects a generic member of the linear system \mathcal{D} at most $(d - 1)k$ times, which implies the assertion. q.e.d.

Lemma 30. *Let $\Pi \subset \mathbb{P}^3$ be a hyperplane, and let $C \subset \Pi$ be a reduced curve of degree r . Suppose that the equality*

$$\text{Supp}(C) \cap \text{Sing}(S) = (2r - 1)r$$

holds. Then S can be defined by equation 5.

Proof. Put

$$S|_{\Pi} = \sum_{i=1}^{\alpha} m_i C_i,$$

where C_i is an irreducible reduced curve, and m_i is a natural number.

We assume that $C_i \neq C_j$ for $i \neq j$, and $C = \sum_{i=1}^{\beta} C_i$, where $\beta \leq \alpha$.

It follows from Lemma 29 and from the equalities

$$(31) \quad \sum_{i=1}^{\beta} \deg(C_i) = r = \frac{\sum_{i=1}^{\alpha} m_i \deg(C_i)}{2}$$

that $C_i \cap \text{Sing}(S) = (2r - 1)\deg(C_i)$ if $i \leq \beta$, and

$$\text{Sing}(C) \cap \text{Sing}(S) = \emptyset.$$

Suppose that $m_{\gamma} = 1$ for some $\gamma \leq \beta$. Then

$$C_{\gamma} \cap \text{Sing}(S) = (2r - 1)\deg(C_{\gamma}),$$

but the curve $S|_{\Pi} = \sum_{i=1}^{\alpha} m_i C_i$ must be singular at every singular point of the surface S that is contained in C_{γ} . Thus, we have

$$\text{Sing}(S) \cap \text{Supp}(C_{\gamma}) \subseteq \bigcup_{i \neq \gamma} C_i \cap C_{\gamma},$$

but $|C_i \cap C_{\gamma}| \leq (C_i \cdot C_{\gamma})_{\Pi} = \deg(C_i)\deg(C_{\gamma})$ for $i \neq \gamma$. Hence, we have

$$\sum_{i \neq \gamma} \deg(C_i)\deg(C_{\gamma}) \geq (2r - 1)\deg(C_{\gamma}),$$

but on the plane Π we have the equalities

$$(2r - \deg(C_{\gamma}))\deg(C_{\gamma}) = (S|_{\Pi} - C_{\gamma}) \cdot C_{\gamma} = \sum_{i \neq \gamma} m_i \deg(C_i)\deg(C_{\gamma}),$$

which implies that $\deg(C_{\gamma}) = 1$ and $m_i = 1$ for every i .

Now, equalities 31 imply that $\beta < \alpha$, but every singular point of the surface S that is contained in the curve C must lie in the set

$$C \cap \bigcup_{i=\beta+1}^{\alpha} C_i$$

that consists of at most r^2 points, which is a contradiction.

Thus, we see that $m_i \geq 2$ for every $i \leq \beta$. Therefore, it follows from the equalities 31 that $\alpha = \beta$ and $m_i = 2$ for every i .

Let $f(x, y, z, w)$ be the homogeneous form of degree $2r$ such that

$$f(x, y, z, w) = 0$$

defines the surface $S \subset \mathbb{P}^3$, where $(x : y : z : w)$ are homogeneous coordinates on \mathbb{P}^3 . We may assume that Π is given by $x = 0$. Then

$$f(0, y, z, w) = g_r^2(y, z, w),$$

where $g_r(y, z, w)$ is a form of degree r such that C is given by

$$x = g_r(y, z, w) = 0,$$

which implies that S can be defined by equation 5. q.e.d.

It follows from Lemma 29 that at most $(2r - 1)k$ singular points of the surface S can lie on a curve in \mathbb{P}^3 of degree k .

Lemma 32. *Let C be an irreducible reduced curve in \mathbb{P}^3 of degree k that is not contained in a hyperplane. Then*

$$|C \cap \text{Sing}(S)| \leq (2r - 1)k - 2.$$

Proof. Suppose that the curve C contains at least $(2r - 1)k - 1$ singular points of the surface S . Then $C \subset S$, because otherwise we have

$$2rk = \deg(C)\deg(S) \leq 2(2r - 1)k - 2 = 4rk - 2k - 2,$$

which leads to $2k(r - 1) \leq 2$. But $r \geq 2$ and $k \geq 3$.

