

## TYPES OF RADON-NIKODYM PROPERTIES FOR THE PROJECTIVE TENSOR PRODUCT OF BANACH SPACES

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ABSTRACT. Let  $X$  and  $Y$  be Banach spaces such that  $X$  has a boundedly complete basis. Then  $X \hat{\otimes} Y$ , the projective tensor product of  $X$  and  $Y$ , has the Radon-Nikodym property (resp. the analytic Radon-Nikodym property, the near Radon-Nikodym property, contains no copy of  $c_0$ ) if and only if  $Y$  has the same property.

### 1. Preliminaries

Throughout this paper  $G$  will denote a compact metrizable abelian group,  $\mathcal{B}(G)$  is the  $\sigma$ -algebra of Borel subsets of  $G$ , and  $\lambda$  is normalized Haar measure on  $G$ . The dual group of  $G$  will be denoted by  $\Gamma$ .

Let  $X$  be a real or complex Banach space. We denote by  $L_1(G, X)$  (respectively,  $L_\infty(G, X)$ ) the Banach space of (all equivalence classes of)  $\lambda$ -Bochner integrable functions on  $G$  with values in  $X$  (respectively, (all equivalence classes of)  $\lambda$ -measurable  $X$ -valued functions that are essentially bounded).

If  $\mu$  is a countably additive  $X$ -valued measure on  $\mathcal{B}(G)$ , we say that it is of bounded variation if  $\sup \sum_{A \in \pi} \|\mu(A)\| < \infty$ , where the supremum is taken over all finite measurable partitions of  $G$ . The measure  $\mu$  is said to be of bounded average range if there is a positive constant  $c$  so that  $\|\mu(A)\| \leq c\lambda(A)$ , for every  $A \in \mathcal{B}(G)$ .

We will denote by  $\mathcal{M}_1(G, X)$  the space of all  $X$ -valued measures on  $\mathcal{B}(G)$  that are of bounded variation, and  $\mathcal{M}_\infty(G, X)$  will denote the space of all  $X$ -valued measures on  $\mathcal{B}(G)$  that are of bounded average range.

For  $\gamma \in \Gamma$  and  $f \in L_1(G, X)$ , we define the Fourier coefficient of  $f$  at  $\gamma$  by

$$\hat{f}(\gamma) = \int_G f(t) \overline{\gamma}(t) d\lambda(t).$$

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Similarly, if  $\mu \in \mathcal{M}_1(G, X)$ , we define the Fourier coefficient of  $\mu$  at  $\gamma$  by

$$\hat{\mu}(\gamma) = \int_G \overline{\gamma}(t) d\mu(t).$$

Let  $\Lambda$  be a subset of  $\Gamma$ . A measure  $\mu \in \mathcal{M}_1(G, X)$  will be called a  $\Lambda$ -measure if  $\hat{\mu}(\gamma) = 0$  for all  $\gamma \notin \Lambda$ .

DEFINITION 1 ([17], [15]). Let  $G$  be a compact metrizable abelian group, let  $\Lambda$  be a subset of  $\Gamma$ , and let  $X$  be a Banach space. We say that  $X$  has type I- $\Lambda$ -Radon-Nikodym property (I- $\Lambda$ -RNP) if every  $\Lambda$ -measure  $\mu$  in  $\mathcal{M}_\infty(G, X)$  is differentiable; that is, there is a function  $f \in L_1(G, X)$  such that  $\mu(E) = \int_E f d\lambda$  for all  $E \in \mathcal{B}(G)$ .

DEFINITION 2 ([15]). Let  $G$  be a compact metrizable abelian group, let  $\Lambda$  be a subset of  $\Gamma$ , and let  $X$  be a Banach space. We say that  $X$  has type II- $\Lambda$ -Radon-Nikodym property (II- $\Lambda$ -RNP) if every  $\lambda$ -continuous,  $\Lambda$ -measure in  $\mathcal{M}_1(G, X)$  is differentiable.

REMARK 1. Let  $G$  be the Cantor group, that is,  $G = \{-1, 1\}^{\mathbb{N}}$ . Then  $\Gamma = \{-1, 1\}^{(\mathbb{N})}$  and Fourier coefficients of measures on  $\mathcal{B}(G)$  with values in a real or complex Banach space are well-defined. If  $\Lambda = \Gamma$ , then I- $\Lambda$ -RNP, II- $\Lambda$ -RNP and the usual Radon-Nikodym property are all equivalent for both real and complex Banach spaces. Since  $\Gamma$  is infinite and discrete, it contains an infinite Sidon subset [41, page 126]. If  $\Lambda$  is such an infinite Sidon set, then by [16] a real or complex Banach space has I- $\Lambda$ -RNP if and only if it has II- $\Lambda$ -RNP if and only if it does not contain a copy of  $c_0$ .

REMARK 2. If  $G = \mathbb{T}$ , the circle group, then  $\Gamma = \mathbb{Z}$ . Let  $X$  be a complex Banach space. If  $\Lambda = \mathbb{Z}$ , then  $X$  has I- $\Lambda$ -RNP if and only if  $X$  has II- $\Lambda$ -RNP if and only if  $X$  has the Radon-Nikodym property. If  $\Lambda = \mathbb{N} \cup \{0\}$ , then  $X$  has I- $\Lambda$ -RNP if and only if  $X$  has II- $\Lambda$ -RNP if and only if  $X$  has the analytic Radon-Nikodym property (see [15]). If  $\Lambda$  is an infinite Sidon set (for example  $\{2^n : n \in \mathbb{N}\}$ ), then  $X$  has I- $\Lambda$ -RNP if and only if  $X$  has II- $\Lambda$ -RNP if and only if  $X$  does not contain a subspace isomorphic to  $c_0$  (see [16]).

Another Radon-Nikodym property that we will deal with is the near Radon-Nikodym property, which was introduced in [26].

DEFINITION 3. Let  $X$  be a Banach space. A bounded linear operator  $T : L_1[0, 1] \rightarrow X$  is said to be near representable if for each Dunford-Pettis operator  $D : L_1[0, 1] \rightarrow L_1[0, 1]$ , the composition operator  $T \circ D : L_1[0, 1] \rightarrow X$  is Bochner representable; that is, there exists  $g \in L_\infty([0, 1], X)$  such that  $T \circ D(f) = \int_{[0, 1]} fg dm$  for all  $f \in L_1[0, 1]$ . A Banach space  $X$  is said to have the near Radon-Nikodym property (NRNP) if every near representable operator from  $L_1[0, 1]$  to  $X$  is Bochner representable.

For comparison, let us recall that a Banach space  $X$  has the Radon-Nikodym property if and only if every bounded linear operator  $T : L_1[0, 1] \rightarrow X$  is Bochner representable [12, page 63].

For any Banach space  $X$ , we will denote its topological dual by  $X^*$  and its closed unit ball by  $B_X$ . For two Banach spaces  $X$  and  $Y$ , let  $\mathcal{L}(X, Y)$  denote the space of all continuous linear operators from  $X$  to  $Y$  with its operator norm  $\|\cdot\|$ , and let  $X \hat{\otimes} Y$  denote the completion of the tensor product  $X \otimes Y$  with respect to the projective tensor norm. It is known that the dual of  $X \hat{\otimes} Y$  is isometrically isomorphic to  $\mathcal{L}(X, Y^*)$  (see [12, page 230]).

**2. Radon-Nikodym properties and boundedly complete Schauder decompositions**

Let  $X$  be a Banach space. A Schauder decomposition of  $X$  is a sequence  $(X_n)_{n=1}^\infty$  of non-trivial closed subspaces of  $X$  such that every  $x \in X$  can be expressed uniquely in the form  $x = \sum_{n=1}^\infty x_n$ , where  $x_n \in X_n$  for every  $n \in \mathbb{N}$ . Clearly, a sequence  $(e_n)_{n=1}^\infty$  in  $X$  is a basis of  $X$  if and only if the one-dimensional subspaces  $X_n = \text{span}\{e_n\}$  form a Schauder decomposition of  $X$ .

A Schauder decomposition  $(X_n)_{n=1}^\infty$  is boundedly complete if, whenever  $(\sum_{n=1}^m x_n)_{m=1}^\infty$  is a bounded sequence with  $x_n \in X_n$  for every  $n \in \mathbb{N}$ , then  $\sum_{n=1}^\infty x_n$  converges.

The following theorem, which is the main result of this paper, shows that the Radon-Nikodym properties, considered in Section 1, are inherited by Banach spaces having a boundedly complete Schauder decomposition.

Recall that Dunford showed that a Banach space with a boundedly complete Schauder basis has the Radon-Nikodym property [12, page 64, Theorem 6]. The proof of the following theorem is similar to Dunford’s proof.

