

ON SUBSPACES OF SPACES WITH AN UNCONDITIONAL BASIS AND SPACES OF OPERATORS¹

BY
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Abstract

A reflexive Banach space E has an unconditional finite dimensional expansion of the identity iff E has the approximation property and E is a subspace of a space with an unconditional basis. More results are given in the non-reflexive case. The results are applied to show that the non-complementation of $C(E, F)$ in $L(E, F)$ is equivalent to $C(E, F) \neq L(E, F)$ in certain cases such as: E is reflexive, E or F has the b.a.p. and F is a subspace of a space with an unconditional basis.

1. Introduction and preliminaries

Throughout this paper, "operator" means a bounded linear map, a "space" is a Banach space and "subspace" means a closed linear subspace, X, Y, E, F and G will always denote Banach spaces. E' is the dual of E . $L(E, F)$ denotes the space of all operators from E to F normed by the usual sup norm and $C(E, F)$ is the subspace of $L(E, F)$ of the compact operators.

A separable Banach space E has the bounded approximation property (b.a.p.) if and only if there is a sequence $\{A_n\}$ of finite rank operators in E such that $x = \sum A_n x$ for all $x \in E$. If $\sum A_n x$ converges unconditionally for all $x \in E$ then $\{A_n\}$ is called an unconditional finite dimensional expansion of the identity (u.f.d.e.i.) of E . In this case we say that E has the unconditional approximation property (suggested by H. P. Rosenthal). If, in addition, for all n , A_n is a projection and $A_n A_m = 0$ when $n \neq m$, then $\{A_n\}$ is called an unconditional finite dimensional decomposition (u.f.d.d.) of (the identity of) E . Pełczyński and Wojtaszczyk [13] proved that E has an u.f.d.e.i. iff E is complemented in a space with an u.f.d.d. A space with an u.f.d.d. is a subspace of a space with an unconditional basis (see Lindenstrauss and Tzafriri [10]). Hence a space with an u.f.d.e.i. is a subspace of a space with an unconditional basis. We shall show that in certain cases, the converse is also true. In particular we prove that for a reflexive Banach space E having the approximation property, E has an u.f.d.e.i.

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iff E is a subspace of a space with an unconditional basis. We mention an example of a subspace of l_1 having a basis but lacking the unconditional a.p. (i.e. having no u.f.d.e.i.). In the nonreflexive case we prove that if E has a shrinking unconditional basis, M is a subspace of E and M' has the approximation property (a.p.) (and hence the b.a.p.) then M has the unconditional a.p. Finally if N is a quotient of a space with a shrinking unconditional basis and N' has the a.p. then N and N' have the unconditional a.p.

In the last section we study some equivalences of the non-complementation of $C(E, F)$ in $L(E, F)$. It is still an open question whether $C(E, F)$ can be nontrivially complemented in $L(E, F)$. Among the results proven, if $L(E, F) \neq C(E, F)$, E is reflexive, F is a subspace of a space with an unconditional basis and E or F has the b.a.p. then $C(E, F)$ is uncomplemented in $L(E, F)$.

Let $\{x_n\}$ be a sequence in E . If

$$\varepsilon_1(\{x_n\}) = \sup \left\{ \sum |f(x_n)| : f \in E', \|f\| \leq 1 \right\}$$

is finite then we say that the series $\sum x_n$ is weakly unconditionally Cauchy. It is well known and easy to see that $\sum x_n$ is weakly unconditional Cauchy iff there exists $K > 0$ such that for every n and scalars $\lambda_1, \dots, \lambda_n$,

$$\left\| \sum_{i=1}^n \lambda_i x_i \right\| \leq K \sup |\lambda_i|.$$

For any $x' \in E'$ and $y \in F$ denote by $x' \otimes y$ the operator mapping $x \rightarrow x'(x)y$. If $\{e_n\}$ is an unconditional basis of E with an unconditional constant K and if $\{e'_n\}$ is the sequence of coefficient functionals and if $A \in L(F, E)$, $B \in L(E, G)$ then