Let O be a sufficiently general point of the curve C , and let

$$\psi: \mathbb{P}^3 \dashrightarrow \Pi$$

be a projection from O , where Π is a general plane in \mathbb{P}^3 . Then

$$\psi|_C: C \dashrightarrow \psi(C)$$

is a birational morphism, because C is not a plane curve.

Put $Z = \psi(C)$. Then Z has degree $k - 1$.

Let Y be a cone in \mathbb{P}^3 over Z with the vertex O . Then $C \subset Y$.

The point O is not contained in a hyperplane in \mathbb{P}^3 that is tangent to the surface S at some point of the curve C , because C is not contained in a hyperplane. Then Y does not tangent S along the curve C . Put

$$S|_Y = C + R,$$

where R is a curve of degree $2rk - k - 2r$. The generality in the choice of the point O implies that R does not contain rulings of the cone Y .

Let $\alpha : \bar{Z} \rightarrow Z$ be the normalization of Z . Then the diagram

$$\begin{array}{ccc} \bar{Y} & \xrightarrow{\beta} & Y \\ \pi \downarrow & & \downarrow \psi|_Y \\ \bar{Z} & \xrightarrow{\alpha} & Z \end{array}$$

commutes, where β is a birational morphism, the surface \bar{Y} is smooth, and π is a \mathbb{P}^1 -bundle. Let L be a general fiber of π , and E be a section of the \mathbb{P}^1 -bundle π such that $\beta(E) = O$. Then $E^2 = -k + 1$ on \bar{Y} .

Let Q be an arbitrary point of the set

$$\text{Sing}(S) \cap C,$$

and let \bar{C} and \bar{R} be proper transforms of the curves C and R on the surface \bar{Y} , respectively. Then there is a point $\bar{Q} \in \bar{Y}$ such that

$$\bar{Q} \in \text{Supp}(\bar{C} \cdot \bar{R})$$

and $\beta(\bar{Q}) = Q$. But we have

$$\bar{R} \equiv (2r - 2)E + (2rk - k - 2r)L$$

and $\bar{C} \equiv E + kL$. Therefore, we have

$$(2r - 1)k - 2 = \bar{C} \cdot \bar{R} \geq (2r - 1)k - 1,$$

which is a contradiction.

q.e.d.

Now we prove Theorem 6 by reductio ad absurdum, where we assume that $r \geq 4$, because the case $r = 3$ is done in [11].

Put $\Sigma = \text{Sing}(S)$, and suppose that the following conditions hold:

- the inequalities $|\Sigma| \leq (2r - 1)r + 1$ and $r \geq 3$ hold;
- the surface S can not be defined by equation 5;
- the threefold X is not factorial.

There is a point $P \in \Sigma$ such that every surface in \mathbb{P}^3 of degree $3r - 4$ that pass through the set $\Sigma \setminus P$ contains the point P as well.

Lemma 33. *Let Π be a hyperplane in \mathbb{P}^3 . Then $|\Pi \cap \Sigma| \leq 2r$.*

Proof. Suppose that the inequality $|\Pi \cap \Sigma| > 2r$ holds. Let us show that this assumption leads to a contradiction.

Let Γ be the subset of the set Σ that consists of all points that are not contained in the plane Π . Then Γ contains at most

$$(2r - 1)(r - 1) - 1$$

points, which impose independent linear conditions on homogeneous forms of degree $3r - 5$ by Proposition 17.

Suppose that $P \notin \Pi$. There is a surface $F \subset \mathbb{P}^3$ of degree $3r - 5$ that contains the set $\Gamma \setminus P$ and does not contain the point P . Then

$$F \cup \Pi \subset \mathbb{P}^3$$

is the surface of degree $3r - 4$ that contains the set $\Sigma \setminus P$ and does not contain the point P , which is impossible. Therefore, we have $P \in \Pi$.

Arguing as in the proof of Lemma 29, we see that

$$|\Pi \cap \Sigma| \leq (2r - 1)r,$$

because $S|_{\Pi}$ is singular in every point of the set $\Pi \cap \Sigma$.

It follows from Lemma 30 that $\Pi \cap \Sigma$ is not contained in a curve of degree r if $|\Pi \cap \Sigma| = (2r - 1)r$. Arguing as in the proof of Lemma 18, we see that there is a surface of degree $3r - 4$ that contains the set

$$\left(\Pi \cap \Sigma\right) \setminus P$$

and does not contain P , which concludes the proof by Lemma 25. q.e.d.