**THEOREM 4.** *Let  $G$  be a compact metrizable abelian group and let  $\Lambda$  be a subset of  $\Gamma$ . Let  $X$  be a Banach space having a boundedly complete Schauder decomposition  $(X_n)_{n=1}^\infty$ . Then  $X$  has I- $\Lambda$ -RNP, II- $\Lambda$ -RNP or, respectively, the NRNP if each  $X_n, n \in \mathbb{N}$ , has the same property.*

*Proof.* We will first give the proof for II- $\Lambda$ -RNP. The almost identical proof for I- $\Lambda$ -RNP will be omitted.

Let  $P_i : X \rightarrow X_i$  be the coordinate projections defined by  $P_i(\sum_n x_n) = x_i$ . It is well known that these projections are bounded linear operators. Since II- $\Lambda$ -RNP is invariant under equivalent renormings, we may assume, without loss of generality, that the Schauder decomposition is monotone. This means that for each  $n \in \mathbb{N}$

$$\left\| \sum_{i=1}^n x_i \right\| \leq \left\| \sum_{i=1}^{n+1} x_i \right\|$$

whenever  $x_i \in X_i$ , for  $i \in \mathbb{N}$ .

Let  $\mu : \mathcal{B}(G) \rightarrow X$  be a  $\Lambda$ -measure of bounded variation which is absolutely continuous with respect to  $\lambda$ . For each  $i \in \mathbb{N}$ , define

$$\begin{aligned} \mu_i : \mathcal{B}(G) &\longrightarrow X_i \\ E &\longmapsto P_i(\mu(E)). \end{aligned}$$

It is easy to show that  $\mu_i$  is a  $\Lambda$ -measure of bounded variation which is absolutely continuous with respect to  $\lambda$ , for each  $i \in \mathbb{N}$ . Since each  $X_i$  has II- $\Lambda$ -RNP, there exists  $f_i \in L_1(G, X_i)$  such that

$$\mu_i(E) = \int_E f_i \, d\lambda, \quad E \in \mathcal{B}(G), \quad i = 1, 2, \dots$$

For each  $n \in \mathbb{N}$ , define

$$\begin{aligned} \tilde{f}_n : G &\longrightarrow X \\ t &\longmapsto \sum_{i=1}^n f_i(t). \end{aligned}$$

Since each  $f_i \in L_1(G, X_i)$  and each  $X_i$  is a subspace of  $X$ , each  $f_i \in L_1(G, X)$ , and hence  $\tilde{f}_n \in L_1(G, X)$  for each  $n \in \mathbb{N}$ . Now define

$$\begin{aligned} \tilde{\mu}_n : \mathcal{B}(G) &\longrightarrow X \\ E &\longmapsto \sum_{i=1}^n \mu_i(E). \end{aligned}$$

Furthermore, since  $(X_n)_{n=1}^\infty$  is monotone,

$$\|\tilde{\mu}_n(E)\| = \left\| \sum_{i=1}^n \mu_i(E) \right\| \leq \left\| \sum_{i=1}^\infty \mu_i(E) \right\| = \|\mu(E)\|.$$

Therefore,

$$|\tilde{\mu}_n|(E) \leq |\mu|(E), \quad E \in \mathcal{B}(G), \quad n = 1, 2, \dots$$

Now for each  $E \in \mathcal{B}(G)$  and each  $i, n \in \mathbb{N}$  with  $i \leq n$ ,

$$\begin{aligned} P_i(\tilde{\mu}_n(E)) &= \mu_i(E) = \int_E f_i(t) \, d\lambda(t) \\ &= \int_E P_i(\tilde{f}_n(t)) \, d\lambda(t) \\ &= P_i \left( \int_E \tilde{f}_n(t) \, d\lambda(t) \right), \end{aligned}$$

and hence

$$\tilde{\mu}_n(E) = \int_E \tilde{f}_n(t) \, d\lambda(t), \quad E \in \mathcal{B}(G), \quad n = 1, 2, \dots$$

Thus for each  $E \in \mathcal{B}(G)$  and each  $n \in \mathbb{N}$ ,

$$\begin{aligned} \int_E \left\| \sum_{i=1}^n f_i(t) \right\| \, d\lambda(t) &= \int_E \|\tilde{f}_n\| \, d\lambda = |\tilde{\mu}_n|(E) \\ &\leq |\mu|(E) \leq |\mu|(G) < \infty. \end{aligned}$$

Note that

$$\left\| \sum_{i=1}^n f_i(t) \right\| \leq \left\| \sum_{i=1}^{n+1} f_i(t) \right\|, \quad n = 1, 2, \dots$$

By the Monotone Convergence Theorem, for each  $E \in \mathcal{B}(G)$ ,

$$\begin{aligned} \int_E \sup_n \left\| \sum_{i=1}^n f_i(t) \right\| d\lambda(t) &= \int_E \lim_n \left\| \sum_{i=1}^n f_i(t) \right\| d\lambda(t) \\ &= \lim_n \int_E \left\| \sum_{i=1}^n f_i(t) \right\| d\lambda(t) \\ &\leq |\mu|(G) < \infty. \end{aligned}$$

Hence

$$\sup_n \left\| \sum_{i=1}^n f_i(t) \right\| < \infty, \quad \lambda\text{-a.e.}$$

Since  $(X_n)_{n=1}^\infty$  is also boundedly complete, the series  $\sum_i f_i(t)$  converges in  $X$ ,  $\lambda$ -a.e.. Now define

$$\begin{aligned} \tilde{f}: G &\longrightarrow X \\ t &\longmapsto \sum_{i=1}^\infty f_i(t), \quad \lambda\text{-a.e.} \end{aligned}$$

Note that  $\lim_n \tilde{f}_n(t) = \tilde{f}(t)$ ,  $\lambda$ -a.e. in  $X$ . Thus  $\tilde{f}$  is  $\lambda$ -measurable. Furthermore,

$$\int_G \|\tilde{f}(t)\| d\lambda(t) = \int_G \left\| \sum_{i=1}^\infty f_i(t) \right\| d\lambda(t) \leq |\mu|(G) < \infty.$$

Therefore,

$$\tilde{f} \in L_1(G, X).$$

Now for each  $E \in \mathcal{B}(G)$  and each  $i \in \mathbb{N}$ ,

$$\begin{aligned} P_i \left( \int_E \tilde{f}(t) d\lambda(t) \right) &= \int_E P_i \tilde{f}(t) d\lambda(t) = \int_E f_i(t) d\lambda(t) \\ &= \mu_i(E) = P_i(\mu(E)), \end{aligned}$$

and so

$$\mu(E) = \int_E \tilde{f}(t) d\lambda(t), \quad E \in \mathcal{B}(G).$$

It follows that  $\tilde{f}$  is a Radon-Nikodym derivative of  $\mu$ , and hence  $X$  has II- $\Lambda$ -RNP. This completes the proof for II- $\Lambda$ -RNP.

We will now give the proof for the NRNP. Let  $T : L_1[0, 1] \rightarrow X$  be a nearly representable operator. As in the first part of the proof of this theorem, it is easy to show that the operators  $P_i \circ T : L_1[0, 1] \rightarrow X_i$  are also nearly representable for each  $i$ , and hence, for each  $i$ ,  $P_i \circ T$  is Bochner representable since each  $X_i$  has the NRNP. Now, just as in the first part of the proof, we

can show that  $T$  is Bochner representable. Consequently,  $X$  has the NRNP and the proof is complete.  $\square$

REMARK 3. A special case of Theorem 4 asserts (see Remarks 1 and 2) that  $X$  does not contain a subspace isomorphic to  $c_0$  if each of the  $X_n$  do not contain a subspace isomorphic to  $c_0$ . This result was established in [34, Lemma 3].

### 3. Applications to vector-valued sequence spaces and projective tensor products

Let  $U$  be a Banach space with a boundedly complete 1-unconditional normalized basis  $(e_i)_{i=1}^\infty$ ; the 1-unconditionality means that, for all  $n \in \mathbb{N}$ , and scalars  $a_1, a_2, \dots, a_n$  and  $s_1, s_2, \dots, s_n$  with  $|s_i| = 1$  for each  $1 \leq i \leq n$ ,  $\|\sum_{i=1}^n s_i a_i e_i\| \leq \|\sum_{i=1}^n a_i e_i\|$ .

It is well known and easy to verify (using the Hahn-Banach Theorem) that for each  $n \in \mathbb{N}$ ,  $\|\sum_{i=1}^n a_i e_i\| \leq \|\sum_{i=1}^n b_i e_i\|$  whenever  $a_1, a_2, \dots, a_n$  and  $b_1, b_2, \dots, b_n$  are scalars with  $|a_i| \leq |b_i|$  for each  $1 \leq i \leq n$ .