$$\left\| \sum_{i=1}^n \lambda_i A^* e'_i \otimes B e_i \right\| \leq K \|A\| \|B\| \sup |\lambda_i|$$

for all n and $\lambda_1, \dots, \lambda_n$. Hence $\varepsilon_1(\{A^* e'_i \otimes B e_i\}) < \infty$ (as observed by Lust [11]). Finally if $\{A_n\}$ is a sequence in $L(E, F)$ such that $\sum A_n x$ converges unconditionally for every x in E then $\|\sum \lambda_i A_i x\| \leq \varepsilon_1(\{A_n x\})$ for every finite sequence $\{\lambda_i\}$ with $|\lambda_i| \leq 1$. By the uniform boundedness principle $\|\sum \lambda_i A_i\|$ is uniformly bounded for such sequences—i.e. $\sum A_i$ is weakly unconditionally Cauchy.

2. The main tool

LEMMA 1. *Let $\{T_n\}$ and $\{B_n\}$ be sequences in $C(X, Y)$ and let $T \in L(X, Y)$. Assume that $T_n x$ tends to Tx in norm (respectively, weakly) for every $x \in X$ and that*

$$\phi \left(T_n^* f - \sum_{i=1}^n B_i^* f \right) \rightarrow 0 \quad \text{for } f \in Y', \phi \in X'' \quad (2.1)$$

Then there is a sequence $\{A_n\}$ of finite linear combinations of the T_i 's so that $\sum_{i=1}^{\infty} A_i x = Tx$ (respectively, in the weak topology) for all $x \in X$ and

- (i) $\sum A_n x$ converges unconditionally for all $x \in X$ if $\sum B_n x$ does, and
- (ii) $\sum A_n$ is weakly unconditionally Cauchy in $C(X, Y)$ if $\sum B_n$ is.

Proof. Put $V_n = T_n - \sum_{i=1}^n B_i$, then $\phi(V_n^* f) \rightarrow 0$ for every $f \in Y'$ and every $\phi \in X''$. By Kalton [8, Corollary 3], $\{V_n\}$ is weakly null. By a well known theorem of Mazur, there is a strongly null sequence $\{U_n\}$ of convex combinations of the V_n 's of the form

$$U_n = \sum_{i \in I_n} \lambda_i V_i \quad (2.2)$$

where

$$I_n = \{i: p_{n-1} < i \leq p_n\}, \quad 0 = p_0 < p_1 < p_2 < \cdots, \quad (2.3)$$

and

$$\lambda_i \geq 0 \text{ for all } i, \quad \sum_{i \in I_n} \lambda_i = 1 \text{ for all } n, \quad (2.4)$$

and such that $\|U_n\| < 2^{-n}$ for all n .

Now let us put $I_0 = \emptyset$ and define $A_n = \sum_{i \in I_n} \lambda_i T_i - \sum_{i \in I_{n-1}} \lambda_i T_i$ for all n . It is clear that $\sum_{i=1}^n A_i x = \sum_{i \in I_n} \lambda_i T_i x \rightarrow Tx$ for all $x \in X$, in the strong (respectively, weak) topology of Y . Suppose now that $\sum A_n x$ converges unconditionally. For every natural i put

$$\alpha_i = \sum_{i \leq j \in I_n} \lambda_j; \quad \beta_i = \sum_{i > j \in I_n} \lambda_j \quad (2.5)$$

when n is determined by $i \in I_n$.

Straightforward calculation gives

$$A_n = \sum_{i \in I_n} \alpha_i B_i + \sum_{i \in I_{n-1}} \beta_i B_i + U_n - U_{n-1} \quad (2.6)$$

and

$$\sum_n A_n x = \sum_n \left(\sum_{i \in I_n} \alpha_i B_i x \right) + \sum_n \left(\sum_{i \in I_{n-1}} \beta_i B_i x \right) + \sum_n (U_n - U_{n-1})x. \quad (2.7)$$

Since $0 \leq \alpha_i, \beta_i \leq 1$, the first two series on the right side of (2.7) converge unconditionally (the I_n 's are pairwise disjoint). And $\sum (U_n - U_{n-1})x$ is (even) absolutely convergent. Hence $\sum A_n x$ converges unconditionally. From (2.6) (ii) is derived in the same fashion. ■

Remark. The idea of the construction of the A_n 's is taken from Pełczyński. See [14, p. 446]. The present form was suggested by the referee in a slightly different form.

