## DISCRETE VALUATION OVERRINGS OF A GRADED NOETHERIAN DOMAIN

GYU WHAN CHANG AND DONG YEOL OH

ABSTRACT. Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be an integral domain graded by an arbitrary torsionless grading monoid  $\Gamma$ , Ma homogeneous maximal ideal of R and  $S(H) = R \setminus \bigcup_{P \in \text{h-Spec}(R)} P$ . We show that R is a graded Noetherian domain with h-dim(R) = 1 if and only if  $R_{S(H)}$  is a onedimensional Noetherian domain. We then use this result to prove a graded Noetherian domain analogue of the Krull-Akizuki theorem. We prove that, if R is a gr-valuation ring, then  $R_M$  is a valuation domain,  $\dim(R_M) = \text{h-dim}(R)$  and  $R_M$  is a discrete valuation ring if and only if R is discrete as a gr-valuation ring. We also prove that, if  $\{P_i\}$  is a chain of homogeneous prime ideals of a graded Noetherian domain R, then there exists a discrete valuation overring of R which has a chain of prime ideals lying over  $\{P_i\}$ .

1. Introduction. Let D be an integral domain with quotient field K. An overring of D means a ring between D and K. As is standard,  $\dim(D)$  denotes the (Krull) dimension of D and  $\operatorname{ht}(P) = \dim(D_P)$  for all prime ideals P of D. We say that a valuation domain V is a *discrete valuation ring* (DVR) if each primary ideal of V is a power of its radical. It is known that V is discrete if and only if each branched prime ideal of V is not idempotent [8, Theorem 17.3]. (A prime ideal P is branched if there exists a P-primary ideal distinct from P.) Also, if  $\dim(V) < \infty$ , then V is discrete if and only if  $QV_Q$  is principal for each prime ideal Q of V. It is well known that, if  $(0) = P_0 \subsetneq P_1 \subsetneq \cdots \subsetneq P_n$  is a chain of prime ideals in D, then there exists a valuation overring of D which has a chain of prime ideals lying over  $(0) = P_0 \subsetneq P_1 \subsetneq \cdots \subsetneq P_n$  [8,

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Corollary 19.7]. Moreover, in [5, Theorem], Cahen, Houston and Lucas showed that, if D is a Noetherian domain and  $(0) = P_0 \subsetneq P_1 \subsetneq \cdots \subsetneq P_n$ is a chain of prime ideals in D, then there is a rank n discrete valuation overring of D whose prime ideals contract to  $\{P_i\}_{i=0}^n$ . Chang and Oh generalized this result to an integral domain A with the property that  $A_P$  is a Noetherian domain for each prime ideal P of A with  $ht(P) < \infty$ . Specifically, they showed that, if  $\{P_k\}$  is a chain of prime ideals of Asuch that  $ht(P_k) < \infty$  for each k, then there exists a discrete valuation overring of A which has a chain of prime ideals lying over  $\{P_k\}$  [6, Corollary 4]. The purpose of this paper is to study a graded Noetherian domain analogue of Cahen, Houston and Lucas's result [5, Theorem].

This paper consists of four sections, including the introduction. In Section 2, we review some basic notation and results on graded integral domains for the reading of this paper. Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be an integral domain graded by an arbitrary torsionless grading monoid  $\Gamma$ , and let  $S(H) = R \setminus \bigcup_{P \in h-\text{Spec}(R)} P.$ 

In Section 3, we show that R is a graded Noetherian domain with h-dim(R) = 1 if and only if  $R_{S(H)}$  is a one-dimensional Noetherian domain. In this case,  $Max(R_{S(H)}) = \{PR_{S(H)} \mid P \in h\text{-}\operatorname{Spec}(R) \text{ and } P \neq (0)\}$ . We use this result to introduce a graded Noetherian domain analogue of the Krull-Akizuki theorem.

Let V be a homogeneous graded valuation overring of R. Finally, in Section 4, we show that, if M is a homogeneous maximal ideal of V, then  $V_M$  is a valuation domain,  $\dim(V_M) = \text{h-}\dim(V)$ , and V is discrete as a graded valuation ring if and only if  $V_M$  is a DVR. We prove that, if  $\{P_{\lambda}\}$  is a chain of homogeneous prime ideals of R, then there exists a homogeneous graded valuation overring of R with a chain of homogeneous prime ideals that contract to  $\{P_{\lambda}\}$ .

Let  $(0) = P_0 \subsetneq P_1 \subsetneq \cdots \subsetneq P_n$  be a chain of homogeneous prime ideals of a graded Noetherian domain R, and let V be a homogeneous graded valuation overring of R with a chain  $\{Q_{\alpha}\}_{\alpha \in \Lambda}$  of (homogeneous) prime ideals such that  $\{Q_{\alpha} \cap R\}_{\alpha \in \Lambda} = \{P_i\}_{i=0}^n$ . We show in Theorem 4.5 that, if  $\{P_i\}$  is saturated, then  $\{Q_{\alpha}\}_{\alpha \in \Lambda} = \{(0) = Q_0 \subsetneq Q_1 \subsetneq \cdots \subsetneq Q_n\}$  and  $V_{H \setminus Q_n}$  is discrete as a gr-valuation ring with h-dim $(V_{H \setminus Q_n}) = n$ , where H is the set of nonzero homogeneous elements of R. As a corollary, in Corollary 4.6, we have that there exists a discrete valuation overring of R which has a chain of prime ideals lying over  $\{P_i\}$ . 2. Definitions related to graded integral domains. Let  $\Gamma$  be a nontrivial torsionless grading monoid, that is,  $\Gamma$  is a commutative cancellative monoid (written additively),  $\Gamma \neq (0)$ , and the quotient group

