

## SEMICLEAN RINGS AND RINGS OF CONTINUOUS FUNCTIONS

NITIN ARORA AND S. KUNDU

Dedicated to Professor Larry J. Gerstein

**ABSTRACT.** As defined by Ye [12], a ring is semiclean if every element is the sum of a unit and a periodic element. Ahn and Anderson [1] called a ring weakly clean if every element can be written as  $u + e$  or  $u - e$ , where  $u$  is a unit and  $e$  an idempotent. A weakly clean ring is semiclean. We show the existence of semiclean rings that are not weakly clean. Every semiclean ring is 2-clean. New classes of semiclean subrings of  $\mathbf{R}$  and  $\mathbf{C}$  are introduced and conditions are given when these rings are clean. Cleanliness and related properties of  $C(X, A)$  are studied when  $A$  is a dense semiclean subring of  $\mathbf{R}$  or  $\mathbf{C}$ .

**1. Introduction.** All rings will be commutative with identity. As defined by Nicholson, an element  $a$  in a ring  $R$  is *clean* [2, 7] if  $a$  can be written as  $a = u + e$ , where  $u \in U(R)$ , the group of units of  $R$ , and  $e \in \text{Id}(R)$ , the set of idempotents of  $R$ .  $R$  is a *clean ring* if every element is clean. Ye [12] called an element  $a$  in a ring  $R$  *semiclean* if  $a$  can be written as  $a = u + p$ , where  $u \in U(R)$  and  $p \in \text{Per}(R)$ , the set of periodic elements of  $R$  (that is,  $p^k = p^l$  for  $k \neq l$ ).  $R$  is called a *semiclean ring* if every element is semiclean. Several related notions have been studied in the literature, in particular, *weakly clean rings* [1], *n-clean rings* and  $\Sigma$ -*clean rings* [11].

Let  $X$  be a completely regular Hausdorff space. Let  $1 \in A$  be a subring and subspace of  $\mathbf{R}$  in the usual topology, and let  $C(X, A)$  denote the set of all continuous  $A$ -valued functions on  $X$ . Under pointwise addition and multiplication  $C(X, A)$  is a commutative ring with unity.

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The subset  $C^*(X, A)$  of  $C(X, A)$ , consisting of all bounded functions in  $C(X, A)$ , is a subring of  $C(X, A)$ . When  $A = \mathbf{R}$ , these rings are simply denoted  $C(X)$  (likewise  $C^*(X)$ ) [4]. We are interested in studying cleanliness and related properties for the rings  $C(X, A)$  and  $C^*(X, A)$  which depend on the topological properties of  $X$  and algebraic properties of  $A$ . Azarpanah [3] and McGovern [6] independently proved that  $C(X)$  and  $C^*(X)$  are clean *if and only if*  $X$  is a strongly zero-dimensional space. If  $A$  is a proper subfield of  $\mathbf{R}$ , then  $C(X, A)$  is always clean regardless of  $X$ . Hager and Kimber [5] considered the rings  $C(X, A)$  when  $A$  is a dense clean subring of  $\mathbf{R}$ , with unity, which is not a field and  $X$  is zero-dimensional. In this case,  $C(X, A)$  is clean *if and only if*  $X$  is a  $P$ -space [4, 4J], that is, every  $G_\delta$ -set in  $X$  is open (or equivalently, every zero-set is open). We consider the case when  $1 \in A$  is a dense semiclean subring of  $\mathbf{R}$  or  $\mathbf{C}$  which need not be clean.

In Section 2, we show the existence of semiclean rings that are not weakly clean and prove that every semiclean ring is 2-clean. In Section 3, we introduce classes of dense semiclean subrings of  $\mathbf{R}$  and  $\mathbf{C}$ , and give conditions on when they will be clean. In Section 4, we go on to study cleanliness and related properties of rings of continuous functions, in particular we consider  $C(X, A)$ , where  $A$  is a dense semiclean subring of  $\mathbf{R}$ . In the final section we study these properties for rings of complex valued continuous functions.

## 2. Semiclean rings and other cleanliness related notions.

**Example 2.1.** For  $p$  prime, denote by  $\mathbf{Z}_{(p)}$  the ring  $\{(m/n) \in \mathbf{Q} \mid n \notin (p)\}$ . It is easy to see that these rings are clean.

In fact, a ring having only trivial idempotents is clean if and only if it is a local ring. In particular, an integral domain is clean if and only if it is local. In [1] an element  $a$  in a ring  $R$  is called *weakly clean* if it can be written as  $u + e$  or  $u - e$ , where  $u \in U(R)$  and  $e \in \text{Id}(R)$ .  $R$  is called *weakly clean* if every element is weakly clean. Clearly, every weakly clean ring is semiclean.

**Example 2.2.** For  $p, q$  distinct primes, the ring  $\mathbf{Z}_{(p)} \cap \mathbf{Z}_{(q)} = \{(m/n) \in \mathbf{Q} \mid p \nmid n, q \nmid n\}$  is not clean. For example,  $p/(p-q)$  is not a clean element. But these rings are always weakly clean. Suppose

$m/n$  is not weakly clean. Then each of  $m/n$ ,  $(m/n) \pm 1$  is a non-unit. This means each of  $m - n$ ,  $m$  and  $m + n$  is a multiple of either  $p$  or  $q$ . Say,  $p$  divides two of them, then  $p$  divides  $n$ , a contradiction.