Let E have an unconditional basis $\{e_i\}$ with coefficient functionals $\{e'_i\}$. Let M be a subspace of E . Denote by $j: M \rightarrow E$ the inclusion, put $g_i = e'_i|_M = j^* e'_i$ and

put $S_n = \sum_{i=1}^n g_i \otimes e_i$. If $U_n = \sum_{i=1}^n e'_i \otimes e_i$ are the partial sum projections defined by $\{e_n\}$ in E then $S_n = U_n|_M = U_n \circ j \in C(M, E)$.

PROPOSITION 1. *Let E and M be as above and let F be a Banach space. Suppose that $\{T_n\}$ is a sequence of finite rank operators in $L(F, M)$ pointwise convergent to some $T \in L(F, M)$ (i.e. $\|T_n x - Tx\| \rightarrow 0$ for all $x \in F$) and assume*

$$\phi(T_n^* j^* f - T^* S_n^* f) \rightarrow 0 \quad \text{for } f \in E', \phi \in F'' \quad (2.8)$$

Then there exists a sequence $\{A_n\}$ of finite rank operators in $L(F, M)$ such that $\sum A_n x$ converges unconditionally to Tx for all $x \in F$.

The sequence $\{A_n\}$ has each of the forms

$$A_n = \sum_{i \in I_n} \lambda_i T_i - \sum_{i \in I_{n-1}} \lambda_i T_i, \quad (2.9)$$

$$A_n = \sum_{i \in I_n} \alpha_i T^* g_i \otimes e_i + \sum_{i \in I_{n-1}} \beta_i T^* g_i \otimes e_i + R_n \quad (2.10)$$

where the $\lambda_i, I_n, \alpha_i, \beta_i$ satisfy the conditions (2.3), (2.4) and (2.5) and $R_n \in L(F, E)$, $\|R_n\| \leq 2^{2-n}$.

Proof. We use Lemma 1 where $F = X$, $E = Y$, and $B_n = T^* j^* e'_i \otimes e_i$. Since

$$S_n T = \sum_{i=1}^n T^* j^* e'_i \otimes e_i,$$

$T^* S_n^* = \sum_{i=1}^n B_i^*$ and (2.1) is satisfied. It is clear that $\sum B_n x$ converges unconditionally for every $x \in F = X$. (2.9) and (2.10) are simple consequences of the proof of Lemma 1. ■

The following is a special case of Proposition 1.

PROPOSITION 2. *Let E have an unconditional basis, let M be a subspace of E and let $S_n: M \rightarrow E$ be the partial sum projections of the basis restricted to M . Suppose $\{T_n\}$ is a sequence of finite rank operators in $L(M, M)$, pointwise convergent to the identity such that*

$$\phi(T_n^* j^* f - S_n^* f) \rightarrow 0 \quad \text{for } f \in E', \phi \in M'' \quad (2.11)$$

then M has an u.f.d.e.i.

Let E have an unconditional basis $\{e_i\}$ with $\{e'_i\}$ the coefficient functionals. Put $U_n = \sum_{i=1}^n e'_i \otimes e_i$ the basis projections. Let N be a quotient of E with $q: E \rightarrow N$ the quotient map. Then for any space F , $L(N, F)$ may be isometrically embedded in $L(E, F)$ by $\alpha: L(N, F) \rightarrow L(E, F)$; $\alpha(T) = T \circ q$. Clearly, $\alpha(C(N, F)) \subset C(E, F)$.

PROPOSITION 3. *Let E and N be as above. Suppose $\{T_n\}$ is a sequence of finite rank operators in $L(N, F)$ pointwise convergent to some $T \in L(N, F)$ and assume*

$$\phi(U_n^* q^* T^* - q^* T_n^*) f \rightarrow 0 \quad \text{for } f \in F', \phi \in E''. \quad (2.12)$$

Then there is a sequence $\{A_n\}$ in $L(N, F)$ of finite rank operators such that $\sum A_n x$ converges unconditionally to Tx for all $x \in N$.

