

# FINITE CRITERIA FOR WEAK F-REGULARITY

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## 1. Introduction

This paper will investigate the following question: given any Cohen-Macaulay ring  $R$  of prime characteristic  $p$ , can one construct in a natural way an ideal  $I \subset R$  such that  $R$  is weakly F-regular if and only if  $I$  is tightly closed in  $R$ ? We shall refer to such an ideal as a “critical” ideal.

This question is closely related to the TF-boundedness of the ring  $R$ , as defined by L. Williams in [W], where she used this notion to investigate the connection between weak and strong F-regularity. The first part of this paper will define this property (which will henceforth be referred to as “F-boundedness”). Theorem 5 will show the existence of critical ideals in F-bounded rings. The rest of the paper will extend these results for tight closure in characteristic zero where the notion of “fiberwise tightly closed” ideals is defined and later used in Theorems 11, 12 and 15 to produce a critical ideal in the sense that if this ideal is fiberwise tightly closed then a certain set of ideals are tightly closed. In Theorem 17 we also identify certain affine  $\mathbb{N}$ -graded rings where the notions of weak F-regular type and strong F-regularity are equivalent.

Throughout this paper, all rings are commutative with identity and Noetherian;  $p$  will always denote a prime integer, and  $q$  will be some power  $p^e$ . A local ring is defined as a Noetherian ring with a unique maximal ideal. Let  $R$  be a ring of prime characteristic  $p$  and let  $N \subset M$  be finitely generated  $R$ -modules. In [HH2] M. Hochster and C. Huneke introduced the notion of *the tight closure of  $N$  in  $M$*  as follows:

Let  $S$  be  $R$  viewed as an  $R$ -algebra via the iterated Frobenius endomorphism  $r \mapsto r^q$  and define the *Peskine-Szpiro functor*  $F^e$  from  $R$ -modules to  $S$ -modules by  $F^e(M) = S \otimes_R M$ . Since the category of  $S$ -modules is the category of  $R$ -modules, we may view  $F^e$  as a functor from the category of  $R$ -modules to itself.

Thus the  $R$ -module structure on  $F^e(M)$  is such that  $r'(r \otimes m) = (rr') \otimes m$  and we also have  $r' \otimes (rm) = (r'r^q) \otimes m$ . If  $I \subset R$  is an ideal then  $F^e(R/I) = R/I^{[q]}$ , and, generally, if we apply  $F^e$  to a map  $R^a \rightarrow R^b$  given by a matrix  $(c_{ij})$  by identifying  $F^e(R^a) \cong R^a$  and  $F^e(R^b) \cong R^b$  (this identification is not canonical, it depends on a choice of generators for the free modules), we obtain a map  $F^e(c_{ij}): R^a \rightarrow R^b$  given

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by the matrix  $(c_{ij}^q)$ . There is a natural map  $M \rightarrow F^e(M)$  given by  $m \mapsto 1 \otimes m$ , and we denote the image of  $m$  under this map as  $m^q$ . Notice that when  $M = R$  and  $N$  is an ideal of  $R$ , the image of  $F^e(N)$  in  $F^e(R) = R$  is  $N^{[q]}$  where  $N^{[q]}$  is the ideal generated by  $\{n^q | n \in N\}$ .

If  $N \subset M$  are  $R$ -modules, we have an exact sequence

$$F^e(N) \rightarrow F^e(M) \rightarrow F^e(M/N) \rightarrow 0$$

and we write  $N_M^{[q]}$  for

$$\text{Ker}(F^e(M) \rightarrow F^e(M/N)) \cong \text{Im}(F^e(N) \rightarrow F^e(M)).$$

Let  $R^0$  be the set of all elements in  $R$  not in any minimal prime of  $R$ . Let  $N \subset M$  be  $R$ -modules. The *tight closure* of  $N$  in  $M$ ,  $N_M^*$ , is defined as the set of all elements  $m \in M$  such that  $cm^q \in N_M^{[q]}$  for some  $c \in R^0$  and all large  $q$ . If  $N_M^* = N$  we say that  $N$  is *tightly closed* in  $M$ .

We refer the reader to [HH2] for a description of the basic properties of tight closure.

## 2. F-boundedness

*Definition 1.* Let  $R$  be a Cohen-Macaulay ring of characteristic  $p > 0$  with canonical module  $\omega \subset R$ . Fix some system of parameters  $\underline{x} = x_1, \dots, x_n$  and define

$$\rho_{q,t} : \frac{\omega^{[q]}}{\underline{x}^q \omega^{[q]}} \xrightarrow{(x_1, \dots, x_n)^{q(t-1)}} \frac{\omega^{[q]}}{\underline{x}^{qt} \omega^{[q]}}$$

We define  $R$  to be *F-bounded* relative to  $\underline{x}$  and  $c \in R^0$  if for all large  $q$  there exists an integer  $t_0 \geq 2$  independent of  $q$  such that  $c \ker(\rho_{q,t}) \subseteq \ker(\rho_{q,t_0})$  for all  $t \geq t_0$ .