In [11] an element  $a$  is called  $n$ -clean if it can be written as the sum of  $n$  units and an idempotent, and it is  $\Sigma$ -clean if it is the sum of a finite number of units and an idempotent. Analogously,  $n$ -clean and  $\Sigma$ -clean rings are defined. The ring  $\mathbf{Z}$  of integers is  $\Sigma$ -clean but not  $n$ -clean for any  $n$ .

Clearly, every clean ring is weakly clean and every weakly clean ring is semiclean. Also every  $n$ -clean ring is  $\Sigma$ -clean. Below, we will see that every semiclean ring is 2-clean.

All the examples of semiclean rings that we have seen in literature happen to be weakly clean rings. For example, it was proven in [12, Theorem 3.1] that the group rings  $\mathbf{Z}_{(p)}(G)$  where  $G$  is a cyclic group of order 3 are semiclean but need not be clean. One can adapt the same proof to prove the following result.

**Theorem 2.3.** *The group rings  $\mathbf{Z}_{(p)}(G)$ , where  $G = \{1, a, a^2\}$  is a cyclic group of order 3, are weakly clean whenever  $p$  is a prime.*

*Proof.* Let us first consider the case  $p > 3$ . In this case the non-trivial idempotents in  $\mathbf{Z}_{(p)}(G)$  are  $(1 + a + a^2)/3$  and  $(2 - a - a^2)/3$  [12, Proposition 3.1]. An element  $(k + la + ma^2)/n$ ,  $p \nmid n$  in  $\mathbf{Z}_{(p)}(G)$  can be expressed as:

$$\begin{aligned} 0 + \frac{k+la+ma^2}{n} &= 1 + \frac{(k-n)+la+ma^2}{n} = -1 + \frac{(k+n)+la+ma^2}{n} \\ &= \frac{1+a+a^2}{3} + \frac{(3k-n) + (3l-n)a + (3m-n)a^2}{3n} \\ &= \frac{-1-a-a^2}{3} + \frac{(3k+n) + (3l+n)a + (3m+n)a^2}{3n} \\ &= \frac{2-a-a^2}{3} + \frac{(3k-2n) + (3l+n)a + (3m+n)a^2}{3n} \\ &= \frac{-2+a+a^2}{3} + \frac{(3k+2n) + (3l-n)a + (3m-n)a^2}{3n}. \end{aligned}$$

We need to show that at least one of the fractional terms to the right of the plus sign is a unit. Suppose not; then, by [12, Corollary 3.1],  $p$

divides all of:

$$(2.1) \quad k^3 + l^3 + m^3 - 3klm$$

$$(2.2) \quad (k - n)^3 + l^3 + m^3 - 3(k - n)lm$$

$$(2.3) \quad (k + n)^3 + l^3 + m^3 - 3(k + n)lm$$

$$(2.4) \quad (3k - n)^3 + (3l - n)^3 + (3m - n)^3 - 3(3k - n)(3l - n)(3m - n)$$

$$(2.5) \quad (3k + n)^3 + (3l + n)^3 + (3m + n)^3 - 3(3k + n)(3l + n)(3m + n)$$

$$(2.6) \quad (3k - 2n)^3 + (3l + n)^3 + (3m + n)^3 - 3(3k - 2n)(3l + n)(3m + n)$$

$$(2.7) \quad (3k + 2n)^3 + (3l - n)^3 + (3m - n)^3 - 3(3k + 2n)(3l - n)(3m - n).$$

As in [12, Theorem 3.1], (2.1), (2.2) and (2.3) above give:

$$(2.8) \quad p \mid (-3k^2n + 3kn^2 - n^3 + 3nlm)$$

$$(2.9) \quad p \mid 3k.$$

Using (2.9) and the fact that  $p \nmid n$ , (2.8) further gives:

$$(2.10) \quad p \mid (n^2 - 3lm).$$

Subtracting (2.4) from (2.7) and using (2.9), we get that  $p$  divides

$$3n(4n^2 + n^2 - 2n^2) - 9n(3l - n)(3m - n) = -27n(3lm - ln - mn).$$

Using (2.10),  $p > 3$  and  $p \nmid n$  gives:

$$(2.11) \quad p \mid (n - l - m).$$

Similarly, subtracting (2.6) from (2.5) and using (2.9), we get that  $p$  divides

$$3n(3n^2) - 9n(3l + n)(3m + n) = -27n(3lm + ln + mn).$$

Using (2.10),  $p > 3$  and  $p \nmid n$  gives:

$$(2.12) \quad p \mid (n + l + m).$$

Adding (2.11) and (2.12) gives  $p \mid 2n$ , a contradiction. Hence,  $p$  does not divide at least one of (2.1)–(2.7). Subsequently, every element of  $\mathbf{Z}_{(p)}(G)$  is weakly clean.

When  $p = 3$ , (2.1) and (2.2) give (2.8) which implies  $p \mid n^3$ , a contradiction. So  $\mathbf{Z}_{(3)}(G)$  is clean. When  $p = 2$ , we can argue similar to [12, Theorem 3.1] to show that  $\mathbf{Z}_{(2)}(G)$  is clean.  $\square$

Is there a semiclean ring which is not weakly clean? The answer is affirmative. But, in order to have this affirmative answer, we need the next lemma.