The proof is very much the same as in Proposition 1 and thus omitted.

3. Unconditional finite dimensional expansion of the identity

THEOREM 1. *Let E have an unconditional basis and let M be a reflexive subspace of E . Then M has an u.f.d.e.i. if and only if M has the a.p.*

Proof. The "only if" part is trivial. For the "if" part the result follows easily from Proposition 2. ■

COROLLARY 1. *Let G be reflexive then G has an u.f.d.e.i. if and only if G has the a.p. and G is a subspace of a space with an unconditional basis.*

COROLLARY 2. *Let E have the unconditional a.p. and let F be a reflexive subspace of E . Then F has the unconditional a.p. if and only if F has the a.p.*

Examples (1) Let E be a subspace of L_p , $1 < p < \infty$. If E has the a.p. then by Theorem 1, E has the unconditional a.p.

(2) Let E be the space defined by Lindenstrauss [9] as the subspace of l_1 spanned by the sequence $\{x^n\}$ in l_1 where $x^n = (x_i^n)$ is given by $x_n^n = 1$, $x_{2n+1}^n = x_{2n+2}^n = -\frac{1}{2}$ and $x_i^n = 0$ for i other than $n, 2n+1, 2n+2$. Then E has a basis but E has no u.f.d.e.i. (see [13]).

Example 2 shows that Theorem 1 cannot be simply generalized by deleting "reflexive". Hence, more conditions must be added in the non-reflexive case.

In the proof of the next theorem we need the following fact essentially contained in Johnson, Rosenthal and Zippin [6].

PROPOSITION 4. *Let E' be separable and suppose E' has the a.p. Then there is a sequence of finite rank operators $T_n: E \rightarrow E$ such that $T_n x \rightarrow x$ and $T_n^* f \rightarrow f$ for all $x \in E, f \in E'$.*

Proof. E' is separable and has the a.p. By Grothendieck [5], E' has the b.a.p. Hence there is a bounded sequence of finite rank operators $R_n: E' \rightarrow E'$ so that $R_n f \rightarrow f$ for $f \in E'$. By [6, Corollary 3.2] we may assume $R_n = A_n^*$ for suitable $A_n: E \rightarrow E$. It follows that $A_n x \rightarrow x$ weakly for every x in E . Let $\{x_{ij}\}$ be a dense sequence in E . Using induction and Mazur's theorem define sequences $0 = p_0 < p_1 < \dots$ of integers and $\lambda_1, \lambda_2, \dots$ of non-negative reals such that when $I_n = \{j: p_{n-1} < j \leq p_n\}$, $\sum_{j \in I_n} \lambda_j = 1$ and $\|\sum_{j \in I_n} \lambda_j A_j x_i\| \leq 1/n$ for all n and all $1 \leq i \leq n$. Now put $T_n = \sum_{j \in I_n} \lambda_j A_j$. Since $\|A_n\|$ is bounded, $\|T_n\|$ is bounded and the $T_n x_i \rightarrow x_i$ for all i implies $T_n x \rightarrow x$ for all $x \in E$. $T_n^* f \rightarrow f$ for all $f \in E'$ follows from the construction. ■

THEOREM 2. *Let E have a shrinking unconditional basis and let M be a subspace of E such that M' has the a.p. Then M has an u.f.d.e.i.*

Proof. The assumptions of Prop. 2 are satisfied using Prop. 4. ■

If $\{e_i\}$ is a boundedly complete basis of E , then E is isometric to the dual of $[e'_i]$ —the subspace of E' spanned by the coefficient functionals $\{e'_i\}$. The following theorem was proved by P. Saphar.