If  $R$  is F-bounded relative to  $\underline{x}$  and  $c = 1$ , we say that  $R$  is *F-bounded* relative to  $\underline{x}$ . If  $R$  is F-bounded with respect to one system of parameters, then it is F-bounded with respect to all systems of parameters ([W], Theorem 4.1) and in that case we will simply refer to  $R$  as being F-bounded. Notice that in [W], L. Williams refers to “F-boundedness” as “TF-boundedness”.

Notice that if  $\dim(R) = 1$  and  $x$  is a parameter,  $x^q$  is a non zerodivisor on  $\omega^{[q]}$  and  $R$  is then clearly F-bounded. Also, if  $R$  is Gorenstein,  $\omega \cong R$ , and if  $x_1, \dots, x_n$  is a regular sequence on  $R$ , so is  $x_1^q, \dots, x_n^q$ , and  $R$  is clearly F-bounded.

The following theorems, proved in [W], describe known cases of Cohen-Macaulay rings which are F-bounded.

**THEOREM 2 [W].** *Let  $A$  be a regular domain of prime characteristic  $p$ , and let  $R \cong A[H]/\Sigma$  be a discrete, square free Hodge algebra. Then  $R$  is F-bounded with respect to any system of parameters  $\underline{x}$  and some  $c \in R^0$ .*

**THEOREM 3 [W].** *Let  $R$  be a ring of prime characteristic  $p$  with canonical module  $\omega$ . Then if  $R$  is a pure subring of a regular ring,  $R$  is  $F$ -bounded with respect to all systems of parameters.*

**THEOREM 4 [W].** *Let  $(R, m)$  be a local normal domain of prime characteristic  $p$  with canonical module  $\omega$ . Then if either  $\dim(R) \leq 2$  or if  $\dim(R) = 3$  and  $R$  is  $F$ -rational on its punctured spectrum, then  $R$  is  $F$ -bounded with respect to all systems of parameters.*

### 3. $F$ -boundedness and critical ideals

Throughout the rest of this chapter whenever  $R$  is a Cohen-Macaulay ring,  $\omega$  will denote an ideal of  $R$  isomorphic with its canonical module.

The connection between  $F$ -boundedness and tight closure is given in the following theorem:

**THEOREM 5.** *Let  $(R, m, k)$  be a Cohen-Macaulay local domain of characteristic  $p > 0$  with canonical module  $\omega \subset R$ . Let  $n = \dim(R)$  and let  $\underline{x} = x_1, \dots, x_n$  be a system of parameters. Assume  $R$  is  $F$ -bounded relative to  $\underline{x}$  and some  $c \in R^0$  with  $t_0$  as in the definition above. Then:*

- (1) *If  $\underline{x}^{t_0}\omega$  is tightly closed in  $R$ , so is  $\underline{x}^t\omega$  for every  $t \geq t_0$ .*
- (2) *The ideal  $\underline{x}^{t_0}\omega$  is a critical ideal; i.e.,  $R$  is weakly  $F$ -regular if and only if  $\underline{x}^{t_0}\omega$  is tightly closed in  $R$ .*

*Proof.* (1) If  $\underline{x}^t\omega$  is not tightly closed, since  $(\underline{x}^t\omega)^* \subset (\underline{x}^{t_0}\omega)^* = \underline{x}^{t_0}\omega \subset \omega$ , we can choose an element  $r \in (\underline{x}^t\omega)^*$  representing a non-zero element of the socle of  $\omega/\underline{x}^t\omega$ . We can write  $r = (x_1 \cdots x_n)^{t-1}s$  where  $s$  represents an element in the socle of  $\omega/\underline{x}\omega$ . Then  $cr^q = c(x_1 \cdots x_n)^{q(t-1)}s^q \in \underline{x}^{qt}\omega^{[q]}$  for all large  $q$ . By the  $F$ -boundedness of  $R$  we have  $(x_1 \cdots x_n)^{q(t_0-1)}cs^q \in \underline{x}^{qt_0}\omega^{[q]}$  hence  $(x_1 \cdots x_n)^{t_0-1}s \in (\underline{x}^{t_0}\omega)^* = \underline{x}^{t_0}\omega$ . But  $\underline{x}$  is a regular sequence on  $\omega$ , hence  $s \in \underline{x}\omega$  and  $r = (x_1 \cdots x_n)^{t-1}s \in \underline{x}^t\omega$ , a contradiction.

(2) Pick some  $y \in \omega \setminus \underline{x}^{t_0}\omega$  and let  $I_n = \underline{x}^{nt_0}\omega :_R yR$ . Since  $\underline{x}^{nt_0}\omega$  are tightly closed for all  $n \geq 1$ , so are  $I_n$ . Therefore, using Proposition 8.9 in [HH2] it suffices to show that  $\{I_n\}_{n \geq 1}$  are a decreasing sequence of  $m$ -primary irreducible ideals, cofinal with the powers of  $m$ . Using the Artin-Rees Lemma, we can find a  $c > 0$  such that for all large  $n$ ,  $yR \cap \underline{x}^{nt_0}\omega \subset yR \cap m^{nt_0}\omega = m^{nt_0-c}(yR \cap m^c\omega) \subset m^{nt_0-c}yR$  hence  $I_n \subset m^{nt_0-c}$  and  $\{I_n\}_{n \geq 1}$  are cofinal with the powers of  $m$ . Clearly, each  $I_n$  is  $m$ -primary as it contains  $\underline{x}^{nt_0}$ . To see that the ideals  $I_n$  are irreducible, notice that the Artin ring  $R/I_n$  injects into the  $R/I_n$ -module  $\omega/\underline{x}^{nt_0}\omega$  which has a one-dimensional socle.  $\square$