**Lemma 2.4.** *Let  $p_i$  be a periodic element in ring  $R_i : 1 \leq i \leq N$ . Then  $p = (p_1, p_2, \dots, p_N)$  is a periodic element in  $R = R_1 \times R_2 \times \dots \times R_N$ .*

*Proof.* If  $p_i^{m_i} = p_i^{n_i}$  ( $m_i > n_i$ ), then  $p_i^{n_i} = p_i^{m_i} = p_i^{m_i - n_i + n_i} = p_i^{2(m_i - n_i) + n_i} = \dots = p_i^{k(m_i - n_i) + n_i}$  for any positive integer  $k$ . Let  $K = (m_1 - n_1)(m_2 - n_2) \cdots (m_N - n_N)$ ,  $L = \max(n_1, n_2, \dots, n_N)$ . Then  $p^{K+L} = (p_1^{K+n_1+(L-n_1)}, p_2^{K+n_2+(L-n_2)}, \dots, p_N^{K+n_N+(L-n_N)}) = (p_1^{n_1+(L-n_1)}, p_2^{n_2+(L-n_2)}, \dots, p_N^{n_N+(L-n_N)}) = p^L$ .  $\square$

**Theorem 2.5.** *Let  $\{R_i : 1 \leq i \leq N\}$  be commutative rings. Then the direct product  $R = R_1 \times R_2 \times \dots \times R_N$  is semiclean if and only if each  $R_i$  is semiclean.*

*Proof.* ( $\Rightarrow$ ). This is clear since every homomorphic image of a semiclean ring is semiclean [12, Lemma 2.1].

( $\Leftarrow$ ). Suppose each  $R_i$  is semiclean. Let  $x = (x_i) \in R$ . For each  $i$ , let  $x_i = u_i + p_i$ , where  $u_i$  is a unit and  $p_i$  a periodic element in  $R_i$ . Then  $x = u + p$ , where  $u = (u_i)$  is a unit and  $p = (p_i)$  is a periodic element in  $R$  by Lemma 2.4. Hence,  $R$  is semiclean.  $\square$

In particular, a direct product  $R = R_1 \times R_2$  of two weakly clean rings is semiclean. However, by [1, Theorem 1.7],  $R$  will be weakly clean

if and only if at least one of  $R_1$  or  $R_2$  is clean. This proves that a semiclean ring need not be weakly clean.

**Example 2.6.** Let  $R = \mathbf{Z}_{(3)} \cap \mathbf{Z}_{(5)}$ .  $((3/2), (5/2)) \in R \times R$  is not weakly clean. But, subtracting  $(1, -1)$  from this gives a unit. By Theorem 2.5,  $R \times R$  is a semiclean ring.

An element  $x$  of a ring  $R$  is *strongly  $\pi$ -regular* if there exists an  $n \in \mathbf{N}$  and  $b \in R$  such that  $x^n = x^{n+1}b$ .

**Theorem 2.7.** *Every semiclean ring is 2-clean.*

*Proof.* Clearly, every periodic element is *strongly  $\pi$ -regular* and, by [8, Theorem 1], every *strongly  $\pi$ -regular* element is strongly clean. In particular, every periodic element is clean. Hence, every semiclean element can be written as the sum of two units and an idempotent.  $\square$

Again, the subset relation is proper. For example, we will see that  $C(X)$  is semiclean *if and only if*  $X$  is strongly zero-dimensional. But every  $f \in C(X)$  can be written as the sum of two units (irrespective of  $X$ ) as  $f = (f + |f| + 1)/2 + (f - |f| - 1)/2$ . So  $C(X)$  is 2-clean irrespective of the topology of  $X$ . For more details on the rings generated by the units, see [9, 10].

Hence, the family of all weakly clean rings is properly contained in the family of all semiclean rings, and the latter family is properly contained in the family of all 2-clean rings.

**3. Semiclean subrings of  $\mathbf{R}$  and  $\mathbf{C}$ .** Note that the only idempotents in  $\mathbf{R}$  are  $\{0, 1\}$ , and the only periodic elements are  $\{0, 1, -1\}$ . Hence, the notions of semiclean and weakly clean coincide for subrings of  $\mathbf{R}$ . Our conjecture is that this may not be the case with  $\mathbf{C}$  which has infinitely many periodic elements.

Below we give more examples of semiclean subrings of  $\mathbf{R}$  and  $\mathbf{C}$  which need not be clean. We also give the condition when they will be clean.

By  $\mathbf{Z}_{(p)}[\sqrt{q}]$ , where  $p \in \mathbf{N}$  is a prime and  $q \in \mathbf{N}$  is not a square, denote the set  $\{a_0 + a_1\sqrt{q} \mid a_0, a_1 \in \mathbf{Z}_{(p)}\}$ . Clearly these are subrings of  $\mathbf{R}$ .

Also note that an element in these rings can be represented as  $(k_0 + k_1\sqrt{q})/m$ ,  $k_0, k_1, m \in \mathbf{Z}$ ,  $p \nmid m$ .

**Lemma 3.1.** *The element  $(k_0 + k_1\sqrt{q})/m$  in  $\mathbf{Z}_{(p)}[\sqrt{q}]$  is a unit  $\Leftrightarrow p$  does not divide  $k_0^2 - qk_1^2$ .*

*Proof.* The inverse of  $(k_0 + k_1\sqrt{q})/m$  in  $\mathbf{R}$  is  $(m(k_0 - k_1\sqrt{q})) / (k_0^2 - qk_1^2)$ . This is in  $\mathbf{Z}_{(p)}[\sqrt{q}]$  if and only if  $p$  does not divide the denominator. (Note that the representation  $a_0 + a_1\sqrt{q}$  for a real number with  $a_0, a_1 \in \mathbf{Q}$  is unique; otherwise, we can represent  $\sqrt{q}$  as a rational.)  $\square$

Now,  $\mathbf{Z}_{(p)}[\sqrt{q}]$  may or may not be clean as shown by the examples below.