THEOREM 3 (Saphar). *Let E have an unconditional basis $\{e_i\}$ and let M be a subspace of E . Put $N = E/M$.*

(a) *If $\{e_i\}$ is shrinking and N' has the a.p. then there is an u.f.d.e.i. $\{A_n\}$ of N such that $\{A_n^*\}$ is an u.f.d.e.i. of N' .*

(b) *If $\{e_i\}$ is boundedly complete and M is closed in $\sigma(E, [e'_i])$ then M has an u.f.d.e.i. if and only if M has the a.p.*

Proof. (a) By Prop. 4 there exists a sequence $\{T_n\}$ in $L(N, N)$ of finite rank operators such that $T_n x \rightarrow x$ and $T_n^* f \rightarrow f$ for all $x \in N, f \in N'$. Now by Proposition 3 there is an u.f.d.e.i. $\{A_n\}$ of N . As in Proposition 1 the A_n have the form (2.9) and thus $\sum_{i=1}^n A_i^* = \sum_{i \in I_n} \lambda_i T_i^*$ and $\{A_n^*\}$ is an u.f.d.e.i. of N' .

(b) Follows from (a) by duality. ■

Example. Let E be a subspace of l_1 closed in $\sigma(l_1, c_0)$ and having the a.p. By Theorem 3, E has an u.f.d.e.i.

4. Applications to spaces of operators

Let E and F be infinite dimensional Banach spaces. Consider the following properties:

- (a) $L(E, F) = C(E, F)$;
- (b) $L(E, F)$ contains no isomorphic copy of l_∞ ;
- (c) $C(E, F)$ contains no isomorphic copy of c_0 ;
- (d) $C(E, F)$ is complemented in $L(E, F)$.

Kalton [7] proved that (a), (b), (c) and (d) are equivalent when E has an u.f.d.e.i. His proof does not depend on this particular property of E for the implications (a) \Rightarrow (b) and (the trivial) (a) \Rightarrow (d). Using a theorem of Nissenzweig [12] and Josefson [7] it is easy to extend Kalton's proof to give (b) \Rightarrow (c). Tong and Wilken [17] proved (d) \Rightarrow (a) when F has an unconditional basis.

The results of the preceding section together with the mentioned results yield some cases when (a) \Leftrightarrow (b) \Leftrightarrow (c) \Leftrightarrow (d). We shall give some more results.

The following lemma is basically due to Kalton.

LEMMA 2. *Let E be weakly compactly generated and suppose there exists a sequence $\{T_n\}$ in $C(E, F)$ such that $\sum T_n x$ converges unconditionally to Tx for every x in E where $T \in L(E, F)$ is non-compact. Then $C(E, F)$ is uncomplemented in $L(E, F)$.*

Proof. (1) First we prove the special case where E is separable. Since T is non-compact, $\sum T_n$ diverges in $C(E, F)$. Thus, there is a sequence $0 = p_0 < p_1 < \dots$ of integers such that $B_k = \sum_{p_{k-1}+1}^{p_k} T_n$ satisfies $\inf \|B_k\| > 0$. Clearly $\sum B_n x$ also converges unconditionally for all $x \in E$. Using standard methods of the uniform boundedness principle, it is easy to see that there is some $K > 0$ such that $\sum |f(B_n x)| \leq K \|f\| \|x\|$ for every $x \in E$ and $f \in F'$ (see also introduction). The map $\phi: l_\infty \rightarrow L(E, F)$ defined by $\phi(\xi)x = \sum \xi_n T_n x$ is well defined, linear and bounded and $\phi(c_0) \subset C(E, F)$. Now proceed as in the proof of (iv) \Rightarrow (v) in Theorem 6 of Kalton [7].

(2) Now let E be any WCG space. T is not compact. Thus, there is a separable subspace E_0 of E such that the restriction of T to E_0 , $T|_{E_0}$ is non-compact. E is WCG and E_0 is separable. By Amir and Lindenstrauss [1] there is a separable complemented subspace E_1 of E containing E_0 . Let P be a projection of E on E_1 . If there was a projection Q of $L(E, F)$ on $C(E, F)$ then $Q_1: L(E_1, F) \rightarrow C(E_1, F)$ defined by $Q_1(S) = Q(SP)|_{E_1}$ would be a projection of $L(E_1, F)$ on $C(E_1, F)$, in contradiction with the first part of the proof (since $\sum T_n|_{E_1} x$ converges unconditionally to $T|_{E_1}$ for all $x \in E_1$). Hence no such Q exists. ■

THEOREM 4. *Let E and F be infinite dimensional and suppose one of the following cases occurs:*

- (1) E is reflexive, F is a subspace of some Banach space G with an unconditional basis, and E or F has the b.a.p.
- (2) E is weakly compactly generated, F is a subspace of some G having a shrinking unconditional basis and E' or F' has the b.a.p.
- (3) E is a quotient of some G with a shrinking unconditional basis and either E' has the b.a.p. or F' is separable and has the b.a.p.