The inequality $|\Sigma| \geq (2r - 1)r$ holds by Proposition 14.

Lemma 34. *Let $L_1 \neq L_2$ be lines in \mathbb{P}^3 . Then*

$$|(L_1 \cup L_2) \cap \Sigma| < 4r - 2.$$

Proof. Suppose that $|(L_1 \cup L_2) \cap \Sigma| \geq 4r - 2$. Then

$$|L_1 \cap \Sigma| = |L_2 \cap \Sigma| = 2r - 1$$

by Lemma 29. Then $L_1 \cap L_2 = \emptyset$ by Lemma 33.

Fix two points Q_1 and Q_2 in the set

$$\Sigma \setminus \left((L_1 \cup L_2) \cap \Sigma\right)$$

different from P such that $Q_1 \neq Q_2$. Let Π_i be a hyperplane in \mathbb{P}^3 that contains L_i and Q_i . Then $|\Pi_i \cap \Sigma| = 2r$ by Lemma 33.

Suppose that $P \notin \Pi_1 \cup \Pi_2$. There is a surface $F \subset \mathbb{P}^3$ of degree $3r - 6$ that does not contain the point P and contains all points of the set

$$\left(\Sigma \setminus \left(\Sigma \cap (\Pi_1 \cup \Pi_2)\right)\right) \setminus P$$

by Proposition 17. Hence, the union

$$F \cup \Pi_1 \cup \Pi_2$$

is a surface in \mathbb{P}^3 of degree $3r - 4$ that contains $\Sigma \setminus P$ and does not contain P , which is impossible. Therefore, we have $P \in \Pi_1 \cup \Pi_2$.

The set $\Sigma \cap (\Pi_1 \cup \Pi_2)$ consists of $4r$ points by Lemma 33. The points in

$$\Sigma \cap \left(\Pi_1 \cup \Pi_2\right)$$

impose independent linear conditions on homogeneous forms \mathbb{P}^3 of degree $3r - 4$ by Theorem 2 in [9]. On the other hand, the inequality

$$\left| \Sigma \setminus \left(\Sigma \cap (\Pi_1 \cup \Pi_2) \right) \right| < (2r - 1)(r - 2)$$

holds. Then the points in $\Sigma \setminus (\Sigma \cap (\Pi_1 \cup \Pi_2))$ impose independent linear conditions homogeneous forms of degree $3r - 6$ by Proposition 17, which leads to a contradiction by applying Lemma 25. q.e.d.

Lemma 35. *Let C be a curve in \mathbb{P}^3 of degree $k \geq 2$. Then*

$$|C \cap \Sigma| < (2r - 1)k.$$

Proof. Suppose that $|C \cap \Sigma| \geq (2r - 1)k$. Then

$$|C \cap \Sigma| = (2r - 1)k$$

by Lemma 29, and C is not contained in a hyperplane by Lemma 33.

The curve C must be reducible by Lemma 32. Put

$$C = \sum_{i=1}^{\alpha} C_i,$$

where $\alpha \geq 2$ and C_i is an irreducible curve. Then

$$k = \sum_{i=1}^{\alpha} d_i,$$

where $d_i = \deg(C_i)$. Then $|C_i \cap \Sigma| = (2r - 1)d_i$ by Lemma 29.

The curve C_i is contained in a hyperplane in \mathbb{P}^3 by Lemma 32. Then

$$d_1 = d_2 = \dots = d_{\alpha} = 1$$

and $\alpha = k \neq 1$ by Lemma 33, which contradicts Lemma 34. q.e.d.

Lemma 36. *Let L be a line in \mathbb{P}^3 . Then $|L \cap \Sigma| \leq 2r - 2$.*

Proof. Suppose that the inequality $|L \cap \Sigma| \geq 2r - 1$ holds. Then

$$|L \cap \Sigma| = 2r - 1$$

by Lemma 29. Let Φ be a hyperplane in \mathbb{P}^3 such that Φ passes through the line L , and Φ contains a point of the set $\Sigma \setminus (L \cap \Sigma)$. Then

$$|\Phi \cap \Sigma| = 2r$$

by Lemma 33. Put $\Delta = \Sigma \setminus (\Phi \cap \Sigma)$. Then $|\Delta| \leq (2r - 1)(r - 1)$.