For a sequence  $(X_i)_{i=1}^\infty$  of Banach spaces, define

$$U(X_i) = \left\{ \bar{x} = (x_i)_i : x_i \in X_i, \sum_i \|x_i\| e_i \text{ converges in } U \right\},$$

and define the norm on  $U(X_i)$  to be

$$\|\bar{x}\|_{U(X_i)} = \left\| \sum_{i=1}^\infty \|x_i\| e_i \right\|_U.$$

PROPOSITION 5. *The space  $U(X_i)$  is a Banach space and the subspaces  $\{(0, \dots, 0, x_i, 0, \dots) : x_i \in X_i\}$ ,  $i \in \mathbb{N}$ , form its boundedly complete Schauder decomposition.*

*Proof.* Let us observe that for each  $\bar{x} = (x_i)_i \in U(X_i)$ ,

$$\sup_m \left\| \sum_{i=1}^m \|x_i\| e_i \right\|_U \leq \|\bar{x}\|_{U(X_i)}$$

and, for each  $i \in \mathbb{N}$ ,

$$\|x_i\| = \left\| \|x_i\| e_i \right\|_U \leq \|\bar{x}\|_{U(X_i)}.$$

The last inequality shows that the coordinate projections from  $U(X_i)$  to  $X_i$  are continuous.

To show that  $U(X_i)$  is a Banach space, consider  $\bar{x}^{(n)} = (x_i^{(n)})_i \in U(X_i)$  such that  $(\bar{x}^{(n)})_{n=1}^\infty$  is a Cauchy sequence in  $U(X_i)$ . Then

$c = \sup_n \|\bar{x}^{(n)}\|_{U(X_i)} < \infty$  and for each  $\varepsilon > 0$ , there exists an  $n_0 \in \mathbb{N}$  such that for  $n, k > n_0$ ,

$$(1) \quad \|\bar{x}^{(n)} - \bar{x}^{(k)}\|_{U(X_i)} < \varepsilon/2.$$

By the continuity of coordinate projections from  $U(X_i)$  to  $X_i$ ,  $(x_i^{(n)})_{n=1}^\infty$  is a Cauchy sequence in  $X_i$  for each  $i \in \mathbb{N}$ . Hence there is  $x_i \in X_i$  such that

$$\lim_n x_i^{(n)} = x_i, \quad i = 1, 2, \dots$$

Thus for each fixed  $m \in \mathbb{N}$ , there exists an  $m_0 \in \mathbb{N}$  with  $m_0 > n_0$  such that

$$(2) \quad \|x_i^{(m_0)} - x_i\| < \varepsilon/2m, \quad i = 1, 2, \dots, m.$$

Note that

$$\begin{aligned} \left\| \sum_{i=1}^m \|x_i\| e_i \right\|_U &\leq \left\| \sum_{i=1}^m \|x_i - x_i^{(m_0)}\| e_i \right\|_U + \left\| \sum_{i=1}^m \|x_i^{(m_0)}\| e_i \right\|_U \\ &\leq \varepsilon/2 + \|\bar{x}^{(m_0)}\|_{U(X_i)} \leq \varepsilon/2 + c. \end{aligned}$$

So

$$\sup_m \left\| \sum_{i=1}^m \|x_i\| e_i \right\|_U \leq \varepsilon/2 + c < \infty.$$

Since the basis  $(e_i)_{i=1}^\infty$  is boundedly complete,  $\sum_i \|x_i\| e_i$  converges in  $U$ , and hence  $\bar{x} = (x_i)_i \in U(X_i)$ . Furthermore, by (1) and (2), for each  $n > n_0$ ,

$$\begin{aligned} \left\| \sum_{i=1}^m \|x_i^{(n)} - x_i\| e_i \right\|_U &\leq \left\| \sum_{i=1}^m \|x_i^{(n)} - x_i^{(m_0)}\| e_i \right\|_U + \left\| \sum_{i=1}^m \|x_i^{(m_0)} - x_i\| e_i \right\|_U \\ &\leq \|\bar{x}^{(n)} - \bar{x}^{(m_0)}\|_{U(X_i)} + \varepsilon/2 \leq \varepsilon/2 + \varepsilon/2 = \varepsilon. \end{aligned}$$

Thus for each  $n > n_0$ ,

$$\|\bar{x}^{(n)} - \bar{x}\|_{U(X_i)} = \sup_m \left\| \sum_{i=1}^m \|x_i^{(n)} - x_i\| e_i \right\|_U \leq \varepsilon.$$

Therefore,  $(\bar{x}^{(n)})_{n=1}^\infty$  converges to  $\bar{x}$  in  $U(X_i)$ . This proves that  $U(X_i)$  is a Banach space.

To see that the subspaces  $\{(0, \dots, 0, x_i, 0, \dots) : x_i \in X_i\}$ ,  $i \in \mathbb{N}$ , form a Schauder decomposition for  $U(X_i)$ , we denote by  $\bar{x}_i$  the element  $(0, \dots, 0, x_i, 0, \dots)$  in  $U(X_i)$ , where  $x_i \in X_i$ , and observe that, for any  $\bar{x} = (x_i)_i \in U(X_i)$ ,

$$(3) \quad \left\| \bar{x} - \sum_{i=1}^m \bar{x}_i \right\|_{U(X_i)} = \left\| \sum_{i=m+1}^\infty \|x_i\| e_i \right\|_U \rightarrow 0 \quad \text{as } m \rightarrow \infty.$$

The Schauder decomposition is boundedly complete because

$$\sup_m \left\| \sum_{i=1}^m \bar{x}_i \right\|_{U(X_i)} = \sup_m \left\| \sum_{i=1}^m \|x_i\| e_i \right\|_U < \infty$$

implies that  $\sum_{i=1}^{\infty} \|x_i\| e_i$  converges in  $U$ . Hence,  $\bar{x} = (x_i)_i \in U(X_i)$  and, by (3),  $\sum_{i=1}^{\infty} \bar{x}_i = \bar{x}$ .  $\square$

REMARK 4. The last part of the above proof shows that the Schauder decomposition is a complete Schauder decomposition for the normed linear space  $U(X_i)$ . Therefore,  $U(X_i)$  is a Banach space by [25].

Theorem 4 and Proposition 5 immediately yield:

THEOREM 6. *The space  $U(X_i)$  has I- $\Lambda$ -RNP, II- $\Lambda$ -RNP or, respectively, the NRNP if all of the Banach spaces  $X_i$  have the same property.*

REMARK 5. If  $U = \ell_p$ ,  $1 \leq p < \infty$ , and  $(e_i)_{i=1}^{\infty}$  is the unit vector basis of  $U$ , then  $U(X_i) = \ell_p(X_i)$  is clearly the usual  $\ell_p$ -direct sum of Banach spaces  $X_i$ . It is well known (see [12, page 219]) that  $\ell_p(X_i)$  has the Radon-Nikodym property if all the  $X_i$  have the Radon-Nikodym property. The particular case of Theorem 6 for  $U(X_i)$ , where each  $X_i$  is equal to a Banach space  $X$  and  $U$  is an equivalent renorming of  $L_p[0, 1]$ ,  $1 < p < \infty$ , with its normalized Haar basis, was established in [5].

Let  $X$  be a Banach space with a boundedly complete Schauder decomposition  $(X_n)_{n=1}^{\infty}$ , where each of the spaces  $X_n$  are finite dimensional; such a decomposition is called a boundedly complete FDD. Let  $P_i : X \rightarrow X_i$  be the coordinate projection defined by  $P_i(\sum_n x_n) = x_i$ . Let  $Y$  be a Banach space and let  $I_Y$  denote the identity operator on  $Y$ . Consider the natural tensor product of the operators  $P_i$  and  $I_Y$ ;  $\pi_i = P_i \otimes I_Y : X \hat{\otimes} Y \rightarrow X \hat{\otimes} Y$ . It is easily verified (see [21]) that  $(\pi_i(X \hat{\otimes} Y))_{i=1}^{\infty}$  is a Schauder decomposition of  $X \hat{\otimes} Y$ . Also note that since each  $X_i$  is finite dimensional,  $\pi_i(X \hat{\otimes} Y)$  is isomorphic to  $\ell_1^{\dim(X_i)}(Y)$ . Consequently, each subspace  $\pi_i(X \hat{\otimes} Y)$  of  $X \hat{\otimes} Y$  has I- $\Lambda$ -RNP, II- $\Lambda$ -RNP or, respectively, the NRNP if  $Y$  has the same property. Moreover, in [33, Proposition 1] it is proved that if  $X$  has a boundedly complete FDD, then  $(\pi_i(X \hat{\otimes} Y))_{i=1}^{\infty}$  is a boundedly complete Schauder decomposition of  $X \hat{\otimes} Y$ . Therefore we immediately get from Theorem 4:

THEOREM 7. *Let  $X$  be a Banach space with a boundedly complete FDD and let  $Y$  be a Banach space. Then  $X \hat{\otimes} Y$ , the projective tensor product of  $X$  and  $Y$ , has I- $\Lambda$ -RNP, II- $\Lambda$ -RNP or, respectively, the NRNP if  $Y$  has the same property.*

A specific case of Theorem 7 is when one of the spaces has a boundedly complete basis. We explicitly state this result so we can refer back to it in later sections.