Then (a), (b), (c) and (d) are equivalent.

Proof. As mentioned before (a) \Rightarrow (b) \Rightarrow (c) and (a) \Rightarrow (d) always hold. We have only to prove that (c) \Rightarrow (a) and (d) \Rightarrow (a). Suppose (a) fails, then there is a non-compact T_0 in $L(E, F)$. We will show that this implies the existence of a series $\sum A_n$ in $C(E, F)$ and a non-compact T in $L(E, F)$ such that $\sum A_n x$ converges unconditionally to Tx for every x in E . This means (see the introduction) that $\sum A_n$ is divergent and weakly unconditionally Cauchy. By Bessaga and Pełczyński [2], (c) fails and by Lemma 2, (d) fails. So all that is left to do is prove the existence of the series $\sum A_n$ for each of the three cases of the theorem.

Case 1. As in the proof of Lemma 2 (second part) there is a separable subspace E_1 of E and a projection P in E , with $P(E) = E_1$, such that $T_0|_{E_1}$ is not compact. Put $T = T_0 P$, then T is not compact. Since either E_1 or F is a separable space having the b.a.p. (and E_1 is complemented in E) it is easy to see that there is a sequence $\{R_n\}$ of finite rank operators from E to F so that $\|R_n x - Tx\| \rightarrow 0$ for all x in E . Let $\{e_{ij}\}$ and $\{e'_{ij}\}$ be the unconditional basis of G

and its sequence of coefficient functionals and $D_i = e'_i \otimes e_i$. Let $j: F \rightarrow G$ be the inclusion and $C_i = D_i j$. Then, since E is reflexive,

$$\phi \left(R_n^* j^* f - \sum_{i=1}^n T^* C_i^* f \right) = f \left(j R_n \phi - \sum_{i=1}^n C_i T \phi \right)$$

tends to 0 for all f in G' and ϕ in $E'' = E$. The assumptions of Lemma 1 are now satisfied with $X = E$, $Y = G$, $T_n = j R_n$ and $B_i = C_i T$. Since $\sum B_i x$ is unconditionally convergent for every x in E' , there is (by Lemma 1) a series $\sum A_n$ as claimed.

Case 2. Put $T = T_0$. F' is separable and $T^*(F')$ is separable. Since either E' or F' has the b.a.p. there is a bounded sequence $H_n: F' \rightarrow E'$ of finite rank operators such that $H_n f \rightarrow T^* f$ for all f in F' . Let $\{f_i\}$ be a dense sequence in F' . For each n there is by [6, Lemma 3.1] a weak* continuous operator $K_n: F' \rightarrow E'$ such that $\text{range } K_n \subset \text{range } H_n$, $K_n f_i = H_n f_i$ for $i = 1, 2, \dots, n$ and $\|K_n\| < 2\|H_n\|$. By the weak* continuity of K_n , $K_n = R_n^*$ for some $R_n: E \rightarrow F$. Clearly $R_n^* f \rightarrow T^* f$ for all f in F' and this implies

$$R_n x \xrightarrow{\omega} T x \quad \text{for all } x \text{ in } E.$$

Now define D_i and C_i as in Case 1, with $\{e_i\}$ shrinking. This yields $\sum C_i^* f = j^*(\sum D_i^* f) = j^*(f) = f|_F$ for all f in G' . Hence

$$\phi \left(R_n^* j^* f - \sum_{i=1}^n T^* C_i^* f \right) \rightarrow 0$$

is true again as in Case 1 and the rest is alike.