**Example 3.2.** Consider  $R = \mathbf{Z}_{(2)}[\sqrt{q}]$ . This is a clean ring for all  $q$ . Suppose  $a = (k_0 + k_1\sqrt{q})/n \in R$  ( $n$  odd) is such that  $a$  and  $a - 1$  are not units. By Lemma 3.1,  $2 \mid k_0^2 - k_1^2q$  and  $2 \mid (k_0 - n)^2 - k_1^2q$ . Subtracting, we get  $2 \mid n(2k_0 - n)$ . Since  $n$  is odd, this is impossible.

**Example 3.3.** Consider  $S = \mathbf{Z}_{(7)}[\sqrt{2}]$ . Let  $a = (2 + 3\sqrt{2})/4 \in S$ . Clearly,  $7 \mid 2^2 - 2 \cdot 3^2 = -14$  as well as  $(-2)^2 - 2 \cdot 3^2 = -14$ . Hence,  $a$  and  $a - 1$  are both non-units.  $S$  is not a clean ring.

**Theorem 3.4.** *Let  $p \in \mathbf{N}$  be an odd prime,  $q \in \mathbf{N}$  is not a square. Let  $R$  be the ring  $\mathbf{Z}_{(p)}[\sqrt{q}]$ . If  $p \mid q$ , then the ring  $R$  is clean. Otherwise,  $R$  is clean  $\Leftrightarrow q$  is a quadratic nonresidue of  $p$ .*

*Proof.* *Case 1.*  $p \mid q$ . Let  $a = (k_0 + k_1\sqrt{q})/n$ ,  $p \nmid n$ . Suppose  $a$  and  $a - 1$  are not units. So  $p \mid k_0^2 - k_1^2q \Rightarrow p \mid k_0$  and  $p \mid (k_0 - n)^2 - k_1^2q \Rightarrow p \mid k_0 - n$ . Subtracting, we get  $p \mid n$ , a contradiction. Hence,  $R$  is a clean ring.

*Case 2.*  $p \nmid q$ . ( $\Rightarrow$ ). Suppose  $q$  is a quadratic residue of  $p$ , that is, there exists a  $k \in [1, p - 1]$  such that  $k^2 \equiv q \pmod{p}$ . Take  $a = (k + \sqrt{q})/(2k) \in R$ . Clearly  $a$  and  $a - 1$  are non-units, hence  $R$  cannot be clean.

( $\Leftarrow$ ). Suppose  $R$  is not clean. Then there exists an  $a = (k_0 + k_1\sqrt{q})/n$ ,  $p \nmid n$ , such that both  $a$  and  $a - 1$  are non-units. So,

$$(3.1) \quad p \mid k_0^2 - qk_1^2$$

$$(3.2) \quad p \mid (k_0 - n)^2 - qk_1^2.$$

Subtracting these two, we get  $p \mid n(2k_0 - n)$ . Since  $p \nmid n$ ,  $p \nmid k_0$ . Using (3.1),  $p \nmid k_1$ . Hence, there exists a multiplicative inverse  $(\text{mod } p)$  of  $k_1$ . Now, from (3.1),  $(k_1^{-1}k_0)^2 \equiv q \pmod{p}$ . So,  $q$  is a quadratic residue of  $p$ .  $\square$

**Theorem 3.5.** *The rings  $\mathbf{Z}_{(p)}[\sqrt{q}]$ , for  $p$  prime, are dense weakly clean subrings of  $\mathbf{R}$  which need not be clean.*

*Proof.* We only have to prove that these rings are weakly clean. Suppose, on the contrary, there exists  $a = (k_0 + k_1\sqrt{q})/n$ ,  $p \nmid n$ , such that none of  $a$ ,  $a - 1$ ,  $a + 1$  is a unit. This means

$$(3.3) \quad p \mid k_0^2 - qk_1^2$$

$$(3.4) \quad p \mid (k_0 - n)^2 - qk_1^2$$

$$(3.5) \quad p \mid (k_0 + n)^2 - qk_1^2$$

Subtracting (3.4) from (3.3) and (3.3) from (3.5) gives

$$(3.6) \quad p \mid n(2k_0 - n) \implies p \mid 2k_0 - n$$

$$(3.7) \quad p \mid n(2k_0 + n) \implies p \mid 2k_0 + n.$$

Subtracting these two gives  $p \mid 2n$ . Since  $p \nmid n$ , this can happen only if  $p$  is 2. But then (3.6) implies  $p \mid n$ . Again, a contradiction.

Thus, every element  $a$  is weakly clean, and hence the ring  $\mathbf{Z}_{(p)}[\sqrt{q}]$  is weakly clean.  $\square$

Therefore, there are ample examples of dense weakly clean (hence, semiclean) subrings of  $\mathbf{R}$  which are not clean. Also, in this case we can find units  $u$  in these rings such that  $u - 1$  and  $u + 1$  are both non-units. This is because Theorem 3.4 implies we can find  $a \in [1, p - 1]$  such that  $a^2 \equiv q \pmod{p}$ . Then  $(p + \sqrt{q})/a$  is one

such unit, because  $p \nmid p^2 - q$ , but  $p \mid (p - a)^2 - q = p(p - 2a) + a^2 - q$  and  $p \mid (p + a)^2 - q = p(p + 2a) + a^2 - q$ .