**THEOREM 8.** *Let  $X$  be a Banach space with a boundedly complete basis and let  $Y$  be a Banach space. Then  $X \hat{\otimes} Y$ , the projective tensor product of  $X$  and  $Y$ , has I- $\Lambda$ -RNP, II- $\Lambda$ -RNP or, respectively, the NRNP if  $Y$  has the same property.*

Let us recall that a Banach space with a boundedly complete basis has the Radon-Nikodym property.

**REMARK 6.** The following result, giving a particular case of Theorem 8, was proved by Holub [23] (see also [42, Proposition 4.28]): if  $X$  and  $Y$  are Banach spaces with boundedly complete bases, then  $X \hat{\otimes} Y$  has a boundedly complete basis.

The particular case of Theorem 8 with  $X = L_p[0, 1]$ ,  $1 < p < \infty$ , was proved in [7] using a different method which, in fact, will be developed further in the next section of this paper. This method was first used in [6] and then in [5] to show, respectively, that  $\ell_p \hat{\otimes} X$  and  $L_p[0, 1] \hat{\otimes} X$ ,  $1 < p < \infty$ , have the Radon-Nikodym property whenever  $X$  has the Radon-Nikodym property.

**REMARK 7.** A particular case of Theorem 8 (see Remarks 1 and 2) asserts that  $X \hat{\otimes} Y$  contains no copy of  $c_0$  whenever  $X$  has a boundedly complete basis and  $Y$  contains no copy of  $c_0$ . A similar result is true for complemented copies of  $c_0$  (see [35, Theorem 3]). Moreover (see [33, Theorem 3] and [36, Theorem 2]), if  $1 \leq p < q < \infty$ , then  $\ell_p \hat{\otimes} X$  contains no (complemented) copy of  $\ell_q$ , whenever  $X$  contains no (complemented) copy of  $\ell_q$ . These results were proved, like Theorem 7, using the natural Schauder decomposition of  $X \hat{\otimes} Y$  associated to the basis of  $X$ .

James [24] (see [29, Theorem 1.c.10]) showed that an unconditional basis for a Banach space is boundedly complete if the space contains no subspace isomorphic to  $c_0$ . This is the case when the space has the (analytic) Radon-Nikodym property or near Radon-Nikodym property. Therefore, from Theorem 8 and Remarks 1 and 2, we immediately obtain:

**THEOREM 9.** *Let  $X$  and  $Y$  be Banach spaces such that one of them has an unconditional basis. Then  $X \hat{\otimes} Y$ , the projective tensor product of  $X$  and  $Y$ , has the Radon-Nikodym property, the analytic Radon-Nikodym property, the near Radon-Nikodym property or, respectively, contains no subspace isomorphic to  $c_0$  if both  $X$  and  $Y$  have the same property.*

**REMARK 8.** It is well known that the reflexive Banach spaces have the Radon-Nikodym property. However, Theorem 9 does not remain valid for

reflexivity. In [33, Theorem 2], it is proved that if  $X$  and  $Y$  are reflexive Banach spaces such that one of them has an unconditional basis, then  $X \hat{\otimes} Y$  is reflexive if and only if it contains no complemented subspace isomorphic to  $\ell_1$ . (Notice, for instance, that  $\ell_2 \hat{\otimes} \ell_2$  contains a complemented subspace isomorphic to  $\ell_1$ , but  $\ell_2 \hat{\otimes} \ell_3$  does not (see, for example, [42, Example 2.10 and Corollary 4.24] or [33, Theorems 4 and 5]).)

REMARK 9. In general, the Radon-Nikodym property and the property of not containing  $c_0$  isomorphically are not stable under projective tensor products: the Banach space  $X$  constructed by Bourgain and Pisier [3, Corollary 2.4] has the Radon-Nikodym property (and hence  $X$  contains no subspace isomorphic to  $c_0$ ), but the projective tensor product  $X \hat{\otimes} X$  contains  $c_0$  isomorphically.

#### 4. Semi-embeddings of $U \hat{\otimes} X$ into $U(X)$

If  $X$  and  $Y$  are Banach spaces, then a mapping  $T : X \rightarrow Y$  is called a semi-embedding if  $T$  is injective and  $T(B_X)$  is closed in  $Y$ . An important result in the theory of semi-embeddings, appearing in a paper of Bourgain and Rosenthal [4], which they attribute to F. Delbaen, is: if  $X$  is a separable Banach space, if  $Y$  is a Banach space with the Radon-Nikodym property and if there is a semi-embedding  $T : X \rightarrow Y$  of  $X$  into  $Y$ , then  $X$  has the Radon-Nikodym property. This result of Delbaen has been extended to other types of Radon-Nikodym properties; to the near Radon-Nikodym property in [26], to the type-I-Radon-Nikodym property in [15], and to the type-II-Radon-Nikodym property in [38].

The main result of this section is that the projective tensor product,  $U \hat{\otimes} X$ , of the Banach spaces  $U$  and  $X$  semi-embeds in the sequence space  $U(X)$ , when  $U$  has a boundedly complete unconditional basis. Of course, the space  $U(X)$  is the Banach space  $U(X_i)$ , where all the Banach spaces  $X_i$  are equal to  $X$ . We will then use this result to obtain an alternate proof of Theorem 9.

Throughout this section, unless otherwise stated,  $U$  will denote a Banach space with a normalized boundedly complete 1-unconditional basis  $(e_i)_{i=1}^\infty$  and  $X$  will denote an arbitrary Banach space. Then the basis  $(e_i)_{i=1}^\infty$  will also have normalized biorthogonal functionals,  $(e_i^*)_{i=1}^\infty$ ; that is,  $\|e_i\| = \|e_i^*\| = 1$  for all  $i \in \mathbb{N}$  and

$$e_i^*(e_j) = \begin{cases} 1 & \text{if } i = j, \\ 0 & \text{if } i \neq j. \end{cases}$$

It is well known that  $(e_i^*)_{i=1}^\infty$  is an unconditional basic sequence in  $U^*$  and (see, for example, [29, Proposition 1.b.4])  $U$  is isometrically isomorphic to the dual space of  $V = \overline{\text{span}}\{e_i^* : i \in \mathbb{N}\}$ ; that is,  $U = V^*$ . Since the basis  $(e_i)_{i=1}^\infty$  is 1-unconditional, we immediately have the following result:

PROPOSITION 10. *Let  $u = \sum_{i=1}^\infty e_i^*(u)e_i \in U$ . Then:*

- (i) For each subset  $\sigma$  of  $\mathbb{N}$ ,  $\|\sum_{i \in \sigma} e_i^*(u)e_i\| \leq \|u\|$ .
- (ii) For each choice of signs  $\theta = (\theta_i)_{i=1}^\infty$ ,  $\|\sum_{i=1}^\infty \theta_i e_i^*(u)e_i\| \leq \|u\|$ .
- (iii) For each  $\lambda = (\lambda_i)_i \in \ell_\infty$ ,  $\|\sum_{i=1}^\infty \lambda_i e_i^*(u)e_i\| \leq \|\lambda\|_{\ell_\infty} \cdot \|u\|$ .

THEOREM 11.  $U \hat{\otimes} X$  semi-embeds in  $U(X)$ .

*Proof.* Throughout the proof, let  $\varepsilon > 0$  be arbitrary. Define

$$\begin{aligned} \psi : U \hat{\otimes} X &\longrightarrow U(X) \\ z &\longmapsto (\sum_{k=1}^\infty e_i^*(u_k)x_k)_i, \end{aligned}$$

where  $\sum_{k=1}^\infty u_k \otimes x_k$  is a representation of  $z$ .

*Step 1.*  $\psi$  is a continuous linear one-to-one map from  $U \hat{\otimes} X$  into  $U(X)$ .

In fact,  $z \in U \hat{\otimes} X$  admits a representation  $z = \sum_{k=1}^\infty u_k \otimes x_k$  such that

$$\sum_{k=1}^\infty \|u_k\| \cdot \|x_k\| \leq \|z\|_{U \hat{\otimes} X} + \varepsilon.$$

For each  $i \in \mathbb{N}$ , choose  $x_i^* \in B_{X^*}$  such that

$$\|\psi(z)_i\| \leq \langle \psi(z)_i, x_i^* \rangle + \varepsilon/2^i, \quad i = 1, 2, \dots$$

By Proposition 10, for each  $m \in \mathbb{N}$ ,

$$\begin{aligned} \left\| \sum_{i=1}^m \|\psi(z)_i\| e_i \right\|_U &\leq \left\| \sum_{i=1}^m (\langle \psi(z)_i, x_i^* \rangle + \varepsilon/2^i) e_i \right\|_U \\ &\leq \left\| \sum_{i=1}^m \langle \sum_{k=1}^\infty e_i^*(u_k)x_k, x_i^* \rangle e_i \right\|_U + \sum_{i=1}^m \varepsilon/2^i \\ &\leq \sum_{k=1}^\infty \left\| \sum_{i=1}^m e_i^*(u_k)x_i^*(x_k)e_i \right\|_U + \varepsilon \\ &\leq \sum_{k=1}^\infty \|x_k\| \cdot \left\| \sum_{i=1}^\infty e_i^*(u_k)e_i \right\|_U + \varepsilon \\ &= \sum_{k=1}^\infty \|x_k\| \cdot \|u_k\| + \varepsilon \\ &\leq (\|z\|_{U \hat{\otimes} X} + \varepsilon) + \varepsilon. \end{aligned}$$

Therefore,

$$\sup_m \left\| \sum_{i=1}^m \|\psi(z)_i\| e_i \right\|_U \leq \|z\|_{U \hat{\otimes} X}.$$

Since  $(e_i)_{i=1}^\infty$  is a boundedly complete basis of  $U$ , the series  $\sum_i \|\psi(z)_i\| e_i$  converges in  $U$ , and hence  $\psi(z) \in U(X)$  with  $\|\psi(z)\|_{U(X)} \leq \|z\|_{U \hat{\otimes} X}$ . Therefore,  $\psi$  is a well-defined continuous linear map.