The points in Δ impose dependent linear conditions on homogeneous forms of degree $3r - 5$, because otherwise the points in Σ impose independent linear conditions on forms of degree $3r - 4$ by Lemma 25.

Therefore, we see that there is a point $Q \in \Delta$ such that every surface of degree $3r - 5$ containing $\Delta \setminus Q$ must pass through Q . Then

$$|\Delta| = (2r - 1)(r - 1)$$

and $|\Sigma| = (2r - 1)r + 1$ by Proposition 17.

Fix sufficiently general hyperplane $\Pi \subset \mathbb{P}^3$ and a point $O \in \mathbb{P}^3$. Let

$$\psi: \mathbb{P}^3 \dashrightarrow \Pi$$

be a projection from O . Put $\Delta' = \psi(\Delta)$ and $Q' = \psi(Q)$.

At most $2r - 2$ points in Δ' lie on a line by Lemmas 16 and 34.

Suppose that at most $(2r - 1)k$ points in the set Δ' lie on any curve of degree k for every k , and there is a curve $Z \subset \Pi$ of degree $r - 1$ that contains the whole set Δ' . Then

$$h^1(\mathcal{I}_\Delta \otimes \mathcal{O}_{\mathbb{P}^3}(3r - 5)) = 0$$

by Lemmas 16, 23 and 35 in the case when Z is irreducible. So, we have

$$Z = \sum_{i=1}^{\alpha} Z_i,$$

where $\alpha \geq 2$, and Z_i is an irreducible curve of degree d_i . Then

$$|Z_i \cap \Delta'| = (2r - 1)d_i,$$

because $r = \sum_{i=1}^{\alpha} d_i$. Then every point of the set Δ' is contained in one irreducible component of the curve Z . We have $d_i \neq 1$ for every i .

Let Z_β be the unique component of the curve Z such that $Q' \in Z_\beta$, and let $\Gamma \subset \Delta$ be a subset such that

$$\psi(\Gamma) = \Delta' \cap Z_\beta \subset \Pi \cong \mathbb{P}^2,$$

which implies that $Q \in \Gamma$. There is a surface $F_\beta \subset \mathbb{P}^3$ of degree $3(d_\beta - 1)$ that contains $\Gamma \setminus Q$ and does not contain Q by Lemmas 16, 23 and 35.

Let Y_i be a cone over Z_i whose vertex is the point O . Then

$$F_\beta \cup \bigcup_{i \neq \beta} Y_i$$

is a surface of degree $3d_i - 3 + \sum_{i \neq \beta} d_i = 2d_i + r - 4$ containing $\Delta \setminus Q$ and not containing Q , which is impossible, because $2d_i + r - 4 \leq 3r - 5$.

Hence, we proved that

- either at least $(2r - 1)k + 1$ points in Δ' lie on a curve of degree k ;
- or there is no curve of degree $r - 1$ that contains the set Δ' .

Suppose that at most $(2r - 1)k$ points of the set Δ' lie on every curve of degree k for every natural k . Then it follows from Theorem 15 that there is a curve in Π of degree $3r - 5$ that contains $\Delta' \setminus Q'$ and does not contain the point Q' , which is a contradiction.

So, at least $(2r - 1)k + 1$ points in Δ' lie on some curve in Π of degree k , where $k \geq 3$ by Lemma 20. Thus, the proof of Proposition 17 implies the existence of a subset $\Xi \subseteq \Delta$ such that

- at most $(2r - 1)k$ points in $\psi(\Delta \setminus \Xi)$ lie on a curve of degree k ,
- there is a surface in \mathbb{P}^3 of degree $\mu \leq r - 2$ that contains all points of the set Ξ and does not contain any point of the set $\Delta \setminus \Xi$,

- the inequality $|\Delta \setminus \Xi| \leq (2r - 1)(r - 1 - \mu) - 1$ holds and

$$h^1(\mathcal{I}_{\Xi} \otimes \mathcal{O}_{\mathbb{P}^3}(3r - 5)) = 0.$$

Put $\bar{\Delta} = \psi(\Delta \setminus \Xi)$ and $d = 3r - 5 - \mu$. The points of $\bar{\Delta}$ impose dependent linear conditions on homogeneous forms of degree d by Lemma 25, which implies that there is a point $\bar{Q} \in \bar{\Delta}$ such that $\bar{\Delta} \setminus \bar{Q}$ and d do not satisfy one of the hypotheses of Theorem 15.