**COROLLARY 6.** *Let  $R$  be a Cohen-Macaulay domain of characteristic  $p > 0$  with canonical module  $\omega \subset R$ . Let  $d = \dim(R)$  and let  $\underline{x} = x_1, \dots, x_d$  be a system of parameters, with  $\text{Rad}(\underline{x}) = m$ ,  $m \subset R$  a maximal ideal. Assume  $R$  is  $F$ -bounded relative to  $\underline{x}$  with  $t_0$  as in Definition 1 and  $\underline{x}^{t_0}\omega$  is tightly closed in  $R$ . Then every  $m$ -primary ideal of  $R$  is tightly closed, and all ideals contracted from  $R_m$  are tightly closed.*

*Proof.* The first assertion is immediate from the fact that if  $I$  is  $m$ -primary, localization at  $m$  commutes with tight closure (Proposition 1.14 in [HH2]), and after localization at  $m$  we are in the local case described in the previous theorem.

If  $I = (IR_m) \cap R$  is not tightly closed, pick some  $f \in I^* - I$ . We have  $\bigcap_{t>0} (I + m^t) = I$ , for if  $u \in \bigcap_{t>0} (I + m^t)$ , then in  $R_m$ ,  $u/1 \in \bigcap_{t>0} (I + m^t)R_m = \bigcap_{t>0} (IR_m + (mR_m)^t) = IR_m$ , and since  $I$  is contracted from  $R_m$ ,  $u \in I$ . Pick some  $l > 0$  such that  $f \notin Q = I + m^l R_m$ , but as  $I \subset Q$ ,  $f \in Q^*$ . Let  $P = Q \cap R$ . Since  $P$  is  $m$ -primary, we have  $f \in (PR_m)^* = P^*R_m = PR_m = Q$ .  $\square$

#### 4. Tight closure in algebras over a field of characteristic zero

**Definition 7.** Let  $R$  be a finitely generated algebra over a field  $K$  of characteristic 0, let  $N \subset M$  be finitely generated  $R$  modules and let  $u \in M$ . A quintuple  $(A, R_A, M_A, N_A, u_A)$  will be called *descent data* for  $(K, R, M, N, u)$  if it satisfies the following conditions:

- (1)  $A$  is a finitely generated  $\mathbb{Z}$ -subalgebra of  $K$ .
- (2)  $R_A$  is a finitely generated  $A$ -subalgebra of  $R$  such that the inclusion  $R_A \subset R$  induces an isomorphism of  $R_K = R_A \otimes_A K$  with  $R$ .
- (3)  $R_A$  is  $A$ -free.
- (4)  $M_A, N_A$  are finitely generated  $A$ -submodules of  $M$  and  $N$  such that  $N_A \subset M_A$  and all of the  $A$ -modules  $M_A, N_A, M_A/N_A$  are  $A$ -free.
- (5) The inclusion  $M_A \subset M$  induces an isomorphism  $M \cong M_K = M_A \otimes_A K$  as  $R$ -modules.
- (6) The element  $u \in M$  is in  $M_A$  and  $u_A = u$ .

Given  $R, K, M, N, u$  as in the previous definition, descent data exists ([HH4] Section 2.) Furthermore, given a field  $K$ , a finitely generated  $K$ -algebra, a finite set of finitely generated  $R$ -modules, finitely many elements in those modules, finitely many maps between the modules which may be specified to take some of the elements above into certain elements, and given finitely many commutative diagrams involving these modules and maps, one can descend this setup, in the sense defined in Section 2 of [HH4].

Our next step towards the definition of tight closure for algebras over fields of characteristic zero, is to define the *tight closure of  $N_A$  in  $M_A$  over  $A$*  where  $N_A \subset M_A$

are finitely generated  $R_A$ -modules,  $R_A$  is a finitely generated  $A$  algebra and  $A \subset R$ . With this setup, we will use the following notation:  $\mu$  will denote a maximal ideal of  $A$ ,  $\kappa = \kappa(\mu)$  will denote the (finite) field  $A/\mu$ ,  $p = p(\mu)$  its characteristic, and  $q = q(\mu)$  will be an integer of the form  $p(\mu)^e$  for some non-negative integer  $e$ . A property will hold “for almost all  $\mu$ ” if it holds for all  $\mu$  in a non-empty Zariski open set of  $\text{MaxSpec}(A)$ . We will also call the algebra  $\kappa \rightarrow R_\kappa$  the *fiber of  $A \rightarrow R_A$  over  $\mu$*  where  $\kappa = \kappa(\mu)$ . A property will hold “for almost all fibers” if it holds in  $R_\kappa(\mu)$  for almost all  $\mu$ .