$$G := \{a - b \mid a, b \in \Gamma\}$$

of  $\Gamma$  is a torsion-free abelian group. It is well known that a cancellative monoid is torsionless if and only if it can be totally ordered [14, page 123]. By a ( $\Gamma$ )-graded integral domain

$$R = \bigoplus_{\alpha \in \Gamma} R_{\alpha},$$

we mean an integral domain graded by an arbitrary torsionless grading monoid  $\Gamma$ , that is, each nonzero  $x \in R_{\alpha}$  has degree  $\alpha$ , i.e., deg $(x) = \alpha$ , and thus each nonzero  $f \in R$  can be written uniquely as  $f = x_{\alpha_1} + \cdots + x_{\alpha_n}$ , where  $\alpha_i \in \Gamma$ ,  $x_{\alpha_i}$  is a nonzero homogeneous element with deg $(x_{\alpha_i}) = \alpha_i$ , and  $\alpha_1 < \cdots < \alpha_n$ . The most well-known example of a graded integral domain is the semigroup ring  $D[\Gamma] = \bigoplus_{\alpha \in \Gamma} DX^{\alpha}$  over an integral domain D with deg $(aX^{\alpha}) = \alpha$  for  $0 \neq a \in D$  and  $\alpha \in \Gamma$ . Clearly, if  $\Gamma$  is the monoid of nonnegative integers, then  $D[\Gamma] = D[X]$ is the polynomial ring over D.

Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be a  $\Gamma$ -graded integral domain, and let H be the set of nonzero homogeneous elements of R; thus, H is a saturated multiplicative subset of R. Let

$$(R_H)_{\alpha} = \left\{ \frac{a}{b} \mid a \in R_{\beta}, 0 \neq b \in R_{\gamma} \text{ and } \alpha = \beta - \gamma \right\}$$

for each  $\alpha \in G$ . Then,

$$R_H = \bigoplus_{\alpha \in G} (R_H)_{\alpha},$$

and hence,  $R_H$ , called the homogeneous quotient field of R, is a G-graded integral domain. Clearly,  $(R_H)_0$  is a field, and each nonzero homogeneous element of  $R_H$  is a unit.

Note that, if we let  $\operatorname{Supp}(\Gamma) = \{ \alpha \in \Gamma \mid R_{\alpha} \neq (0) \}$ , then  $R = \bigoplus_{\alpha \in \operatorname{Supp}(\Gamma)} R_{\alpha}$ , and  $\operatorname{Supp}(\Gamma)$  is a submonoid of  $\Gamma$  since R is an integral domain. Hence, throughout this paper, we assume that  $R_{\alpha} \neq (0)$  for all  $\alpha \in \Gamma$ . An ideal I of R is said to be *homogeneous* if I is generated by homogeneous elements in I; thus, I is homogeneous if and

only if  $I = \bigoplus_{\alpha \in \Gamma} (I \cap R_{\alpha})$ . A homogeneous prime ideal (respectively, homogeneous maximal ideal) means a homogeneous ideal that is a prime ideal (respectively, maximal among proper integral homogeneous ideals). Clearly, homogeneous prime ideals are prime, but homogeneous maximal ideals need not be maximal ideals. Let h-Spec(R) be the set of homogeneous prime ideals of R. The h-height of a homogeneous prime ideal P, denoted by h-ht(P), is defined to be the supremum of the lengths of chains of homogeneous prime ideals descending from P, and the h-dimension of R is defined by

$$h-\dim(R) = \sup\{h-\operatorname{ht}(P) \mid P \in h-\operatorname{Spec}(R)\}.$$

Clearly,  $h-ht(P) \le ht(P)$  and  $h-\dim(R) \le \dim(R)$ .

An overring T of  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  is called a homogeneous overring if  $R \subseteq T \subseteq R_H$  and  $T = \bigoplus_{\alpha \in G} (T \cap (R_H)_{\alpha})$ . Thus, T is a Ggraded integral domain. We call R a graded valuation ring (in short, gr-valuation ring) if, for every homogeneous element x of  $R_H$ , either  $x \in R$  or  $x^{-1} \in R$ . A homogeneous gr-valuation overring of R means a homogeneous overring of R which is a gr-valuation ring. Clearly, Ris a gr-valuation ring if and only if the homogeneous ideals of R are linearly ordered by set inclusion. In particular, a gr-valuation ring R is said to be *discrete* if each homogenous primary ideal of R is a power of its radical. By a minor change in the proof of the standard non-graded expression in [8, Theorem 17.3], we can show that a gr-valuation ring R is discrete if and only if each branched homogeneous prime ideal of Ris not idempotent. It is easy to see that a gr-valuation ring R is discrete if and only if  $PR_{H\setminus P}$  is principal for all branched homogeneous prime ideals P of R by using the fact that  $PR_{H\setminus P}$  is the homogenous maximal ideal. We say that R is a graded Noetherian domain if R satisfies the ascending chain condition (a.c.c.) on homogeneous ideals; equivalently, each homogeneous prime ideal of R is finitely generated [17, Lemma 2.3]. Obviously, a Noetherian domain is a graded Noetherian domain, while graded Noetherian domains need not be Noetherian. (It is known that the monoid ring  $A[\Gamma]$  over a commutative ring A with identity is a Noetherian ring, respectively, graded Noetherian ring, if and only if A is a Noetherian ring and  $\Gamma$ , respectively, each ideal of  $\Gamma$ , is finitely generated [7, Theorem 7.7], respectively, [17, Theorem 2.4]. Hence, if  $\mathbb{Q}$  is the additive group of rational numbers and D is a Noetherian domain, the group ring  $R = D[\mathbb{Q}]$  is a graded Noetherian domain but not a Noetherian domain.) Note that if Q is a homogeneous prime ideal of a graded Noetherian domain, then h-ht(Q) <  $\infty$  [15, Theorem 3.6].