To show  $\psi$  is one-to-one, suppose that  $\psi(z) = 0$ . Then  $z$  admits a representation  $z = \sum_{k=1}^{\infty} u_k \otimes x_k$  such that

$$\psi(z)_i = \sum_{k=1}^{\infty} e_i^*(u_k)x_k = 0, \quad i = 1, 2, \dots$$

Now for each  $T \in \mathcal{L}(U, X^*) = (U \hat{\otimes} X)^*$ ,

$$\begin{aligned} \langle z, T \rangle &= \sum_{k=1}^{\infty} \langle Tu_k, x_k \rangle = \sum_{k=1}^{\infty} \langle \sum_{i=1}^{\infty} e_i^*(u_k)Te_i, x_k \rangle \\ &= \sum_{i=1}^{\infty} \langle Te_i, \sum_{k=1}^{\infty} e_i^*(u_k)x_k \rangle = 0. \end{aligned}$$

So  $z = 0$ , and hence  $\psi$  is one-to-one. Step 1 is complete.

Next we want to show  $\psi$  is a semi-embedding, that is, for a sequence  $z_n \in B_{U \hat{\otimes} X}$  and an element  $(y_i)_i \in U(X)$  such that  $\lim_n \psi(z_n) = (y_i)_i$  in  $U(X)$ , there exists a  $z \in B_{U \hat{\otimes} X}$  such that  $\psi(z) = (y_i)_i$ .

*Step 2.* If  $\phi$  is defined by  $\langle T, \phi \rangle = \sum_{i=1}^{\infty} \langle y_i, Te_i \rangle$  for each  $T \in \mathcal{L}(U, X^*)$ , then  $\phi \in \mathcal{L}(U, X^*)^*$  with  $\|\phi\| \leq 1$ .

In fact, for each  $n \in \mathbb{N}$ ,  $z_n \in U \hat{\otimes} X$  admits a representation

$$z_n = \sum_{k=1}^{\infty} u_{k,n} \otimes x_{k,n}, \quad n = 1, 2, \dots$$

such that

$$\sum_{k=1}^{\infty} \|u_{k,n}\| \cdot \|x_{k,n}\| \leq \|z_n\|_{U \hat{\otimes} X} + \varepsilon, \quad n = 1, 2, \dots$$

Since  $\lim_n \psi(z_n) = \lim_n (\sum_{k=1}^{\infty} e_i^*(u_{k,n})x_{k,n})_i = (y_i)_i$  in  $U(X)$ ,

$$\lim_n \sum_{k=1}^{\infty} e_i^*(u_{k,n})x_{k,n} = y_i, \quad i = 1, 2, \dots$$

Fix  $m \in \mathbb{N}$ . Then there exists an  $n_0 \in \mathbb{N}$  such that

$$\left\| \sum_{k=1}^{\infty} e_i^*(u_{k,n_0})x_{k,n_0} - y_i \right\| \leq \varepsilon/m, \quad i = 1, 2, \dots, m.$$

For any  $T \in \mathcal{L}(U, X^*)$ , define  $S$  by  $Su = \sum_{i=1}^m \theta_i e_i^*(u)Te_i$  for each  $u \in U$ , where  $\theta_i = \text{sign}(\langle \sum_{k=1}^{\infty} e_i^*(u_{k,n_0})x_{k,n_0}, Te_i \rangle)$ . Then by Proposition 10,  $S \in$

$\mathcal{L}(U, X^*)$  with  $\|S\| \leq \|T\|$ . So

$$\begin{aligned} \sum_{i=1}^m |\langle y_i, Te_i \rangle| &\leq \sum_{i=1}^m \left| \left\langle y_i - \sum_{k=1}^{\infty} e_i^*(u_{k,n_0})x_{k,n_0}, Te_i \right\rangle \right| \\ &\quad + \sum_{i=1}^m \left| \left\langle \sum_{k=1}^{\infty} e_i^*(u_{k,n_0})x_{k,n_0}, Te_i \right\rangle \right| \\ &\leq \sum_{i=1}^m \varepsilon/m \cdot \|Te_i\| + \left| \sum_{i=1}^m \theta_i \left\langle \sum_{k=1}^{\infty} e_i^*(u_{k,n_0})x_{k,n_0}, Te_i \right\rangle \right| \\ &\leq \varepsilon\|T\| + \left| \sum_{k=1}^{\infty} \left\langle \sum_{i=1}^m \theta_i e_i^*(u_{k,n_0})Te_i, x_{k,n_0} \right\rangle \right| \\ &= \varepsilon\|T\| + \left| \sum_{k=1}^{\infty} \langle Su_{k,n_0}, x_{k,n_0} \rangle \right| = \varepsilon\|T\| + |\langle S, z_{n_0} \rangle| \\ &\leq \varepsilon\|T\| + \|S\| \cdot \|z_{n_0}\| \leq \varepsilon\|T\| + \|T\|. \end{aligned}$$

Letting  $m \rightarrow \infty$  and  $\varepsilon \rightarrow 0$ ,

$$\sum_{i=1}^{\infty} |\langle y_i, Te_i \rangle| \leq \|T\|.$$

Therefore,  $\phi$  is a well-defined continuous linear functional with  $\|\phi\| \leq 1$ . Step 2 is complete.

*Step 3.* There exists a  $z \in B_{U \hat{\otimes} X^{**}}$  such that  $\psi(z) = (y_i)_i$ .

In fact, note that  $U = V^*$ . So  $K = (B_U, \text{weak}^*) \times (B_{X^{**}}, \text{weak}^*)$  is a compact Hausdorff space. Define  $J : \mathcal{L}(U, X^*) \rightarrow C(K)$  by  $JT(u, x^{**}) = \langle Tu, x^{**} \rangle$  for each  $u \in B_U$  and each  $x^{**} \in B_{X^{**}}$ . Then  $\|JT\|_{C(K)} = \|T\|$ . So  $J(\mathcal{L}(U, X^*))$  is a closed subspace of  $C(K)$ . Define  $F_\phi : J(\mathcal{L}(U, X^*)) \rightarrow \mathbb{K}$  by  $F_\phi(JT) = \langle T, \phi \rangle$  for each  $T \in \mathcal{L}(U, X^*)$ . Then  $\|F_\phi\| = \|\phi\|$ . By the Hahn-Banach Theorem,  $F_\phi$  can be norm-preservingly extended to  $C(K)$ , and moreover, by the Riesz Representation Theorem, there exists a regular Borel measure  $\mu$  on  $K$  such that

$$(4) \quad F_\phi(JT) = \int_K JT(u, x^{**}) d\mu(u, x^{**}), \quad T \in \mathcal{L}(U, X^*),$$

and

$$(5) \quad |\mu|(K) = \|F_\phi\| = \|\phi\|.$$

Define

$$g : \begin{array}{ccc} K & \longrightarrow & X^{**} \\ (u, x^{**}) & \longmapsto & x^{**}. \end{array}$$

Then  $g$  is weak\* continuous and hence weak\*  $\mu$ -measurable. Furthermore, for each  $x^* \in X^*$ ,

$$\int_K |x^*g| d|\mu| = \int_K |x^{**}(x^*)| d|\mu| \leq \int_K \|x^*\| d|\mu| \leq \|x^*\| \cdot |\mu|(K) < \infty.$$

So  $g$  is Gel'fand integrable (see [12, page 53]). Define

$$h : \begin{array}{ccc} K & \longrightarrow & U \\ (u, x^{**}) & \longmapsto & u. \end{array}$$

Then  $h$  is weak\* continuous and hence weak\*  $\mu$ -measurable. Note that  $U$  is separable. By [12, page 42, Corollary 4],  $h$  is strongly  $\mu$ -measurable. Moreover,

$$\int_K \|h(u, x^{**})\| d|\mu| = \int_K \|u\| d|\mu| \leq |\mu|(K) < \infty.$$

So  $h$  is Bochner  $|\mu|$ -integrable. It follows from [12, page 172, Lemma 3] that there exist a sequence  $(u_k)_{k=1}^\infty$  of  $U$  and a sequence  $(E_k)_{k=1}^\infty$  of Borel measurable subsets of  $K$  such that

$$h = \sum_{k=1}^\infty u_k \chi_{E_k}, \quad |\mu|\text{-a.e.}$$

and

$$\sum_{k=1}^\infty \|u_k\| \cdot |\mu|(E_k) \leq \int_K \|h\| d|\mu| + \varepsilon \leq |\mu|(K) + \varepsilon.$$