We have $d \geq 3$, because $r \geq 4$. The proof of Lemma 26 gives

$$|\bar{\Delta} \setminus \bar{Q}| \leq \left\lfloor \frac{d+3}{2} \right\rfloor^2,$$

which implies that at least $t(d+3-t) - 1$ points of the finite set $\bar{\Delta} \setminus \bar{Q}$ lie on a curve of degree t for some natural number t such that $t \leq (d+3)/2$.

Suppose that $t = 1$. Then at least $d + 1$ points of $\bar{\Delta}$ lie on a line, but at most $2r - 2$ points of Δ' lie on a line by Lemmas 16 and 34, which implies that $d = 2r - 3$ and $|\bar{\Delta}| = 2r - 2$. Then the points in $\bar{\Delta}$ impose dependent linear conditions on homogeneous forms of degree d , which is impossible. Therefore, we see that $t \geq 2$.

At least $t(d+3-t) - 1$ points in $\bar{\Delta} \setminus \bar{Q}$ lie on a curve of degree t . Then

$$t(d+3-t) - 1 \leq |\bar{\Delta} \setminus \bar{Q}| \leq (2r - 1)(r - 1) - 2 - \mu(2r - 1)i,$$

but $t(d+3-t) - 1 \leq (2r - 1)t$, because at most $(2r - 1)t$ points in $\bar{\Delta}$ lie on a curve of degree t . Hence, we have $t \geq r - 1 - \mu$, which gives

$$(2r - 1)(r - 1 - \mu) - 2 \geq |\bar{\Delta} \setminus \bar{Q}| \geq t(d+3-t) - 1 \geq (r - 1 - \mu)(2r - 1) - 1,$$

which is a contradiction.

q.e.d.

Corollary 37. *Let C be any curve in \mathbb{P}^3 of degree k . Then*

$$|C \cap \Sigma| < (2r - 1)k.$$

Fix a hyperplane $\Pi \subset \mathbb{P}^3$ and a general point $O \in \mathbb{P}^3$. Let

$$\psi: \mathbb{P}^3 \dashrightarrow \Pi \subset \mathbb{P}^3$$

be a projection from O . Put $\Sigma' = \psi(\Sigma)$ and $P' = \psi(P)$.

Lemma 38. *Let C be an irreducible curve in Π of degree r . Then*

$$|C \cap \Sigma'| < (2r - 1)r.$$

Proof. Suppose that $|C \cap \Sigma'| \geq (2r - 1)r$. Let Ψ be a subset in Σ that contains all points mapped to the curve C by the projection ψ . Then

$$|\Psi| \geq (2r - 1)r,$$

but less than $(2r - 1)r$ points in Σ lie on a curve of degree r .

Let \mathcal{H} be a linear system of surfaces in \mathbb{P}^3 of degree r that pass through the set Ψ , and let Φ be the base locus of \mathcal{H} . Then

$$\dim(\Phi) = 0$$

is finite by Lemma 16. Put $\Upsilon = \Sigma \cap \Phi$. The points in Υ impose independent linear conditions on homogeneous forms of degree $3r - 3$ by Lemma 23.

Let Γ be a subset in Υ such that $\Upsilon \setminus \Gamma$ consists of $4r - 6$ points. Then

$$|\Gamma| \leq 2r^2 - 5r - 5 \leq \frac{(r+2)(r+1)r}{6} - 1,$$

because $r \geq 4$. Therefore, there is a surface $F \subset \mathbb{P}^3$ of degree $r - 1$ that contains all points of the set Γ .

Let Θ be a subset of the set Υ such that Θ consists of all points that are contained in the surface F . Then Θ imposes independent linear conditions on homogeneous forms of degree $3r - 4$ by Theorem 3 in [6].

Put $\Delta = \Upsilon \setminus \Theta$. Using Theorem 2 in [9], we easily see that the points of the set Δ impose independent linear conditions on homogeneous forms of degree $2r - 3$ by Lemmas 33 and 36. Then

$$h^1(\mathcal{I}_\Upsilon \otimes \mathcal{O}_{\mathbb{P}^3}(3r - 4)) = 0$$

by Lemma 25, which also follows from Theorem 3 in [6].

We have $|\Sigma \setminus \Upsilon| \leq 1$. Thus, the points in Σ impose independent linear conditions on homogeneous forms of degree $3r - 4$ by Lemma 25. q.e.d.