For  $p \in \mathbf{N}$ , a prime, let us now consider subrings of  $\mathbf{C}$ :  $\mathbf{Z}_{(p)}[i] = \{a + bi \mid a, b \in \mathbf{Z}_{(p)}\}$ .

We will see that again these rings are weakly clean but need not be clean. Note that there are many more periodic elements in  $\mathbf{C}$ . So it may be possible to have semiclean subrings of  $\mathbf{C}$  which are not weakly clean. For example, one might want to consider rings  $\mathbf{Z}_{(p)}[\alpha]$  where  $\alpha$  is a  $k$ th root of unity.

**Lemma 3.6.** *An element  $(k_0 + k_1 i)/m \in \mathbf{Z}_{(p)}[i]$  is a unit  $\Leftrightarrow p \nmid k_0^2 + k_1^2$ .*

*Proof.* The inverse in  $\mathbf{C}$  of the above element is  $m(k_0 - k_1 i)/(k_0^2 + k_1^2)$ .  $\square$

**Theorem 3.7.** *For any odd prime  $p$ ,  $\mathbf{Z}_{(p)}[i]$  is a dense weakly clean (hence, semiclean) subring of  $\mathbf{C}$ , which is clean if and only if  $p \equiv 3 \pmod{4}$ .*

*Proof.* Suppose  $\mathbf{Z}_{(p)}[i]$  is not weakly clean. Then there exists an element  $a = (k_0 + k_1 i)/n$ ,  $p \nmid n$  such that  $a$ ,  $a - 1$ ,  $a + 1$  are all non-units. Therefore, by Lemma 3.6,

$$(3.8) \quad p \mid k_0^2 + k_1^2$$

$$(3.9) \quad p \mid (k_0 - n)^2 + k_1^2$$

$$(3.10) \quad p \mid (k_0 + n)^2 + k_1^2.$$

Subtracting (3.9) from (3.10),  $p \mid 2k_0 \cdot 2n \Rightarrow p \mid 2k_0$ . Subtracting (3.8) from (3.10),  $p \mid n(2k_0 + n) \Rightarrow p \mid 2k_0 + n$ . These two give  $p \mid n$ , a contradiction.

Hence, every element of  $\mathbf{Z}_{(p)}[i]$  has to be weakly clean. However, it need not be clean. For example, consider the element  $b = (7 + 6i)/4 \in \mathbf{Z}_{(5)}[i]$ . Both  $b$  and  $b - 1$  are non-units in  $\mathbf{Z}_{(5)}[i]$  since  $3^2 + 6^2$  and  $7^2 + 6^2$  are both multiples of 5.

As in Theorem 3.4, we can get that  $\mathbf{Z}_{(p)}[i]$  is clean  $\Leftrightarrow -1$  is a quadratic non-residue of  $p$ . By Euler's criterion, this will happen whenever  $(-1)^{(p-1)/2} \not\equiv 1 \pmod{p}$ , that is,  $p \equiv 3 \pmod{4}$ .  $\square$

The rings  $\mathbf{Z}_{(2)}[i]$  are clean. The proof is similar to that for the rings  $\mathbf{Z}_{(2)}[\sqrt{q}]$ .

**4. Cleanliness in  $C(X, A)$ .** A non-empty  $T_1$  space is *zero-dimensional* if it has a base of clopen sets. The rings  $C(X)$  are known [3, 6] to be clean *if and only if*  $X$  is strongly zero dimensional, i.e., the Stone-Ćech compactification  $\beta X$  is zero-dimensional.

**Theorem 4.1.** *The following are equivalent.*

- (1)  $C(X)$  is clean.
- (2)  $C(X)$  is weakly clean.
- (3)  $C(X)$  is semiclean.

*Proof.* (1)  $\Rightarrow$  (2)  $\Rightarrow$  (3). Clear.

(3)  $\Rightarrow$  (1). This follows from [6, Theorem 13 (iv)] since the periodic elements in  $C(X)$  overlap with roots of idempotents. Here we give a direct proof since [6] had omitted one. Suppose  $C(X)$  is semiclean. Let  $f$  be any function in  $C(X)$ . Then  $g = 2f - 1$  is 1 on  $Z(1 - f)$  and  $-1$  on  $Z(f)$ . Since  $C(X)$  is semiclean, there exists a periodic function  $p$ , which assumes one of the values  $-1, 0, 1$ , such that  $g - p$  is a unit  $u$ . It is clear that  $u < 0$  on  $Z(f)$  and  $u > 0$  on  $Z(1 - f)$ . Hence, if we let  $K = \{x : u(x) < 0\}$ , a clopen set, and  $\chi_K$  be the characteristic function of  $K$ , then  $f - \chi_K$  is nowhere zero and hence a unit. So  $f$  is clean.  $\square$

Let  $A$  be a dense clean subring of  $\mathbf{R}$ , with unity, which is not a field. Let  $u(A)$  denote the set of its units and  $c(A) = \{a \in A \setminus u(A) : a - 1 \in u(A)\}$ . By [5, Lemma 2.2],  $u(A)$  and  $c(A)$  are dense in  $\mathbf{R}$ . Further, in [5] it was proved that the rings  $C(X, A)$ , with  $X$  zero-dimensional, are clean *if and only if*  $X$  is a  $P$ -space.