Now for each  $T \in \mathcal{L}(U, X^*)$ , by (4),

$$\langle T, \phi \rangle = F_\phi(JT) = \int_K JT(u, x^{**}) d\mu(u, x^{**}) = \int_K \langle Tu, x^{**} \rangle d\mu(u, x^{**}).$$

For each  $i \in \mathbb{N}$  and each  $x^* \in X^*$ , plugging  $T_i = e_i^* \otimes x^*$  in the above equality,

$$\begin{aligned} (6) \quad \langle y_i, x^* \rangle &= \langle T_i, \phi \rangle \\ &= \int_K \langle T_i u, x^{**} \rangle d\mu(u, x^{**}) \\ &= \int_K \langle e_i^*(u)x^*, x^{**} \rangle, d\mu(u, x^{**}) \\ &= \int_K x^*(g)e_i^*(h) d\mu(u, x^{**}) \\ &= \int_K x^*(g)\langle e_i^*, \sum_{k=1}^\infty u_k \chi_{E_k} \rangle d\mu(u, x^{**}) \\ &= \int_K \sum_{k=1}^\infty x^*(g)e_i^*(u_k)\chi_{E_k} d\mu(u, x^{**}) \end{aligned}$$

$$\begin{aligned}
&= \sum_{k=1}^{\infty} e_i^*(u_k) \int_{E_k} x^*(g) d\mu(u, x^{**}) \\
&= \sum_{k=1}^{\infty} e_i^*(u_k) x^*(w_k^{**}),
\end{aligned}$$

where

$$w_k^{**} = \text{Gel'fand-} \int_{E_k} g d\mu(u, x^{**}), \quad k = 1, 2, \dots$$

Notice that for each  $x^* \in X^*$  and each  $k \in \mathbb{N}$ ,

$$\begin{aligned}
|w_k^{**}(x^*)| &= \left| \int_{E_k} x^*(g) d\mu \right| \leq \int_{E_k} |x^*(g)| d|\mu| \\
&\leq \int_{E_k} \|x^*\| \cdot \|g\| d|\mu| \leq \|x^*\| \cdot |\mu|(E_k).
\end{aligned}$$

So

$$\|w_k^{**}\| \leq |\mu|(E_k), \quad k = 1, 2, \dots$$

Thus for each  $i \in \mathbb{N}$ ,

$$\begin{aligned}
\sum_{k=1}^{\infty} \|e_i^*(u_k) w_k^{**}\| &= \sum_{k=1}^{\infty} |e_i^*(u_k)| \cdot \|w_k^{**}\| \\
&\leq \sum_{k=1}^{\infty} \|u_k\| \cdot |\mu|(E_k) \leq |\mu|(K) + \varepsilon.
\end{aligned}$$

It follows that the series  $\sum_k e_i^*(u_k) w_k^{**}$  converges absolutely in  $X^{**}$  for each  $i \in \mathbb{N}$ . Therefore, by (6),

$$(7) \quad y_i = \sum_{k=1}^{\infty} e_i^*(u_k) w_k^{**}, \quad i = 1, 2, \dots$$

Now let  $z = \sum_{k=1}^{\infty} u_k \otimes w_k^{**}$ . Then  $z \in U \hat{\otimes} X^{**}$  and  $\psi(z) = (y_i)_i$ . Furthermore,

$$(8) \quad \|z\|_{U \hat{\otimes} X^{**}} \leq \sum_{k=1}^{\infty} \|u_k\| \cdot \|w_k^{**}\| \leq \sum_{k=1}^{\infty} \|u_k\| \cdot |\mu|(E_k) \leq |\mu|(K) + \varepsilon.$$

Letting  $\varepsilon \rightarrow 0$ ,

$$(9) \quad \|z\|_{U \hat{\otimes} X^{**}} \leq |\mu|(K) = \|\phi\| \leq 1.$$

Step 3 is complete.

Step 4.  $z \in B_{U \hat{\otimes} X}$ .

In fact, for each  $n \in \mathbb{N}$ , define  $z'_n = \sum_{i=1}^n e_i \otimes y_i \in U \hat{\otimes} X$ . Then for each  $T \in \mathcal{L}(U, X^*)$ ,

$$\begin{aligned}
 \langle z'_n - z, T \rangle &= \sum_{i=1}^n \langle T e_i, y_i \rangle - \sum_{k=1}^{\infty} \langle T u_k, w_k^{**} \rangle \\
 &= \sum_{i=1}^n \langle T e_i, \sum_{k=1}^{\infty} e_i^*(u_k) w_k^{**} \rangle - \sum_{k=1}^{\infty} \langle T u_k, w_k^{**} \rangle \\
 &= \sum_{k=1}^{\infty} \langle \sum_{i=1}^n e_i^*(u_k) T e_i, w_k^{**} \rangle - \sum_{k=1}^{\infty} \langle T u_k, w_k^{**} \rangle \\
 &= \sum_{k=1}^{\infty} \langle T \left( \sum_{i=1}^n e_i^*(u_k) e_i - u_k \right), w_k^{**} \rangle \\
 &= \sum_{k=1}^{\infty} \langle \sum_{i=1}^n e_i^*(u_k) e_i - u_k, T^* w_k^{**} \rangle.
 \end{aligned}$$

Since  $\sum_{k=1}^{\infty} \|u_k\| \cdot \|w_k^{**}\| < \infty$ , there exists a  $k_0 \in \mathbb{N}$  such that

$$\sum_{k=k_0}^{\infty} \|u_k\| \cdot \|w_k^{**}\| \leq \varepsilon.$$

Since  $\lim_n \left\| \sum_{i=1}^n e_i^*(u_k) e_i - u_k \right\| = 0$  for each  $k \in \mathbb{N}$ , there exists an  $n_0 \in \mathbb{N}$  such that for each  $n > n_0$ ,

$$\left\| \sum_{i=1}^n e_i^*(u_k) e_i - u_k \right\| \leq \varepsilon \|u_k\|, \quad k = 1, 2, \dots, k_0.$$

Thus for each  $n > n_0$ ,

$$\begin{aligned}
 |\langle z'_n - z, T \rangle| &\leq \sum_{k=1}^{k_0} \left| \langle \sum_{i=1}^n e_i^*(u_k) e_i - u_k, T^* w_k^{**} \rangle \right| \\
 &\quad + \sum_{k=k_0}^{\infty} \left| \langle \sum_{i=1}^n e_i^*(u_k) e_i - u_k, T^* w_k^{**} \rangle \right| \\
 &\leq \sum_{k=1}^{k_0} \left\| \sum_{i=1}^n e_i^*(u_k) e_i - u_k \right\| \cdot \|T^* w_k^{**}\| \\
 &\quad + \sum_{k=k_0}^{\infty} \left\| \sum_{i=1}^n e_i^*(u_k) e_i - u_k \right\| \cdot \|T^* w_k^{**}\| \\
 &\leq \sum_{k=1}^{k_0} \varepsilon \|u_k\| \cdot \|T^*\| \cdot \|w_k^{**}\| + \sum_{k=k_0}^{\infty} \|u_k\| \cdot \|T^*\| \cdot \|w_k^{**}\|
 \end{aligned}$$

$$\begin{aligned} &\leq \varepsilon \|T\| \sum_{k=1}^{\infty} \|u_k\| \cdot \|w_k^{**}\| + \varepsilon \|T\| \\ &\leq \varepsilon \|T\| (|\mu|(K) + \varepsilon) + \varepsilon \|T\| \quad (\text{from (8) and (9)}) \\ &\leq \varepsilon \|T\| (1 + \varepsilon) + \varepsilon \|T\|. \end{aligned}$$

So for each  $n > n_0$ ,

$$\|z'_n - z\|_{U \hat{\otimes} X^{**}} \leq \varepsilon(1 + \varepsilon) + \varepsilon.$$

By [12, page 238, Corollary 14],  $U \hat{\otimes} X$  is a subspace of  $U \hat{\otimes} X^{**}$ . So  $z = \lim_n z'_n \in U \hat{\otimes} X$  and  $\|z\|_{U \hat{\otimes} X} = \|z\|_{U \hat{\otimes} X^{**}} \leq 1$ . Step 4 is complete.