Lemma 39. *There is a curve $Z \subset \Pi$ of degree k such that*

$$|Z \cap \Sigma'| \geq (2r - 1)k + 1.$$

Proof. Suppose that at most $(2r - 1)k$ points of the set Σ' lie on a curve of degree k for every integer $k \geq 1$. Let us derive a contradiction.

The finite subset $\Sigma' \setminus P' \subset \Pi$ and the natural number $3r - 4$ do not satisfy at least one of the hypotheses of Theorem 15. But

$$|\Sigma' \setminus P'| \leq \max \left(\left\lfloor \frac{3r-1}{2} \right\rfloor \left(3r-1 - \left\lfloor \frac{3r-1}{2} \right\rfloor \right), \left\lfloor \frac{3r-1}{2} \right\rfloor^2 \right),$$

and at most $2r - 1 \leq 3r - 4$ points in $\Sigma' \setminus P'$ lie on a line by Lemma 16.

We see that at least

$$k(3r - 1 - k) - 1$$

points in $\Sigma' \setminus P'$ lie on a curve of degree k such that $2 \leq k \leq (3r - 1)/2$, which implies that $k = r$, because at most $k(2r - 1)$ points in Σ' lie on a curve of degree k , and $|\Sigma' \setminus P'| \leq (2r - 1)r$.

Thus, there is a curve $C \subset \Pi$ of degree r such that

$$|\text{Supp}(C) \cap (\Sigma' \setminus P')| \geq (2r - 1)r - 1,$$

which implies that $P' \in C$, because otherwise there is a curve in Π of degree $3r - 4$ that contains $\Sigma' \setminus P'$ and does not contain P' . Then

$$|\text{Supp}(C) \cap \Sigma'| \geq (2r - 1)r,$$

which implies that C is reducible by Lemma 38. Put

$$C = \sum_{i=1}^{\alpha} C_i,$$

where C_i is an irreducible curve of degree $d_i \geq 1$ and $\alpha \geq 2$. Then

$$(2r - 1)r \leq |C \cap \Sigma'| \leq \sum_{i=1}^{\alpha} |C_i \cap \Sigma'| \leq \sum_{i=1}^{\alpha} (2r - 1)\text{deg}(C_i) = (2r - 1)r,$$

which implies that C_i contains $(2r - 1)d_i$ points of the set Σ , and every point of the set Σ is contained in at most one curve C_i .

Let C_v be the component of C that contains P' , and let Υ be a subset of the set Σ that contains all points of the set Σ that are mapped to the curve C_v by the projection ψ . Then

$$|\Upsilon| = (2r - 1)d_v,$$

but less than $(2r - 1)d_v$ points of the set Σ lie on a curve of degree d_v .

The points in Υ impose independent linear conditions on the homogeneous forms of degree $3(d_v - 1)$ by Lemmas 16 and 23.

There is a surface $F \subset \mathbb{P}^3$ of degree such that

$$\Upsilon \setminus P \subset F \not\subset P$$

and $\text{deg}(F) = 3(d_v - 1)$. Let Y_i be a cone in \mathbb{P}^3 over the curve C_i whose vertex is the point O . Then the surface

$$F \cup \bigcup_{i \neq v} Y_i \in |\mathcal{O}_{\mathbb{P}^3}(2d_v - 3 + r)|$$

contains the set $\Sigma \setminus P$ and does not contain the point P . But

$$2d_v - 3 + r \leq 3r - 4,$$

which is a contradiction.

q.e.d.

Arguing as in the proof of Theorem 1, we construct a disjoint union

$$\bigcup_{j=k}^l \bigcup_{i=1}^{c_j} \Lambda_j^i \subseteq \Sigma$$

such that $|\Lambda_j^i| > (2r - 1)j$, the subset $\psi(\Lambda_j^i)$ is contained in an irreducible curve of degree j , and at most $(2r - 1)t$ points of the subset

$$\psi\left(\Sigma \setminus \left(\bigcup_{j=k}^l \bigcup_{i=1}^{c_j} \Lambda_j^i\right)\right) \subsetneq \Sigma' \subset \Pi \cong \mathbb{P}^2$$

lie on a curve in Π of degree t . Then $r > k \geq 3$ by Lemmas 20 and 38.