Is it again the case that  $C(X, A)$  is semiclean if and only if it is clean? It certainly is the case when 2 is not a unit in  $A$ . As in [5], we assume, without loss of generality, that the space  $X$  is zero-dimensional. First, we need a couple of lemmas.

**Lemma 4.2.** *Let  $A$  be a clean (local) ring with trivial idempotents. Then  $A$  has a unit  $u$  such that both  $u \pm 1$  are non-units if and only if 2 is not a unit in  $A$ .*

*Proof.* ( $\Leftarrow$ ). Take  $u = 1$ .

( $\Rightarrow$ ). Let  $u$  be such a unit and suppose  $2$  is a unit. Then  $(u \pm 1)/2$  are both non-units. So  $(u + 1)/2$  is not clean, a contradiction.  $\square$

**Lemma 4.3** [5, Lemma 3.6]. *Let  $X$  be a completely regular Hausdorff space. The following are equivalent.*

- (1)  $X$  is a  $P$ -space.
- (2)  $X$  is zero-dimensional and every countable union of clopen sets is closed.
- (3)  $f^{-1}(T) \in \text{clop}(X)$  for every  $f \in C(X)$  and for every  $T \subseteq \mathbf{R}$ .

**Theorem 4.4.** *Let  $A$  be a dense clean subring of  $\mathbf{R}$ , with unity, which is not a field, and let  $X$  be a zero-dimensional space. If  $2$  is not a unit in  $A$ , the following are equivalent.*

- (1)  $X$  is a  $P$ -space.
- (2)  $C(X, A)$  is a  $pm$ -ring.
- (3)  $C(X, A)$  is clean.
- (4)  $C(X, A)$  is semiclean.

*Proof.* In view of [5, Theorem 3.7], it suffices to prove that  $X$  is a  $P$ -space whenever  $C(X, A)$  is semiclean. Let  $K_n$  be a sequence of pairwise disjoint clopen sets in  $X$ . Let  $u$  be a unit such that  $u \pm 1$  are both non-units. Since  $c(A)$  is dense in  $\mathbf{R}$ , let  $(b_n)$  be a sequence in  $c(A)$  that converges to  $u$ . Define  $f \in C(X, A)$  such that  $f|_{K_n} = b_n$  and  $f(x) = u$  otherwise. Let  $U$  be an open set in  $A$ . If  $u \in U$ , then  $U$  contains all but finitely many  $b_n$ 's; thus,  $X \setminus f^{-1}(U)$  is closed being a finite union of clopen sets. If  $u \notin U$ , then  $f^{-1}(U)$  is a union of clopen sets and so open. Thus,  $f \in C(X, A)$ . Since  $C(X, A)$  is semiclean, there exist disjoint clopen sets  $U$  and  $V$  such that  $f - \chi_U + \chi_V$  is a unit of  $C(X, A)$ .  $f$  is already a unit ( $= u$ ) outside  $\cup_n K_n$  and adding  $\pm 1$  will make it a non-unit. In  $\cup_n K_n$  it is a non-unit so its value needs to be changed. Hence,  $\cup_n K_n$  has to be  $U \cup V$ , a clopen set. By Lemma 4.3 (2), it follows that  $X$  is a  $P$ -space.  $\square$

Now the question arises as to what happens if  $2 \in u(A)$ .

**Theorem 4.5.** *Let  $A$  be a dense clean subring of  $\mathbf{R}$ , with unity, which is not a field and such that  $2 \in u(A)$ . If  $X$  is a  $P$ -space, then  $C^*(X, A)$  is a semiclean ring.*

*Proof.* Let  $f \in C^*(X, A)$ . Let  $c^+(f) = \{x \in X \mid f(x) \geq 0 \in c(A)\}$  and  $c^-(f) = \{x \in X \mid f(x) < 0 \in c(A)\}$ . Further, let  $u^+(A) = \{a \in u(A) \mid a + 1 \in u(A)\}$  and  $u^-(A) = \{a \in u(A) \mid a - 1 \in u(A)\}$ . Since  $2 \in u(A)$ , by Lemma 4.2,  $u^+(A) \cup u^-(A) = u(A)$ . Let  $u^+(f) = \{x \in X \mid f(x) \in (-1/2, 1/2) \cap u^+(A)\}$  and  $u^-(f) = \{x \in X \mid f(x) \in (-1/2, 1/2) \cap u^-(A)\}$ . By Lemma 4.3 (3) (and looking at  $f$  as a member of  $C(X)$ ), the sets  $c^+(f), c^-(f), u^+(f)$  and  $u^-(f)$  are all clopen sets and  $f - \chi_{c^-(f) \cup u^-(f)} + \chi_{c^+(f) \cup u^+(f)}$  is a unit in  $C^*(X, A)$ .  $\square$

**Theorem 4.6.** *Let  $A$  be a dense clean subring of  $\mathbf{R}$ , with unity, which is not a field. If  $X$  is an infinite zero-dimensional space, then  $C^*(X, A)$  is not a weakly clean ring.*