For each nonzero fractional ideal I of an integral domain D with quotient field K, let

•  $I^{-1} = \{ x \in K \mid xI \subseteq D \},\$ 

• 
$$I_v = (I^{-1})^{-1}$$
,

and

•  $I_t = \bigcup \{J_v \mid J \text{ is a nonzero finitely generated subideal of } I\}.$ 

If  $I = I_v$  (respectively,  $I = I_t$ ), then I is called a *v*-ideal (respectively, t-ideal) of D. We say that a nonzero ideal of D is a maximal t-ideal if it is maximal among proper integral t-ideals, and let t-Max(D) denote the set of maximal t-ideals of D. Clearly, if  $x \in D$  is a nonzero nonunit, then xD is a t-ideal, and it is well known that each prime ideal of R minimal over xR is a t-ideal and xD is contained in a maximal t-ideal of D. Thus, if D is not a field, then  $t-Max(D) \neq \emptyset$ . The v- and toperations are examples of a star operation; for background on star operations, the reader is referred to [8, Sections 32, 34]. It is easy to see that, if I is a nonzero homogeneous ideal of  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$ , then both  $I_v$  and  $I_t$  are also homogeneous. We say that R is a graded Krull domain if it is completely integrally closed and satisfies the a.c.c. on homogeneous v-ideals. For  $f \in R_H$ , let  $C_R(f)$  (simply, C(f)) denote the fractional ideal of R generated by the homogeneous components of f. It is clear that C(f) is a finitely generated homogeneous fractional ideal of R. Let

$$N(H) = \{ f \in R \mid C(f)_v = R \}.$$

It is known that, if R is a nontrivial graded integral domain, then R is a graded Krull domain if and only if  $R_{N(H)}$  is a principal ideal domain (PID) [3, Theorem 2.3]. Also, the integral closure of a graded Noetherian domain is a graded Krull domain [16, Theorem 2.10].

**3. Graded Notherian domains.** Let  $\Gamma$  be a torsionless grading monoid, *G* the quotient group of  $\Gamma$ ,

$$R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$$

a ( $\Gamma$ -)graded integral domain and H the set of nonzero homogeneous elements of R; thus,  $R_H$  is a G-graded integral domain whose nonzero homogeneous elements are units.

We first recall a very useful result on homogeneous prime ideals. Let Q be a nonzero prime ideal of R, and let  $Q^*$  be the ideal of R generated by the homogeneous elements in Q. Then  $Q^* \subseteq Q$ , and either  $Q^* = (0)$  or  $Q^*$  is a nonzero homogeneous prime ideal [14, page 124]. This also implies that a prime ideal minimal over a nonzero homogeneous ideal is homogeneous.

**Lemma 3.1.** Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be a graded Noetherian domain, let P be a homogeneous prime ideal of R and let R' be the integral closure of R. If h-ht(P) = 1, then  $R_P$  is a one-dimensional Noetherian domain and  $R'_{R \setminus P}$  is a semilocal PID.

*Proof.* Recall that R' is a homogeneous overring of R [16, Lemmas 2.2, 2.3]. Let Q be a prime ideal of R' such that  $Q \cap R = P$ . Then,  $PR' \subseteq Q$ , and thus, if  $Q^*$  is the prime ideal of R' generated by the homogeneous elements in Q, then  $PR' \subseteq Q^* \subseteq Q$ . Clearly,  $Q^* \cap R = Q \cap R$ , and hence,  $Q^* = Q$  [8, Corollary 11.2] since R' is integral over R. Hence, Q is homogeneous. Also, if  $Q_0$  is a nonzero homogeneous prime ideal of R' with  $Q_0 \subseteq Q$ , then  $Q_0 \cap R$  is homogeneous and  $Q_0 \cap R \subseteq Q \cap R = P$ . Therefore, since h-ht(P) = 1 and R' is integral over R, we have  $Q_0 \cap R = P$ ; thus,  $Q_0 = Q$ . Hence, h-ht(Q) = 1, and since R' is a graded Krull domain, ht(Q) = 1 and  $R'_Q$ is a DVR [1, Proposition 5.5]. This implies that ht(P) = 1. Note that P is finitely generated, and thus,  $R_P$  is a one-dimensional Noetherian domain. Note also that  $R'_{R\setminus P}$  is the integral closure of  $R_P$ , and hence,  $R'_{R\setminus P}$  is a Dedekind domain with a finite number of maximal ideals [12, page 85, Corollary]. Thus,  $R'_{R\setminus P}$  is a semilocal PID [8, Corollary 37.4]. 