Put $\Lambda = \cup_{j=k}^l \cup_{i=1}^{c_j} \Lambda_j^i$. Let Ξ_j^i be the base locus of the linear system of surfaces in \mathbb{P}^3 of degree j that pass through Λ_j^i . Then

$$(40) \quad |\Sigma \setminus \Lambda| \leq (2r-1)r+1 - \sum_{i=k}^l c_i \left((2r-1)i+1 \right) \leq (2r-1) \left(r - \sum_{i=k}^l ic_i \right),$$

which implies that $\sum_{i=k}^l ic_i \leq r$. The set Ξ_j^i is finite by Lemma 16.

Remark 41. We have $\sum_{i=k}^l ic_i \leq r - 1$, because the equality

$$\sum_{i=k}^l ic_i = r$$

and inequalities 40 imply that $k = l = r$, but $k < r$ by Lemma 38.

It follows from Lemma 23 that the points of Ξ_j^i impose independent linear conditions on homogeneous forms on \mathbb{P}^3 of degree $3(j - 1)$.

Put $\Xi = \cup_{j=k}^l \cup_{i=1}^{c_j} \Xi_j^i$. Then

$$(42) \quad |\Sigma \setminus (\Xi \cap \Sigma)| \leq (2r - 1)r - \sum_{i=k}^l c_i(2r - 1)i.$$

Therefore, we can find surfaces F and G in \mathbb{P}^3 of degree $\sum_{i=k}^l 3(i-1)c_i$ and $\sum_{i=k}^l ic_i$, respectively, such that

$$(\Xi \cap \Sigma) \setminus P \subset F \not\subset P,$$

the surface G contains the set $\Xi \cap \Sigma$, and the surface G does not contain any point in $\Sigma \setminus (\Xi \cap \Sigma)$. In particular, we have $\Sigma \not\subset \Xi$, because

$$\sum_{i=k}^l 3(i - 1)c_i \leq \sum_{i=k}^l 3ic_i - 3c_k \leq 3r - 6 < 3r - 4.$$

Put $\bar{\Sigma} = \psi(\Sigma \setminus (\Xi \cap \Sigma))$ and $d = 3r - 4 - \sum_{i=k}^l ic_i$.

It follows from Lemma 25 that there is a point $\bar{Q} \in \bar{\Sigma}$ such that every curve in Π of degree d that contains the set $\bar{\Sigma} \setminus \bar{Q}$ must pass through the point \bar{Q} as well. Therefore, we can not apply Theorem 15 to the points of the subset $\bar{\Sigma} \setminus \bar{Q} \subset \Pi$ and the natural number d .

The proof of Lemma 26 implies that the inequality

$$|\bar{\Sigma} \setminus \bar{Q}| \leq (2r - 1) \left(r - \sum_{i=k}^l c_i i \right) - 1 \leq \left\lfloor \frac{d + 3}{2} \right\rfloor^2$$

holds, but $d = 3r - 4 - \sum_{i=k}^l ic_i \geq 2r - 3 \geq 3$, because $\sum_{i=k}^l ic_i \leq r - 1$, which implies that at least $t(d + 3 - t) - 1$ points of the set $\bar{\Sigma} \setminus \bar{Q}$ lie on a curve in Π of degree $t \leq (d + 3)/2$.

Lemma 43. *The inequality $t \neq 1$ holds.*

Proof. Suppose that $t = 1$. Then at least $d + 1$ points in $\bar{\Sigma} \setminus \bar{Q}$ lie on a line, which implies that $d + 1 \leq 2r - 2$ by Lemmas 16 and 36.

The inequality $d + 1 \leq 2r - 2$ gives $\sum_{i=k}^l ic_i = r - 1$ and $d = 2r - 3$. It follows from inequality 42 that

$$|\Sigma \setminus (\Xi \cap \Sigma)| \leq 2r - 1,$$

which implies that the set $\Sigma \setminus (\Xi \cap \Sigma)$ imposes independent linear conditions on the homogeneous forms of degree $2r - 3$ by Theorem 2 in [9], which is impossible by Lemma 25. q.e.d.