**Definition 8.** Let  $A$  be a domain, finitely generated over  $\mathbb{Z}$ , let  $R_A$  be a finitely generated  $A$  algebra with  $A \subset R_A$ , and let  $N_A \subset M_A$  be finitely generated  $R_A$  modules. We say that  $u_A \in M_A$  is in the *tight closure of  $N_A$  in  $M_A$  over  $R_A$  relative to  $A$* , denoted by  $N_A^{*/A} M_A$  if for almost all  $\mu \in \text{MaxSpec}(A)$ ,  $u_\kappa \in (N_\kappa^*)_{M_\kappa}$  where  $\kappa$  denotes images after tensoring with  $\kappa$  over  $A$ .

We now have all the ingredients for the following:

**Definition 9.** Let  $R$  be a finitely generated algebra over a field  $K$  of characteristic 0, let  $N \subset M$  be finitely generated  $R$ -modules. We say that  $u \in M$  is in the  *$K$ -tight closure of  $N$* , denoted by  $N_M^{*K}$ , if there exists descent data  $(A, R_A, M_A, N_A, u_A)$  for  $(K, R, M, N, u)$  such that  $u_A \in N_A^{*/A} M_A$ .

In the rest of this paper we will adopt the following notation: if  $S$  is an  $A$ -algebra or  $A$ -module, and  $B$  is a  $A$ -algebra, we will write  $S_B$  for  $S \otimes_A B$ . If  $s \in S$ ,  $s_B$  will be the image  $s \otimes 1$  of  $s$  in  $S_B$ .

Let  $A$  be a Noetherian domain of characteristic zero with fraction field  $K$ , and let  $R_A$  be a finitely generated  $A$ -algebra. It is not known whether tight closure in  $R$  is a geometric notion; i.e., if  $I_K \subset R_K$  is a tightly closed ideal in  $R_K$ , is  $I_\kappa$  tightly closed in  $R_\kappa$  for almost all  $\kappa$ ?

## 5. Fiberwise tightly closed submodules

We can make this property into a definition as follows:

**Definition 10.** Let  $R$  be a finitely generated algebra over a field  $K$  of characteristic 0, and let  $N \subset M$  be finitely generated  $R$ -modules. We say that  $N$  is *fiberwise tightly closed in  $M$*  if there exists descent data such that  $N_\kappa$  is tightly closed in  $M_\kappa$  for almost all  $\kappa$ .

This definition can be shown to be independent of the choice of descent data: in comparing descent over  $A$  with descent over  $B$ , we may compare both with descent over a  $\mathbb{Z}$ -subalgebra  $C$  of  $K$  such that  $A \subset C$  and  $B \subset C$ ; hence we may assume

without loss of generality that  $A \subset B$ , and by localizing at one element of  $A^0$  and of  $B^0$ , we may also assume that  $B$  is  $A$ -free and smooth over  $A$ . Since  $A$  is a Hilbert ring, and  $B$  is a finitely generated  $A$ -algebra, every maximal ideal of  $B$  lies over a maximal ideal of  $A$ , and there is at least one maximal ideal of  $B$  lying over every maximal ideal of  $A$ . If  $\mu' \in \text{MaxSpec}(B)$  lies over  $\mu \in \text{MaxSpec}(A)$  and  $\kappa' = B/\mu'$ ,  $\kappa = A/\mu$ , then  $N_\kappa$  is tightly closed in  $M_\kappa$  if and only if  $N_{\kappa'}$  is tightly closed in  $M_{\kappa'}$ . (See the proof of Theorem 2.5.3 in [HH4] which involves a similar argument. See also Theorem 6.24, Proposition 6.23 and Theorem 7.29 in [HH3].)

Clearly, if  $N$  is tightly closed fiberwise in  $M$  then  $N$  is tightly closed in  $M$ , and the two notions may be equivalent.

With the notation above, assume  $R_K$  is a Cohen-Macaulay normal domain. Then it can be shown that  $R_\kappa$  is a Cohen-Macaulay normal domain for almost all  $\kappa$  ([HH4], Theorems 2.3.15 and 2.3.17.) We can construct a canonical module  $\omega_\kappa$  for  $R_\kappa$  for almost all  $\kappa$  as follows: map a polynomial ring  $S_A = A[X_1, \dots, X_n]$  onto  $R_A$  and after localizing at one element of  $A^0$  we may assume that  $A$  is Gorenstein and, therefore,

$$\omega_A = \text{Ext}_{S_A}^{\dim(S_A) - \dim(R_A)}(R_A, S_A)$$

will be a canonical module for  $R_A$ . Passing to a closed fiber  $\kappa$  and applying Theorem 2.3.5c,e in [HH4] we see that for almost all fibers  $\kappa$ ,

$$\omega_\kappa = \text{Ext}_{S_\kappa}^{\dim(S_\kappa) - \dim(R_\kappa)}(R_\kappa, S_\kappa)$$

In addition, for almost all fibers  $\kappa$ ,  $S_\kappa$  will remain a Gorenstein ring (Theorem 2.3.15 in [HH4]) and will map onto  $R_\kappa$  ([HH4], Theorem 2.3.5) and we see that for almost all  $\kappa$ ,  $\omega_\kappa$  will be a canonical module for  $R_\kappa$ . Also, if  $x_1, \dots, x_n \in R_A$  and their images in  $R_K$  form a system of parameters then their images in  $R_\kappa$  form a system of parameters for almost all  $\kappa$  (Theorem 2.3.9b in [HH4].)