Let x be a nonzero nonunit homogeneous element of a graded Noetherian domain R. It is known that, if P is a prime ideal of R minimal over xR, then h-ht(P) = 1 [15, Theorem 3.5]. Hence, by Lemma 3.1, we have the following.

**Corollary 3.2.** Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be a graded Noetherian domain and x a nonzero nonunit homogeneous element of R. If P is a prime ideal of R minimal over xR, then ht(P) = 1.

**Proposition 3.3.** Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be a graded integral domain and  $S(H) = R \setminus \bigcup_{P \in h-\operatorname{Spec}(R)} P$ . Then, R is a graded Noetherian domain with  $h-\dim(R) = 1$  if and only if  $R_{S(H)}$  is a one-dimensional Noetherian domain. In this case,

$$\operatorname{Max}(R_{S(H)}) = \{ PR_{S(H)} \mid P \in \operatorname{h-Spec}(R) \text{ and } P \neq (0) \}.$$

*Proof.* Let  $\Omega = h\text{-}\text{Spec}(R) \setminus \{(0)\}.$ 

(⇒). Since h-dim(R) = 1 and each maximal t-ideal of R intersecting H is homogeneous [2, Lemma 1.2], each prime ideal in  $\Omega$  is a maximal t-ideal of R. Let  $0 \neq f \in R$ . If C(f) = R, then  $f \notin P$  for all  $P \in \Omega$ . If  $C(f) \neq R$ , then each prime ideal of R minimal over C(f) must be in  $\Omega$ , and, since each  $P \in \Omega$  is finitely generated, C(f) (so f) is contained only in a finite number of prime ideals  $P \in \Omega$  [9, Theorem 1.6]. Thus, the intersection  $\bigcap_{P \in \Omega} R_P$  is locally finite, and hence,

$$\operatorname{Max}(R_{S(H)}) = \{ PR_{S(H)} \mid P \in \Omega \}$$

[3, Lemma 2.2, Proposition 1.4]. In addition,  $\operatorname{ht}(PR_{S(H)}) = 1$  by Lemma 3.1 and  $PR_{S(H)}$  is finitely generated for all  $P \in \Omega$ . Thus,  $R_{S(H)}$  is a one-dimensional Noetherian domain.

 $(\Leftarrow)$ . Clearly, if  $P \in \Omega$ , then  $P \cap S(H) = \emptyset$ , and hence,  $PR_{S(H)}$ is a proper prime ideal of  $R_{S(H)}$ . Hence, by assumption,  $\operatorname{ht}(P) = \operatorname{ht}(PR_{S(H)}) = 1$ , and thus,  $\operatorname{h-dim}(R) = 1$ . Next, note that  $PR_{S(H)}$ is finitely generated and P is homogeneous. Hence, there is a finitely generated homogeneous subideal I of P such that  $IR_{S(H)} = PR_{S(H)}$ . If  $f \in P$ , then  $f \in IR_{S(H)} \cap R$ , whence f = h/g for some  $h \in I$  and  $g \in S(H)$ . Thus, there is an integer  $n \geq 1$  such that

$$C(g)^{n+1}C(f) = C(g)^n C(fg) = C(g)^n C(h)$$

**[3,** Lemma 1.1]. Note that  $g \in S(H) \Leftrightarrow g \notin P$  for all  $P \in \Omega$ ,  $\Leftrightarrow C(g) \notin P$  for all  $P \in \Omega$ ,  $\Leftrightarrow C(g) = R$ . Hence,  $C(f) = C(h) \subseteq I$ , and therefore, P = I. Thus, R is a graded Noetherian domain.  $\Box$ 

Following [15], we say that a graded R-module M is *h*-irreducible if M has no nontrivial homogeneous submodules, and, for a graded R-module M, a chain

$$M = M_0 \supsetneq M_1 \supsetneq \cdots \supsetneq M_r = (0)$$

of homogeneous *R*-submodules of *M* is an *h*-composition series of *M* if every  $M_i/M_{i+1}$  is *h*-irreducible; in this case, *r* is called the *h*-length of *M*, which is independent of the choice of *h*-composition series [15, Theorem 3.1]. The notion of *h*-length is a graded module analogue of the length of a module (see [12, page 12] for the definition of the length of a module).

In [15, Theorem 4.2], Park and Park generalized the Krull-Akizuki theorem [12, Theorem 11.7] to a graded integral domain as follows:

Let  $R \subseteq T$  be graded integral domains with homogeneous quotient fields  $K \subseteq L$ , respectively. Assume that R is graded Noetherian with h-dim(R) = 1 and L is finite over K. Then T is graded Noetherian with  $h\text{-dim}(T) \leq 1$ , and if J is a nonzero homogeneous ideal of T, then T/J is a graded R-module of finite h-length.

We next give another type of a graded integral domain analogue of the Krull-Akizuki theorem, where we denote by qf(D) the quotient field of D. This result is stronger than the Park and Park's result because qf(T) is finite over qf(R) when L is finite over K.

**Corollary 3.4.** Let  $R \subseteq T$  be graded integral domains such that every homogeneous element of R is homogeneous in T. Assume that R is graded Noetherian with h-dim(R) = 1 and qf(T) is finite over qf(R).

(1) T is a graded Noetherian domain with h-dim $(T) \leq 1$ .