There is a curve $C \subset \Pi$ of degree $t \geq 2$ that contains at least

$$t(d + 3 - t) - 1$$

points of the set $\bar{\Sigma} \setminus \bar{Q}$, which implies that

$$t(d + 3 - t) - 1 \leq |\bar{\Sigma} \setminus \bar{Q}|$$

and $t(d + 3 - t) - 1 \leq (2r - 1)t$. Therefore, we see that

$$t \geq r - \sum_{i=k}^l ic_i,$$

because $t \geq 2$. It follows from inequalities 40 that

$$\begin{aligned} (2r - 1) \left(r - \sum_{i=k}^l ic_i \right) - 1 &\geq |\bar{\Sigma} \setminus \bar{Q}| \geq t(d + 3 - t) - 1 \\ &\geq \left(r - \sum_{i=k}^l ic_i \right) (2r - 1) - 1, \end{aligned}$$

which implies that $t = r - \sum_{i=k}^l ic_i$, the curve C contains $\bar{\Sigma} \setminus \bar{Q}$, and inequalities 40 are actually equalities. We have $\Sigma \cap \Xi = \Lambda$ and

$$\begin{aligned} |\Sigma \setminus \Lambda| &= (2r - 1)r + 1 - \sum_{i=k}^l c_i \left((2r - 1)i + 1 \right) \\ &= (2r - 1) \left(r - \sum_{i=k}^l ic_i \right), \end{aligned}$$

which implies that $l = k$, $c_k = 1$, $d = 3r - 4 - k$ and $\sum_{i=k}^l ic_i = k$.

Lemma 44. *The curve C contains the set $\bar{\Sigma}$.*

Proof. Suppose that $\bar{\Sigma} \not\subset C$. Then $\bar{Q} \not\subset C$, which implies that there is a curve in Π of degree $r - k$ that contains the set $\bar{\Sigma} \setminus \bar{Q}$ but does not contain the point \bar{Q} . The latter is impossible, because $d \geq r - k$. q.e.d.

We have $\deg(C) = r - k$ and $\psi(\Sigma \setminus \Lambda) \subset C$. The equality

$$|\psi(\Sigma \setminus \Lambda)| = (r - k)(2r - 1)$$

holds. But there is an irreducible curve $Z \subset \Pi$ of degree k that contains all points of the set $\psi(\Lambda)$, which consists of $k(2r - 1) + 1$. Then

$$|\Sigma| = |\Sigma \setminus \Lambda| + |\Lambda| = (r - k)(2r - 1) + k(2r - 1) + 1 = (2r - 1)r + 1.$$

Lemma 45. *The curve C is reducible.*

Proof. Suppose that C is irreducible. Then $\Sigma \setminus \Lambda$ imposes independent linear conditions on forms of degree $3(r - k - 1)$ by Lemmas 16, 23, and 35, but the points in Λ impose independent linear conditions on forms of degree $3(k - 1)$ by Lemmas 16 and 23. Then Σ imposes independent linear conditions on forms of degree $3r - 4$ by Lemma 25. q.e.d.

Put $C = \sum_{i=1}^{\alpha} C_i$, where C_i is an irreducible curve of degree d_i . Then

$$r - k = \sum_{i=1}^{\alpha} d_i,$$

the curve C_i contains $(2r - 1)d_i$ points of the set $\bar{\Sigma}$, and every point of the set $\bar{\Sigma}$ is contained in a single irreducible component of the curve C .

Lemma 46. *The curve Z contains the point P' .*

Proof. Suppose that $P' \notin Z$. Let C_v be a component of C such that

$$P' \in C_v,$$

and let Υ be a subset of the set Σ that contains all points that are mapped to the curve C_v by the projection ψ . Then $|\Upsilon| = (2r - 1)d_v$.

The set Υ imposes independent linear conditions on the homogeneous forms of degree $3(d_v - 1)$ by Lemmas 16, 23 and 35. There is a surface

$$F \subset \mathbb{P}^3$$

of degree $3(d_v - 1)$ that contains $\Upsilon \setminus P$ and does not contain P .

Let Y_i and Y be the cones in \mathbb{P}^3 over the curves C_i and Z , respectively, whose vertex is the point O . Then the union

$$F \cup Y \cup \bigcup_{i \neq v} Y_i$$

is a surface of degree $2d_v - 3 + r \leq 3r - 4$ that contains the set $\Sigma \setminus P$ and does not contain the point P , which is a contradiction. q.e.d.

The proof of Lemma 46 implies that the set $\Sigma \setminus \Lambda$ imposes independent linear conditions on homogeneous forms on \mathbb{P}^3 of degree $3r - 4 - k$, but we already know that the set Λ imposes independent linear conditions on homogeneous forms of degree $3(k - 1)$ by Lemmas 16 and 23.

Applying Lemma 25, we obtain a contradiction.

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