We next want to raise the following question:

*Question.* Can we find a system of parameters for  $R_K$ ,  $\underline{x} = x_1, \dots, x_n \in R_A$  such that the kernels of the maps

$$\rho_{q,t}: \frac{\omega_\kappa^{[q]}}{\underline{x}^q \omega_\kappa^{[q]}} \xrightarrow{(x_1, \dots, x_n)^{q(t-1)}} \frac{\omega_\kappa^{[q]}}{\underline{x}^{qt} \omega_\kappa^{[q]}}$$

stabilize for all large  $q$  at  $t \geq t_0$ , for some  $t_0 \geq 2$  independent of  $\kappa$ ?

**THEOREM 11.** *If the answer is affirmative, with  $\underline{x}$  and  $t_0$  as above, then if  $\underline{x}^{t_0} \omega$  is fiberwise tightly closed in  $R_K$  with  $\text{Rad}(\underline{x}) = m$  then all  $m$ -primary ideals and all ideals contracted from  $(R_K)_m$  are tightly closed.*

*Proof.* Choose descent data for  $R$  and choose  $\underline{x} = x_1, \dots, x_n \in R_A$  and  $t_0$  as in the previous paragraph.

If  $\underline{x}^{t_0}\omega_K$  is fiberwise tightly closed in  $R_K$ , then  $\underline{x}^{t_0}\omega_K$  will be tightly closed in  $R_K$  for almost all  $\kappa$ . Also, by Theorem 2.3.9 in [HH4] if  $I \subset R_K$  is  $m$ -primary,  $\text{Rad}(I_\kappa) = \text{Rad}(\underline{x}_\kappa)$  after localizing at one element of  $A^0$ . Now both assertions follow from Corollary 6 applied to the fiber  $R_K$ .  $\square$

We can give an affirmative answer to the question above for one dimensional Cohen-Macaulay  $K$ -algebras, where the result is almost trivial but we state it for the sake of completeness, and for two dimensional  $K$ -algebras which are normal domains:

**THEOREM. 12.** *Let  $R$  be a one dimensional finitely generated local  $K$ -algebra, where  $K$  is a field of characteristic 0. We can find descent data  $A$  for  $R$  and  $x \in R_A$  a parameter for  $R$ , such that the kernels of the maps*

$$\rho_{q,t}: \frac{\omega_K^{[q]}}{x^q \omega_K^{[q]}} \xrightarrow{x^{q(t-1)}} \frac{\omega_K^{[q]}}{x^{qt} \omega_K^{[q]}}$$

*stabilize for all large  $q$  at  $t \geq t_0 = 2$ , for almost all  $\kappa$ .*

*Proof.* Choose descent data such that,  $R_K$  will be Cohen-Macaulay for almost all fibers. Then  $x$  will be a non zero divisor on  $\omega_K$  for each such  $\kappa$ .  $\square$

Recall that every height one primary ideal  $Q$  in a normal ring  $R$  has the form  $Q = P^{(m)} = P^m R_P \cap R$  for some prime ideal  $P \subset R$ . If  $R$  is a normal domain, and  $I \subset R$  is an ideal of pure height one, then  $I$  has a primary decomposition  $I = P_1^{(\alpha_1)} \cap \dots \cap P_r^{(\alpha_r)}$  where  $P_i$  are prime ideals of height one, and we define the  $m^{\text{th}}$  symbolic power of  $I$  as  $I^{(m)} = P_1^{(m\alpha_1)} \cap \dots \cap P_r^{(m\alpha_r)}$ . If  $R$  is a normal domain and  $\omega \subset R$  is a canonical module, since  $\omega$  has pure height one, we may define  $\omega^{(m)}$ . A priori this depends on the choice of  $\omega \subset R$ , but the isomorphism class of  $\omega^{(m)}$  depends only on the isomorphism class of  $\omega$  in the divisor class group of  $R$ , hence the isomorphism class of  $\omega^{(m)}$  is independent of the embedding  $\omega \subset R$ .

For the next result, we shall need the following lemma from [W]

**LEMMA 13.** *Let  $R$  be a Noetherian ring of dimension  $n$  and prime characteristic  $p > 0$ . Let  $x_1, \dots, x_n$  be a system of parameters for  $R$ , and suppose that for all  $q$  we have an exact sequence of finitely generated  $R^q$  modules,*

$$0 \rightarrow A_q \rightarrow F_q \rightarrow T_q \rightarrow 0$$

*such that  $x_1^q, \dots, x_n^q$  form a regular sequence on  $F_q$  and  $x_1^q T_q = 0$ . If there exists a  $t_0 \geq 2$  such that for all  $t \geq t_0$  and all  $q$ , the kernels of the maps*

$$\frac{T_q}{(x_2^q, \dots, x_n^q)T_q} \xrightarrow{(x_2, \dots, x_n)^{q(t-1)}} \frac{T_q}{(x_2^{qt}, \dots, x_n^{qt})T_q}$$

are stable then for all  $t \geq t_0$  and all  $q$  the kernels of the maps

$$\frac{A_q}{(x_1^q, \dots, x_n^q)A_q} \xrightarrow{(x_1, \dots, x_n)^{q(l-1)}} \frac{A_q}{(x_1^q, \dots, x_n^q)A_q}$$

are stable.