*Proof.* We can find a sequence  $\{U_n\}_{n \in \mathbf{N}}$  of disjoint non-empty clopen sets in  $X$ , since  $X$  is infinite, Hausdorff and zero-dimensional. Let  $\{a_n\}_{n \in \mathbf{N}} \subseteq c(A)$  be a sequence such that  $a_n \rightarrow -1$  and  $\{b_n\}_{n \in \mathbf{N}} \subseteq c(A)$  such that  $b_n \rightarrow 1$ . Let  $f \in C^*(X, A)$  be such that  $f|_{U_{2n}} = a_n + 1$ ,  $f|_{U_{2n-1}} = b_n - 1$  and  $f(x) = 0$  otherwise. If  $f = u + e$ , with  $u$  a unit and  $e$  an idempotent, then  $u|_{U_{2n}} = a_n + 1$ . Since  $a_n + 1 \rightarrow 0$ , this makes  $u^{-1}$  unbounded, a contradiction. Similarly,  $f = u - e$  implies  $u|_{U_{2n-1}} = b_n - 1$ , again a contradiction.  $\square$

Every  $P$ -space is zero-dimensional [4]. Hence, by Theorems 4.5 and 4.6, we have a semiclean ring of continuous functions which is not weakly clean.

The above results suggest that if we can find a suitable topological space with lots of clopen sets which is not a  $P$ -space, we may also be able to demonstrate:

**Conjecture 4.7.** *If  $2$  is invertible in  $A$ , a dense clean subring of  $\mathbf{R}$ , then  $C(X, A)$  can be semiclean without being clean.*

Let us now consider dense semiclean subrings of  $\mathbf{R}$  that are not fields and are not necessarily clean (recall the semiclean rings introduced in

previous section). Let  $S$  denote one such semiclean ring, and consider the ring  $C(X, S)$ . If  $S$  is not clean, then there exists an element  $s$  such that both  $s$  and  $s - 1$  are not units. Then the constant function  $s$  on any space is not clean. On the other hand, we will see that  $C(X, S)$  is semiclean whenever  $X$  is a  $P$ -space. This gives another trivial example of a semiclean ring of continuous functions which is not clean.

**Lemma 4.8.** *Let  $S$  be a commutative semiclean ring with unity and only periodic elements  $\{-1, 0, 1\}$ . If  $S$  is not clean, then  $S$  has a unit  $u$  such that  $u - 1$  and  $u + 1$  are both non-units. If  $2 \in u(S)$ , then the converse is also true.*

*Proof.* If  $S$  is not clean, there exists an  $s \in S$  such that  $s$  and  $s - 1$  are both non-units. Hence,  $2s$  and  $2s - 2$  are also non-units. Then,  $2s - 1$  is the required unit by semicleanliness, since the only periodic elements are  $\{-1, 0, 1\}$ .

If  $2 \in u(S)$ , then by Lemma 4.2, it follows that  $S$  is not clean.  $\square$

**Lemma 4.9.** *If  $S$  is a dense semiclean subring of  $\mathbf{R}$  that is not a field, then the set of all non-units in  $S$  is also dense in  $\mathbf{R}$ .*

*Proof.* Let  $b$  be a nonzero non-unit in  $S$ . For every  $s \in S$ ,  $\alpha_s = sb$  is also a non-unit. For  $r \in \mathbf{R}$ , let  $(s_n)$  be a sequence in  $S$  such that  $s_n \rightarrow r/b$ . Then  $\alpha_{s_n} \rightarrow r$  shows that the set of non-units is dense in  $\mathbf{R}$ .  $\square$

**Theorem 4.10.** *Let  $S$  be a dense semiclean subring of  $\mathbf{R}$  with unity that is not a field. Let  $X$  be a zero-dimensional space.*

- (1) *If  $X$  is a  $P$ -space, then  $C(X, S)$  is semiclean.*
- (2) *If  $S$  is not clean, then the converse of the above also holds true.*
- (3)  *$C(X, S)$  is clean  $\Leftrightarrow X$  is a  $P$ -space and  $S$  is clean.*

*Proof.* (1) Let  $f \in C(X, S)$ . Since we are considering the subspace topology on  $S$ ,  $f$  is also in  $C(X)$ . Let  $c^+(f) = \{x | f(x) \notin u(S), f(x) + 1 \in u(S)\}$ ,  $c^-(f) = \{x | f(x) \notin u(S), f(x) - 1 \in u(S)\}$ . Since  $X$  is a  $P$ -space, by Lemma 4.3 (3) it follows that  $c^+(f)$  and  $c^-(f)$  are clopen sets and  $f + \chi_{c^+(f)} - \chi_{c^-(f)} \chi_{c^+(f)}$  is a unit. Hence,  $C(X, S)$  is semiclean.

(2) If  $S$  is not clean, then by Lemma 4.8, there exists a unit  $u$  such that  $u - 1$  and  $u + 1$  are both non-units. By arguing similarly as in Theorem 4.4 and observing that the set of non-units in  $S$  is dense in  $\mathbf{R}$ , we can now show that the converse holds as well.

(3) If  $X$  is a  $P$ -space and  $S$  is clean, then by [5, Theorem 3.7],  $C(X, S)$  is clean. Conversely, if  $C(X, S)$  is clean then  $S$ , being a homomorphic image of  $C(X, S)$ , will be clean. Once again by [5, Theorem 3.7],  $X$  has to be a  $P$ -space.  $\square$

**5. Complex valued continuous functions.** In this section we would like to consider the rings of complex valued continuous functions.

For  $\mathcal{S}$  a non-empty set of periodic elements of  $R$ , we say  $R$  is  $\mathcal{S}$ -semiclean if each  $x \in R$  can be written as  $x = u + p$  where  $u$  is a unit and  $p \in \mathcal{S}$ .