Steps 1–4 complete the proof of Theorem. □

LEMMA 12. *Let  $S$  be a closed separable subspace of  $U \hat{\otimes} X$ . Then there is a closed separable subspace  $Y$  of  $X$  such that  $S$  is a closed subspace of  $U \hat{\otimes} Y$ .*

*Proof.* Let  $S$  be a closed separable subspace of  $U \hat{\otimes} X$ , and let  $D = (d_n)_{n=1}^{\infty}$  be a countably dense subset of  $S$ . Then for each fixed  $m \in \mathbb{N}$ ,  $d_n$  has a representation

$$(10) \quad d_n = \sum_{k=1}^{\infty} u_k^{(n,m)} \otimes x_k^{(n,m)}, \quad n = 1, 2, \dots$$

such that

$$(11) \quad \sum_{k=1}^{\infty} \|u_k^{(n,m)}\| \cdot \|x_k^{(n,m)}\| \leq \|d_n\|_{U \hat{\otimes} X} + 1/m, \quad n = 1, 2, \dots$$

Let

$$Y = \overline{\text{span}}\{x_k^{(n,m)} : n, m, k = 1, 2, \dots\}.$$

Then  $Y$  is a closed separable subspace of  $X$ . Moreover, from (10) and (11),  $d_n \in U \hat{\otimes} Y$  for each  $n \in \mathbb{N}$  and

$$\|d_n\|_{U \hat{\otimes} Y} \leq \|d_n\|_{U \hat{\otimes} X} + 1/m, \quad n = 1, 2, \dots$$

Letting  $m \rightarrow \infty$ ,

$$\|d_n\|_{U \hat{\otimes} Y} \leq \|d_n\|_{U \hat{\otimes} X}, \quad n = 1, 2, \dots$$

Obviously,

$$\|d_n\|_{U \hat{\otimes} Y} \geq \|d_n\|_{U \hat{\otimes} X}, \quad n = 1, 2, \dots$$

So

$$\|d_n\|_{U \hat{\otimes} Y} = \|d_n\|_{U \hat{\otimes} X}, \quad n = 1, 2, \dots$$

Thus  $(S, \|\cdot\|_{U \hat{\otimes} X}) = \text{closure of } (D, \|\cdot\|_{U \hat{\otimes} X}) = \text{closure of } (D, \|\cdot\|_{U \hat{\otimes} Y}) \subseteq U \hat{\otimes} Y$ . Therefore,  $S$  is a closed subspace of  $U \hat{\otimes} Y$ . The proof is complete. □

REMARK 10. Notice that  $Y$  in Lemma 12 may be chosen so that  $U\hat{\otimes}Y$  is a subspace of  $U\hat{\otimes}X$ . In fact (see [44]), any separable subspace of  $X$  is contained in a separable closed subspace  $Y$  of  $X$  such that there exists a linear Hahn-Banach extension operator from  $Y^*$  to  $X^*$ . But, in this case (see [40, Theorem 1]),  $U\hat{\otimes}Y$  is a subspace of  $U\hat{\otimes}X$ .

Using the “semi-embeddings method” (that is, relying on Theorem 11), we now give an alternate proof for the following important special case of Theorem 8.

THEOREM 13. *Let  $G$  be a compact metrizable abelian group and let  $\Lambda$  be a subset of  $\Gamma$ . Then  $U\hat{\otimes}X$ , the projective tensor product of  $U$  and  $X$ , has I- $\Lambda$ -RNP, II- $\Lambda$ -RNP or, respectively, the NRNP if  $X$  has the same property.*

*Proof.* From [15] and [26], we know that a Banach space has I- $\Lambda$ -RNP, II- $\Lambda$ -RNP or, respectively, the NRNP if all its separable closed linear subspaces have the same property. Also, from [15], [38] and [26] we know that if a separable Banach space  $Z$  semi-embeds in a Banach space which has I- $\Lambda$ -RNP, II- $\Lambda$ -RNP or, respectively, the NRNP then  $Z$  has the same property.

Now suppose that  $X$  has I- $\Lambda$ -RNP (respectively, II- $\Lambda$ -RNP or NRNP). Take a closed separable subspace  $S$  of  $U\hat{\otimes}X$ . By Lemma 12, there is a separable subspace  $Y$  of  $X$  such that  $S$  is a subspace of  $U\hat{\otimes}Y$ . As a subspace of  $X$ ,  $Y$  has I- $\Lambda$ -RNP (respectively, II- $\Lambda$ -RNP or NRNP). By Theorem 6,  $U(Y)$  has I- $\Lambda$ -RNP (respectively, II- $\Lambda$ -RNP or NRNP). Since  $U$  and  $Y$  are separable,  $U\hat{\otimes}Y$  is separable, too. By Theorem 11,  $U\hat{\otimes}Y$  semi-embeds in  $U(Y)$ . Thus,  $U\hat{\otimes}Y$  has I- $\Lambda$ -RNP (respectively, II- $\Lambda$ -RNP or NRNP). Hence,  $S$ , as a subspace of  $U\hat{\otimes}Y$ , has I- $\Lambda$ -RNP (respectively, II- $\Lambda$ -RNP or NRNP), too. Therefore, we have shown that each closed separable subspace of  $U\hat{\otimes}X$  has I- $\Lambda$ -RNP (respectively, II- $\Lambda$ -RNP or NRNP), which shows that  $U\hat{\otimes}X$  has I- $\Lambda$ -RNP (respectively, II- $\Lambda$ -RNP or NRNP), also. The proof is complete.  $\square$

Finally, we give an alternate

*Proof of Theorem 9.* Suppose that  $X$  has an unconditional basis  $(x_n)_{n=1}^\infty$ . By scaling if necessary, we can assume that  $(x_n)_{n=1}^\infty$  is a normalized basis. Let  $(x_n^*)_{n=1}^\infty$  denote the sequence of biorthogonal functionals associated with  $(x_n)_{n=1}^\infty$ .

If  $X$  has the Radon-Nikodym property, the analytic Radon-Nikodym property, the near Radon-Nikodym property, or does not contain a copy of  $c_0$ , then  $X$  does not contain a copy of  $c_0$ . By James’s Theorem (see Section 3), the basis  $(x_n)_{n=1}^\infty$  is also boundedly complete. We can equivalently renorm  $X$  by letting

$$\|x\|_{new} = \sup \left\{ \left\| \sum_{i=1}^m \beta_i x_i^*(x) x_i \right\| : m \in \mathbb{N} \text{ and } |\beta_i| \leq 1, i \in \mathbb{N} \right\}, \quad x \in X$$

(see [45, page 463, Theorem II.16.1]). It is straightforward that  $\|x_n\|_{new} = \|x_n\| = 1$  and  $(x_n)_{n=1}^\infty$  is a 1-unconditional basis for  $(X, \|\cdot\|_{new})$ . Consequently,  $X$  is isomorphic to  $(X, \|\cdot\|_{new})$  which has a normalized boundedly complete, 1-unconditional basis with normalized biorthogonal functionals. Note that  $X \hat{\otimes} Y$  is isomorphic to  $(X, \|\cdot\|_{new}) \hat{\otimes} Y$ . Therefore, by Theorem 13,  $(X, \|\cdot\|_{new}) \hat{\otimes} Y$ , and hence  $X \hat{\otimes} Y$ , has the Radon-Nikodym property, the analytic Radon-Nikodym property, the near Radon-Nikodym property or, respectively, contains no copy of  $c_0$  if  $Y$  has the same property. This completes the proof.  $\square$

### 5. Applications to concrete Banach spaces

It is well known and easy to verify that the unit vectors form a boundedly complete unconditional basis in  $\ell_p$ , for  $1 \leq p < \infty$ . So we have:

FACT 1. *The classical sequence space  $\ell_p$  ( $1 \leq p < \infty$ ) has a boundedly complete unconditional basis.*

From [30, Theorem 2.c.5] we know that the Haar system forms an unconditional basis of  $L_p[0, 1]$  for  $1 < p < \infty$ . By a classical result of James [24] (see [29, Theorem 1.b.4]) every basis in a reflexive Banach space is boundedly complete. So we have:

FACT 2. *The classical Lebesgue function space  $L_p[0, 1]$  ( $1 < p < \infty$ ) has a boundedly complete unconditional basis.*

From [29, Proposition 4.a.4] we know that if  $M \in \Delta_2$ , then the unit vectors form a boundedly complete symmetric basis of  $\ell_M$ . Also from [29, page 113] we know that every symmetric basis is an unconditional basis. Thus we have:

FACT 3. *The Orlicz sequence space  $\ell_M$  ( $M \in \Delta_2$ ) has a boundedly complete unconditional basis.*

From [11, Corollary 1.46 and Theorem 1.98] we know that if  $M \in \Delta_2$  and  $M^* \in \Delta_2$ , then the Orlicz function space  $L_M[0, 1]$  is a reflexive space with the Haar system as its an unconditional basis. Thus we have:

FACT 4. *The Orlicz function space  $L_M[0, 1]$  ( $M, M^* \in \Delta_2$ ) has a boundedly complete unconditional basis.*