(This lemma is stated in [W] with the additional hypothesis that  $R$  is local, but its proof does not depend on it.)

**LEMMA 14.** *Let  $R$  be a 2-dimensional finitely generated  $K$ -algebra, where  $K$  is a field of characteristic 0, and assume that  $R$  is a normal domain. We can find descent data  $A$  for  $R$  such that if  $x \in \omega_A$  is a parameter for  $R$ , there exist  $y \in R_A$  and  $\gamma \in \omega_A$  such that for almost all fibers  $y_\kappa$  is not in any minimal prime of  $x_\kappa$  and  $y_\kappa^m \omega_\kappa^{(m)} \subset \gamma_\kappa^m R_\kappa$  for all positive integers  $m$  where  $\omega_A$  is a canonical module for  $R_A$  as in the previous discussion.*

*Proof.* Since  $K$  is a field of characteristic zero,  $R$  is geometrically normal, and we may replace  $R$  with the faithfully flat extension  $R \rightarrow R \otimes_K \bar{K}$  where  $\bar{K}$  is an algebraic closure of  $K$ . Hence, we may assume with no loss of generality that  $K$  is algebraically closed.

Let  $P^1, \dots, P^n$  be the minimal primes of  $x$  in  $R$ , and let  $S = R - \bigcup_{i=1}^n P^i$ . Since  $S^{-1}R$  is semi-local and one dimensional,  $S^{-1}\omega$  is principal, therefore, there exists a  $u \in S$  such that  $u\omega \subset \gamma R$  for some  $\gamma \in \omega$ . We may assume we have chosen  $A$  such that  $u_A$  is not in any minimal prime of  $x_A$ , and by Theorem 2.3.9b in [HH4], we may conclude that this is preserved in almost all fibers. Also,  $u_\kappa \omega_\kappa \subset \gamma_\kappa R_\kappa$  therefore  $u_\kappa^m \omega_\kappa^{(m)} \subset \gamma_\kappa^m R_\kappa$  for all  $m \geq 0$  in almost all fibers.

Let  $T = gr_\omega R$ , and let  $J$  be the  $S$ -torsion of  $T$ , i.e.,  $J = \ker(T \rightarrow S^{-1}T)$ . We can pick a  $v \in S$  such that  $vJ = 0$ . We may descend this setup, while the fact that  $K$  is algebraically closed implies that the ideals  $(A^0)^{-1}P_A^i$  are absolute primes and, therefore, each  $P_\kappa^i$  is a prime ideal for almost all  $\kappa$  (See 2.3.18 in [HH4]).

Since  $T_A/J_A$  has no  $(R_A - \bigcup_{i=1}^n P_A^i)$ -torsion, the associated primes for  $T_\kappa/J_\kappa$  are contained in the  $P_\kappa^i$ 's. (See 2.3.9f in [HH4]) Hence,  $T_\kappa/J_\kappa$  has no  $(R_\kappa - \bigcup_{i=1}^n P_\kappa^i)$ -torsion; i.e., the  $R_\kappa - \bigcup_{i=1}^n P_\kappa^i$ -torsion of  $T_\kappa$  is contained in  $J_\kappa$ .

We will now show that  $v_\kappa^m \omega_\kappa^{(m)} \subset \omega_\kappa^m$  for almost all  $\kappa$ . Write  $S_\kappa = R_\kappa - \bigcup_{i=1}^n P_\kappa^i$  and  $I_\kappa = \ker(T_\kappa \rightarrow S_\kappa^{-1}T_\kappa)$  (notice that  $I_\kappa$  does not denote  $I_A \otimes_A \kappa$  here!) Assume that  $t \in \omega_\kappa^{(l)}$  for some  $l$ . Then  $t \in \omega_\kappa^h$  for some  $h \geq 0$ , and let  $d = l - h$ . If  $d = 0$  we are done, so assume  $d > 0$ . We have  $S_\kappa^{-1}\omega_\kappa^{(l)} = S_\kappa^{-1}\omega_\kappa^l$ , so we can find an  $s \in S_\kappa$  such that  $st \in \omega_\kappa^l$ , and since  $l \geq h + 1$ , we have  $st \in \omega_\kappa^{h+1}$ . Therefore the image of  $t$  in  $T_\kappa$  has  $S_\kappa$ -torsion, i.e., the image of  $t$  in  $T_\kappa$  is in  $I_\kappa$ . So by the previous paragraph  $v_\kappa$  kills it; i.e.,  $v_\kappa t \in \omega_\kappa^{h+1}$ . Now we proceed by induction on  $d$ . If  $d = 1$  we have  $v_\kappa^{l-1}v_\kappa t \in \omega_\kappa^l$ , otherwise assume that  $v_\kappa^{d-1}t \in \omega_\kappa^{h+d-1} = \omega_\kappa^{l-1}$ , and since  $st \in \omega_\kappa^l$ ,  $v_\kappa^{d-1}(st)\omega_\kappa^l$ , therefore  $v_\kappa^{d-1}t \in I_\kappa$  and we have  $v_\kappa^d t \in \omega_\kappa^l$  and since  $d \leq l$  we have  $v_\kappa^l t \in \omega_\kappa^l$ .