(2) If J is a nonzero homogeneous ideal of T, then T/J is a graded R-module of finite h-length.

(3) If Q is a nonzero homogeneous maximal ideal of T, then T/Q is a finitely generated  $R/(Q \cap R)$ -module and qf(T/Q) is finite over  $qf(R/(Q \cap R))$ .

*Proof.* Let

$$S(H) = R \setminus \bigcup_{P \in h-\operatorname{Spec}(R)} P$$

and

$$S(T) = T \setminus \bigcup_{Q \in h-\operatorname{Spec}(T)} Q.$$

Note that  $f \in S(H)$  if and only if C(f) = R (see the proof of Proposition 3.3), and thus,  $S(H) \subseteq S(T)$  since every homogeneous element of R is homogeneous in T. Thus,  $R_{S(H)} \subseteq T_{S(H)} \subseteq T_{S(T)}$  and  $R_{S(H)}$  is a one-dimensional Noetherian domain by Proposition 3.3.

(1) By the Krull-Akizuki theorem,  $T_{S(T)}$  is a Noetherian domain with  $\dim(T_{S(T)}) \leq 1$ , and thus, by Proposition 3.3, T is a graded Noetherian domain with h-dim $(T) \leq 1$ .

(2) Again, by the Krull-Akizuki theorem,  $T_{S(H)}/JT_{S(H)}$  is an  $R_{S(H)}$ module of finite length. Clearly, each homogeneous R-submodule of T/J is of the form M/J, where M is a homogeneous R-submodule of T containing J. Let  $T/J \supseteq M/J \supseteq N/J$  be homogeneous R-submodules of T/J. Then,

$$(T/J)_{S(H)+J/J} \supseteq (M/J)_{S(H)+J/J} \supseteq (N/J)_{S(H)+J/J}$$

are  $R_{S(H)}$ -submodules of  $(T/J)_{S(H)+J/J}$ . If

$$(M/J)_{S(H)+J/J} = (N/J)_{S(H)+J/J},$$

then  $m + J \in (N/J)_{S(H)+J/J}$  for all  $m \in M$ , and hence,

$$m+J = \frac{n+J}{f+J}$$

for some  $n \in N$  and  $f \in S(H)$ . Thus, there is a  $g \in S(H)$  such that

$$(g+J)(f+J)(m+J) = (g+J)(n+J);$$

hence,  $gfm \in N$ . Thus,  $m \in C(m) = C(m)C(fg) = C(mfg) \subseteq N$ , and therefore,  $M \subseteq N$ , a contradiction. Hence,

$$(M/J)_{S(H)+J/J} \neq (N/J)_{S(H)+J/J}.$$

Note that  $T_{S(H)}/JT_{S(H)} \cong (T/J)_{S(H)+J/J}$  as rings, and thus, as  $R_{S(H)}$ -modules. Hence, T/J is a graded *R*-module of finite *h*-length by the fact that  $T_{S(H)}/JT_{S(H)}$  is an  $R_{S(H)}$ -module of finite length.

(3) This is an immediate consequence of (2).

**Corollary 3.5.** Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be a graded Noetherian domain with h-dim(R) = 1. If V is a homogeneous gr-valuation overring of R, then V is discrete as a gr-valuation domain and h-dim(V) = 1.

*Proof.* Since V is a homogeneous overring of R, V is also a G-graded integral domain. From Corollary 3.4, V is a graded Noetherian domain with h-dim $V \leq 1$ . Thus, if M is the homogeneous maximal ideal of V, then M is finitely generated, and, since each generator of M is homogeneous, M must be principal. Thus, V is discrete as a gr-valuation ring.

We conclude this section with some comments which are related to Lemma 3.1 and Proposition 3.3.

## Remark 3.6.

(1) Let P be a homogeneous prime ideal of  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$ , and assume that h-ht(P) = 1. While ht(P) = 1 when R is graded Noetherian by Lemma 3.1, in general, this is not true. For example, let (D, M) be a one dimensional quasi-local domain which is not a valuation domain, X an indeterminate over D and R = D[X]. Clearly, h-ht(M[X]) = 1 but ht(M[X]) > 1 since ht(M[X]) = 1 implies that D is a valuation domain [8, Theorem 19.15]. Thus, ht(M[X]) > h-ht(M[X]).

(2) It is interesting to note that there is a graded integral domain R which has a homogeneous prime ideal P with 2 = h-ht(P) < ht(P) = 3 [10, page 1579]. However, we do not know whether there is a graded Noetherian domain with a homogeneous prime ideal P with 2 = h-ht(P) < ht(P).

(3) Let  $R = D[X, X^{-1}]$  be the Laurent polynomial ring over an integral domain D. Then, R is a  $\mathbb{Z}$ -graded integral domain with  $\deg(aX^n) = n$  for  $0 \neq a \in D$  and an integer n. For  $f = \sum a_i X^i \in R$ , let  $A_f = (\{a_i\})$  be the ideal of D generated by the coefficients of f. Let

$$S(H) = R \setminus \bigcup_{P \in \mathbf{h-Spec}(R)} P.$$

Then,  $S(H) = \{f \in R \mid C(f) = R\}$  by the proof of Proposition 3.3, and, since  $X, X^{-1} \in R$ , each homogeneous ideal of R is generated

by a set of elements in D and  $S(H) = \{f \in R \mid A_f = D\}$ . Hence,  $R_{S(H)} = D(X)$ , the Nagata ring of D [4, Example 1]. In addition, R is graded Noetherian if and only if D is Noetherian, if and only if R is Noetherian, if and only if  $R_{S(H)}$  is Noetherian.