Case 3. Put $T = T_0$. Either E' or F' is separable and has the b.a.p. Hence we can construct the sequences $\{R_n\}$ and $\{D_i\}$ as in Case 2. Let $q: G \rightarrow E$ be the quotient map and use Lemma 1 with $X = G$, $Y = F$, $T_n = R_n q$ and $B_i = T q D_i$. We have again a shrinking basis so (2.1) is satisfied. By Lemma 1, there is a sequence $\{\tilde{A}_n\}$ of finite linear combinations of the $R_n q$'s so that $\sum \tilde{A}_n x = T q x$ unconditionally for every x in G . Now define $A_n = \sum \delta_i R_i$ if $\tilde{A}_n = \sum \delta_i R_i q$. $\sum A_n$ is the series which was claimed to exist. ■

Remark. If $E = F$ and (d) fails, then there is an operator $T: E \rightarrow E$ where T is not of the form $T = \lambda I + K$, λ scalar and $K \in C(E, E)$ (I = the identity).

THEOREM 5. *Let E and F be reflexive and suppose F or E' is a subspace of a Banach space with an unconditional basis. Then $C(E, F)$ is either reflexive or non-isomorphic to a dual space.*

Remark. The isometric version is known to be true more generally (see [4]).

Proof. Suppose $C(E, F)$ is non-reflexive. Let $\{D_n\}$ be a bounded sequence in $C(E, F)$ which has no weakly convergent subsequence. By [4, Corollary 1.3]

there is a subsequence $\{S_k = D_{n_k}\}$ which is weakly Cauchy. In particular $\lim f(S_k x)$ exists for every $f \in F'$ and $x \in E$. Since F is reflexive $\lim f(S_k x) = f(Tx)$ for some $T \in L(E, F)$. T is not compact because otherwise, by Kalton [8, Corollary 3],

$$S_k \xrightarrow{\omega} T$$

in contradiction to the construction of $\{D_n\}$. Now we must look at the two following cases:

Case 1. F is a subspace of a space G with an unconditional basis $\{e_i\}$ and coefficient functionals $\{e_i^*\}$. Put $j: F \rightarrow G$ the embedding, $T_n = jS_n$, $K_n = e_n^* \otimes e_n$ and $B_n = K_n jT$. Then the conditions of Lemma 1 are satisfied with $X = E$, $Y = G$. $\sum K_n$ is weakly unconditionally Cauchy and thus, so is $\sum B_n$. By Lemma 1 there exists a weakly unconditionally Cauchy series $\sum A_n$ such that $\sum A_n x = Tx$ weakly. As in the proofs of Lemma 2 and Th. 4 there is a projection P in E such that $E_1 = P(E)$ is separable and $T|_{E_1}$ is not compact. $C(E_1, F)$ is separable and isomorphic to a complemented subspace of $C(E, F)$. $\sum A_n|_{E_1}$ is a divergent series and weakly unconditionally Cauchy. By Bessaga and Pełczyński [2], c_0 is isomorphic to a (complemented) subspace of $C(E_1, F)$. Thus c_0 is isomorphic to a complemented subspace of $C(E, F)$. By Bessaga and Pełczyński [3], $C(E, F)$ is not isomorphic to a dual space.

Case 2. E' is a subspace of a space with an unconditional basis. By Case 1 $C(F', E')$ is either reflexive or non-isomorphic to a conjugate space. But here $C(E, F)$ and $C(F', E')$ are isometric by $T \leftrightarrow T^*$. ■

Example. $C(l_p, l_q)$ is reflexive (respectively, not isomorphic to a conjugate space) if $1 < q < p < \infty$ ($1 < p < q < \infty$). See [4]. We conclude with two open problems.

Problem 1. Is Theorem 5 true even when the condition F or E' is a subspace of a space with an unconditional basis is dropped?

Problem 2. Let E be an infinite dimensional subspace of a space with an unconditional basis. Is there always some $T: E \rightarrow E$ not of the form $\lambda I + K$, λ scalar, K in $C(E, E)$? (The problem is open for any infinite dimensional Banach space and was raised by Lindenstrauss. See the remark after Theorem 4.)

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