Now take  $y = uv$ , and it will have the desired properties.  $\square$

**THEOREM 15.** *Let  $R$  be a 2-dimensional finitely generated  $K$ -algebra, where  $K$  is a field of characteristic 0, and assume that  $R$  is a normal domain. We can find descent data  $A$  for  $R$  such that if  $\omega_A$  is a canonical module for  $R_A$  as in the previous discussion, then for some system of parameters  $x, y \in R_A$  for  $R$ , the kernels of the maps*

$$\rho_{q,t}: \frac{\omega_\kappa^{[q]}}{(x^q, y^q)\omega_\kappa^{[q]}} \xrightarrow{(x \cdot y)^{q(t-1)}} \frac{\omega_\kappa^{[q]}}{(x^{qt}, y^{qt})\omega_\kappa^{[q]}}$$

stabilize for all large  $q$  at  $t \geq t_0 = 2$ , for almost all  $\kappa$ .

*Proof.* Pick a parameter  $x \in \omega_A$  for  $R$ . By Corollary 2.3.12 in [HH4], the image of  $x$  in  $R_\kappa$  will be a parameter for almost all  $\kappa$ . Also, by the previous discussion,  $R_\kappa$  will be a normal domain for almost all  $\kappa$ . Applying Lemma 13 to the short exact sequence

$$0 \rightarrow \omega_\kappa^{[q]} \xrightarrow{x_\kappa^q} x_\kappa^q R_\kappa \rightarrow x_\kappa^q R_\kappa / x_\kappa^q \omega_\kappa^{[q]} \rightarrow 0$$

we see that it suffices to show that for some choice of  $y \in R_A$  such that the images of  $x, y$  in  $R_\kappa$  are parameters for almost all  $\kappa$ , the kernels of the maps

$$\phi_\kappa: T_q / y_\kappa^q T_q \xrightarrow{y_\kappa^{q(t-1)}} T_q / y_\kappa^{qt} T_q$$

stabilize for all  $q$  and almost all  $\kappa$  at  $t \geq 2$ , where  $T_q = x_\kappa^q R_\kappa / x_\kappa^q \omega_\kappa^{[q]} \cong R / \omega_\kappa^{[q]}$ .

Using the previous lemma, choose  $y \in R_A$ , not in any minimal prime of  $x R_A$  such that  $y_\kappa$  is not in any minimal prime of  $x_\kappa R_\kappa$  and  $y^q \omega_\kappa^{(q)} \subset \omega_\kappa^{[q]}$  for almost all  $\kappa$ .

Working in each fiber  $R_\kappa$  satisfying the conditions in the previous paragraph, if the maps  $\phi_\kappa$  do not stabilize for all  $q$  at  $t \geq 2$  then they will not stabilize after localizing at a maximal ideal  $m \subset R_\kappa$  containing  $x_\kappa$  and  $y_\kappa$ . Further completion of  $(R_\kappa)_m$  at its maximal ideal will not affect the stabilization of the maps either. Let  $S = \widehat{(R_\kappa)_m}$ .

We may map  $\kappa[[X, Y]] \rightarrow S$  by sending  $X \mapsto x^q$  and  $Y \mapsto y$ , and since  $x, y$  were chosen to form a system of parameters in  $S$ , this map will be injective and module finite. Since  $x^q$  kills  $T_q$ , this map makes  $T_q$  a finitely generated module over the principal ideal domain  $\kappa[[Y]]$ , and we may write  $T_q = F_q \oplus N_q$  where  $F_q$  is  $\kappa[[X_2]]$ -free and  $N_q$  is torsion. The restriction of  $\phi_\kappa$  on  $F_q / y^q F_q$  is clearly injective while  $y_\kappa^q$  was chosen to kill  $N_q \cong \omega_\kappa^{(q)} / \omega_\kappa^{[q]}$ ; hence the maps must stabilize and we have a contradiction. Hence the maps  $\phi_\kappa$  stabilize in  $R_\kappa$  for all  $q$  at  $t \geq 2$  for almost all  $\kappa$ .  $\square$

## 6. Strong F-regularity in graded affine algebras of characteristic 0

If  $R_\kappa$  is a reduced Noetherian ring of prime characteristic  $p$  we can define the ring  $R_\kappa^{1/q}$  to be the ring obtained from  $R_\kappa$  by adjoining all  $q$ th roots of elements of  $R_\kappa$  to  $R_\kappa$ .

Let  $R_\kappa$  be a reduced Noetherian ring of prime characteristic  $p$  such that  $R_\kappa^{1/p}$  is module finite over  $R_\kappa$ . We call  $R_\kappa$  *strongly F-regular* if for every  $c \in R_\kappa^0$  there exists a  $q$  such that the  $R_\kappa$ -linear map  $R_\kappa \rightarrow R_\kappa^{1/q}$  mapping 1 to  $c^{1/q}$  splits as a map of  $R_\kappa$  modules.

We generalize this notion to affine algebras  $R$  over fields of characteristic zero, by calling such  $R$  strongly F-regular if  $R_\kappa$  is F-regular for almost all fibers. Notice that the  $R_\kappa^{1/p}$  will be automatically module finite over  $R_\kappa$ , as  $\kappa$  is a finite field, hence perfect.