4. Valuation overrings of a graded Noetherian domain. As in Section 3, G denotes the quotient group of a torsionless grading monoid  $\Gamma$ ,  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  is a ( $\Gamma$ -)graded integral domain and H is the set of nonzero homogeneous elements of R.

**Lemma 4.1.** Let V be a homogeneous gr-valuation overring of  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$ . Then, V is discrete as a gr-valuation ring if and only if  $PV_P$  is principal for all branched homogeneous prime ideals P of V.

Proof.

 $(\Rightarrow)$ . This follows since  $V_P = (V_{H \setminus P})_{P_{H \setminus P}}$ .

( $\Leftarrow$ ). Let P be a branched homogeneous prime ideal of V, and assume that  $PV_P = fV_P$  for some  $f \in P$ . Then, since the homogeneous ideals of V is linearly ordered by set inclusion,  $PV_P = \alpha V_P$  for some homogeneous component  $\alpha$  of f. If  $a \in P$  is homogeneous, then  $a = \alpha g/h$  for some  $h \in V \setminus P$  and  $g \in V$ . Then,  $ah = \alpha g$ , and since  $h \notin P$ , there exists a homogeneous component m of h such that  $m \in H \setminus P$ . Hence,  $am = \alpha x$ , where x is a homogeneous component of g. Thus,  $a \in \alpha V_{H \setminus P}$ , and since P is homogeneous,  $P \subseteq \alpha V_{H \setminus P}$ . Therefore,  $PV_{H \setminus P} = \alpha V_{H \setminus P}$ .

**Lemma 4.2.** Let V be a homogeneous gr-valuation overring of  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$ . If  $f, g \in R - \{0\}$ , then (C(f)C(g))V = C(fg)V.

Proof. From [13] or [3, Lemma 1.1],  $C(f)^{n+1}C(g) = C(f)^n C(fg)$ for some integer  $n \geq 1$ . Note that C(f) is a finitely generated homogenous ideal of R and V is a gr-valuation overring of R. Hence, C(f)V is a nonzero principal ideal of V, and thus, (C(f)C(g))V = C(fg)V.

Let D be an integral domain with quotient field K, V a valuation overring of D, M the maximal ideal of V and  $R = D[X, X^{-1}]$  the Laurent polynomial ring over D. Then, R is a Z-graded integral domain (see Remark 3.6 (3)),  $V[X, X^{-1}]$  is a homogeneous gr-valuation overring of R with homogeneous maximal ideal  $M[X, X^{-1}]$  and  $V[X]_{M[X]}$ = V(X) is the trivial extension of V to K(X) [8, Section 18]. Note that  $C(h)V[X, X^{-1}] = A_hV[X, X^{-1}]$  for all  $h \in R$ ; thus, it is easy to see that, if we let

$$W = \left\{ \frac{f}{g} \mid f, g \in R, g \neq 0, \text{ and } C(f)V[X, X^{-1}] \subseteq C(g)V[X, X^{-1}] \right\},\$$

then  $W = V[X, X^{-1}]_{M[X, X^{-1}]}$ , and, since  $V[X, X^{-1}]_{M[X, X^{-1}]} = V[X]_{M[X]}$ , we have W = V(X). Hence, W is a valuation domain,  $\dim(W) = \dim(V) = h \cdot \dim(V[X, X^{-1}])$ , and W is a DVR if and only if V is a DVR, if and only if  $V[X, X^{-1}]$  is discrete as a gr-valuation ring.

**Theorem 4.3.** Let V be a homogeneous gr-valuation overring of  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$ , M the homogeneous maximal ideal of V and  $\hat{V} = \{f/g \mid f, g \in R, g \neq 0, and C(f)V \subseteq C(g)V\}.$ 

(1)  $\hat{V}$  is a (well-defined) valuation overring of R and  $\hat{V} \cap R_H = V$ .

(2)  $\widehat{V} = V_M$  and  $\dim(\widehat{V}) = h \cdot \dim(V)$ .

(3)  $\hat{V}$  is a DVR if and only if V is discrete as a gr-valuation ring. Proof.

(1) Let  $0 \neq f, g, h, k \in R$  be such that  $C(f)V \subseteq C(g)V$  and f/g = h/k. Then, fk = gh. Since  $C(f)V \subseteq C(g)V$ , we have

$$C(g)C(h)V = C(gh)V = C(fk)V = C(f)C(k)V \subseteq C(g)C(k)V$$

by Lemma 4.2. Hence,  $C(h)V \subseteq C(k)V$ . Thus,  $\hat{V}$  is well defined. Let  $f/g, h/k \in \hat{V}$ . Then, f/g + h/k = (fk + gh)/gk and

$$C(fk+gh)V \subseteq C(fk)V + C(gh)V \subseteq C(gk)V.$$

Thus,  $f/g + h/k \in \widehat{V}$ . Also,  $f/g \cdot h/k = fh/gk$  and

$$C(fh)V = C(f)C(h)V \subseteq C(g)C(k)V = C(gk)V.$$

Thus,  $f/g \cdot h/k \in \widehat{V}$ . Let u be a nonzero element of the quotient field of R. Then, u = f/g for some  $f, g \in R$ . Recall that the homogeneous ideals of V are linearly ordered by set inclusion; therefore,

either  $C(f)V \subseteq C(g)V$  or  $C(g)V \subseteq C(f)V$ . Hence, u or  $u^{-1}$  is in  $\widehat{V}$ . Thus,  $\widehat{V}$  is a valuation domain.