Let  $1 \leq p < \infty$  and let  $w = (w_i)_{i=1}^\infty$  be a non-increasing sequence of positive numbers such that  $w_1 = 1$ ,  $\lim_i w_i = 0$  and  $\sum_{i=1}^\infty w_i = \infty$ . The Banach space of all sequences of scalars  $x = (a_1, a_2, \dots)$  for which

$$\|x\| = \sup_{\pi} \left( \sum_{i=1}^{\infty} |a_{\pi(i)}|^p w_i \right)^{1/p} < \infty,$$

where  $\pi$  ranges over all the permutations of integers, is denoted by  $d(w, p)$  and is called a *Lorentz sequence space*. By [8], the unit vectors form a boundedly complete unconditional basis of  $d(w, 1)$ . By [1], [19], [20],  $d(w, p)$ ,  $1 < p < \infty$ , is a reflexive Banach space and the unit vectors form a symmetric basis. Thus we have:

FACT 5. *The Lorentz sequence space  $d(w, p)$  ( $1 \leq p < \infty$ ) has a boundedly complete unconditional basis.*

Let  $m$  denote the Lebesgue measure on  $[0,1]$ . For a real-valued Lebesgue measurable function  $f$  on  $[0,1]$  we denote the distribution function of  $|f|$  by  $d_f$ , that is,

$$d_f(t) = m(\{x : |f(x)| > t\});$$

and we denote by  $f^*$  the decreasing rearrangement of  $|f|$ , that is,

$$f^*(t) = \inf\{x > 0 : d_f(x) \leq t\}.$$

A function  $w$  on  $[0,1]$  will be called a *Lorentz weight* on  $[0,1]$  if  $w$  is non-negative, non-increasing,  $w(1) > 0$ , and  $\int_0^1 w(t) dt = 1$ . Given a Lorentz weight  $w$  and  $1 \leq p < \infty$ , the *Lorentz function space*  $L_{w,p}[0,1]$  is defined to be the set of all equivalence classes of measurable functions  $f$  on  $[0,1]$  for which  $\|f\|_{w,p} < \infty$ , where

$$\|f\|_{w,p} = \left( \int_0^1 f^*(t)^p w(t) dt \right)^{1/p}.$$

If  $w(x) \equiv 1$ , then  $L_{w,p}[0,1] \equiv L_p[0,1]$ . If  $w(x) = \frac{q}{p} x^{(q/p)-1}$ ,  $1 \leq q \leq p < \infty$ , then  $L_{w,p}[0,1]$  is the classical Lorentz space  $L_{p,q}[0,1]$ . If  $w(x) = c(p, q, \alpha) x^{(q/p)-1} (1 + |\log x|)^{\alpha q}$ ,  $1 \leq q \leq p < \infty$ ,  $0 \leq \alpha < \infty$ , where  $c(p, q, \alpha)$  is a constant chosen to satisfy  $\int_0^1 w(t) dt = 1$ , then  $L_{w,p}[0,1]$  is the so-called *Lorentz-Zygmund space*  $L_{p,q,\alpha}[0,1]$  (see [2]).

Associated to a Lorentz weight  $w$  is the function  $S(x) = \int_0^x w(t) dt$ . The weight  $w$  is called *regular* if there is a constant  $K > 1$  such that  $S(2x)/S(x) \geq K$  for all  $x$  with  $2x \in [0,1]$ . Note that in each of the Lorentz spaces  $L_{p,q}[0,1]$  and  $L_{p,q,\alpha}[0,1]$  mentioned above, the weight is regular (see [10, page 8]).

From [10, page 25] we know that for  $1 < p < \infty$ , the Haar system forms an unconditional basis for  $L_{w,p}[0,1]$  exactly when  $w$  is regular. Also from [31],  $L_{w,p}[0,1]$ ,  $1 < p < \infty$ , is reflexive. Thus we have:

FACT 6. *The Lorentz function space  $L_{w,p}[0,1]$  ( $1 < p < \infty$ ,  $w$  is regular) has a boundedly complete unconditional basis.*

From [9], [32], [47] we know that the classical Hardy space on the unit disk in the complex plane,  $H_1(D)$ , has an unconditional basis. Since  $H_1(D)$  is a subspace of  $L_1(\mathbb{T})$  and  $L_1(\mathbb{T})$  does not contain a copy of  $c_0$ ,  $H_1(D)$  does not contain  $c_0$ . Thus an application of James's Theorem (see Section 3) yields:

FACT 7. *The Hardy space  $H_1(D)$  has a boundedly complete unconditional basis.*

Now from Facts 1–7, and Theorem 9 or Theorem 8 together with Remarks 1 and 2, we have:

COROLLARY 14. *Let  $X$  be any Banach space and  $U$  be  $\ell_p$  ( $1 \leq p < \infty$ ),  $L_p[0, 1]$  ( $1 < p < \infty$ ),  $\ell_M$  ( $M \in \Delta_2$ ),  $L_M[0, 1]$  ( $M, M^* \in \Delta_2$ ),  $d(w, p)$  ( $1 \leq p < \infty$ ),  $L_{w,p}[0, 1]$  ( $1 < p < \infty$ ,  $w$  is regular), or  $H_1(D)$ . Then  $U \hat{\otimes} X$ , the projective tensor product of  $U$  and  $X$ , has the Radon-Nikodym property (respectively, the analytic Radon-Nikodym property, the near Radon-Nikodym property, contains no copy of  $c_0$ ) if and only if  $X$  has the same property.*

REMARK 11. It is shown in [5], [6], [7] that for  $1 < p < \infty$ ,  $L_p[0, 1] \hat{\otimes} X$  has the Radon-Nikodym property (respectively, the analytic Radon-Nikodym property, the near Radon-Nikodym property, contains no copy of  $c_0$ ) whenever  $X$  has the same property. For  $p = 1$ , it is known that  $L_1[0, 1] \hat{\otimes} X$  is isometrically isomorphic to the Bochner integrable function space  $L_1([0, 1], X)$  which is known to have the analytic Radon-Nikodym property (respectively, the near Radon-Nikodym property, contain no copy of  $c_0$ ) whenever  $X$  has the same property [13], [28], [39].

It follows from [14] that  $H_1(D) \hat{\otimes} X$  has the Radon-Nikodym property whenever  $X$  has the Radon-Nikodym property. It can also be seen that  $H_1(D) \hat{\otimes} X$  has the analytic Radon-Nikodym property (respectively, the near Radon-Nikodym property, contains no copy of  $c_0$ ) whenever  $X$  has the same property, by noting that  $H_1(D) \hat{\otimes} X$  is a subspace of  $L_1(\mathbb{T}, X)$  and using the results of the last paragraph. It should be noted that, unlike the case of  $L_1(\mathbb{T}) \hat{\otimes} X$ ,  $H_1(D) \hat{\otimes} X$  is not necessarily isomorphic to the function space  $H_1(D, X)$  (see [22], [27]).

Let  $\mathcal{M}$  be a semifinite von Neumann algebra acting on a separable Hilbert space and let  $\tau$  be a normal faithful semifinite trace on  $\mathcal{M}$ . For  $1 \leq p < \infty$ , let  $L_p(\mathcal{M}, \tau)$  be the vector space of all  $\tau$ -measurable operators  $A$ , such that  $\tau(|A|^p) < \infty$ , where  $|A| = (A^*A)^{1/2}$ . The space  $L_p(\mathcal{M}, \tau)$  is a Banach space when equipped with the norm  $\|A\|_p = (\tau(|A|^p))^{1/p}$  [18]. A von Neumann algebra  $\mathcal{M}$  is called hyperfinite if  $\mathcal{M}$  is the weak closure of the union of an increasing sequence of finite dimensional von Neumann algebras. It follows from [37], [46] that if  $\mathcal{M}$  is hyperfinite and  $1 < p < \infty$ , then  $L_p(\mathcal{M}, \tau)$  has an unconditional finite dimensional decomposition. Since  $L_p(\mathcal{M}, \tau)$  is reflexive for  $1 < p < \infty$ , by an extension of James's result due to Sanders [43], it follows that the FDD of  $L_p(\mathcal{M}, \tau)$  is boundedly complete. In particular, when  $\mathcal{M} = B(\ell^2)$ , the space of bounded linear operators on  $\ell^2$ , then  $L_p(\mathcal{M}, \tau) = C_p$ , the Schatten  $p$ -classes. Since  $B(\ell^2)$  is hyperfinite, we have that the Schatten

$p$ -classes  $C_p$  have a boundedly complete FDD when  $1 < p < \infty$ . Therefore from Theorem 7 and Remarks 1 and 2 we have:

**COROLLARY 15.** *Let  $1 < p < \infty$  and let  $X$  be  $C_p$  or  $L_p(\mathcal{M}, \tau)$ , where  $\mathcal{M}$  is a hyperfinite von Neumann algebra acting on a separable Hilbert space and  $\tau$  is a normal faithful semifinite trace on  $\mathcal{M}$ , and let  $Y$  be any Banach space. Then  $X \hat{\otimes} Y$ , the projective tensor product of  $X$  and  $Y$ , has the Radon-Nikodym property (respectively, the analytic Radon-Nikodym property, the near Radon-Nikodym property, contains no copy of  $c_0$ ) if and only if  $Y$  has the same property.*

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