It is known that the strongly F-regular locus is open in prime characteristic  $p$  (Theorem 3.3 in [HH1]), and in finitely generated  $\mathbb{N}$ -graded  $\kappa$ -algebras where  $\kappa$  is an infinite field we further have:

**LEMMA 16.** *Let  $\kappa$  be an infinite field of prime characteristic  $p$  and let  $R_\kappa$  be a finitely generated  $\mathbb{N}$ -graded  $\kappa$ -algebra with  $(R_\kappa)_0 = \kappa$ . Let  $m$  be the ideal of  $R_\kappa$  generated by the forms of positive degree. The radical defining ideal for the non-strongly F-regular locus is homogeneous. Therefore,  $R_\kappa$  is strongly F-regular if and only if  $(R_\kappa)_m$  is strongly F-regular.*

*Proof.* For every  $\alpha \in \kappa$  let  $\theta_\alpha$  be the automorphism of  $R_\kappa$  sending a form  $f \in R_\kappa$  of degree  $d$  to  $\alpha^d f$ .

Let  $V(I)$  be the non-strong F-regular locus in  $R_\kappa$  and pick  $f \in I$ . By 3.2 in [HH1], for every  $d \in R^0$  there exist  $q \gg 0$  some positive integer  $t$  and an  $R_\kappa$ -linear map  $\gamma: R_\kappa^{1/q} \rightarrow R_\kappa$  which sends  $d^{1/q}$  to  $f^t$ . Hence  $\theta_\alpha \circ \gamma$  maps  $d^{1/q}$  to  $\theta_\alpha(f)^t$ , and again by 3.2 in [HH1],  $\theta_\alpha(f) \in I$ .

If  $f = f_0 + f_1 + \cdots + f_d \in I$  where each  $f_i$  is a form of degree  $i$ , then pick  $d+1$  distinct  $\alpha_j \in \kappa$  ( $1 \leq j \leq d+1$ ). Then for all  $1 \leq j \leq d+1$  we have  $\sum_{i=0}^d \alpha_j^i f_i \in I$  and we may solve for the  $f_i$ 's using the fact that the matrix of coefficients has determinant  $\prod_{1 \leq r < s \leq d+1} (\alpha_r - \alpha_s) \neq 0$ . Therefore,  $f \in I$ .  $\square$

**THEOREM 17.** *Let  $R$  be a reduced  $\mathbb{N}$ -graded finitely generated  $K$ -algebra, where  $K$  is a field of characteristic 0. Assume we can find descent data  $A$  for  $R$  such that if  $\omega_A$  is a canonical module for  $R_A$  as in the previous discussion, for some homogeneous system of parameters  $\underline{x} = (x_1, \dots, x_n)$  in  $R_A$  for  $R$ , the kernels of the maps*

$$\rho_{q,t}: \frac{\omega_\kappa^{[q]}}{(\underline{x}^q)\omega_\kappa^{[q]}} \xrightarrow{(\underline{x}_1, \dots, \underline{x}_n)^{q(t-1)}} \frac{\omega_\kappa^{[q]}}{(\underline{x}^{qt})\omega_\kappa^{[q]}}$$

*stabilize for all large  $q$  at  $t \geq t_0$ , for almost all  $\kappa$ , where  $t_0$  is independent of  $\kappa$ . If*

$\underline{x}^{t_0}\omega$  is fiberwise tightly closed in  $R$  then  $R$  is strongly  $F$ -regular. In particular, the notions of weak  $F$ -regular type and strong  $F$ -regularity are equivalent in  $R$ .

*Proof.* Assume first that  $\kappa$  is infinite. Using the previous lemma we may deduce that  $R_\kappa$  is  $F$ -regular if and only if it is strongly  $F$ -regular at the origin. Since weakly  $F$ -regular,  $F$ -bounded and  $F$ -finite rings of prime characteristic  $p$  are strongly  $F$ -regular (Theorem 3.3 in [W]), we conclude that  $R_\kappa$  is strongly  $F$ -regular by applying Theorem 11.

If  $\kappa$  is finite, pick an infinite perfect extension field  $\kappa \subset \lambda$ . By the previous discussion,  $\lambda \otimes_\kappa R_\kappa$  is strongly  $F$ -regular, and if  $1 \otimes d^{1/q} \mapsto 1$  is a splitting of  $\lambda \otimes_\kappa R_\kappa \rightarrow \lambda \otimes_\kappa R_\kappa^{1/q}$ , since

$$(\lambda \otimes_\kappa R_\kappa)^{1/q} \cong \lambda^{1/q} \otimes_{\kappa^{1/q}} R_\kappa^{1/q} \cong \lambda \otimes_\kappa R^{1/q}$$

we obtain a splitting  $1 \otimes d^{1/q} \mapsto 1$  of  $R_\kappa \rightarrow R_\kappa^{1/q}$ .  $\square$

*Added in proof.* The author has recently learned that B. MacCrimmon showed in his Ph.D. thesis, submitted to The University of Michigan that *all* local rings with an isolated non-Gorenstein point are  $F$ -bounded.

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