Finally, we claim that  $\widehat{V} \cap R_H = V$ . Let  $f \in V$ . Since  $V \subseteq R_H$ , we can write  $f = f_1/\alpha$ , where  $f_1 \in R$  and  $\alpha \in H$ . Hence,  $C(f_1)V = C(\alpha f)V = C(\alpha)C(f)V \subseteq C(\alpha)V$ . Thus,  $f_1/\alpha = f \in \widehat{V}$ . Hence,  $V \subseteq \widehat{V} \cap R_H$ . For the reverse containment, let  $g/\beta \in \widehat{V} \cap R_H$ , where  $g \in R$  and  $\beta \in H$ . Then,  $C(g)V \subseteq C(\beta)V = \beta V$ , and thus,  $C(g/\beta)V \subseteq V$ . Thus,  $g/\beta \in V$ .

(2) Let  $0 \neq f$ ,  $g \in R$  be such that  $f/g \in \widehat{V}$ . Then,  $C(f)V \subseteq C(g)V$ , and thus, if a and b are homogeneous components of f, g, respectively, such that C(f)V = aV and C(g)V = bV, then

$$fV_M = aV_M \subseteq bV_M = gV_M.$$

Hence,  $f/g \in V_M$ , and thus,  $V \subseteq \widehat{V} \subseteq V_M$ . Note that  $\widehat{V}_{V \setminus M} = \widehat{V}$ since C(f)V = V for all  $f \in V \setminus M$ ; thus,  $V_M \subseteq \widehat{V} \subseteq V_M$ . Therefore,  $\widehat{V} = V_M$ .

Next, note that  $\dim(\widehat{V}) = \dim(V_M) \ge h \cdot \dim(V)$ ; thus, to prove the equality of  $\dim(\widehat{V}) = h \cdot \dim(V)$ , it suffices to show that, if Q is a nonzero prime ideal of V with  $Q \subseteq M$ , then Q is homogeneous. Let

$$f = x_{\alpha_1} + \dots + x_{\alpha_n} \in Q,$$

where each  $x_{\alpha_i}$  is a homogeneous component of f. Since V is a grvaluation ring, there is an  $x_{\alpha_k}$  such that  $x_{\alpha_i} \in x_{\alpha_k} V$  for all  $x_{\alpha_i}$ . Hence,  $f/x_{\alpha_k} \in V \setminus M$ , and thus,  $x_{\alpha_k} \cdot f/x_{\alpha_k} = f \in Q$  implies  $x_{\alpha_k} \in Q$ . Thus,  $x_{\alpha_i} \in x_{\alpha_k} V \subseteq Q$ , whence Q is homogeneous.

(3) This follows directly from (2) and Lemma 4.1.

**Lemma 4.4.** Let  $\{P_{\lambda}\}$  be a chain of homogeneous prime ideals of  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$ . Then, there is a homogeneous gr-valuation overring of R with a set of homogeneous prime ideals that contract to  $\{P_{\lambda}\}$ .

*Proof.* From [11, Theorem], there is a valuation overring W with a chain  $\{N_{\lambda}\}$  of prime ideals such that  $N_{\lambda} \cap R = P_{\lambda}$ . Let

$$V = \sum_{\alpha \in G} (W \cap (R_H)_{\alpha})$$

and

$$Q_{\lambda} = \sum_{\alpha \in G} (N_{\lambda} \cap (R_H)_{\alpha}).$$

Then, it is routine to check that V is a homogeneous gr-valuation overring of R and  $\{Q_{\lambda}\}$  is a chain of homogeneous prime ideals of V such that  $Q_{\lambda} \cap R = P_{\lambda}$ .

Next, we give the main result of this section, which is a graded Noetherian domain analogue of [6, Theorem 1], and its proof heavily depends on that of [6, Theorem 1].

**Theorem 4.5.** Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be a graded Noetherian domain, and let

$$(0) = P_0 \subsetneq P_1 \subsetneq \cdots \subsetneq P_n$$

be a saturated chain of homogeneous prime ideals of R. If V is a homogeneous gr-valuation overring of R with a chain  $\{Q_{\alpha}\}_{\alpha \in \Lambda}$  of homogeneous prime ideals such that

$$\{Q_\alpha \cap R\} = \{P_i\}_{i=0}^n$$

as in Lemma 4.4, then

- (1)  $\{Q_{\alpha}\}_{\alpha\in\Lambda} = \{(0) = Q_0 \subsetneq Q_1 \subsetneq \cdots \subsetneq Q_n\};$
- (2)  $V_{H \setminus Q_n}$  is discrete as a gr-valuation ring and h-dim $(V_{H \setminus Q_n}) = n;$
- (3) the homogeneous quotient field of  $V/Q_i$  is finite over the homogeneous quotient field of  $R/P_i$  for i = 1, ..., n.