We begin with proving the following theorem.

**Theorem 5.1.** *The following are equivalent.*

- (1)  $C(X)$  is clean.
- (2)  $C(X)$  is weakly clean.
- (3)  $C(X)$  is semiclean.
- (4)  $C(X, \mathbf{C})$  is clean.
- (5)  $C(X, \mathbf{C})$  is weakly clean.
- (6)  $C(X, \mathbf{C})$  is  $\mathcal{S}$ -semiclean, where  $\mathcal{S}$  is the family of all continuous  $\{-1, 0, 1\}$ -valued functions.
- (7)  $X$  is strongly zero-dimensional.

*Proof.* (1)  $\Leftrightarrow$  (2)  $\Leftrightarrow$  (3). Theorem 4.1.

(4)  $\Rightarrow$  (5)  $\Rightarrow$  (6). Clear.

(6)  $\Rightarrow$  (4) Similar to (3)  $\Rightarrow$  (1) of Theorem 4.1.

(4)  $\Rightarrow$  (1). Given  $C(X, \mathbf{C})$  is clean. For  $f \in C(X, \mathbf{R}) \subseteq C(X, \mathbf{C})$ , let  $f = u + e$  where  $u = u_1 + iu_2$  is a unit in  $C(X, \mathbf{C})$  and  $e$  is an idempotent in  $C(X, \mathbf{C})$ . Now the only idempotents in  $\mathbf{C}$  are 0 and 1, since for a complex  $c$ ,  $c = c^2$  implies  $c = 0$  or  $c = 1$ . So  $e$  is real valued, implying  $u$  is real valued. Therefore,  $f$  is clean in  $C(X, \mathbf{R})$  as well. Hence,  $C(X, \mathbf{R})$  is clean.

(1)  $\Rightarrow$  (4). Given  $C(X, \mathbf{R})$  is clean. Let  $f \in C(X, \mathbf{C})$  and  $f = f_1 + if_2$ . It is known that  $f \in C(X, \mathbf{C}) \Leftrightarrow f_1, f_2 \in C(X, \mathbf{R})$ .

From the hypothesis, there exist units  $u_1, u_2$  and idempotents  $e_1, e_2$  in  $C(X, \mathbf{R})$ , such that  $f_1 = u_1 + e_1$  and  $f_2 = u_2 + e_2$ . So  $f = u_1 + e_1 + i(u_2 + e_2)$ . Now  $e_1$  is also an idempotent in  $C(X, \mathbf{C})$  and  $u_1$  being non-zero,  $u_1 + i(u_2 + e_2)$  is a unit in  $C(X, \mathbf{C})$ . Hence,  $f$  is clean and so is  $C(X, \mathbf{C})$ .

(1)  $\Leftrightarrow$  (7). Proved in [3, 6].  $\square$

Now we would like to generalize some of the results of [5] for  $C(X, A)$  where  $A$  is a subring and subspace of  $\mathbf{C}$ .

Let  $A$  be a dense clean subring of  $\mathbf{C}$  with unity, which is not a field. We can generalize Lemma 2.2 of [5] to show that the set  $u(A)$  of units in  $A$  and  $c(A)$ , non-units in  $A$ , are both dense sets in  $\mathbf{C}$ . Further, Lemma 4.3 (3) can be generalized so that  $f^{-1}(T) \in \text{clop}(X)$  for every  $f \in C(X, A)$  and every  $T \subseteq \mathbf{C}$ . Using these we can show, as in [5, Theorem 3.7] that  $C(X, A)$  is clean *if and only if*  $X$  is a  $P$ -space.

Now, let us consider the case when  $S$  is a dense semiclean subring of  $\mathbf{C}$  with unity, which is not a field.

**Theorem 5.2.** *Let  $S$  be a dense semiclean subring of  $\mathbf{C}$  with unity that is not a field. Let  $X$  be a zero-dimensional space.*

- (1) *If  $X$  is a  $P$ -space and  $|\text{Per}(S)| < \infty$ , then  $C(X, S)$  is semiclean.*
- (2)  *$C(X, S)$  is clean  $\Leftrightarrow X$  is a  $P$ -space and  $S$  is clean.*

*Proof.* (1) As in Lemma 4.3 (3), we can show that  $f^{-1}(T) \in \text{clop}(X)$  for every  $f \in C(X, S)$  and every  $T \subseteq \mathbf{C}$  whenever  $X$  is a  $P$ -space. Let  $\{p_1, p_2, \dots, p_n\}$  be the set of periodics in  $S$ . Let  $c_0(S) = u(S)$  and, for  $1 \leq i \leq n$ ,  $c_i(S) = \{a \in S \mid a - p_i \in u(S), a \notin c_j(S) \text{ for } j < i\}$ . Then  $c_i(f) = \{x \in X \mid f(x) \in c_i(S)\}$  are clopen sets. Let  $p = \sum p_i \chi_{c_i(f)}$ . It is easy to show that  $p$  is periodic. Then  $f - p$  is a unit. Hence  $C(X, S)$  is semiclean.

- (2) Clear.  $\square$

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DEPARTMENT OF MATHEMATICS, INDIAN INSTITUTE OF TECHNOLOGY, DELHI  
110016

**Email address:** nitinarora81@gmail.com

DEPARTMENT OF MATHEMATICS, INDIAN INSTITUTE OF TECHNOLOGY, DELHI  
110016

**Email address:** skundu@maths.iitd.ac.in