*Proof.* We prove it by induction on n. First, assume n = 1. Set

$$Q = \bigcup_{\alpha \in \Lambda} Q_{\alpha}$$

Clearly,  $Q \cap R = P_1$  and  $V_{H \setminus Q}$  is a homogeneous gr-valuation overring of  $R_{H \setminus P_1}$  with a chain  $\{Q_{\alpha}V_{H \setminus Q}\}_{\alpha \in \Lambda}$  of homogeneous prime ideals such that

$$\{Q_{\alpha}V_{H\setminus Q}\cap R_{H\setminus P_1}\}_{\alpha\in\Lambda}=\{(0)\subsetneq P_1R_{H\setminus P_1}\}.$$

Since the given chain is saturated,  $R_{H\setminus P_1}$  is a graded Noetherian domain of h-dimension one. Thus, by Corollary 3.5,  $V_{H\setminus Q}$  is discrete

as a gr-valuation ring and h-dim $(V_{H\setminus Q}) = 1$ ; thus,

$$\{Q_{\alpha}V_{H\setminus Q}\}_{\alpha\in\Lambda}=\{(0)\subsetneq QV_{H\setminus Q}\}.$$

Moreover,  $V_{H\setminus Q}/QV_{H\setminus Q}$  and  $R_{H\setminus P_1}/P_1R_{H\setminus P_1}$  are isomorphic to the homogeneous quotient fields of V/Q and  $R/P_1$ , respectively. Hence, we may assume that R is a graded Noetherian domain such that h-dim(R) = 1,  $P_1$  is the unique nonzero homogeneous prime ideal of R and V is discrete as a gr-valuation ring with h-dim(V) = 1 and homogeneous maximal ideal Q. In addition, by Corollary 3.4 (3), V/Q is finite over  $R/P_1$ . Note that  $R/P_1$  (respectively, V/Q) is the homogeneous quotient field of  $R/P_1$  (respectively, V/Q) since  $P_1$ (respectively, Q) is a homogeneous maximal ideal of R (respectively, V).

We next assume that the result is true for all saturated chains of homogeneous prime ideals of length n - 1. Let

$$(0) = P_0 \subsetneq P_1 \subsetneq \cdots \subsetneq P_n$$

be a saturated chain of homogeneous prime ideals in R, and let Vbe a homogeneous gr-valuation overring of R with a chain  $\{Q_{\alpha}\}_{\alpha \in \Lambda}$  of homogeneous prime ideals such that  $\{Q_{\alpha} \cap R\}_{\alpha \in \Lambda} = \{P_i\}_{i=0}^n$ . From the same argument as in the case n = 1, we may assume that R is a graded Noetherian domain with a unique homogeneous maximal ideal  $P_n$  and  $\bigcup_{\alpha \in \Lambda} Q_{\alpha}$  is the homogeneous maximal ideal of V. By the induction hypothesis,

$$\{Q_{\alpha}\}_{\alpha \in \Lambda} = \{0 = Q_0 \subsetneq Q_1 \subsetneq \cdots \subsetneq Q_{n-1}\}$$
$$\cup \{Q_{\alpha} \mid Q_{n-1} \subsetneq Q_{\alpha} \text{ and } Q_{\alpha} \cap R = P_n\}$$

and the homogeneous quotient field of  $V/Q_i$  is finite over that of  $R/P_i$ for i = 1, 2, ..., n - 1. Note that  $R/P_{n-1}$  is a graded Noetherian domain, h-dim $(R/P_{n-1}) = 1$ , and the homogeneous quotient field of  $V/Q_{n-1}$  is finite over the homogeneous quotient field of  $R/P_{n-1}$ ; thus, by Corollary 3.4 (1),  $V/Q_{n-1}$  is a graded Noetherian domain of hdimension one. Thus,  $V/Q_{n-1}$  is discrete as a gr-valuation ring of h-dimension one. Therefore, V is discrete as a gr-valuation ring and h-dim V = n. Moreover, since h-dim $(V/Q_{n-1}) = 1$ , we have

$$|\{Q_{\alpha} \mid Q_{n-1} \subsetneq Q_{\alpha} \text{ and } Q_{\alpha} \cap R = P_n\}| = 1;$$

thus, let such a  $Q_{\alpha} = Q_n$ . By Corollary 3.4 (3),

$$V/Q_n \cong (V/Q_{n-1})/(Q_n/Q_{n-1})$$

is finite over  $R/P_n \cong (R/P_{n-1})/(P_n/P_{n-1})$ .

**Corollary 4.6.** Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be a graded Noetherian domain, and let  $\{P_i\}$  be a chain of homogeneous prime ideals of R. Then, there exists a discrete valuation overring of R whose prime ideals contract to  $\{P_i\}$ .

*Proof.* Since R is a graded Noetherian domain, there exists a saturated chain  $\{P_{\beta}\}$  of homogeneous prime ideals of R containing  $\{P_i\}$ . Hence, by Theorems 4.3 and 4.5, there exists a discrete valuation overring of R whose prime ideals contract to  $\{P_{\beta}\}$ , and thus to  $\{P_i\}$ .  $\Box$ 

Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be a graded Noetherian domain,

$$(0) = P_0 \subsetneq P_1 \subsetneq \cdots \subsetneq P_n$$

a chain of homogeneous prime ideals of R, and V a discrete valuation overring of R whose prime ideals contract to  $\{P_i\}$  (Corollary 4.6). It is known that, if R is Noetherian, then we can choose V as a rank n DVR [5, Theorem] even though the given chain is not saturated, while we do not know if the dimension of V can be n when R is not Noetherian.

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